

# Gyrokinetic Plasma-Sheath Transition

**Patrick Kim<sup>1,2</sup>, Alessandro Geraldini<sup>3</sup>, Antoine Hoffman<sup>2</sup>, Seung-Hoe Ku<sup>2</sup>, Felix I. Parra<sup>1,2</sup>**

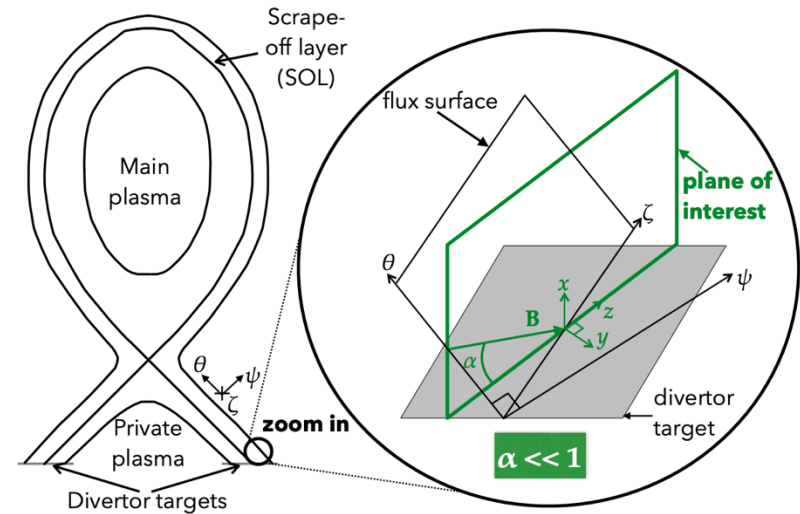
<sup>1</sup>Princeton University

<sup>2</sup>PPPL

<sup>3</sup>University of York

*17th Plasma Kinetics Working Meeting, Vienna Austria*

- Divertors handle the heat and particle exhaust for the tokamak.
- Crucial for protecting walls of fusion devices, pumping helium ash, impurities, etc.
- Can affect edge and core confinement.
- Sheath forms near divertor.

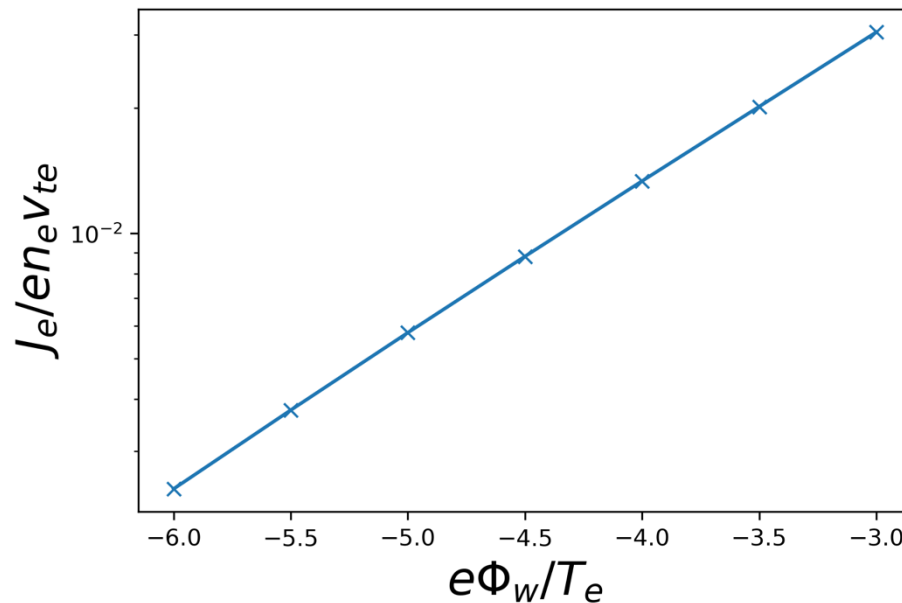


- In the core, large parallel current perturbations  $J_e \sim en_e v_{te}$  can form from extended modes like MTMs [1]  $\theta \sim (m_i/m_e)^{1/2}$ .

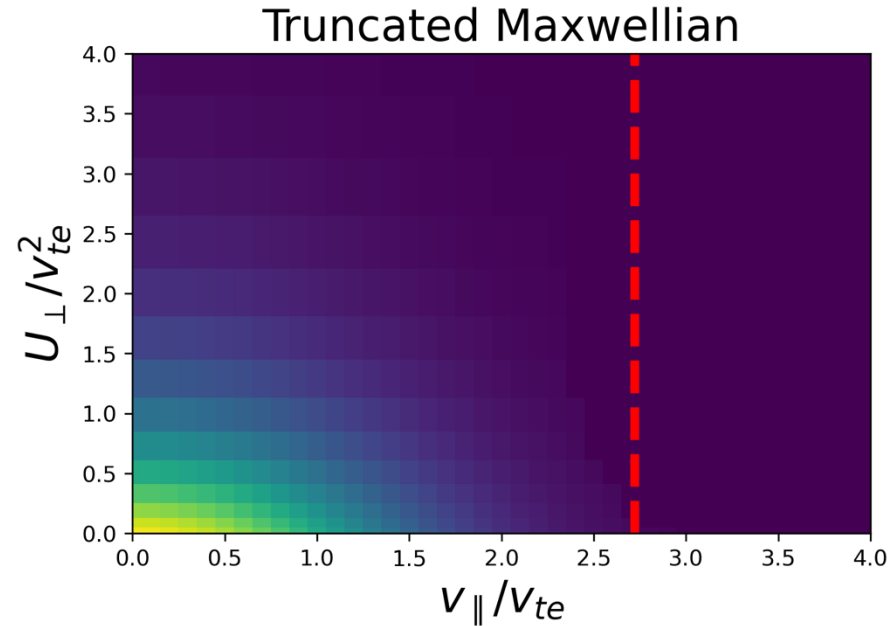
$$v_{\parallel} \hat{b} \cdot \nabla \theta \frac{\partial h_e}{\partial \theta} = \frac{eF_{0e}}{T_e} \omega_* J_0(k_{\perp} \rho_e) \phi$$

- But in SOL, electron current instead limited by wall potential.

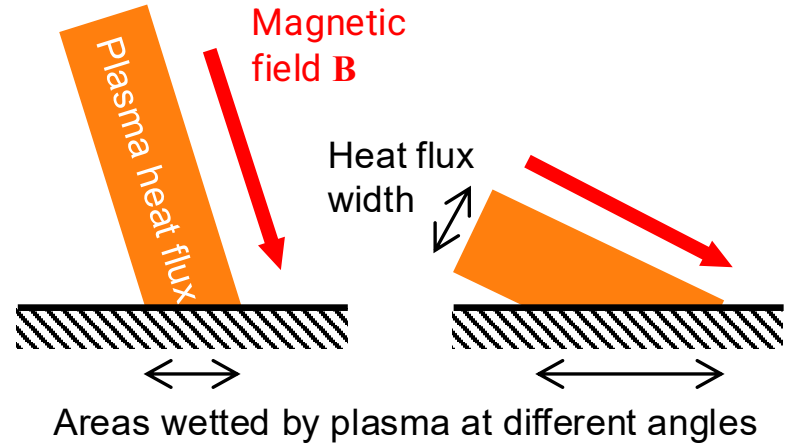
$$J_e \sim en_e v_{te} \exp\left(\frac{e\phi_w}{T_e}\right)$$



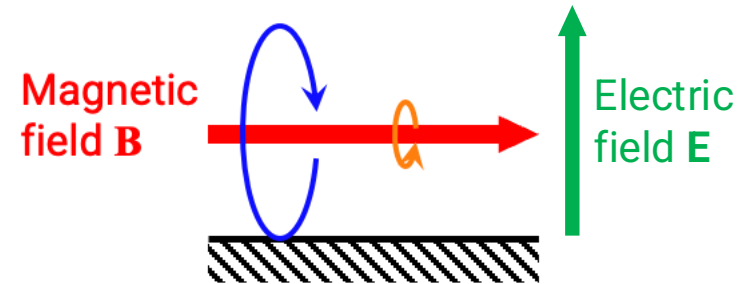
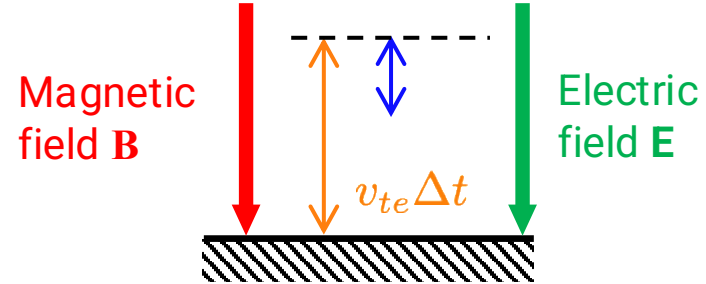
- Sheaths control the electron heat flux by repelling most electrons.
- In conducting boundary conditions, electron cutoff determined purely by parallel energy  $\frac{1}{2} m_e v_{\parallel}^2 = e\phi_w$ .



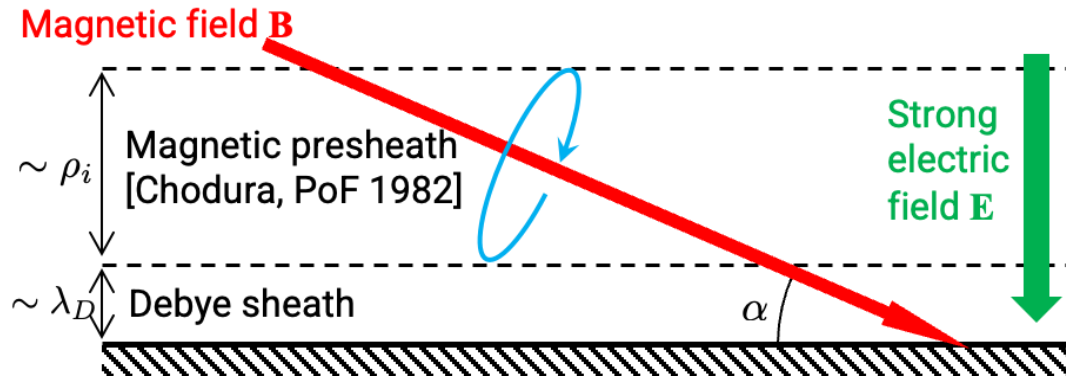
- B inclined at small angle  $\alpha$  with respect to divertor.
- $\alpha \sim 2$ -5 degrees.
- Spreads out heat flux over a wider area, reducing damage to divertors.



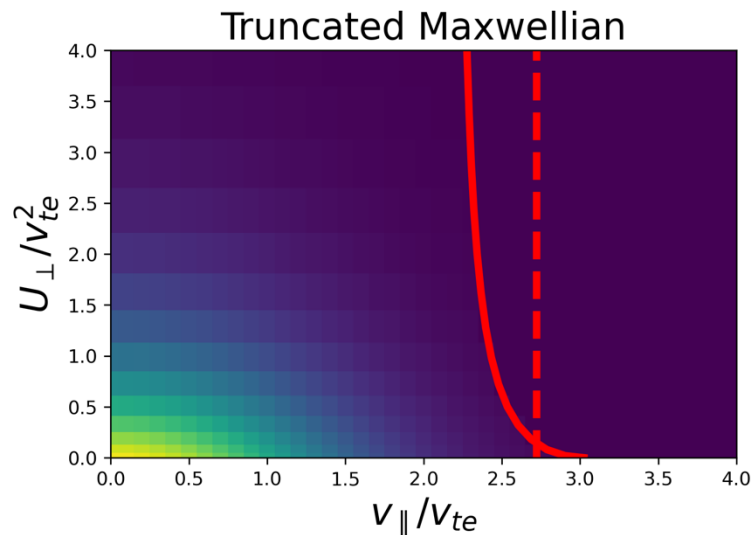
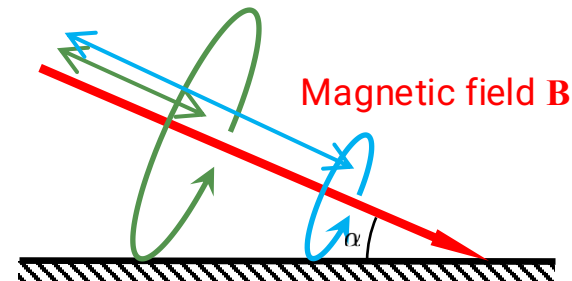
- Electric field in a magnetized sheath depends on the angle  $\alpha$  that  $\mathbf{B}$  makes with the wall
- If  $\mathbf{B}$  is perpendicular to the wall, then wall is negatively-charged and  $\mathbf{E}$  is electron-repelling since  $v_{te} \gg v_{ti}$ .
- If  $\mathbf{B}$  is parallel to the wall, then wall is positively-charged and  $\mathbf{E}$  is ion-repelling since  $\rho_i \gg \rho_e$ .
- If  $\alpha \gg (m_e/m_i)^{1/2}$ , then wall is still negatively-charged.



- Sheath has 2 main layers.
- Positively-charged Debye sheath  $\sim \lambda_D$  to shield plasma from wall potential.
- Quasi-neutral magnetic presheath  $\sim \rho_i$  to accelerate ions.
- Depending on collisionality, can also have a collisional presheath  $\sim \alpha \lambda_{mfp}$



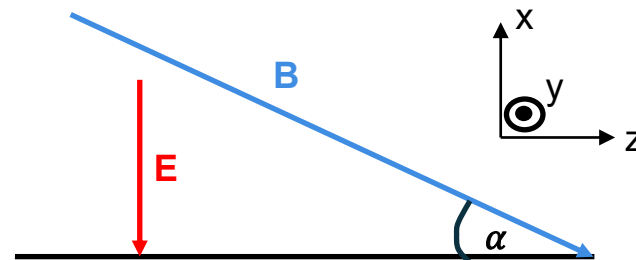
- $\rho_e$  effects typically neglected.
- $\gamma = \rho_e / \lambda_D \sim 1$ , so electron FLR effects important in the DS.
- Exact cutoff determined by perpendicular energy – electron with larger orbits can more easily reach wall.
- Can lead to substantial (30-40%) changes to heat fluxes [2].
- Will be important for non-monotonic potential profiles.



# Grazing Angle Gyrokinetic Model of the Sheath



- Assume slab geometry, with constant  $|\mathbf{B}|$ , and 1D  $\mathbf{E}(x)$ .
- Assume  $\rho_e \sim \lambda_D \ll \rho_i \ll \alpha \lambda_{\text{mfp}}$ .
- Dynamics are parallel streaming, gyromotion, and ExB drifts.



$$\begin{aligned}\dot{v}_x &= -\frac{\Omega}{B} \frac{\partial \phi}{\partial x} + \Omega v_y \cos \alpha \\ \dot{v}_y &= -\Omega v_x \cos \alpha - \Omega v_z \sin \alpha \\ \dot{v}_x &= \Omega v_y \sin \alpha \\ X &= \frac{v_y}{\Omega_i} + x\end{aligned}$$

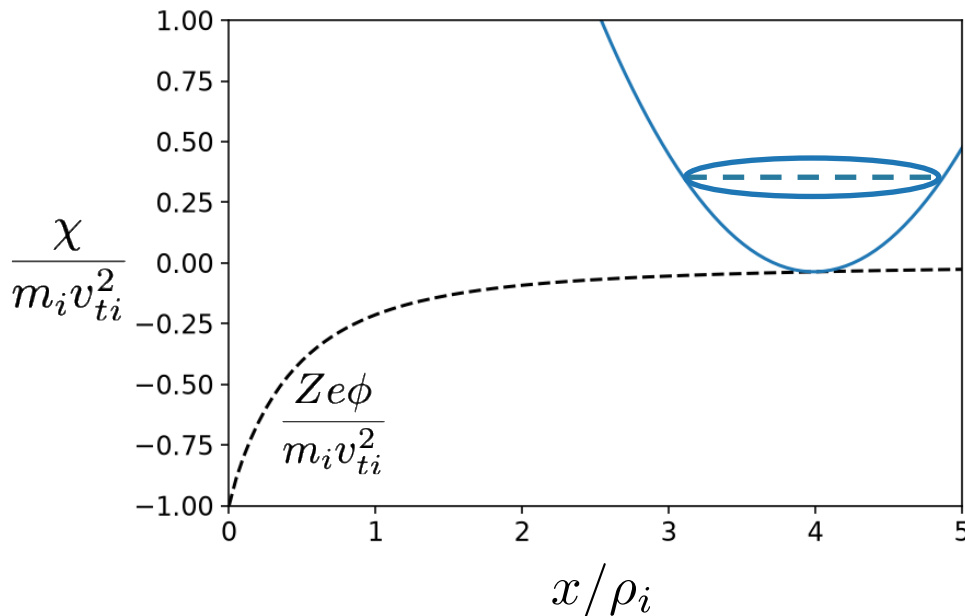


- $v_x$  can be written in terms of effective potential

$$v_x = \sigma_x V_x = \sigma_x \sqrt{U_{\perp} - \chi(x, X)}$$

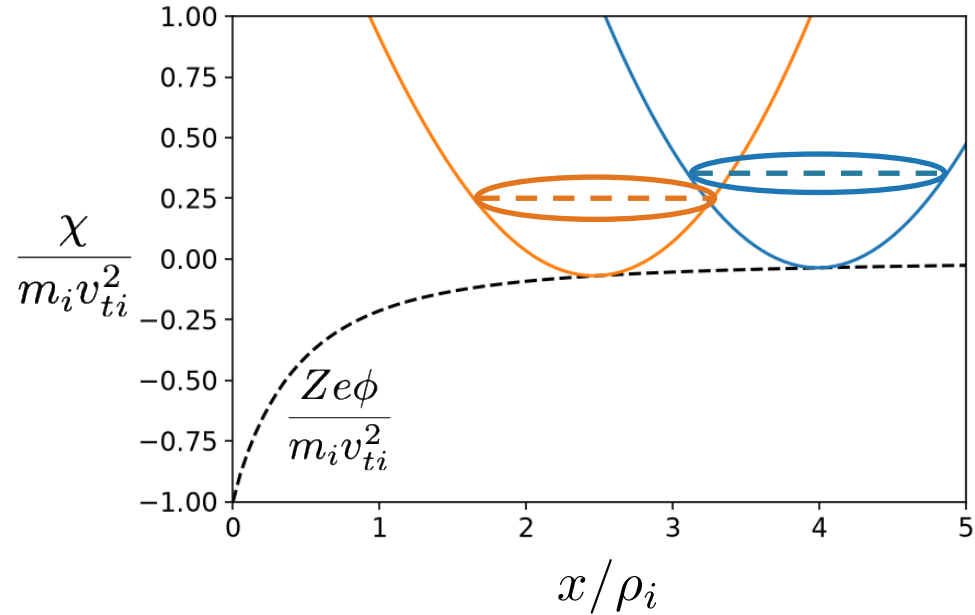
$$\chi(x, X) = \frac{1}{2} \Omega_i^2 (x - X)^2 + \frac{\Omega_i}{B} \phi(x)$$

- Far from the wall, corresponds to normal gyromotion

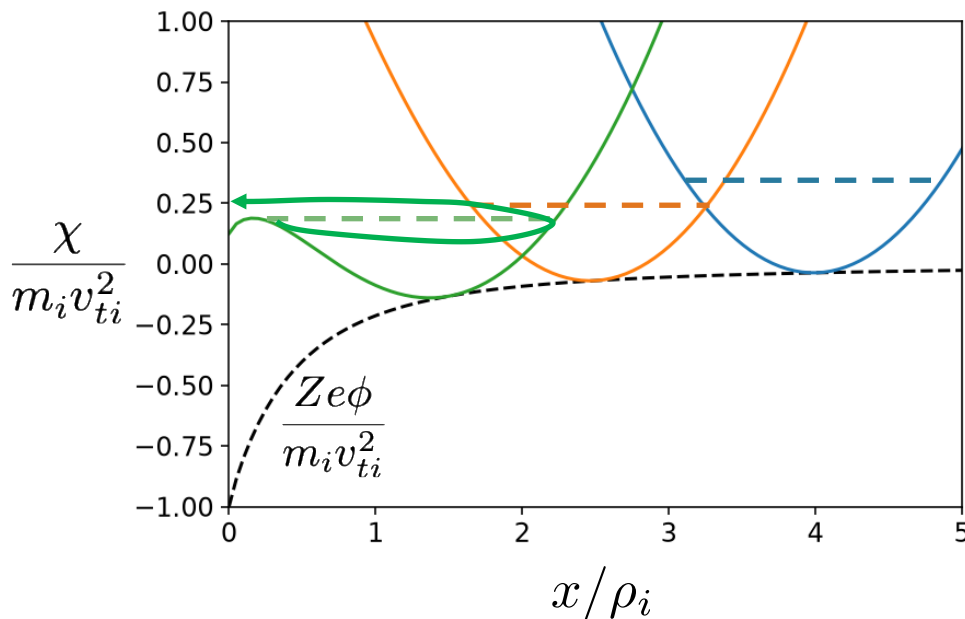




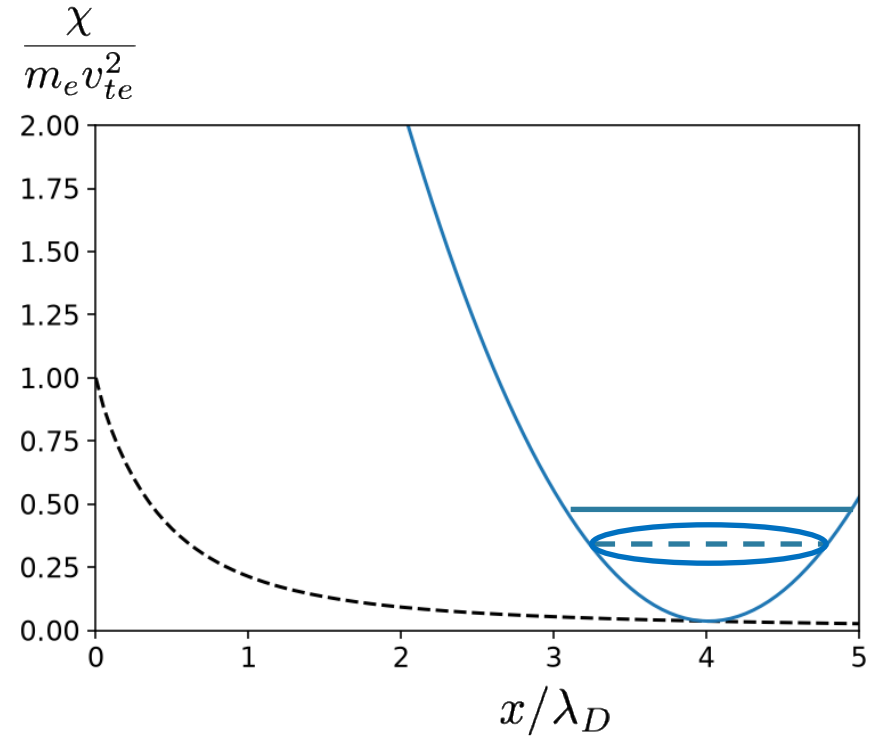
- As ions accelerate towards wall,  $U_{\perp}$  and  $\chi$  decrease.



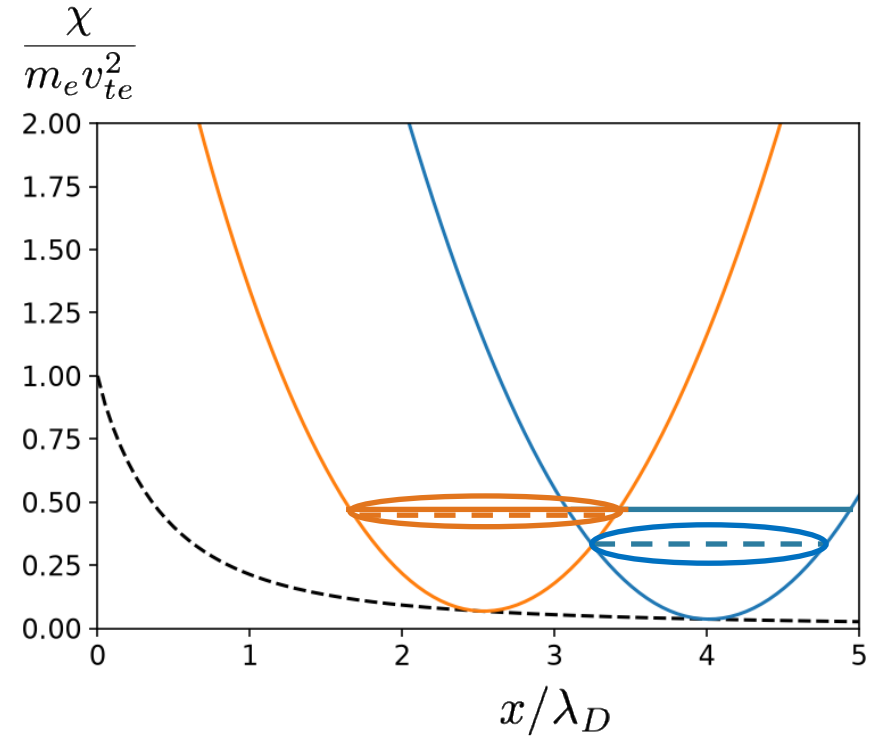
- Eventually,  $U_{\perp} = \chi_M$ , and ion is lost to the DS.
- Corresponds to  $F_E > F_B$ , and gyro-orbits being “opened” up.
- Can treat ions in the DS with 1x1v model.



- Normal gyromotion far from the wall.

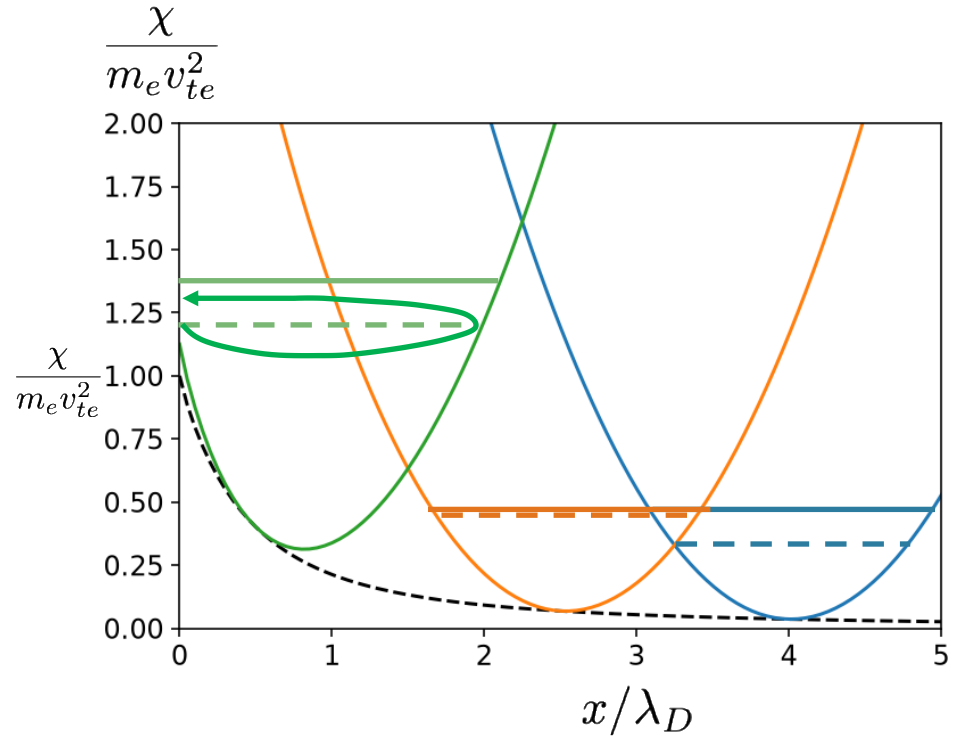


- As electrons approach wall,  $U_{\perp}$  increases.
- If  $U_{\perp} = U$ , electrons are reflected.



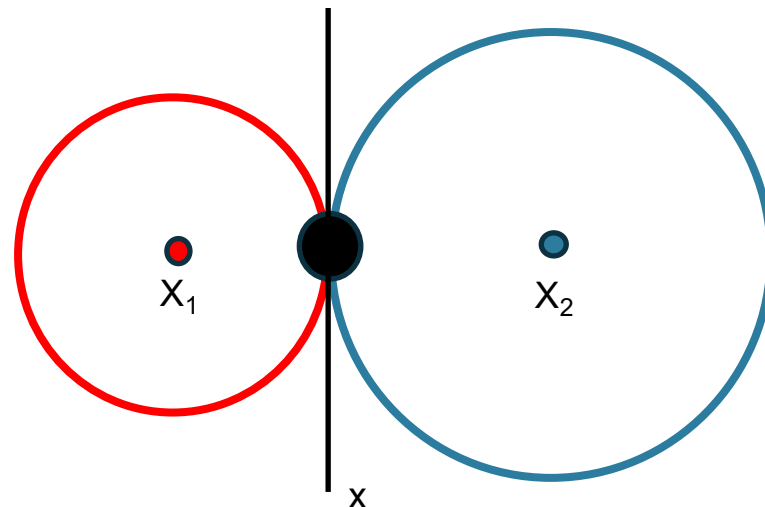


- If  $U_{\perp} = \chi_M$ , electrons hit wall.



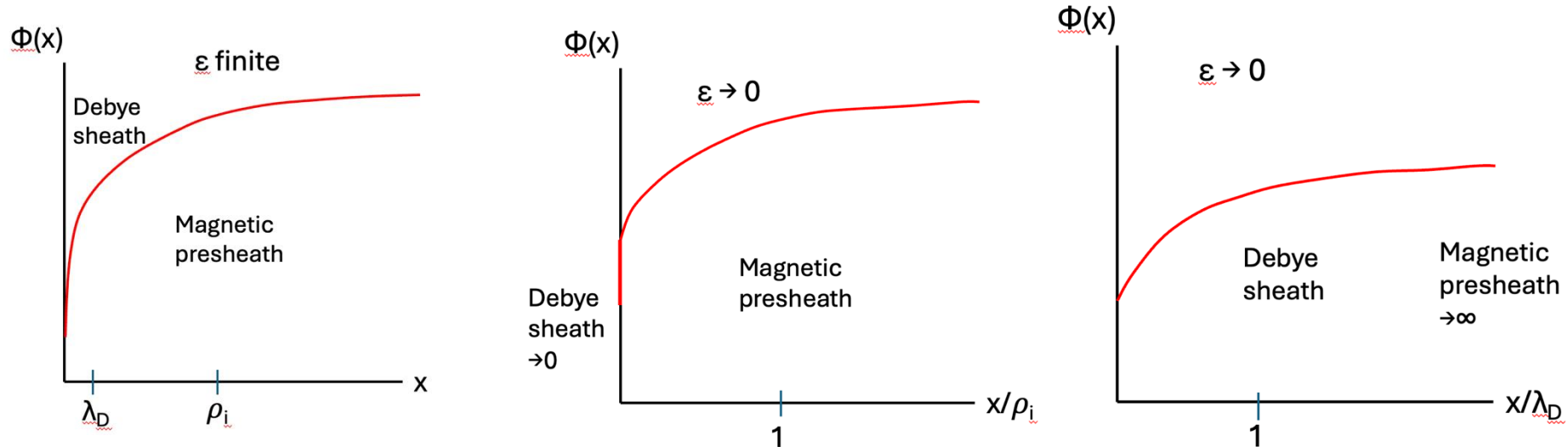
- Particles with  $U < U_{\perp}$  have already been reflected.
- Particles with  $U_{\perp} > \chi_M$  are lost to wall.
- Integral over  $X$  represents FLR effects.
- From densities, GYRAZE calculates self-consistent potential using an iterative method.

$$n_e = \sum_{\sigma_{\parallel}} \int_{X_m}^{\infty} dX \int_{\chi}^{\chi_M} \frac{2dU_{\perp}}{\sqrt{2(U_{\perp} - \chi)}} \int_{U_{\perp}}^{\infty} \frac{F dU}{\sqrt{2(U - U_{\perp})}}$$



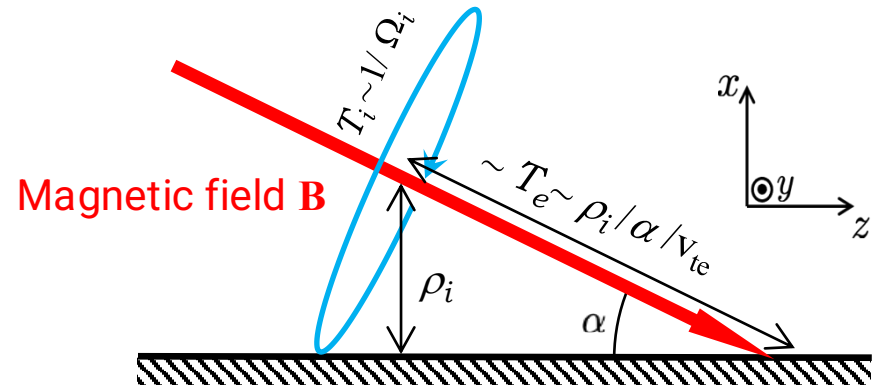
- Assume solutions to the DS and MP can be obtained separately/iteratively, under the assumption  $\varepsilon = \lambda_D/\rho_i \rightarrow 0$ .

$$\varepsilon^2 \frac{d^2 \hat{\phi}}{dz^2} = \frac{1}{n_{ref}} (n_e[\hat{\phi}] - n_i[\hat{\phi}])$$

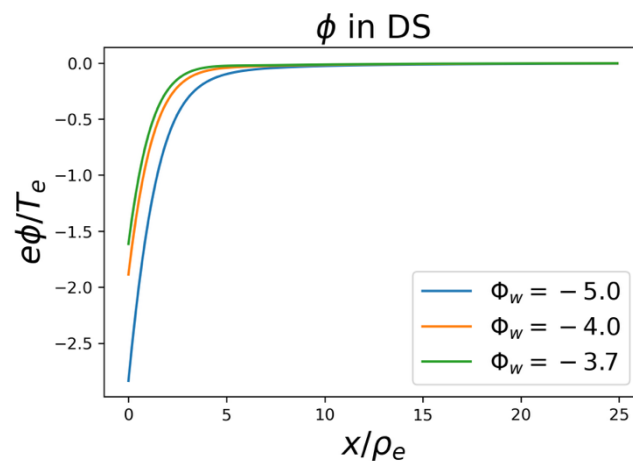
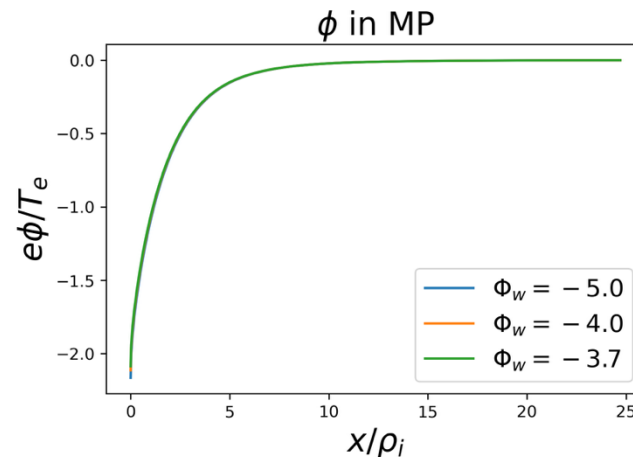


# Non-monotonic Potential Profiles

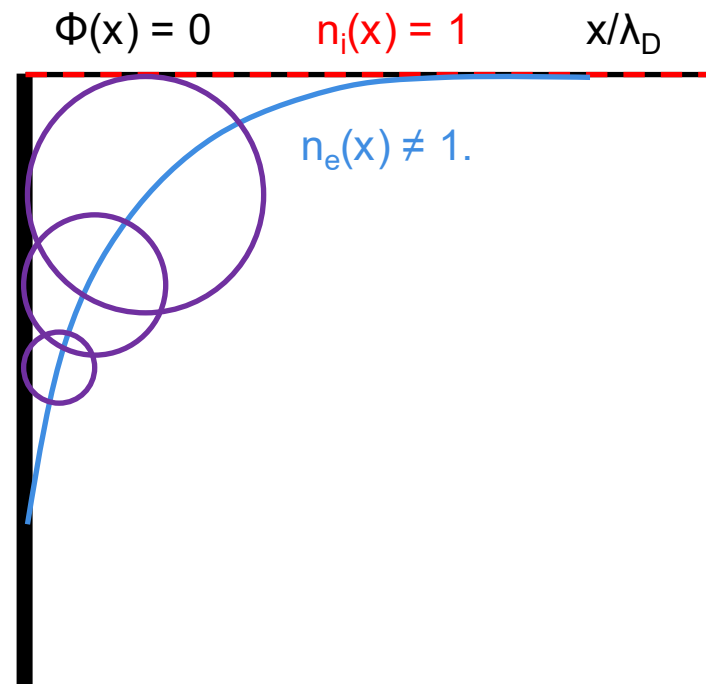
- Would like to explore smaller  $\alpha$  and  $\phi_w$ .
- But potential can become non-monotonic!
- At  $\alpha \sim (m_e/m_i)^{1/2}$ , ion orbits may cause them to hit the wall before electrons.



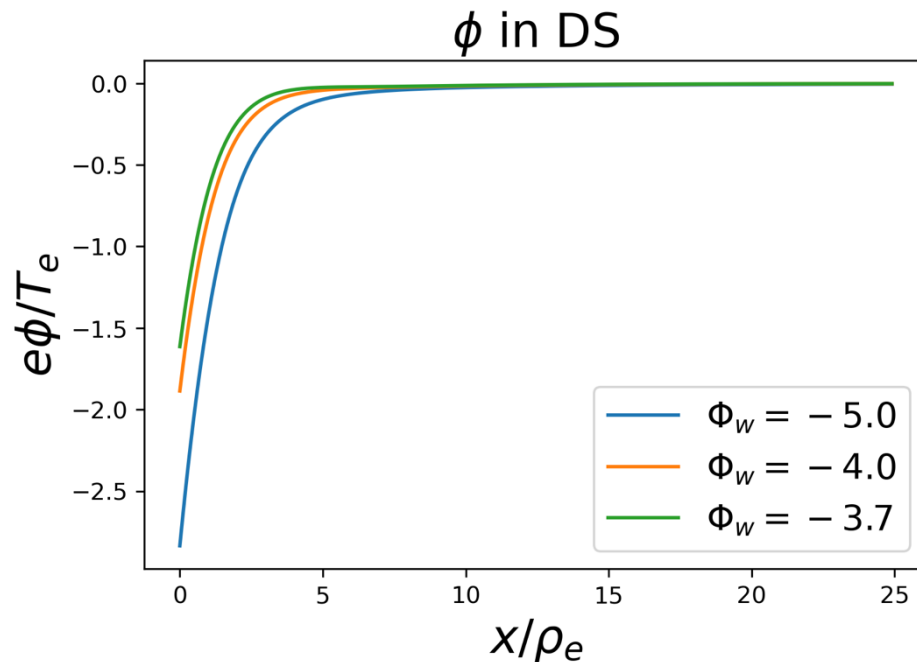
- For fixed  $\alpha$ , presheath drop roughly constant  $\sim \ln(\alpha)$ .
- Decreasing  $\phi_w$  decreases potential drop in DS.



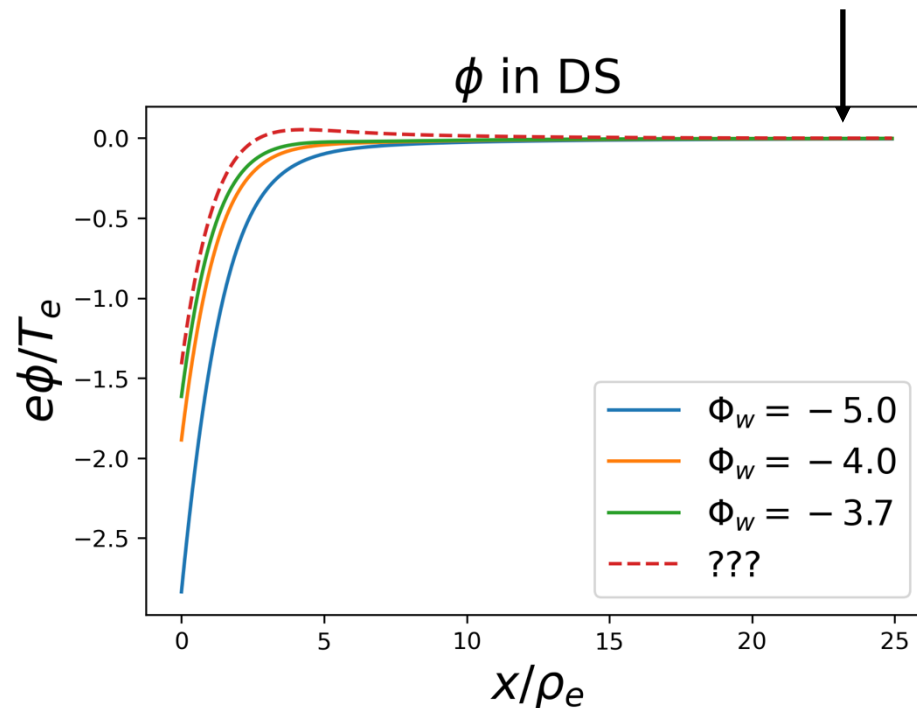
- For finite  $\rho_e$ , there exists minimum DS potential drop  $\sim \gamma^2$  [2].
- Finite drop caused by decrease in  $n_e$  as electrons intersect wall.
- Decreasing  $\Delta\phi_{DS}$  below this means  $\phi$  must become positive, and so non-monotonic.



- Numerically, cannot converge below  $\alpha_* \sim (\gamma m_e/m_i)^{1/2}$  [2].
- What happens as we approach this point?



- Numerically, cannot converge below  $\alpha_* \sim (\gamma m_e/m_i)^{1/2}$ .
- What happens as we approach this point?
- Does  $\phi$  just jump to a positive non-monotonic solution?

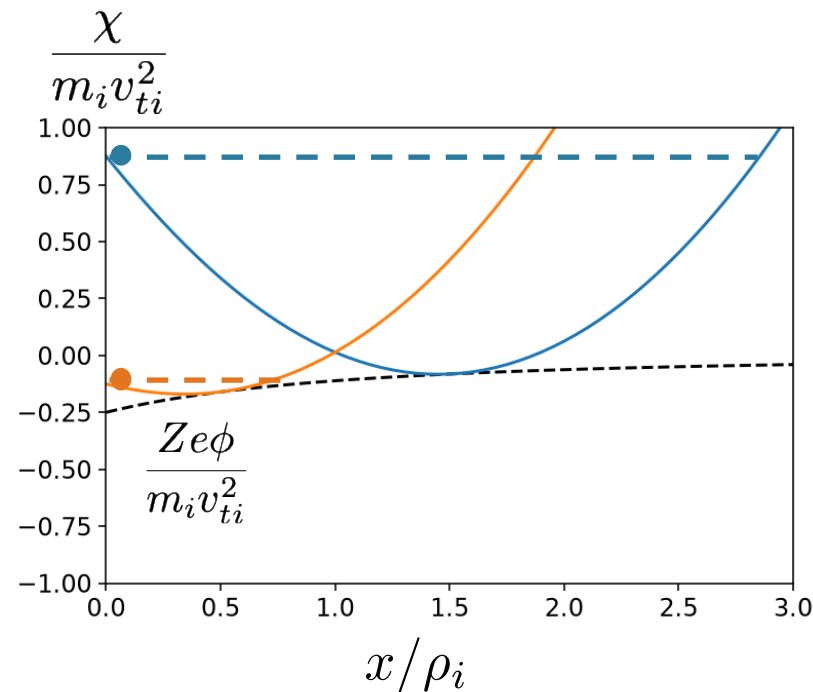


- Taylor expanding  $n_i$  and  $n_e$  at the Debye sheath entrance ( $x_{mp} \rightarrow 0$ ) yields [2].

$$n_{e,mp}(x) - n_{e,mp}(0) \sim \phi_{mp}(x) - \phi_{mp}(0)$$

$$n_{i,mp}(x) - n_{i,mp}(0) \sim \sqrt{\chi_{mp}(0) - \chi_{mp}(x)}$$

- Ion density drop larger due to slow ions barely turning around in their gyro-orbits.
- But cannot satisfy quasineutrality.

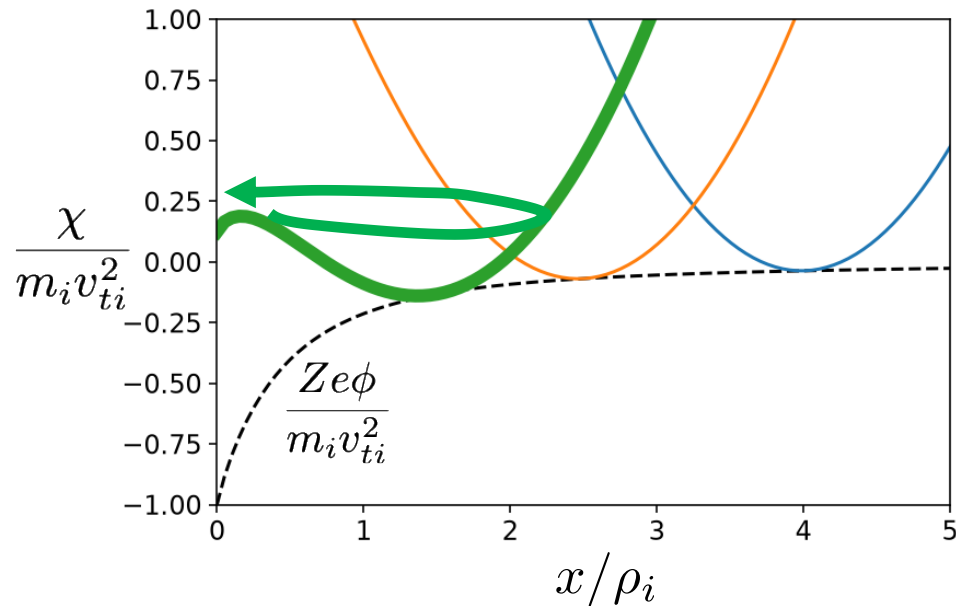


- Therefore, must eliminate all slow ions by having a singular electric field [2].

$$\phi_{mp}(x) - \phi_{mp}(0) \sim \sqrt{x}$$

- Only orbits are open orbits as ions no longer gyrate.
- Leads to the kinetic Bohm condition.

$$Z \int d^3 v f_{i,dse} \frac{v_B^2}{v_x^2} = \frac{T_e}{e} \frac{dn_{e,mp}}{d\phi_{mp}} \Big|_{x=0}$$



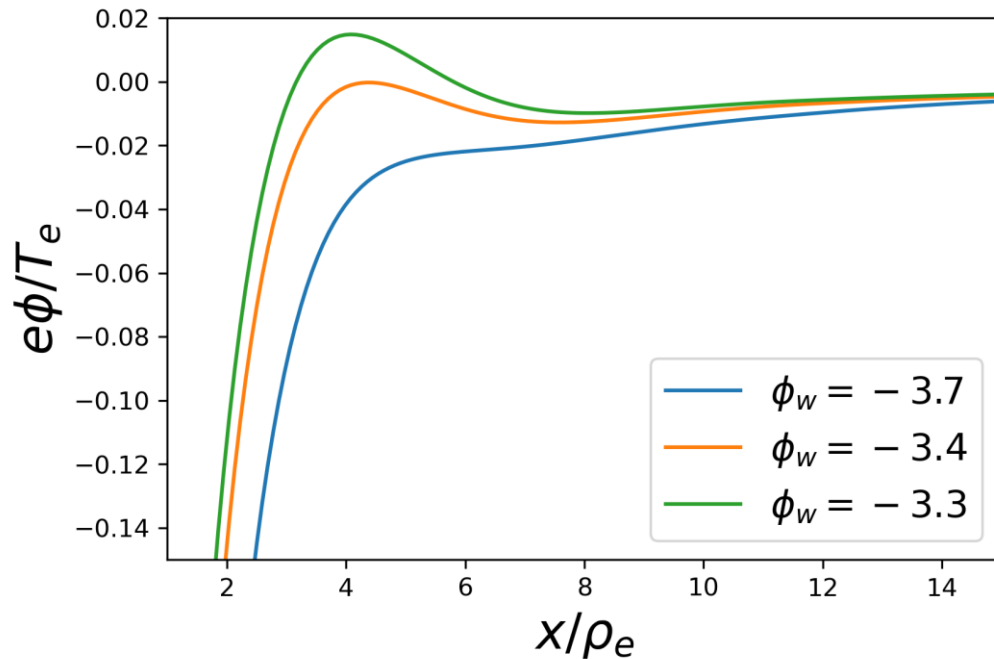


- Can also expand at the DSE from the DS side, taking  $x \rightarrow \infty$  and  $\phi$  small.
- Asserting kinetic Bohm condition yields

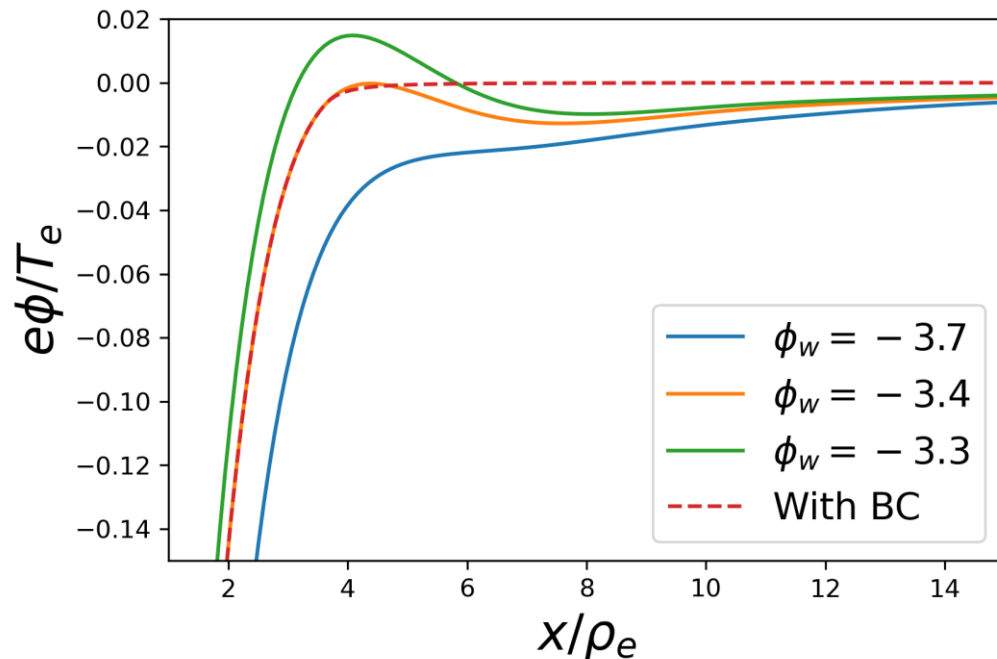
$$\phi_{ds}''(x) \sim \left( Z \int d^3 v f_{i,dse} \frac{v_B^2}{v_x^2} - \frac{T_e}{e} \frac{dn_{e,mp}}{d\phi_{mp}} \Big|_{x=0} \right) \phi_{ds} - \phi_{ds}^2 \quad \phi_{ds}(x) \sim -\frac{1}{(x+c)^2}$$

- Very robust conditions, even at  $\alpha \sim (\gamma m_e/m_i)^{1/2}$ .
- Even non-monotonic potentials must follow this behavior.

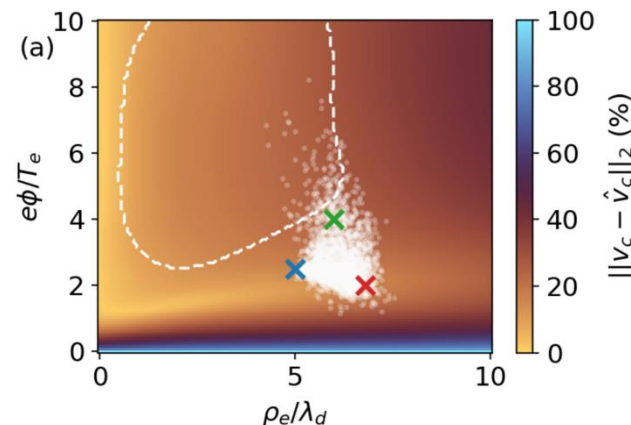
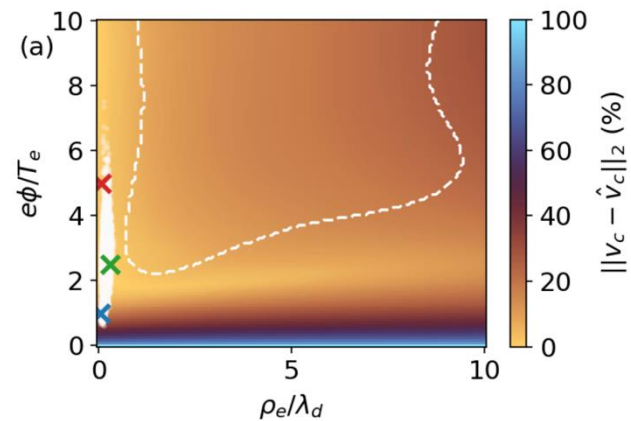
- Carefully running GYRAZE yields non-monotonic solutions near critical angles that remain negative.
- Still satisfies Bohm condition and does not reflect ions (for now).



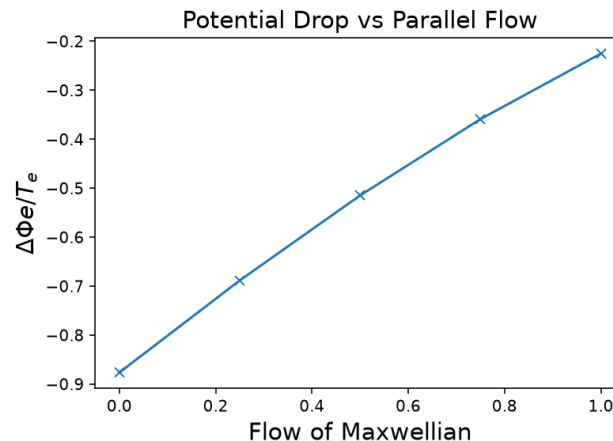
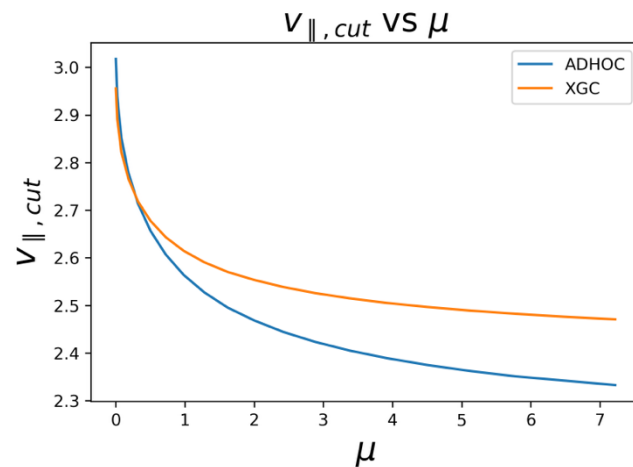
- For numerical efficiency, asymptotic result at large  $x$  used when  $\phi$  or  $1-n_e$  too small.
- Applying asymptotic result prematurely can lead to monotonic profiles.
- Still working to understand these solutions, obtain  $\phi > 0$  solutions and improve robustness of GYRAZE.



- Surrogate model recently developed to predict electron cutoff model.
- Being coupled with XGC and Gkeyll.
- Most of the simulation parameters being used would not converge in GYRAZE.



- Numerical distribution functions do not satisfy the Chodura condition.
- Can apply Felix's time-dependent theory to modify these distribution functions, but can require a large additional potential drop.



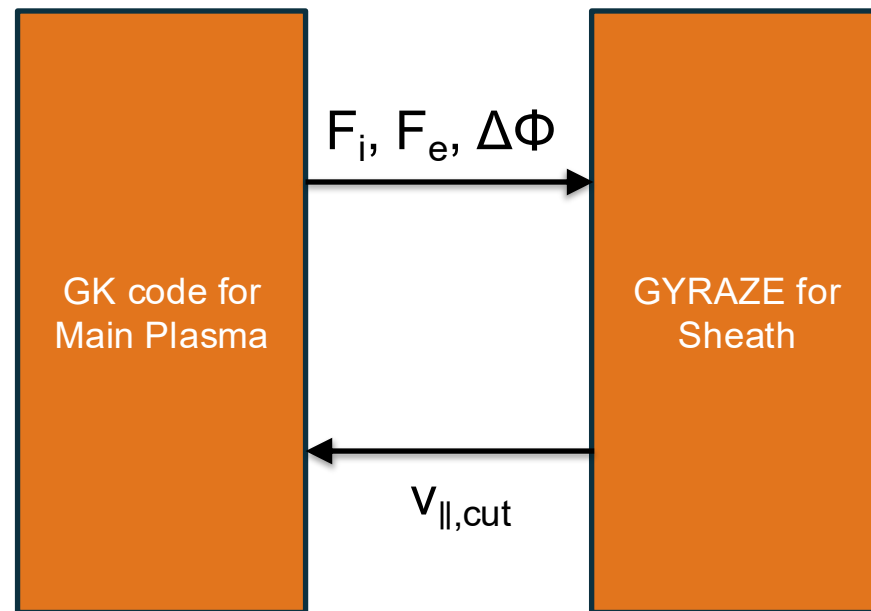


- To better understand divertor heat fluxes and SOL currents, would like to accurate sheath models at small  $\alpha$  and  $\phi_w$ .
- But limited by non-monotonic profiles.
- Non-monotonic profiles must still obey the kinetic Bohm condition.



# Effects of Numerical Distributions and the Chodura Condition

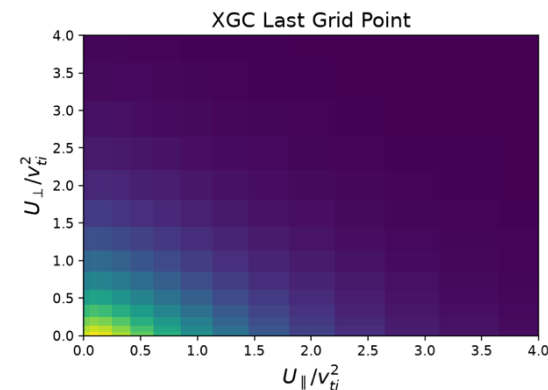
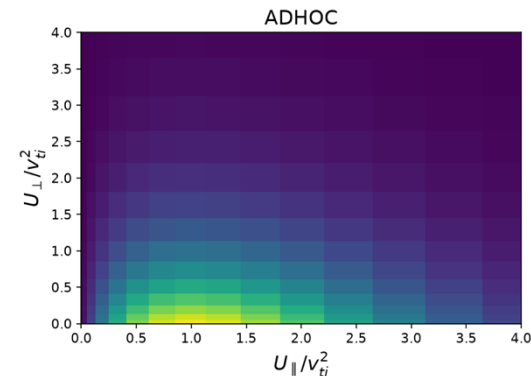
- As input, GYRAZE requires distribution functions  $F_i$ , and  $F_e$  from the bulk plasma.
- Currently uses adhoc analytical distributions but would ideally obtain from edge codes.
- Edge GK codes require  $v_{\text{cut}}$  to set boundary condition for electrons.



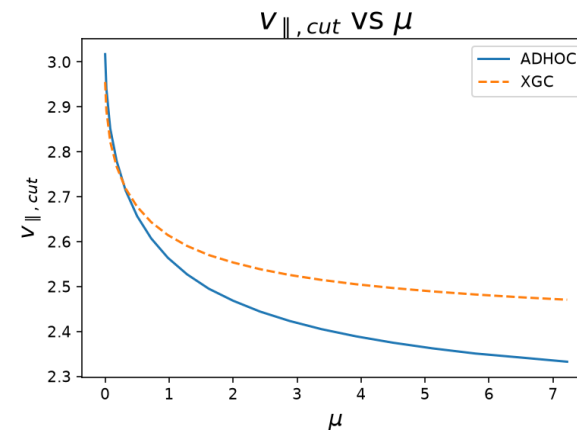
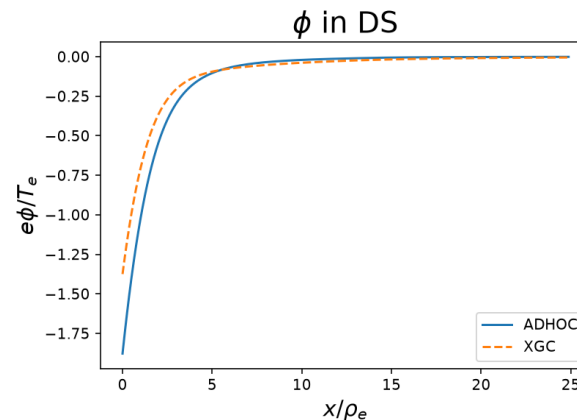
- Ion distributions near the magnetic presheath entrance must satisfy the kinetic Chodura condition.

$$Z \int d^3 v f_{i,mpe} \frac{v_B^2}{v_z^2} = \frac{T_e}{e} \frac{dn_{e,mp}}{d\phi_{mp}} \Big|_{x \rightarrow \infty}$$

- Cannot have slow ions parallel to **B**.
- But numerical distribution functions may not satisfy.



- Different distribution functions can lead to very different results.
- Can affect predictions of heat fluxes, monotonicity, etc.

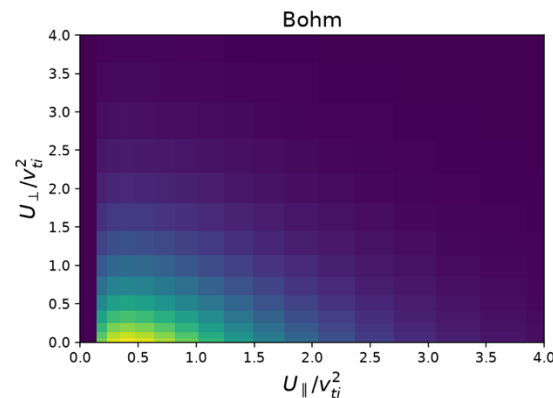
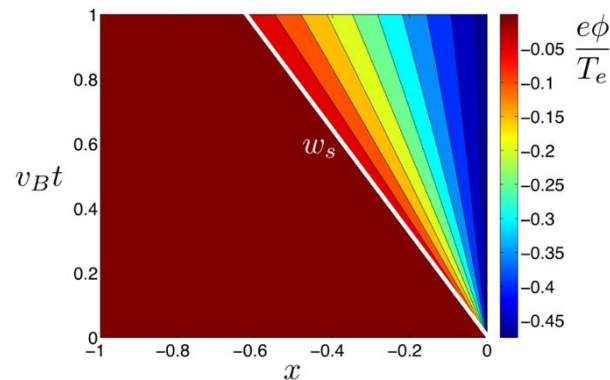


- Can resolve by assuming there is a third layer, where the time-dependent equation is solved.

$$\partial_t F + v_z \partial_z F - \frac{Ze}{m_i} \partial_z \Phi \partial_{v_z} F = 0$$

- Transform to  $w = z/t$

$$(v_z - w) \partial_w F - \frac{Ze\phi'}{T_e} \partial_{v_z} F = 0$$

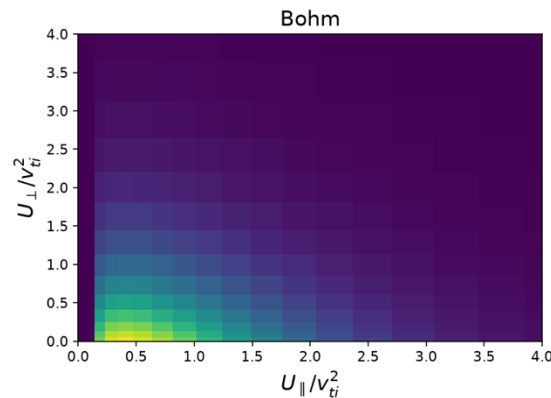
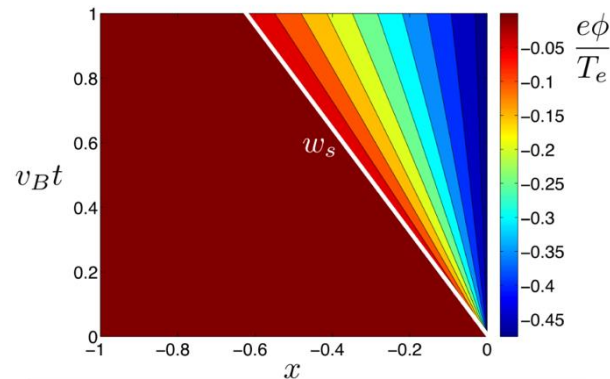


- Substitute kinetic equation into quasineutrality and IBP.

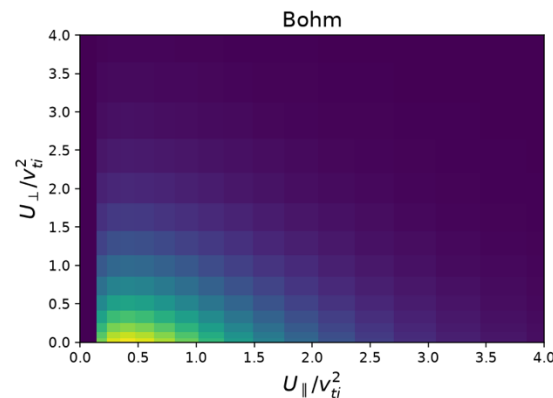
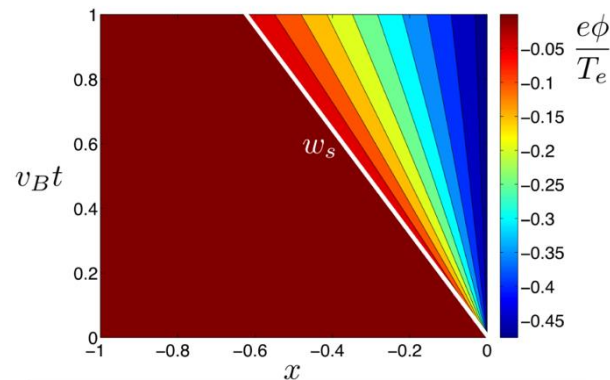
$$Z \int \frac{\partial_{v_z} F}{(v_z - w)^2} = n_{e0} \exp\left(\frac{e\phi}{T_e}\right)$$

- Satisfies Bohm/Chodura condition at  $w = 0$ .
- Evolve  $F$  using characteristics

$$V_{zb}' = -\frac{Ze\phi'}{m_i(V_{zb} - w)}$$



- Plasma evolves to satisfy Bohm/Chodura condition by emitting rarefaction waves back through the bulk.



- Results with new distribution functions are similar to those from adhoc distributions.
- Extra potential drop needed to satisfy Chodura condition can be comparable to total potential drop.

