

A fluid model of non-zonal transitions in electromagnetic, ITG-driven turbulence

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Fluid model for electromagnetic ITG

- Consider strongly-driven plasma in a z-pinch with cold ions and modest electron pressure:

$$\sqrt{\frac{m_e}{m_i}} \ll \frac{T_i}{T_e} \sim \frac{L_T}{L_B} \ll \beta_e \ll k_{\perp} \rho_s \sim 1$$

- Include ITG and Alfvénic (fluid) dynamics + critical balance + keep motion along perturbed field line

$$\omega \sim \omega_{*T} \sim k_{\parallel} v_A \sim k_{\perp} u_{\perp} \sim \nu_{ii} \tau$$

- Fields are then of size

$$\frac{\delta n}{n} \sim \frac{e\phi}{T_e} \sim \frac{\delta T_i}{T_e} \sim \frac{A_{\parallel}}{\rho_s B} \frac{1}{\sqrt{\beta_e}} \sim \frac{\delta B_{\parallel}}{B} \frac{1}{\beta_e} \sim \frac{\delta u_{\parallel,e}}{v_{\text{th},i}} \sqrt{\frac{\beta_e}{\tau}}$$

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$$n \sim \varphi \sim T \sim A$$

Fluid model for electromagnetic ITG

- Induction equation:

$$\partial_t A = \nabla_{\parallel} (n - \varphi)$$

$$\nabla_{\parallel}(\dots) \equiv \partial_z(\dots) - \{A, (\dots)\}$$

$$d_t(\dots) \equiv \partial_t(\dots) + \{\varphi, (\dots)\}$$

- Ion energy equation:

$$\omega_z \equiv \nabla_{\perp}^2 \varphi$$

$$d_t T + \kappa_T \partial_y \varphi = \chi \nabla_{\perp}^2 T$$

- Vorticity equation:

$$\begin{aligned} d_t \omega_z + 2 \nabla_{\parallel} \nabla_{\perp}^2 A + \mathbf{I} : \{ \nabla_{\perp} T, \nabla_{\perp} \varphi \} + \{ T, \omega_z \} + \partial_y (n + T) \\ = \kappa_T \partial_y \omega_z + \chi \nabla_{\perp}^4 (a \varphi - b T) \end{aligned}$$

- Continuity equation: $d_t n + 2 \nabla_{\parallel} \nabla_{\perp}^2 A + \partial_y (n - \varphi) = 0$

The electrostatic limit

- Corresponds to $k_{\parallel} \equiv \frac{k_{\parallel}^{\text{phys}} L_B}{2\sqrt{\beta_e}} \rightarrow \infty$

$$\partial_t A = \nabla_{\parallel} (n - \varphi) \Rightarrow n = \varphi \quad (\text{Boltzmann electrons})$$

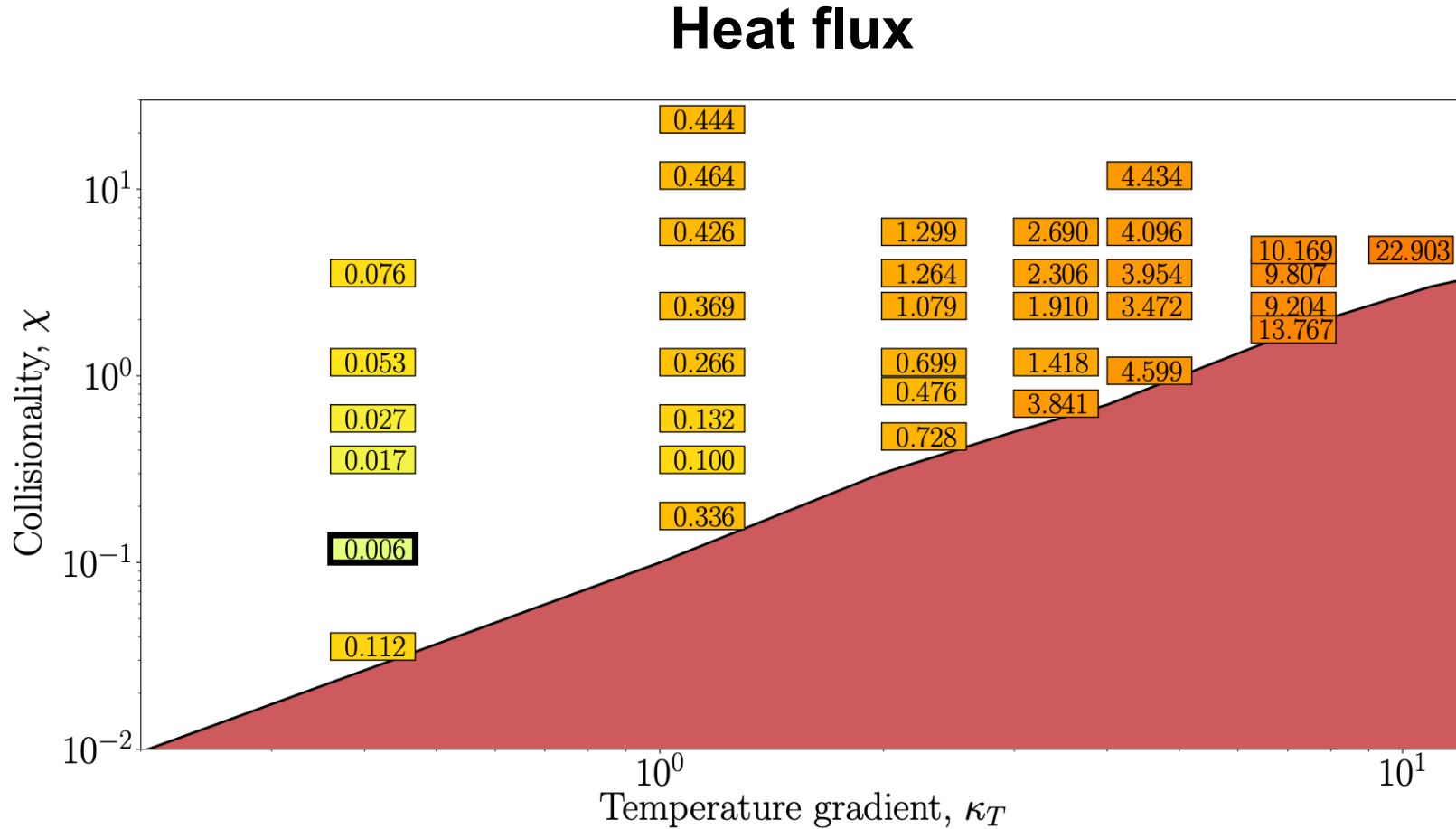
- Recovers curvature-ITG and Alfvén waves in appropriate limits:

$$\gamma_{\text{ITG}} = k_y \rho_s \frac{v_{\text{th},i}}{\sqrt{L_B L_T}}$$

$$\omega_A = \pm k_{\parallel}^{\text{phys}} v_A \sqrt{1 + k_{\perp}^2 \rho_s^2}$$

The electrostatic limit

P. Ivanov et al., JPP **86**, 855860502 (2022)

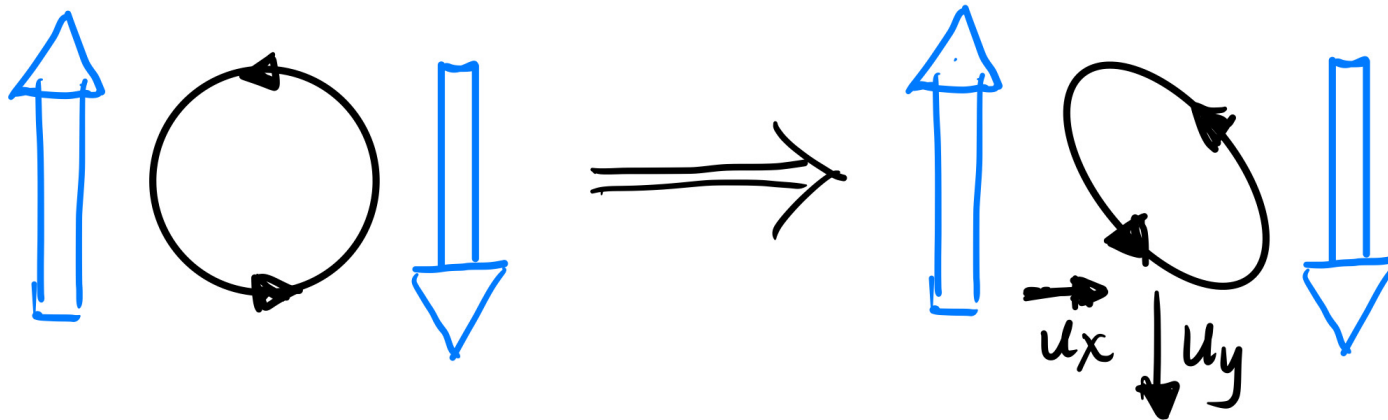


Transition to high transport state beyond critical temperature gradient

The electrostatic limit

P. Ivanov et al., JPP **86**, 855860502 (2022)

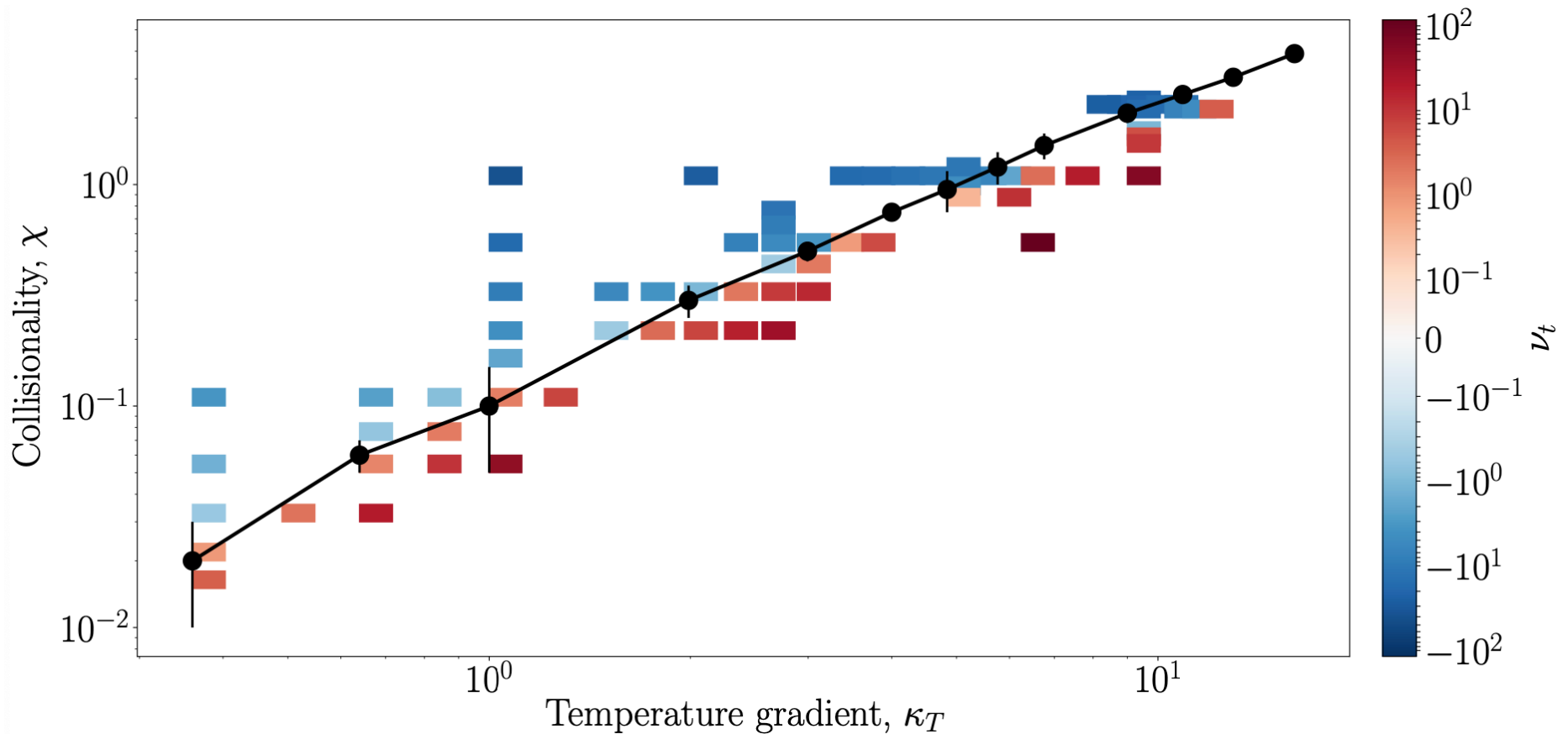
$$\partial_t \bar{\varphi} + \Pi_t + \Pi_d = 0 \quad \Pi_t = \underbrace{-\overline{(\partial_x \varphi)(\partial_y \varphi)}}_{\Pi_\varphi} - \underbrace{\overline{(\partial_x \varphi)(\partial_y T)}}_{\Pi_T}$$



Reynolds stress reinforces zonal flow

The electrostatic limit

P. Ivanov et al., JPP **86**, 855860502 (2022)



$$\Pi_t = \Pi_\varphi + \Pi_T \quad \nu_t \equiv -\frac{\langle \int dx \Pi_t S \rangle_t}{\langle \int dx S^2 \rangle_t} \quad S = \partial_x^2 \varphi$$

Linear physics at finite plasma beta

- ‘High’ beta corresponds to $k_{\parallel} \propto \beta_e^{-1/2} \rightarrow 0$

$$\partial_t A = \nabla_{\parallel} (n - \varphi) \Rightarrow A \propto A_{\parallel} \beta_e^{-1/2} \rightarrow 0$$

- Recovers the interchange mode

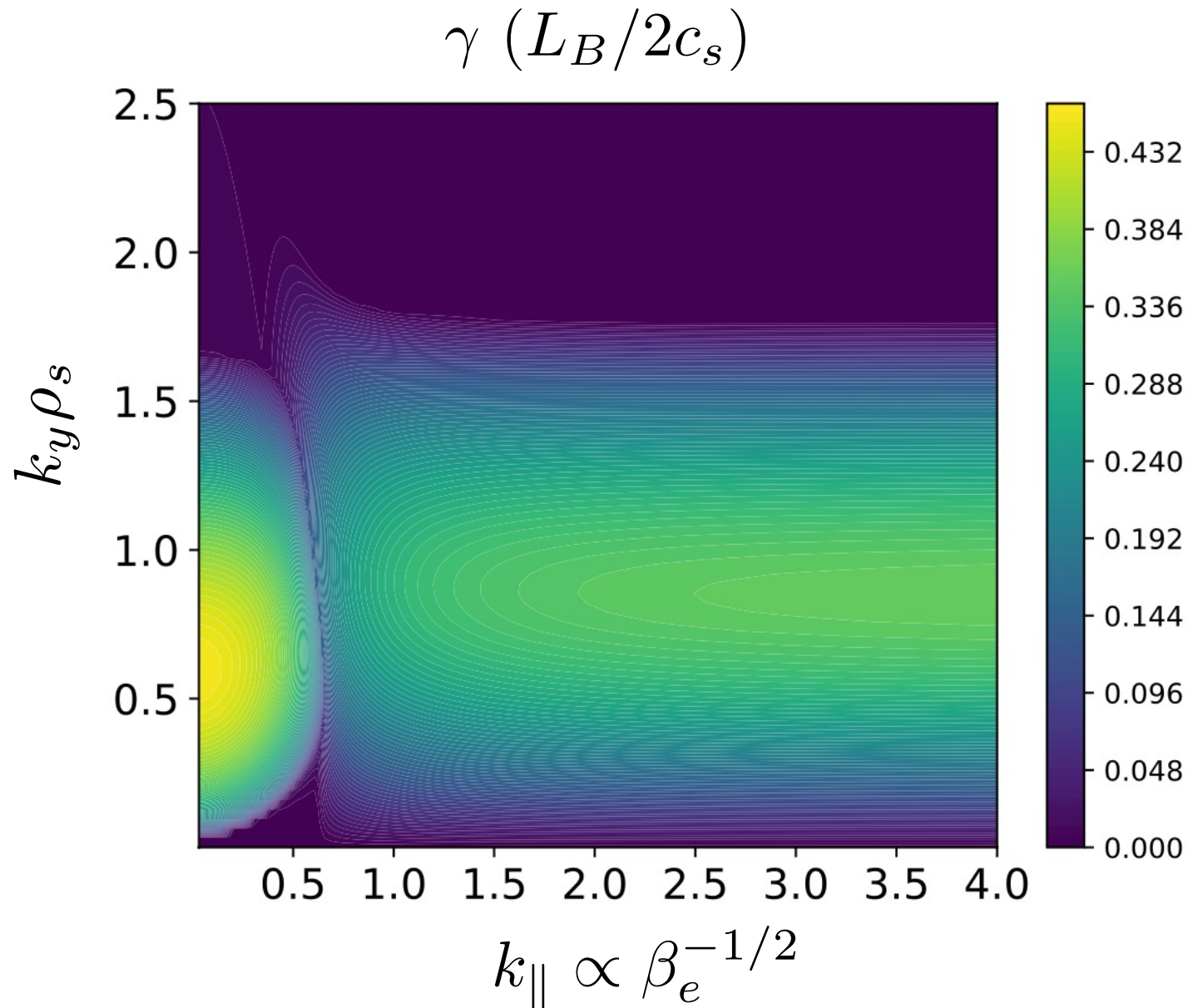
$$\omega_{\leftrightarrow}^2 = - \left(\frac{L_B}{L_T} - 2 \frac{T_e}{T_i} \right) \left(\frac{v_{\text{th},i}}{L_B} \right)^2$$

- Complete stabilization of ITG when

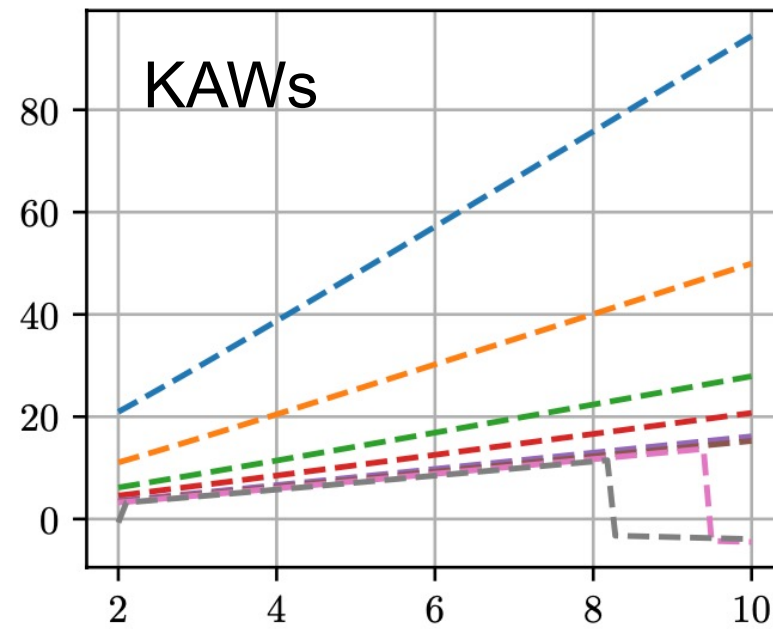
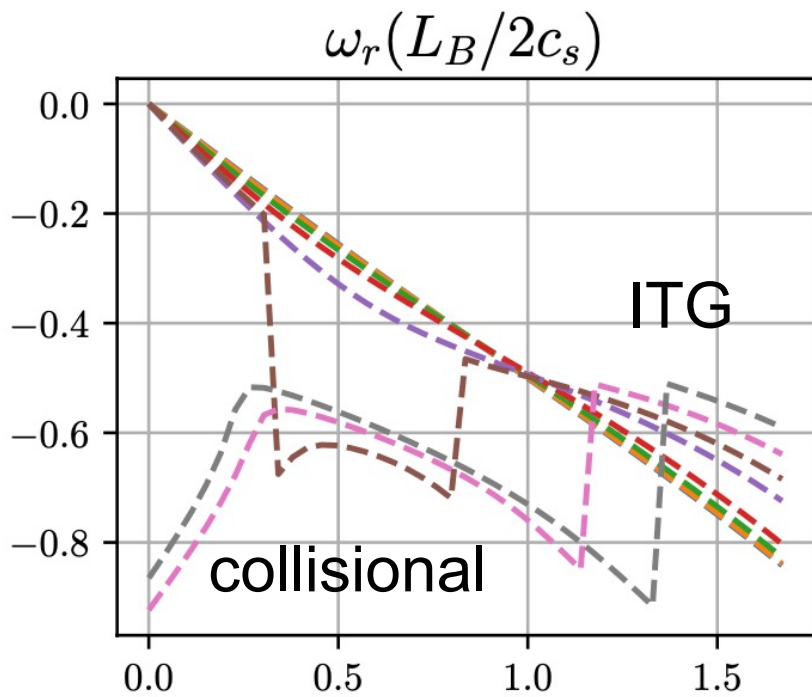
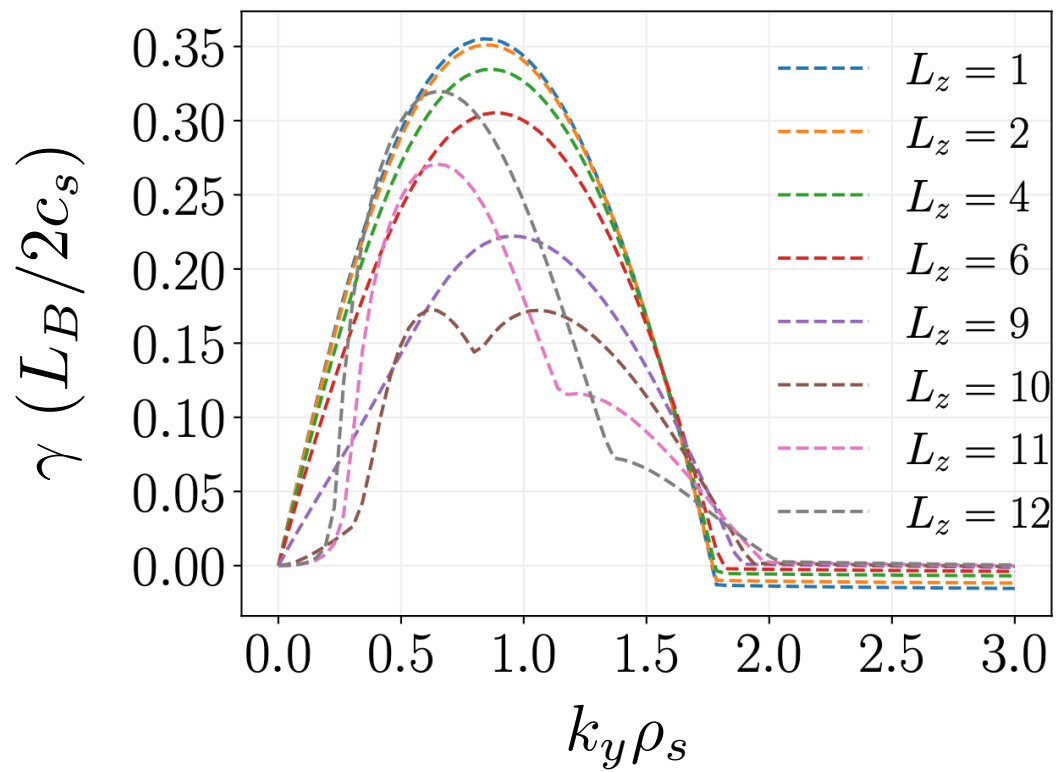
$$\beta_e > \left(k_{\parallel}^{\text{phys}} L_B \right)^2 \frac{L_T}{L_B} \frac{T_e}{T_i}$$

- Collisional instability introduced at small k_{\parallel}

Linear physics at finite plasma beta



- Low collisionality + below interchange threshold



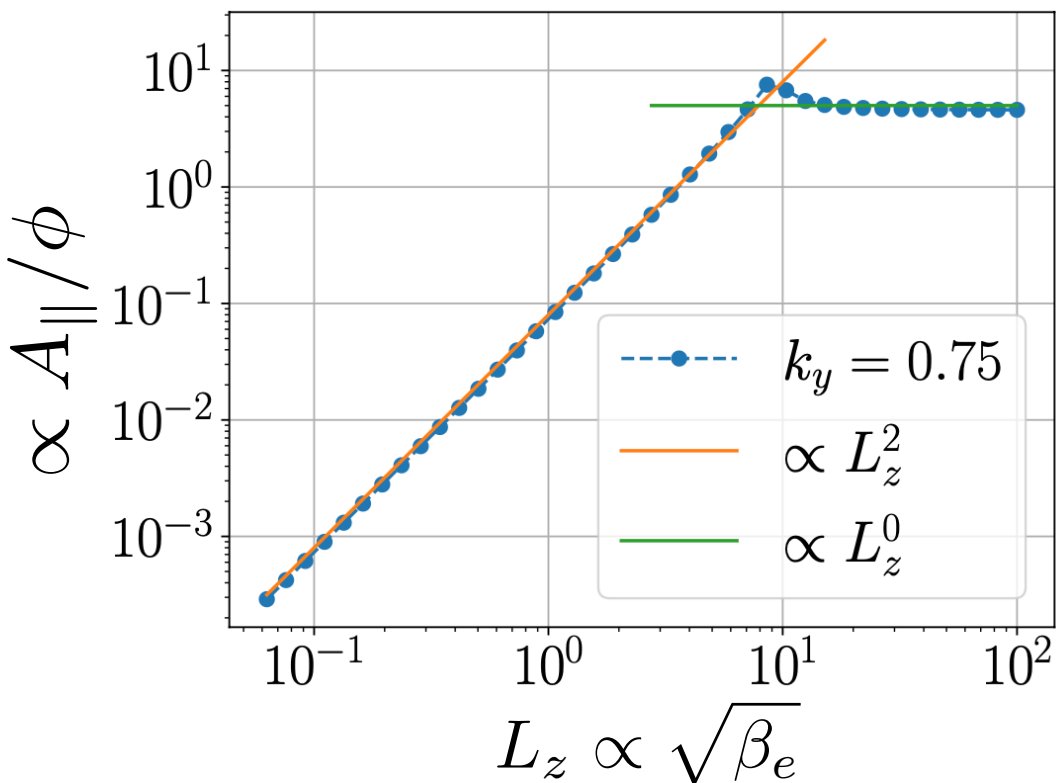
$k_y \rho_s$

Linear physics at finite plasma beta

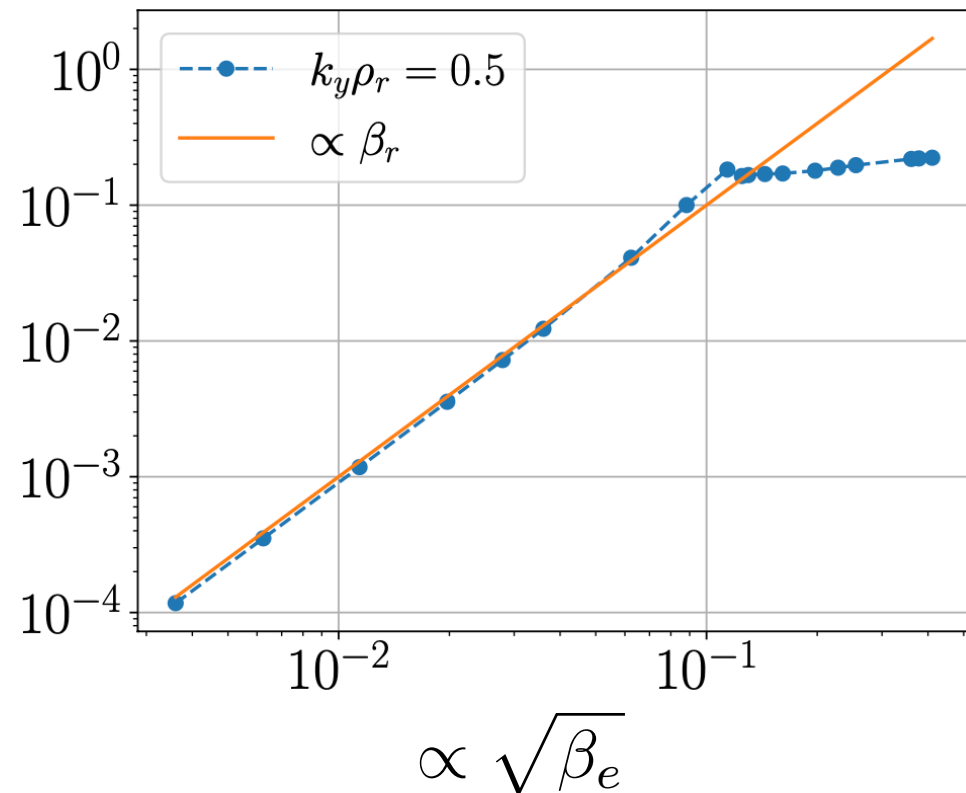
- Considering small beta corrections to electrostatic ITG:

$$A \sim \sqrt{\beta_e \kappa_T \varphi} \Rightarrow A_{\parallel} \sim \beta_e \sqrt{\kappa_T \varphi}$$

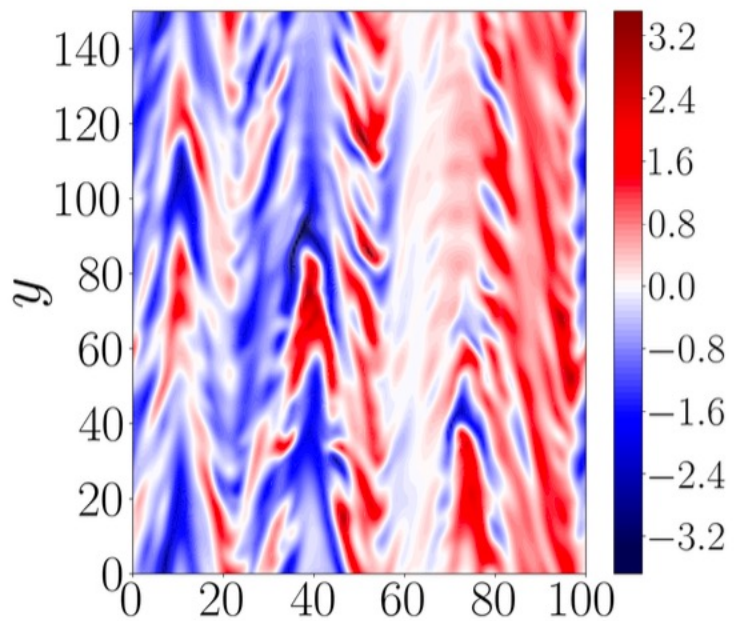
Fluid model



gyrokinetics

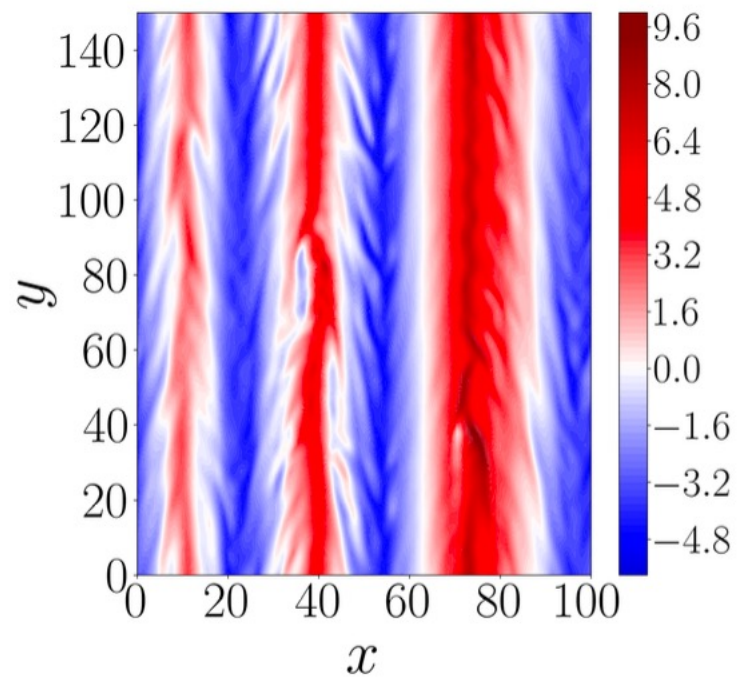
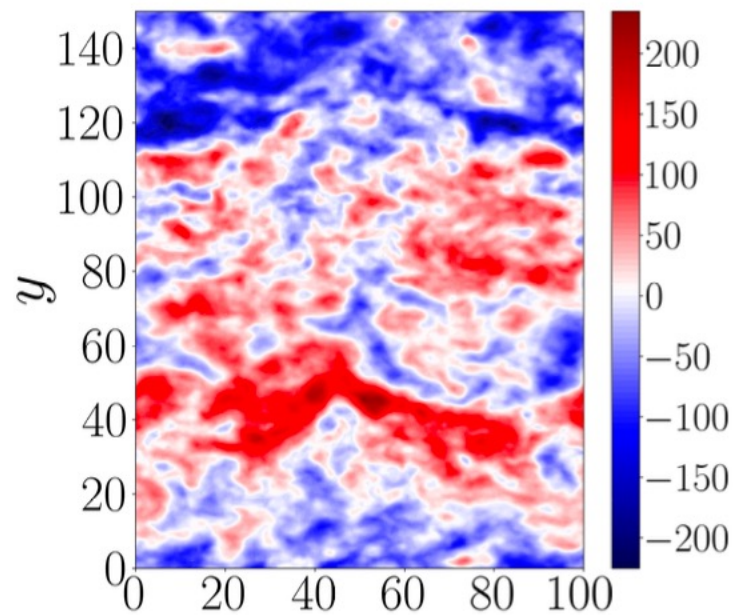


Low transport

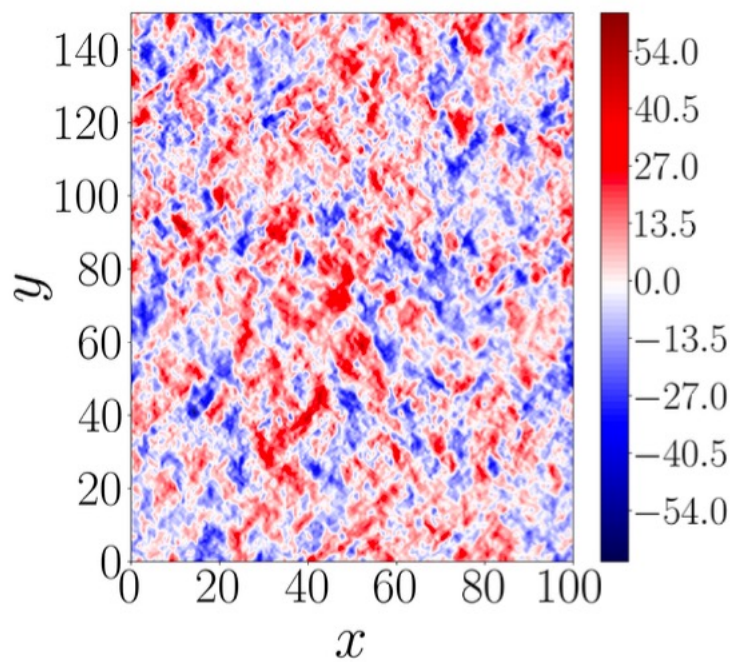


T

High transport



u_y



Addition of Maxwell stress

$$\Pi_t = \Pi_\varphi + \Pi_T + \Pi_A \quad \Pi_A \equiv 2\overline{(\partial_x A)(\partial_y A)}$$

Average over region with constant zonal shear:

$$\frac{1}{L_x} \int dx \Pi_A = 2 \sum_{\mathbf{k}} k_x k_y |A_{\mathbf{k}}|^2$$

Assume zonal shear sets x-wavelength:

$$k_x \sim -k_y S \tau_{nl}$$

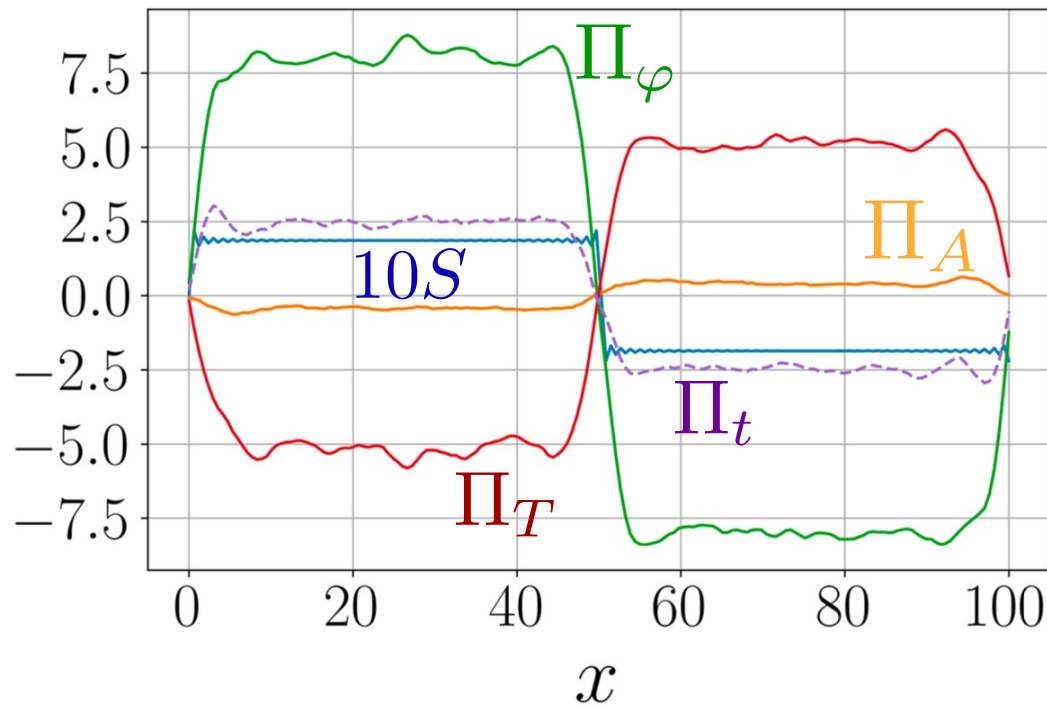
Result is sign-definite stress that opposes zonal shear:

$$\frac{1}{L_x} \int dx \Pi_A \sim -S(k_y^o)^2 |A^o|^2$$

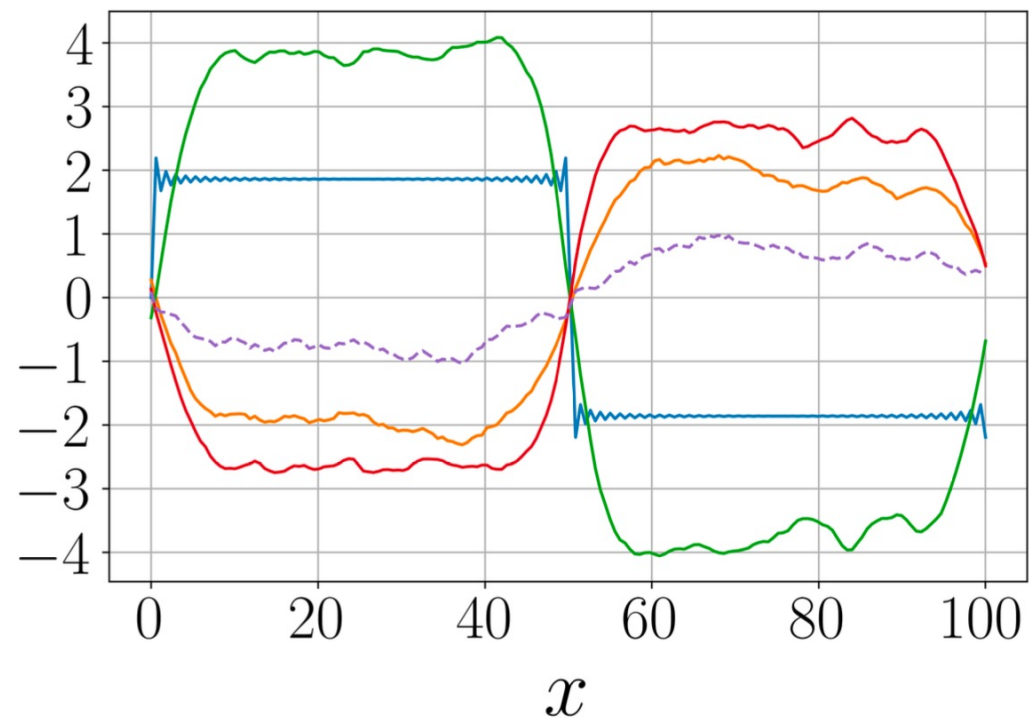
Correlation between turbulent stress and zonal shear

- Fix zonal flow and determine if turbulent stresses tend to support or oppose it:

Low flux state



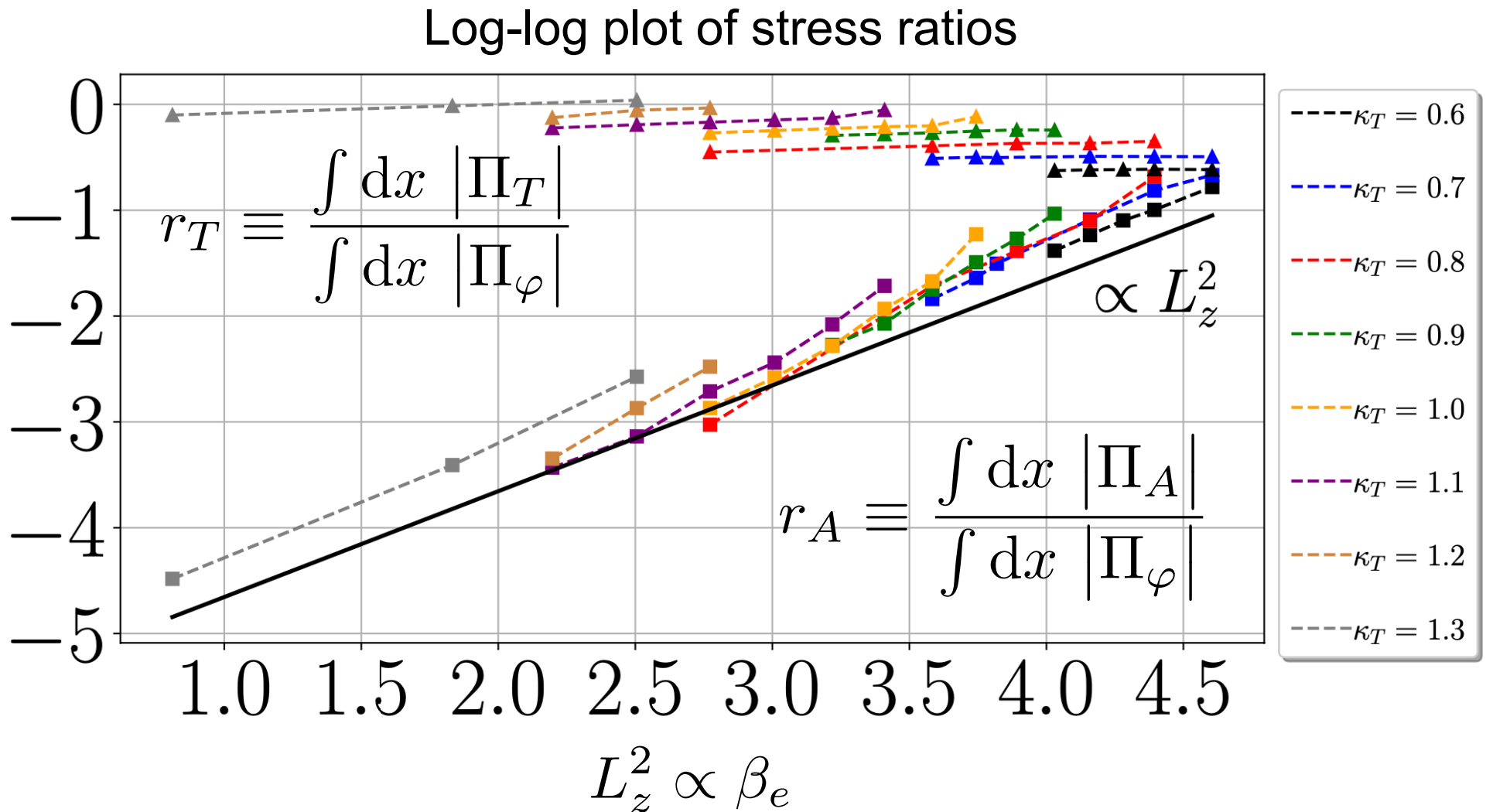
High flux state



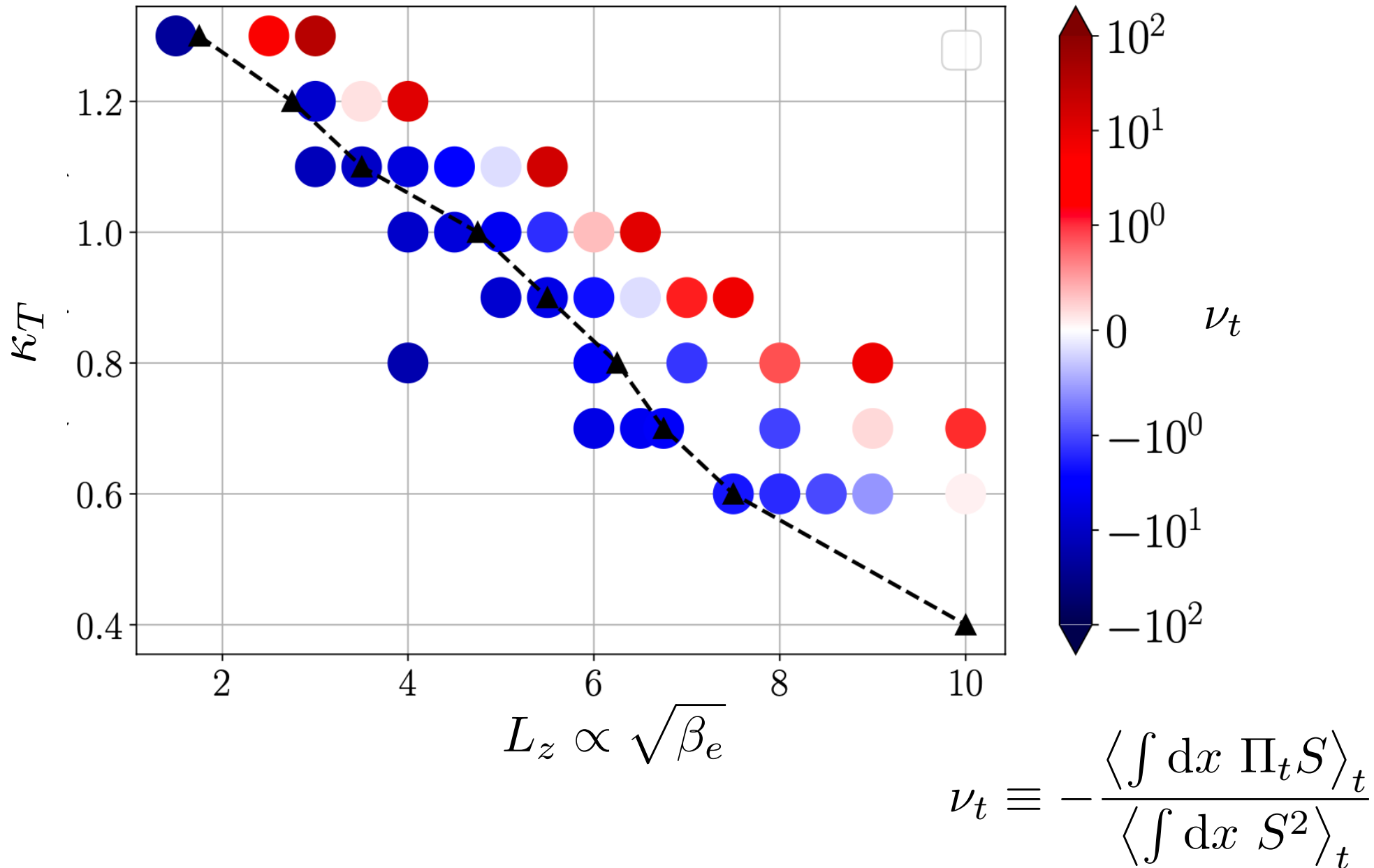
$$\Pi_t = \Pi_\varphi + \Pi_T + \Pi_A$$

$$\Pi_A \equiv 2\overline{(\partial_x A)(\partial_y A)}$$

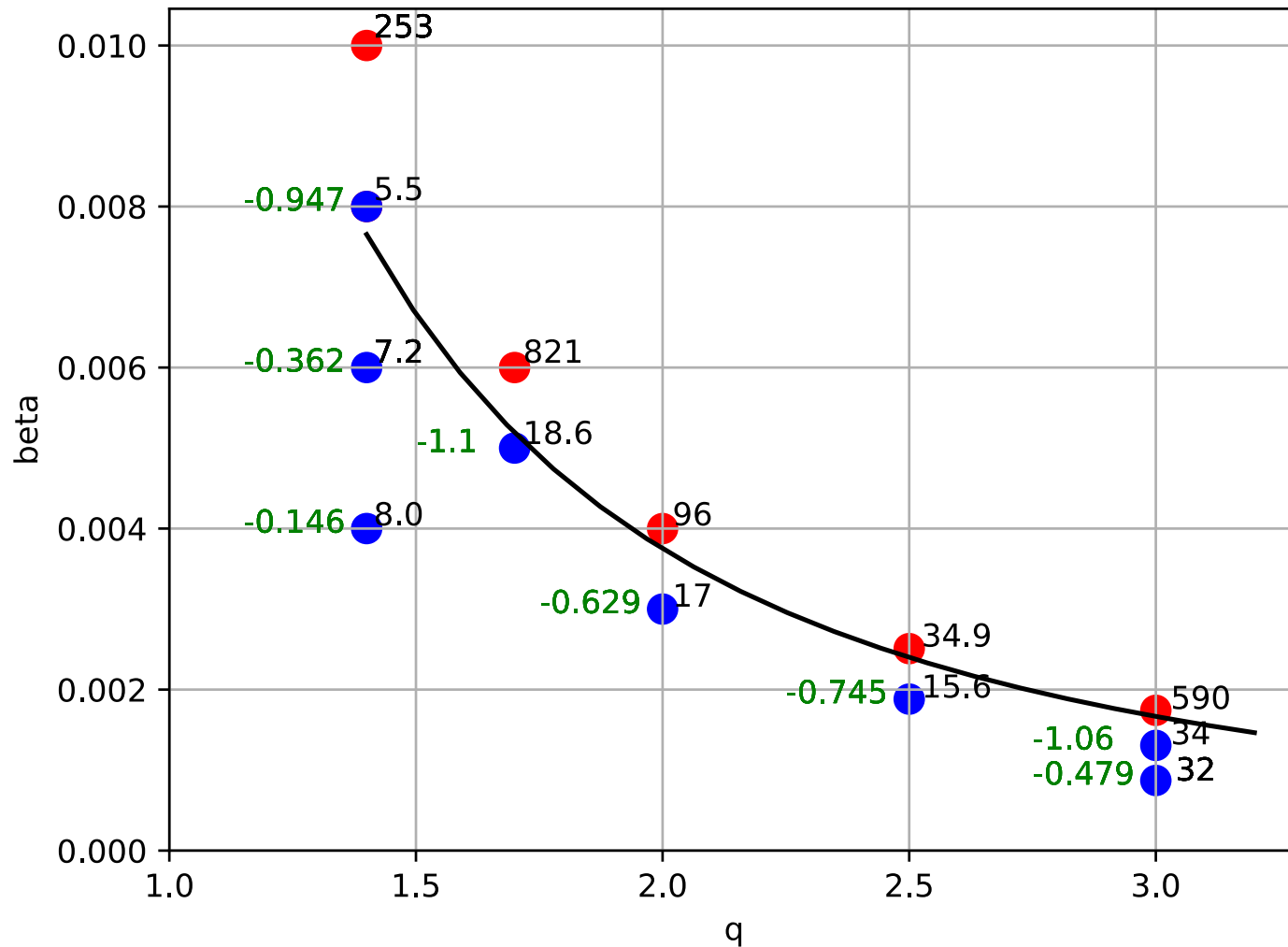
Maxwell-to-Reynolds stress ratio increases with plasma beta



Turbulent viscosity and the non-zonal transition



Comparison with gyrokinetic data



- Effective plasma beta from fluid model is

$$\beta_{\text{eff}} \equiv \beta_e \left(k_{\parallel}^{\text{phys}} L_B \right)^{-2} \propto q^2 \beta_e$$

Some open questions

- The electrostatic transition to the high-transport state is eliminated by including parallel dynamics – why doesn't this help in the EM picture?
- Can we do anything useful with this information? E.g., can we predict the critical beta without running a lot of nonlinear gyrokinetic simulations?
- Should we worry about possible 'gaps' in the heat flux obtainable in local simulations?