

particle acceleration on Earth, ca. 1937

Particle acceleration in astrophysical, magnetized turbulent plasmas

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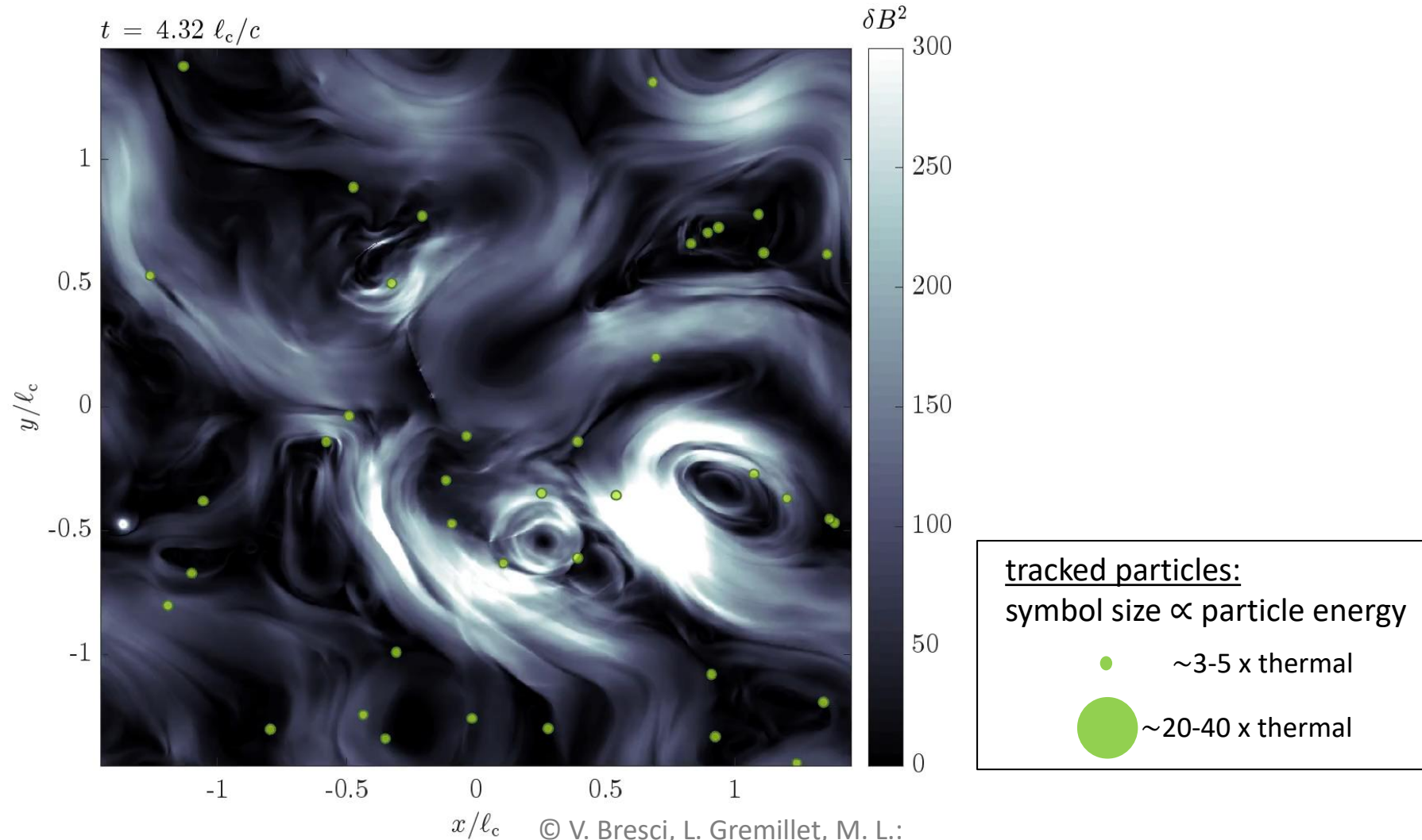
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(Ideal) magnetized, turbulent astrophysical plasmas as particle accelerators

→ the Fermi picture¹: perfectly conducting magnetized plasma composed of moving scattering centers...
⇒ particle acceleration on (ideal) motional electric fields $\mathbf{E} = -\mathbf{v}_E \times \mathbf{B}/c$



Probing turbulent acceleration with kinetic numerical simulations

→ recent breakthrough particle-in-cell simulations¹ of particle acceleration in magnetized, collisionless turbulence:

... in semi- (Alfvén $v_A \gtrsim 0.1 c$) and fully-relativistic turbulence, at large amplitude $\delta B/B \sim \mathcal{O}(1)$

... relativistic eddy velocity \leftrightarrow magnetization parameter $\sigma \equiv \frac{B^2/4\pi}{n m c^2} = (u_A/c)^2 \sim 1$

[note: acceleration timescale $t_{\text{acc}} \sim (\delta B/B)^{-2} \ell_c/\sigma c \Rightarrow$ rapid acceleration in large amplitude, fast turbulence]

→ findings:

1. two-stage particle acceleration:

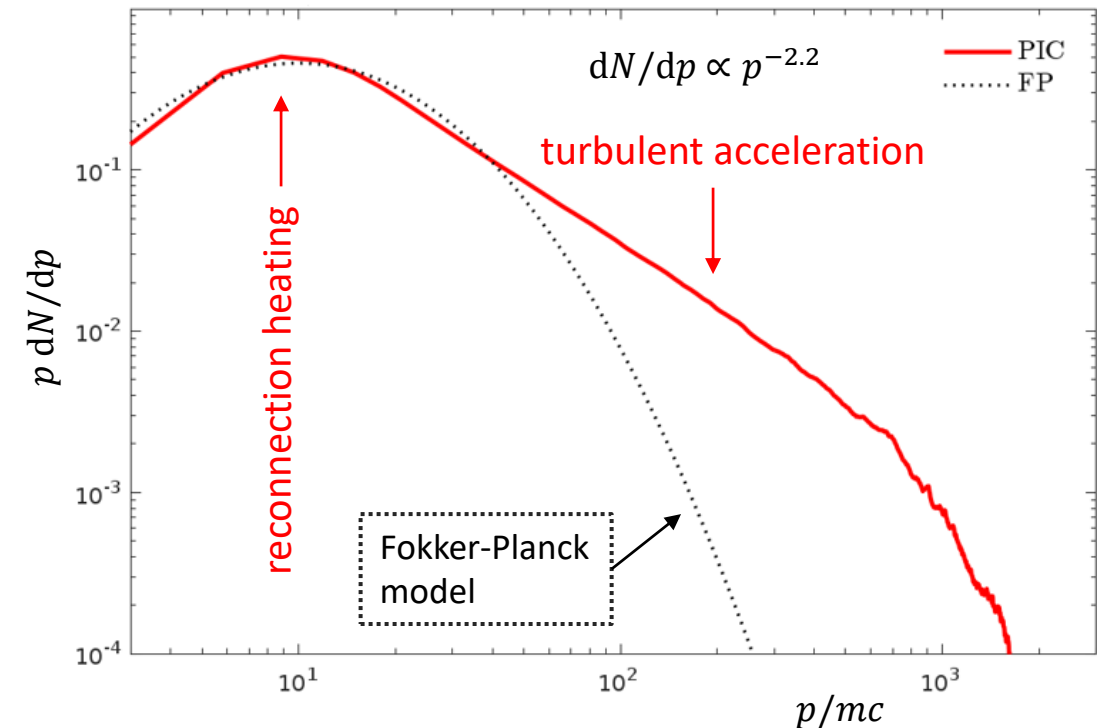
... injection by reconnection up to $p \sim \sigma m c \dots$

... then, particle acceleration in “ideal” fields

2. unexpected² emergence of powerlaws, spectrum

$dN/dp \propto p^{-s}$ with $s \sim 2 \dots 4$

... signature of a rich phenomenology!



Refs: 1. Zhdankin+17,18,20,... Wong+ 19, Comisso+Sironi 18, 19, Nästilä + Beloborodov 20, Vega+20, ... Bresci+22

(+ many MHD/hybrid sims: Dmitruk+03, Arzner+06, ..., Isliker+17, Trotta+20, Pezzi+22)

2. discussion in M.L. + Malkov 20

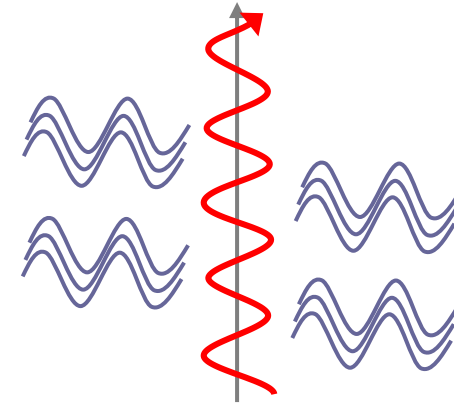
The standard model for particle acceleration in turbulence: quasilinear calculations

→ Quasi-linear picture: particles gyrate around background magnetic field and collect the influence of random linear waves...

⇒ energization through resonant wave-particle interactions¹

$$\begin{aligned} \text{... momentum diffusion coefficient: } D_{pp} &\sim \int d\tau \dots \langle \delta \mathbf{E} [\mathbf{x}(t + \tau), t + \tau] \delta \mathbf{E} [\mathbf{x}(t), t] \rangle \\ &\sim \int d\mathbf{k} \dots \delta(k_{\parallel} v_{\parallel} - \omega + n c/r_g) \langle \delta B_{\mathbf{k}}^2 \rangle \propto \frac{\langle \delta B^2 \rangle}{B^2} \frac{v_A^2}{c^2} p^q \end{aligned}$$

... phenomenological applications: solve Fokker-Planck with D_{pp} as input



resonant interactions:
 $k r_g \sim 1$

→ however:

... fails to reproduce: scaling with σ , scaling with momentum, and energy distributions seen in PIC²

... in anisotropic turbulence, resonances are washed out³... acceleration becomes non-resonant?

... +validity of random phase approximation⁴: random phase approximation ⇒ no structures

Refs: 1. e.g. Kennel + Engelmann 66, ..., R. Schlickeiser 02 + refs;

2. e.g. Zhdankin+17,18,19, Comisso+Sironi19,20, ..., Bresci+22

see however: Wong+19, Zhdankin20

3. Chandran 00, Yan+Lazarian 02, ..., Xu+Lazarian 20, ...

4. Maron+Goldreich 01, Grošelj+19, Gan+22, Fu+23,

Particle acceleration à la Fermi, implementation in a random velocity flow

→ original Fermi model: discrete interactions with moving magnetic scattering centers (structures)

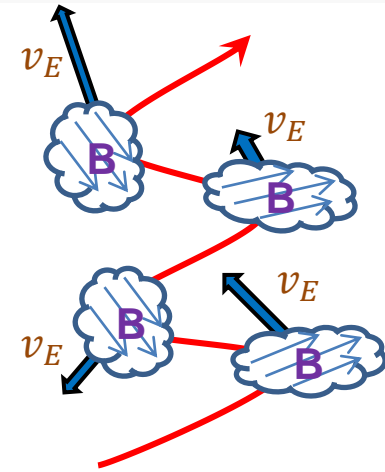
... elastic interactions in scattering center frame where $\mathbf{E} = 0$

→ Fermi-type acceleration in a large-scale flow:

... many models¹, a direct connection to structures on various scales...

... important: acceleration controlled by the shear of the velocity flow $\partial_\alpha v_E^\beta$, with $\mathbf{v}_E = c \mathbf{E} \times \mathbf{B} / B^2$

... in ideal MHD conditions \mathbf{E} vanishes in (comoving) frame moving at $\mathbf{v}_E \Rightarrow$ no acceleration in absence of shear...



→ implementation in turbulence²:

... generalize Fermi approach : follow particle momentum in the (non-inertial) frame where $\mathbf{E} = 0$

... in that frame, energy variation \propto non-inertial forces characterized by velocity shear of \mathbf{v}_E

$$\frac{d\gamma'}{d\tau} = -\Gamma_{ab}^0 \frac{p'^a p'^b}{m^2 c^2}$$

... inertial forces: $\Gamma_{ab}^0 \propto \partial_a v_{Eb}$

... γ' comoving particle Lorentz factor

connection to intermittency:
gradients become non-Gaussian on small scales

Refs:

1. Fermi 49,..., Bykov+Toptygin 83, Ptuskin 88, Chandran+Maron 04, Cho+Lazarian 06, Ohira 13, ...

2. M.L. 19 [PRD 99, 083006 (2019)], 21 [PRD 104, 063020 (2021)]; see also previous works by Webb 85, 89

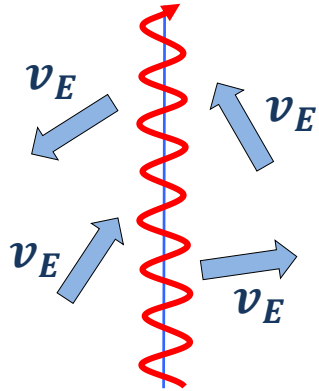
The crucial element in Fermi-type particle acceleration: particle transport

→ in Fermi-type scenarios: $\mathbf{E} = -\mathbf{v}_E \times \mathbf{B}/c \Rightarrow$ particles must perform cross-field transport to gain energy...

... generic solution: include turbulence to sustain diffusive transport

... other solutions: include forces (external or drift-type, e.g. curvature, gradient etc.)

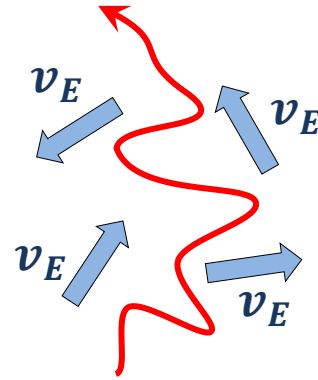
→ approximate description of particle transport: some generic cases,



gyration around a strong background magnetic field

e.g.: weak coupling to turbulence, perturbative approximation...

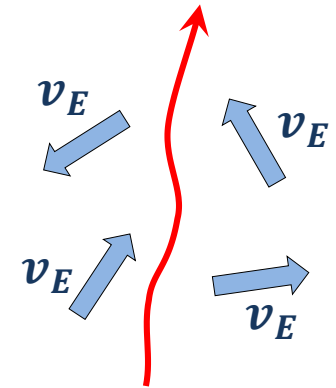
example: quasilinear theory in turbulent acceleration



diffusive transport in turbulence

example: diffusive shock acceleration, shear acceleration

models: simplified description as rectilinear transport between two scattering events, or distinction between parallel and perpendicular diffusion...



near-ballistic regime

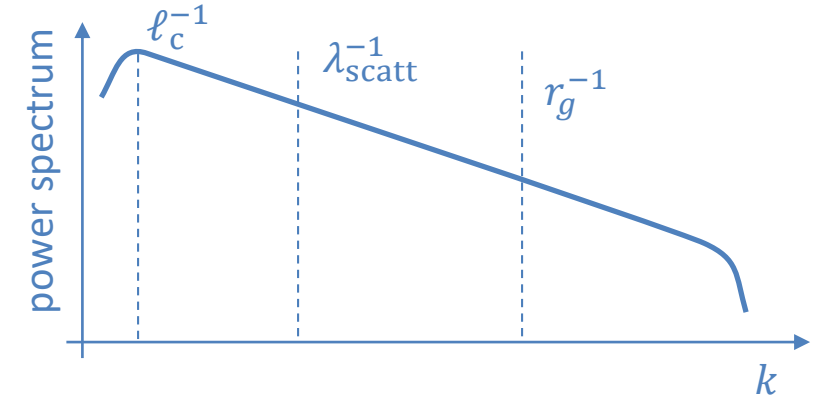
e.g.: pitch-angle deflection w/o spatial diffusion, transport in small-scale turbulence, in absence of mean field...

example: relativistic shock acceleration...

Generalized Fermi acceleration: interaction with large scale modes

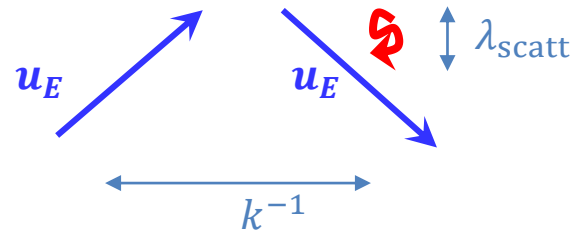
→ different scales act differently:

... e.g.: for $r_g/\ell_c \rightarrow 0$ adiabatic limit (MHD)
 for $r_g/\ell_c \rightarrow \infty$ decoupling from turbulence



... for large scale modes: k (mode wavenumber) $\ll \lambda_{scatt}^{-1}$ (scattering m.f.p.) $\ll r_g^{-1}$ (gyroradius)

⇒ momentum diffusion coefficient¹:
$$D_{pp} \sim \underbrace{\frac{u_E^2}{c^2}}_{\text{Fermi scaling}} \underbrace{\frac{p^2}{\lambda_{scatt}/c} \left(\frac{\lambda_{scatt}}{k^{-1}} \right)^2}_{\ll 1}$$



... u_E gradient weak on scale λ_{scatt}
 ⇒ inefficient acceleration in comoving frame...

⇒ “shielding” from large scale modes $k \ll \lambda_{scatt}^{-1}$

Fermi acceleration in magnetized turbulence: interaction with modes on scales $\gtrsim r_g$

→ model for acceleration¹:

... dominant contribution: modes on scales $l \gtrsim r_g \Rightarrow$ coarse-grained view on scale $\sim r_g$

... transport: gyration around local mean magnetic field lines \Rightarrow local gyro-average

\leftrightarrow particles collect effect of structures while traveling along mean field defined on scale $\gtrsim r_g$

→ formally:

$$\frac{d\gamma'}{d\tau} = -\gamma' u'_{\parallel} \mathbf{a}_E \cdot \mathbf{b} - u'_{\parallel}{}^2 \Theta_{\parallel} - \frac{1}{2} u'_{\perp}{}^2 \Theta_{\perp}$$

energy change
in local comoving
frame

$$u'_{\parallel} = \mathbf{p}' \cdot \mathbf{b}/mc$$

$$u'_{\perp} = [u'^2 - u'_{\parallel}{}^2]^{1/2}$$

effective gravity
along field line

$$\mathbf{a}_E = u_E^{\alpha} \partial_{\alpha} \mathbf{u}_E$$

velocity shear
along field line

$$\Theta_{\parallel} = b^{\alpha} b^{\beta} \partial_{\alpha} u_{E\beta}$$

[Fermi type-B]
[field line curvature]

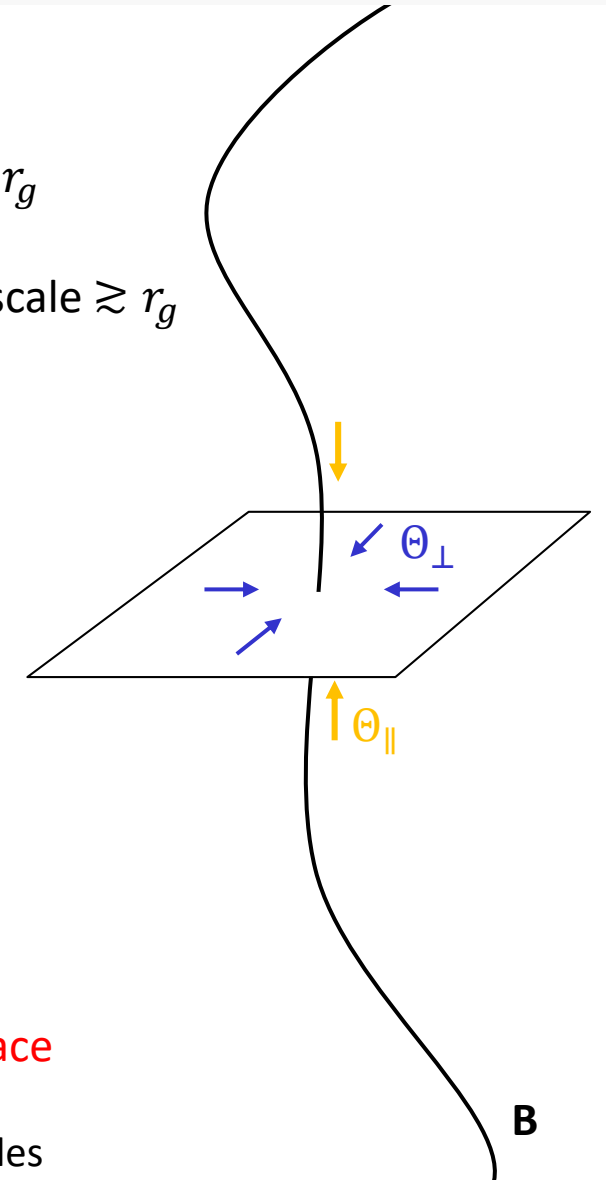
compression transverse
to field line

$$\Theta_{\perp} = (\eta^{\alpha\beta} - b^{\alpha} b^{\beta}) \partial_{\alpha} u_{E\beta}$$

[Fermi type-A]
[magnetic mirrors]

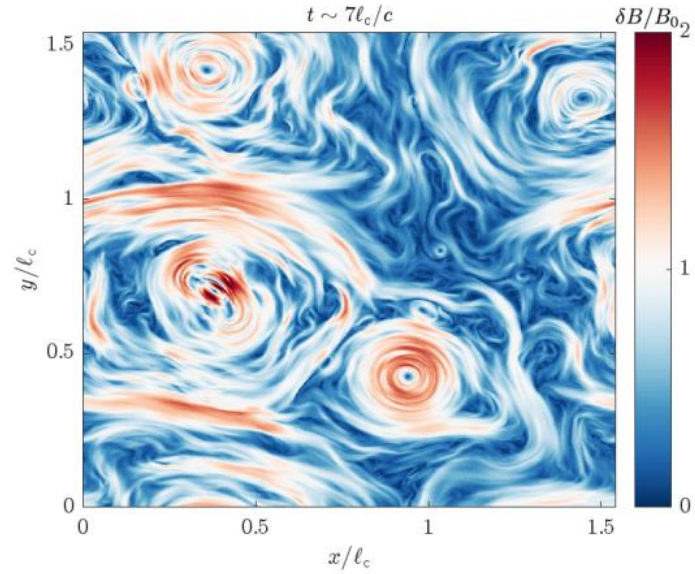
... terms $\mathbf{a}_E \cdot \mathbf{B}$, Θ_{\parallel} and Θ_{\perp} are random forces: \Rightarrow random walk in momentum space

... average over gyro-orbit: \sim drift-kinetic theory in magnetic field coarse-grained on r_g scales

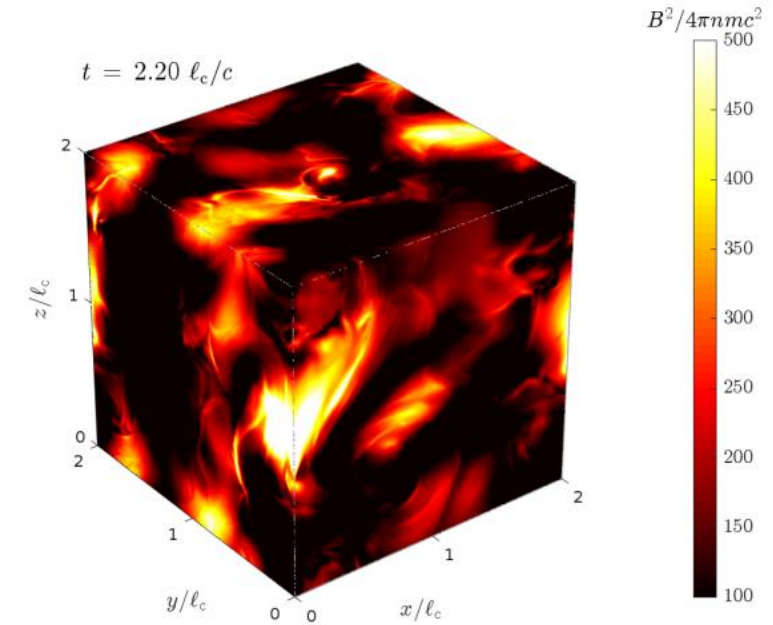


Fermi acceleration in magnetized turbulence: comparison to numerical experiments

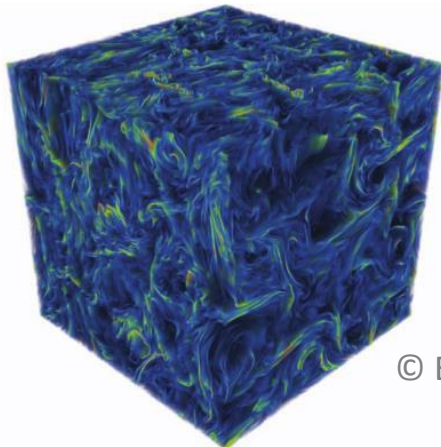
2D PIC simulation¹: forced and decaying, $10\,000^2$, e^-e^+ , $\delta B/B \sim 3$, $\sigma \sim 1$



3D PIC simulation¹: forced, $1\,080^3$, e^-e^+ , $\delta B/B \sim 3$, $\sigma \sim 1$



3D MHD simulation²: forced, $1\,024^3 \times 1\,024$, $\langle B \rangle = 0$, $v_A/c = 0.4$



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+ synthetic turbulence³: sum of plane waves (Alfvén or fast magnetosonic)

Note: magnetization parameter $\sigma = \frac{\text{mag. energy dens.}}{\text{plasma energy dens.}}$

Non-resonant Fermi-type acceleration: comparison to numerical experiments

→ model:

$$\frac{d\gamma'}{d\tau} = -\gamma' u'_{\parallel} \mathbf{a}_E \cdot \mathbf{b} - u'_{\parallel}{}^2 \Theta_{\parallel} - \frac{1}{2} u'_{\perp}{}^2 \Theta_{\perp}$$

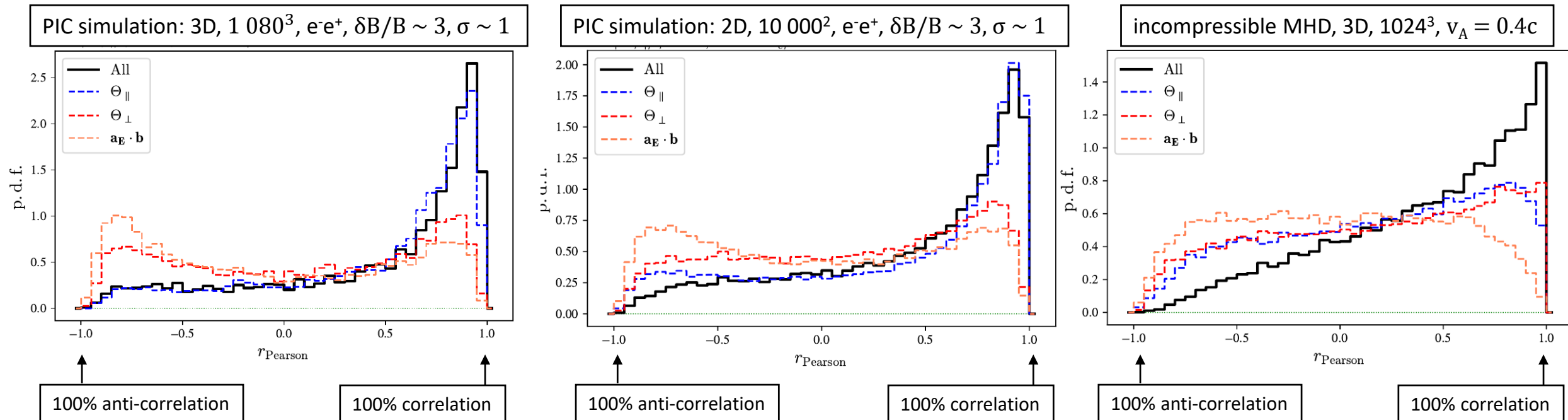
$$u'_{\parallel} = \mathbf{p}' \cdot \mathbf{b} / mc$$

$$u'_{\perp} = [u'^2 - u'_{\parallel}{}^2]^{1/2}$$

→ test¹:

for each particle history in a simulation, reconstruct $\gamma'(t)$ using above model and velocity gradients measured in the simulation at $\mathbf{x}, t...$

... then measure degree of correlation r_{Pearson} between the observed and reconstructed $\gamma'(t)$



⇒ **model captures the dominant contribution to particle energization**

+ note: in wave turbulence w/ resonant wave-particle interactions, no apparent correlation seen (as expected)

Connection to intermittency: statistics of the random force

→ a simplified model¹: $\dot{p} = \Gamma_l p$

... with $\Gamma_l \sim$ random forces $(a_E \cdot b, \Theta_{\parallel}, \Theta_{\perp})$ coarse-grained on $l \sim 2\pi r_g$
⇒ momentum p jumps on timescale $\sim l/c$ by

$$\Delta \ln p \sim \Gamma_l \Delta t \Rightarrow \text{Prob.}(\Delta \ln p) \sim \text{Prob.}(\Gamma_l)$$

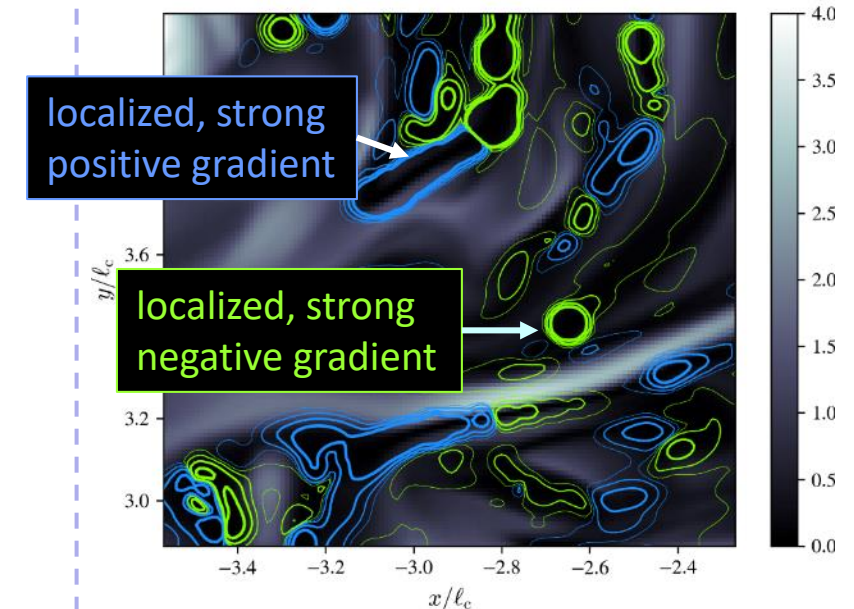
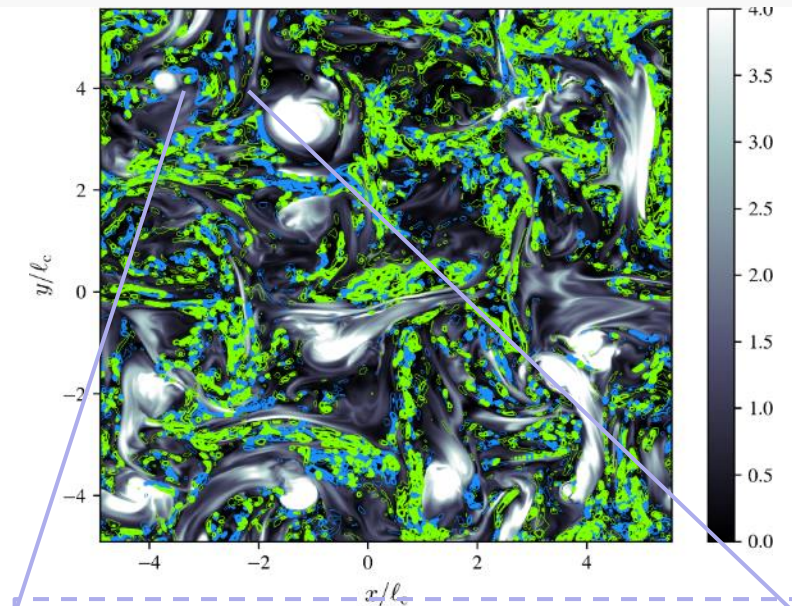
→ statistics of Γ_l : neither Gaussian, nor white noise...

... gradients increasingly non-Gaussian at small scales (\leftrightarrow small r_g)...
... hope: capture statistics through intermittency model to derive statistics of $\Delta \ln p$

→ phenomenology:

... some particles interact frequently with strong scattering centers, some not at all, even over long timescales ⇒ not Fokker-Planck!

⇒ **anomalous transport³ + powerlaws in momentum⁴**



Refs.: 1. ML 22 [PRL 129, 215101 (2022)]
3. Trotta+20, ML + Malkov 20, Maiti+21, Pezzi+22

4. e.g. Zhdankin+17,18,19, Comisso+Sironi19,20, Wong+19, ..., Bresci+22

A transport model accounting for the p.d.f. of random forces

→ a simplified model¹: $\dot{p} = \Gamma_l p$

... with $\Gamma_l \sim$ random forces $(a_E \cdot b, \Theta_{\parallel}, \Theta_{\perp})$ coarse-grained on $l \sim 2\pi r_g$
 ⇒ momentum p jumps on timescale $\sim l/c$ by

$$\Delta \ln p \sim \Gamma_l \Delta t \Rightarrow \text{Prob.}(\Delta \ln p) \sim \text{Prob.}(\Gamma_l)$$

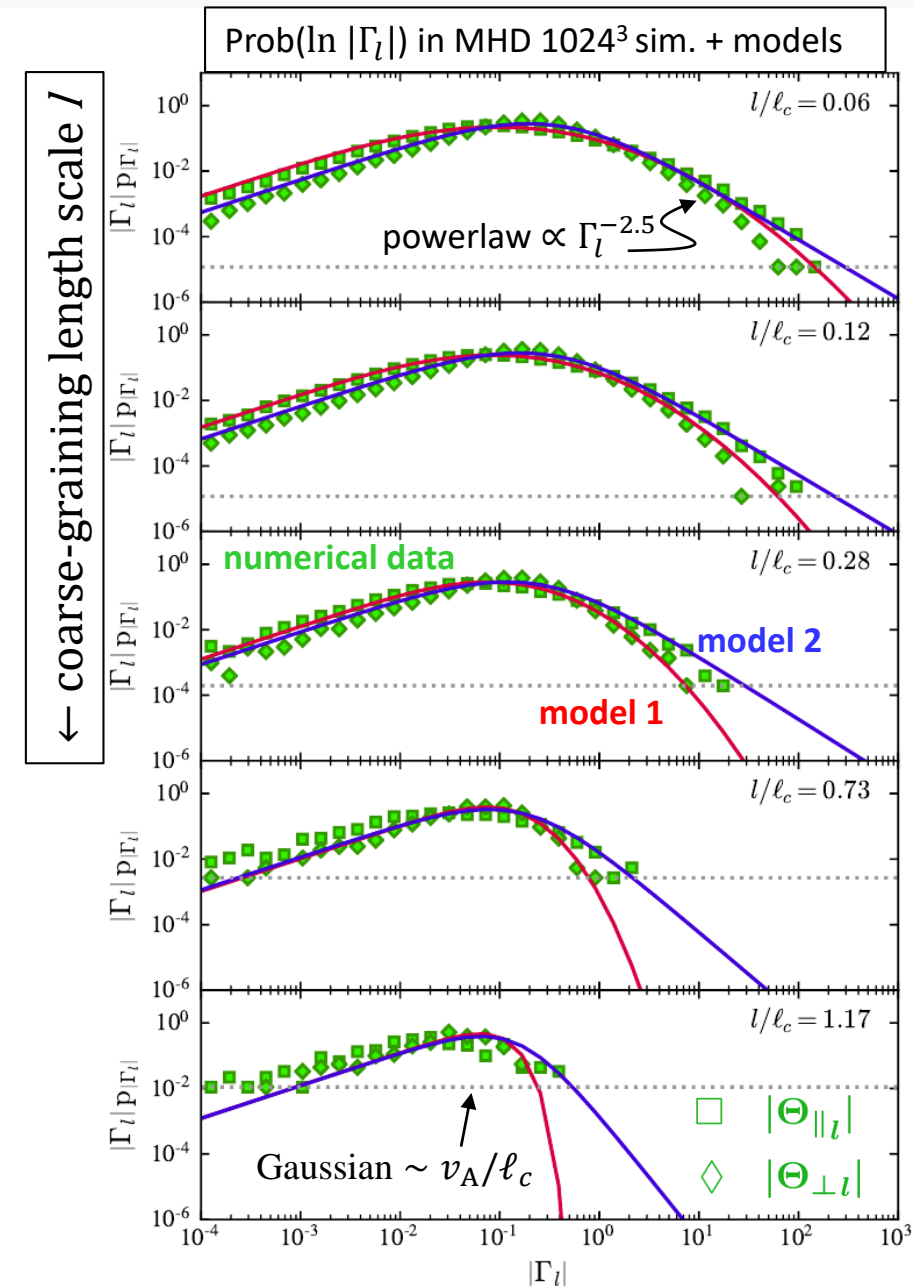
→ transport equation:
$$\partial_t n_p = \int_0^{+\infty} dp' \left[\frac{\varphi(p|p')}{t_{p'}} n_{p'}(t) - \frac{\varphi(p'|p)}{t_p} n_p(t) \right]$$

with: $n_p = \frac{dN}{dp}$, $t_p \sim l/c \sim 2\pi r_g/c$

→ model: statistics of Γ_l vs l measured in MHD simulation

... **model 1**: multifractal model², function[$v_A, \ell_c + \sim 3$ free parameters]

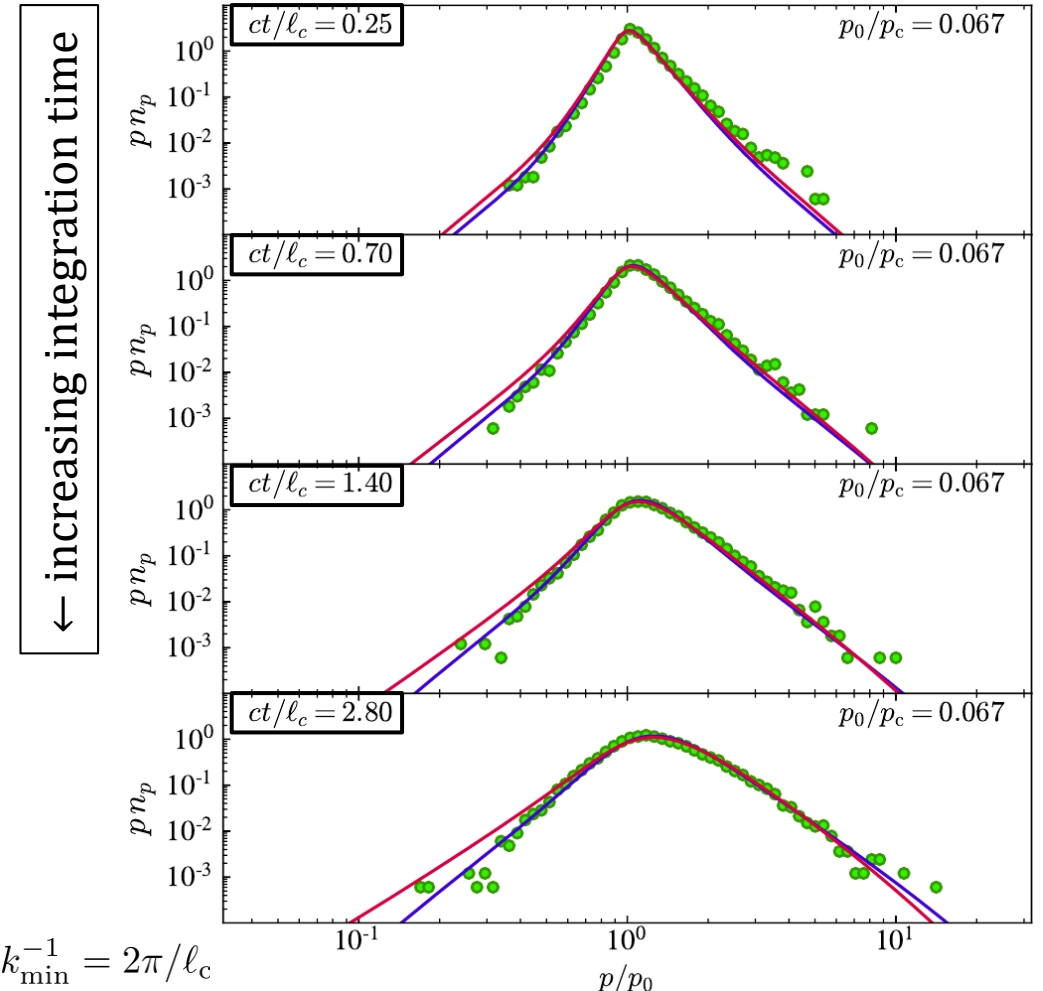
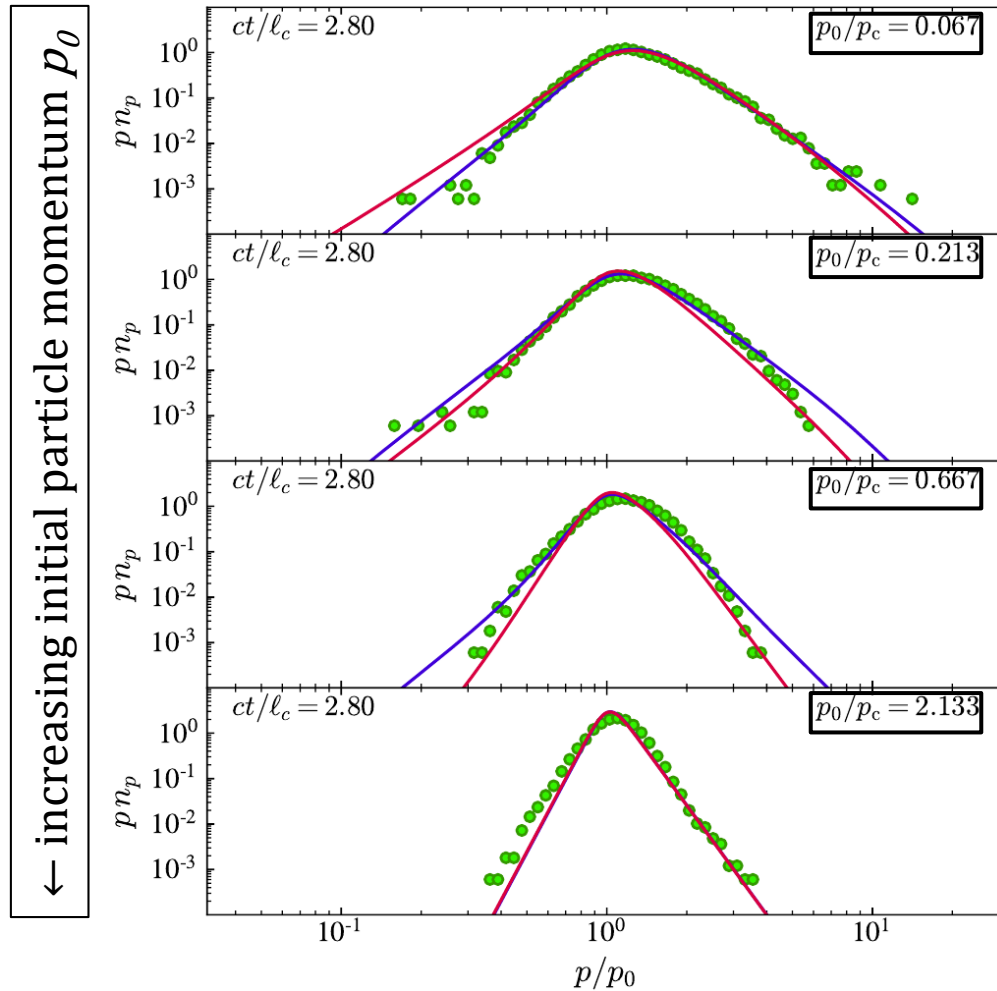
... **model 2**: ad-hoc broken powerlaw, function[$v_A, \ell_c + \sim 3$ free param.]



Comparison of model spectra to particle tracking in MHD simulation

→ comparison to numerical data:

integrate kinetic equation and compare solution (Green function) to distribution measured in MHD 1024^3 simulation by time-dependent particle tracking...



$$p_c : r_g(p_c) = k_{\min}^{-1} = 2\pi/\ell_c$$

⇒ model can reproduce time- and energy- dependent Green functions... + explain origin of powerlaw spectra

The (dominant?) role of the field line curvature

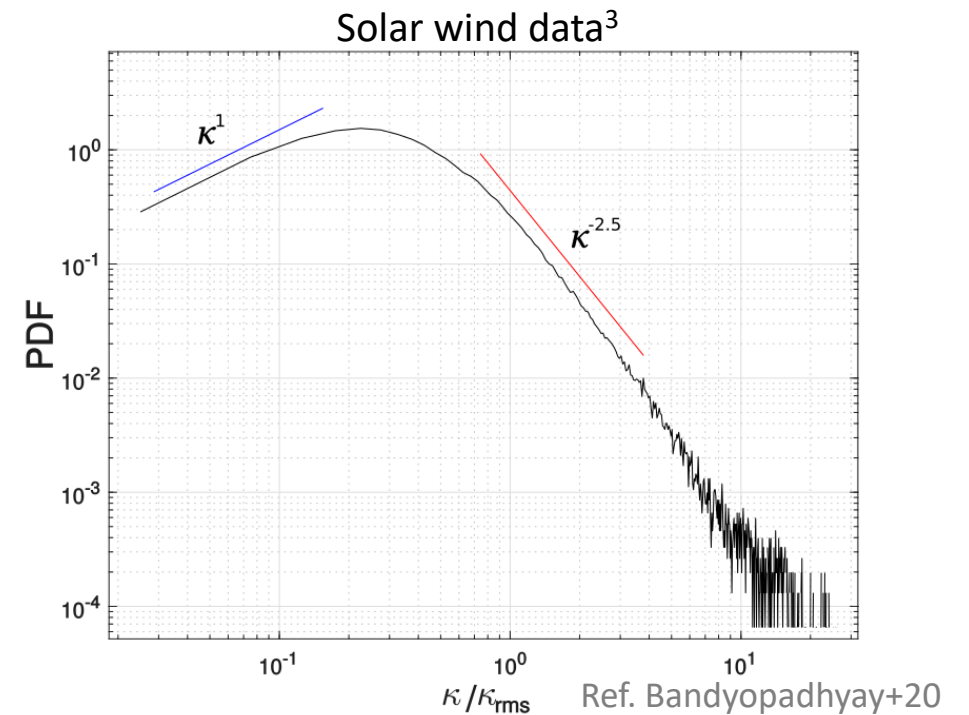
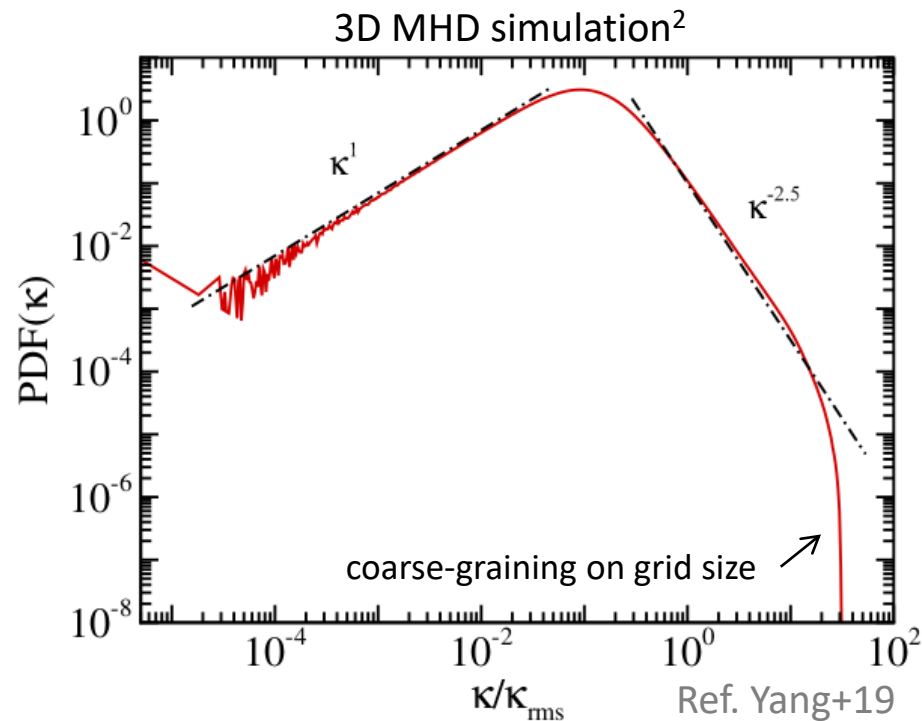
→ energization through curvature drift:

... a dominant process in reconnection physics¹

... field line curvature: $\kappa = \mathbf{b} \cdot \nabla \mathbf{b}$ ($\mathbf{b} \equiv \mathbf{B}/|\mathbf{B}|$)

... curvature drift: $\mathbf{v}_d \propto u_{\parallel}^2 \mathbf{b} \times \kappa \Rightarrow \mathbf{v}_d \cdot \mathbf{E} \propto -\Theta_{\parallel} \propto u_{\parallel}^2 \mathbf{v}_E \cdot \kappa$

... statistics of κ : a powerlaw a large values², p.d.f.(κ) $\propto \kappa^{-2.5} \Rightarrow$ origin, connection to statistics of random force?



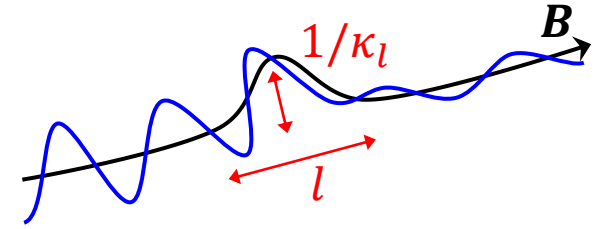
Field line curvature and spatial transport in magnetostatic turbulence?

→ impact of field line curvature κ^1 :

as a particle crosses a region where $\kappa r_g \gtrsim 1 \Rightarrow$ strong, non-adiabatic scattering

... “magnetic field line curvature scattering” in magnetospheric physics...

... seen in a numerical model of a tokamak² ...



→ in MHD turbulence:

statistics of κ_l highly non-Gaussian³ at $l \ll \ell_c$: p. d. f. (κ) $\propto \kappa^{-2.5}$

... also seen in solar wind⁴

→ consequences for transport⁵:

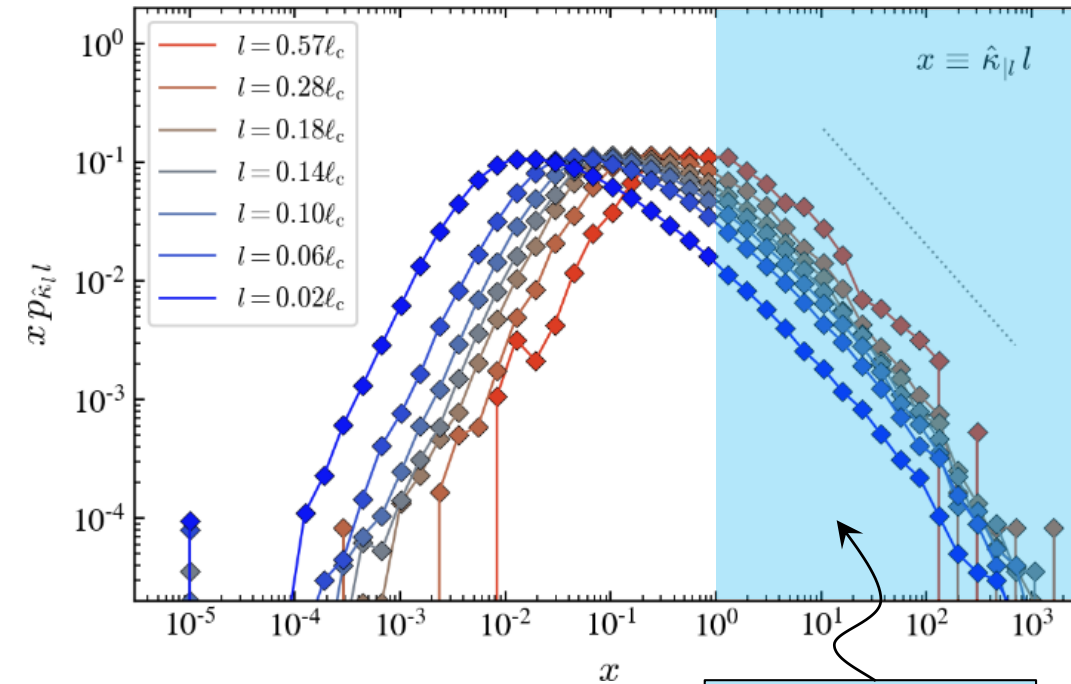
... filling fraction of scattering regions:

size $l \sim r_g$ with $\kappa_l l \gtrsim 1$

... at small r_g , powerlaw tail guarantees sufficiently many regions exist to sustain scattering...

... mean free path comparable to QLT prediction

statistics of $\kappa_l l$ from MHD simulation



strong scattering events at $l \sim r_g$

Refs.: 1. Chen+Palmadesso 86, Büchner+Zelenyi 89, ..., Artemyev+13, ...
 3. Schekochihin+01, Yang+19, Yuen+Lazarian 20
 5. M.L.23 [arXiv:2304.03023], Kempster+23 [arXiv:2304.12335]

2. Escande + Sattin 21
 4. Bandyopadhyay+20, Huang+20

Summary + perspectives

→ Recent numerical investigations on particle acceleration in strong, semi-relativistic turbulence:

... efficient particle acceleration in "ideal" electric fields

... powerlaw spectra are generic, index $s \sim -4 \rightarrow -2$

→ The Fermi picture is well alive:

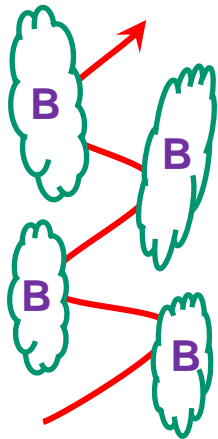
... generalized Fermi model in turbulence: supported by numerical simulations

... particle acceleration in velocity gradients: intermittency rules...

... multi-fractal model of gradient statistics → a transport equation...

→ Some limitations, paths for future explorations:

1. extrapolation to small spatial length scales,
e.g. role of turbulence anisotropy?

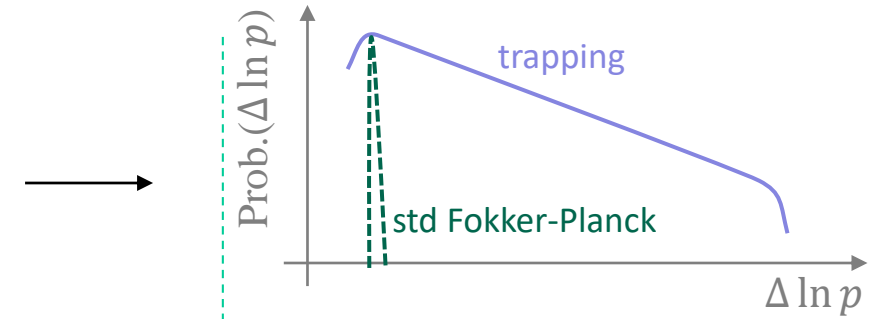
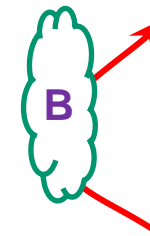


$$k_{\parallel} \sim k_{\perp}^{2/3} l_c^{-1/3} \Rightarrow k_{\parallel} \ll k_{\perp}$$

... particles can interact
with sharp perpendicular
gradients:

$$k_{\perp}^{-1} < r_g < k_{\parallel}^{-1}$$

2. particle trapping inside structures¹?



... main effect: jump propagator ~ powerlaw
(energy gain inside structure vs. escape)

Fokker-Planck model with intermittent structures

→ a two-population model¹: distribution $f_0(p, t)$ = particles not undergoing acceleration; $f_1(p, t)$ = in structures

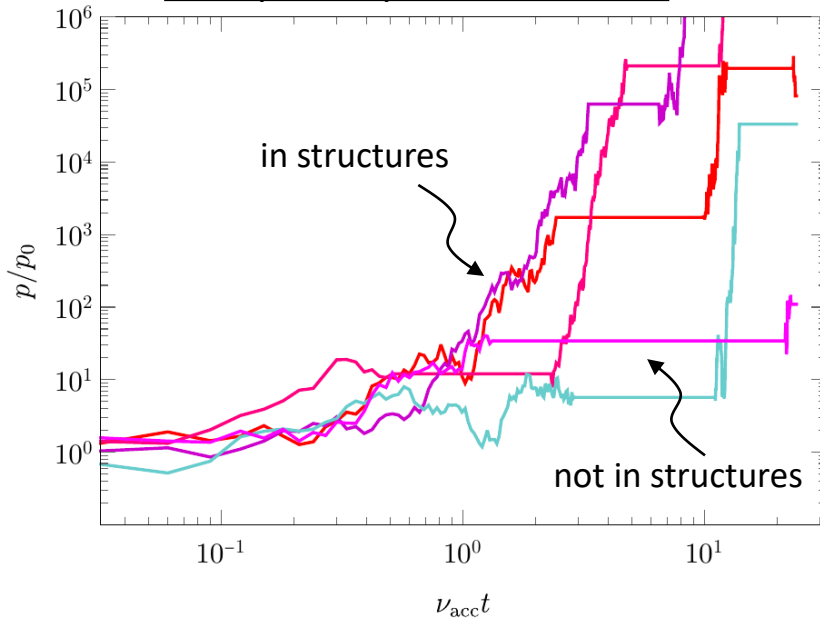
$$\partial_t f_0(p, t) = -\nu_{01} f_0(p, t) + \nu_{10} f_1(p, t),$$

$$\partial_t f_1(p, t) = +\nu_{01} f_0(p, t) - \nu_{10} f_1(p, t) + p^{-2} \partial_p \{ D_{pp} p^2 \partial_p f_1(p, t) \} .$$

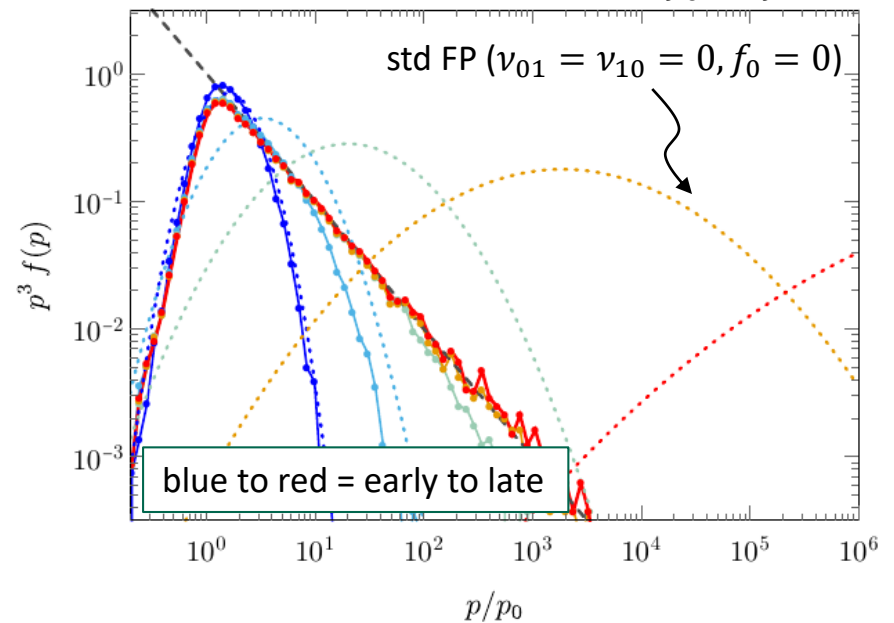
entry rate
into structures

escape rate
from structures

examples of particle histories



momentum distributions ($f_0 + f_1$)



→ Note: full Fokker-Planck involves all phase space... integrating out variables ($x, \mu \dots$) can lead to deviations from simple Fokker-Planck form for $f(p, t)$