

Alba Nova - NORDITA Colloquium 2 June 2022

IN SEARCH OF UNIVERSALITY:  
Towards a Statistical Mechanics of Collisionless Plasma

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with Toby Adkins, Robbie Ewart & Michael Nasta



[JPP 84, 905840107 (2018)]



[arXiv: 2201.03376]



[in preparation]

# KINETIC DESCRIPTION OF COLLISIONLESS PLASMA

$$\frac{\partial f}{\partial t} + \frac{\partial}{\partial \vec{r}} \cdot \dot{\vec{r}} f + \frac{\partial}{\partial \vec{v}} \cdot \dot{\vec{v}} f = \cancel{C[f]}$$

$\uparrow$   
 $\vec{v}$

$\uparrow$   
 $-\frac{e}{m} \vec{E}$

$\uparrow$   
 $-\nabla\phi$  for simplicity, electrostatic,  $\vec{B} = 0$

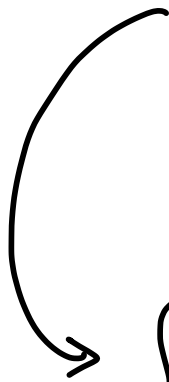
$\leftarrow$  collisions ("particle noise")  
neglect if rare

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$$\left\{ \begin{array}{l} \frac{\partial f}{\partial t} + \vec{v} \cdot \nabla f + \frac{e}{m} (\nabla\phi) \cdot \frac{\partial f}{\partial \vec{v}} = 0 \quad \text{Vlasov's Equation (Liouville's, really)} \\ \nabla^2 \phi = 4\pi e \left[ \int d^3 \vec{v} f - \bar{n} \right] \quad \text{Poisson} \end{array} \right.$$

$$\frac{d}{dt} \int d^6 Q G(f) = 0$$

$\swarrow (\vec{r}, \vec{v})$   
 $\searrow$

$\forall$  function  $G(f)$  (including, but not only,  $f \log f$ )

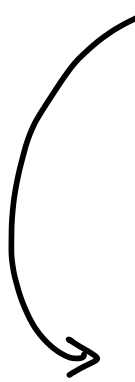
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"Casimir invariants"  
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$$G(f) = \delta(f(Q) - \eta)$$

$$\int d^6 Q \delta(f(Q) - \eta) = \rho(\eta) = \text{const}$$

constrained dynamics  $\rightarrow$

"waterbag content" of the distribution

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If  $\phi = 0$  (no fluctuations),  
 $\forall f(\vec{v})$  is an equilibrium

If equilibrium is unstable,  $\phi(\vec{r}) \neq 0$   
 appear,  $f$  evolves towards stability.

If after that fluctuations are still there,  
 or are injected externally, even  
 stable  $f$  continues evolving.

What does it evolve to?  $\leftarrow$  constrained dynamics

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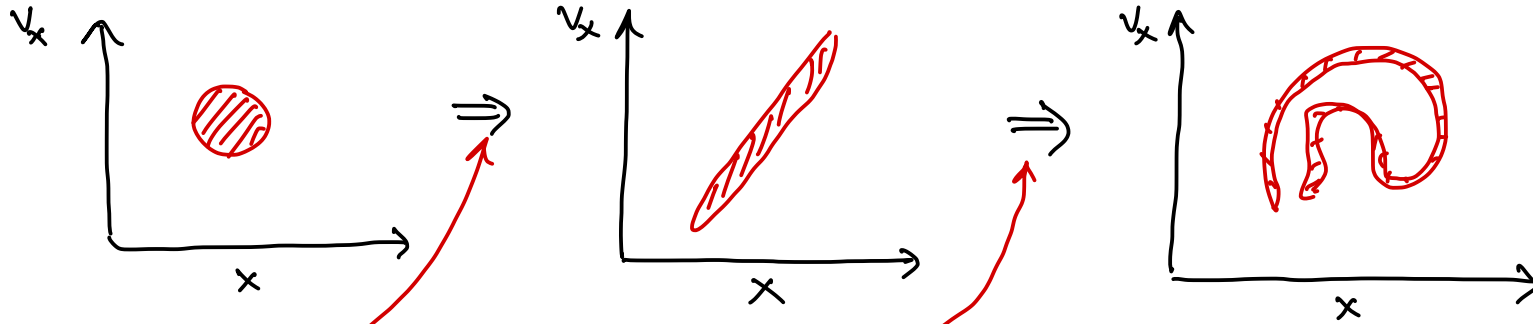
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Phase mixing  
in  $\vec{v}$  and  $\vec{r}$   
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 Phase space becomes quite mixed...

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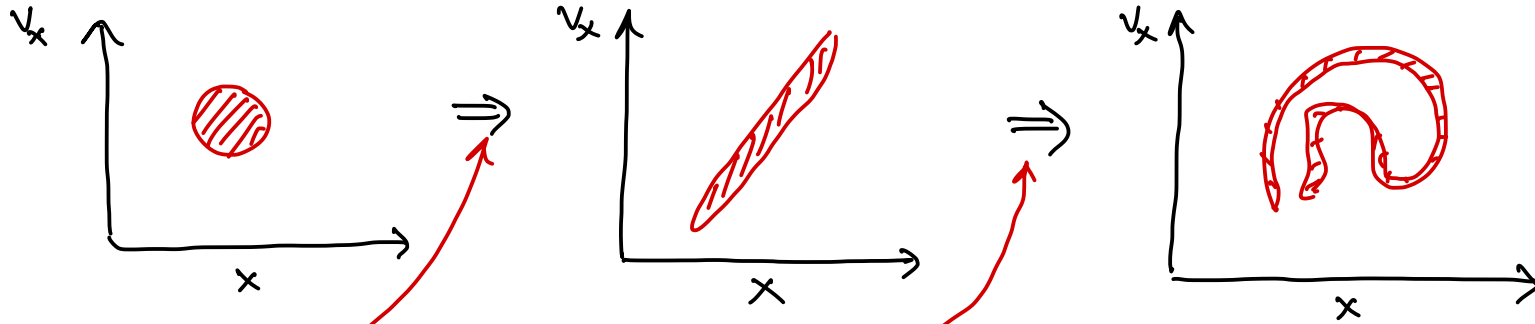
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nonlinearly? see below...

fluctuations are (linearly) damped as  $\delta f$  is mixed in  $\vec{v}$   $\int d^3 \vec{v} \delta f \rightarrow 0$  ("Landau damping")

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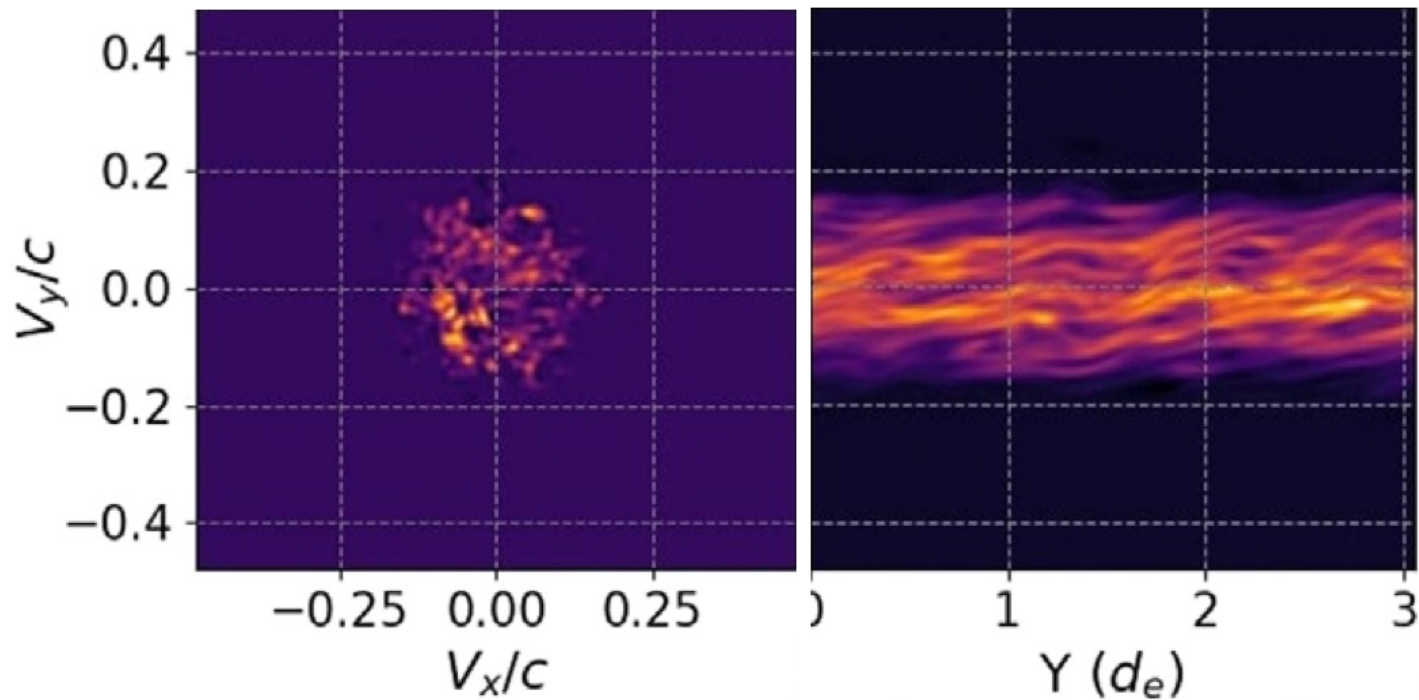
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# UNIVERSAL EQUILIBRIA & PHASE-SPACE TURBULENCE

Here is a recent example of a relaxed distribution from a "collisionless" simulation (using Gkeyll code; see Skutnev et al. *ApJ* 872, L28, 2019 - a distribution resulting from relaxation of two beams)

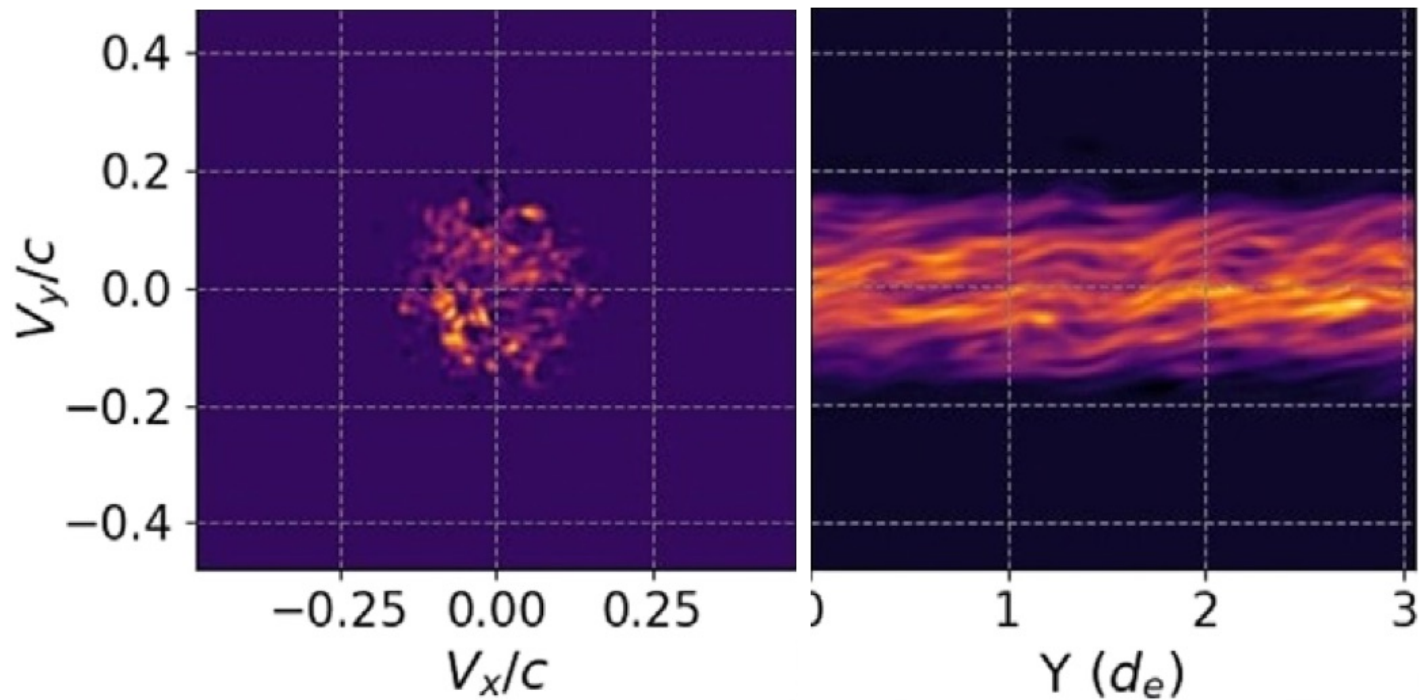


$$f = \bar{f}(\vec{v}) + \delta f(\vec{r}, \vec{v})$$



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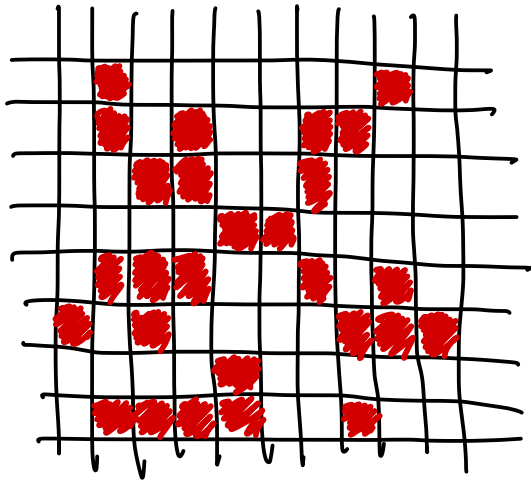
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Is there a universal collisionless equilibrium? (or classes of them)

What is the structure of phase-space turbulence?

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(Lynden Bell 1967 - for stellar kinematics  
Kadomtsev & Pogutse 1970 realized relevance  
to plasmas)



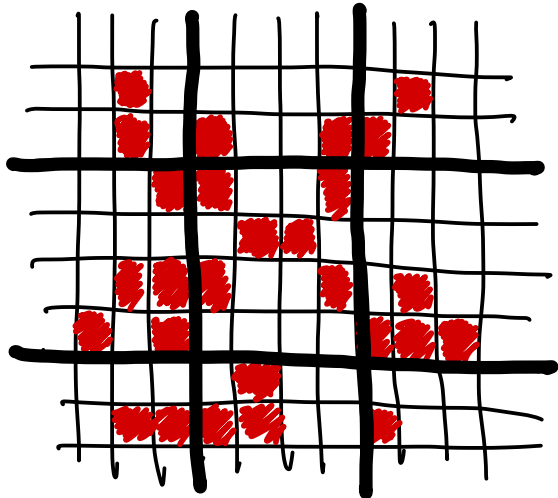
- Break phase space into microcells  $\delta\Gamma$

$$f(Q) = \eta \text{ or } 0 \text{ ("waterbag")}$$

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( $\vec{r}, \vec{v}$ ), or whatever

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- Coarse-grain into macrocells, with  $M$  microcells in each

$$\bar{f}_i = \frac{\eta N_i}{M} \leftarrow \begin{array}{l} \text{occupation \# of} \\ \text{i-th macrocell} \end{array}$$

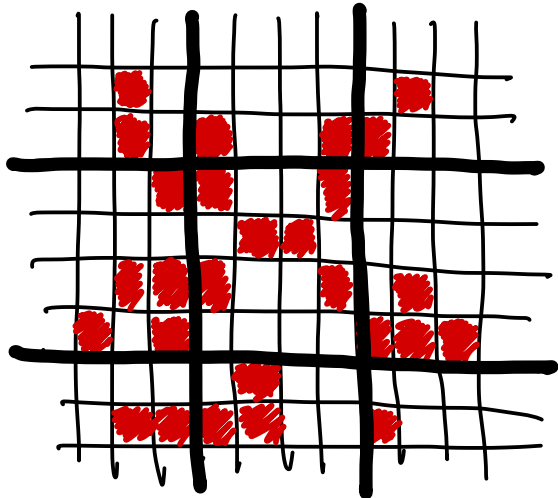
$$W = \frac{N!}{\prod_i N_i!} \prod_i \frac{M!}{(M-N_i)!}$$

distinguishable "particles" with exclusion principle (because phase volume is conserved)

$$\hookrightarrow \text{Entropy } S = \ln W = \text{const} - \frac{1}{\delta\Gamma} \int dQ \left[ \frac{\bar{f}}{\eta} \ln \frac{\bar{f}}{\eta} + \left(1 - \frac{\bar{f}}{\eta}\right) \ln \left(1 - \frac{\bar{f}}{\eta}\right) \right]$$

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get Fermi-Dirac distribution

$$\bar{f} = \frac{\eta}{e^{\beta(\frac{mv^2}{2} - \mu)} + 1} \leftarrow \text{get } \beta \text{ and } \mu \text{ from}$$

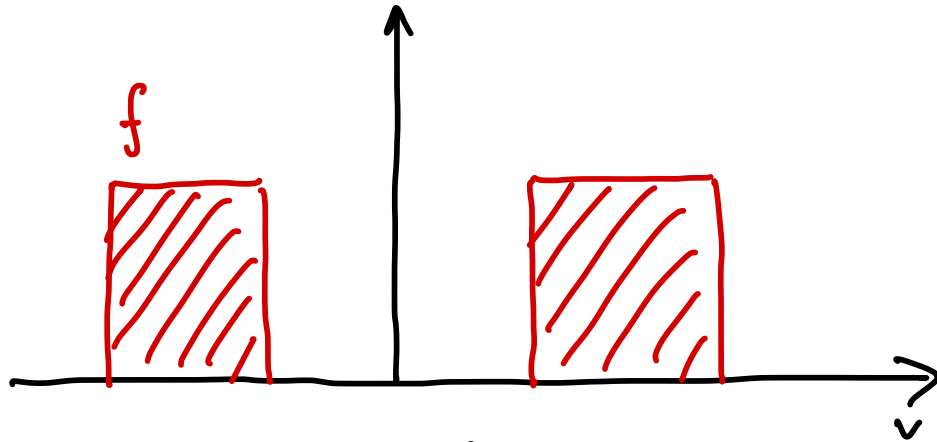
$$\int dQ \bar{f} = N$$

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[NB: Assuming perfect mixing in phase space!]

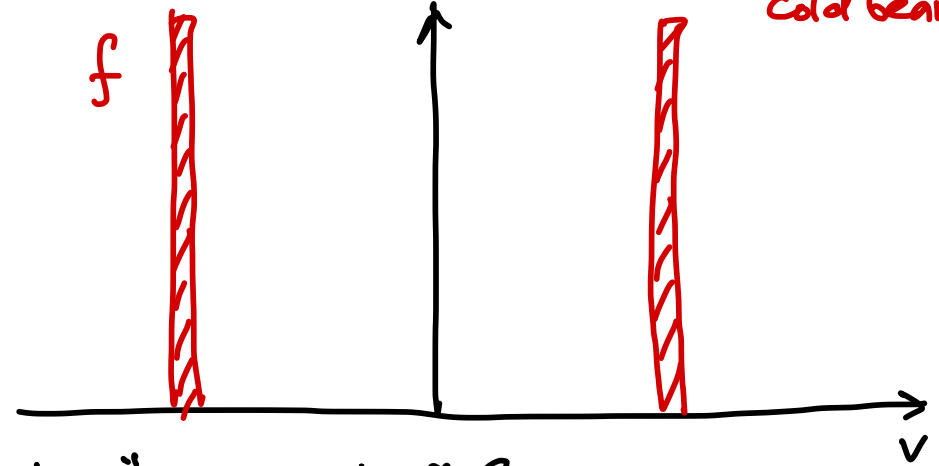
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"hot beams"



More "degenerate" ( $\beta \rightarrow \infty$ ):  
 $f$  occupies more of the available phase space  
 $\uparrow$  given  $K$

"cold beams"



Less "degenerate" ( $\beta \rightarrow 0$ ):  
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Fermi-Dirac distribution

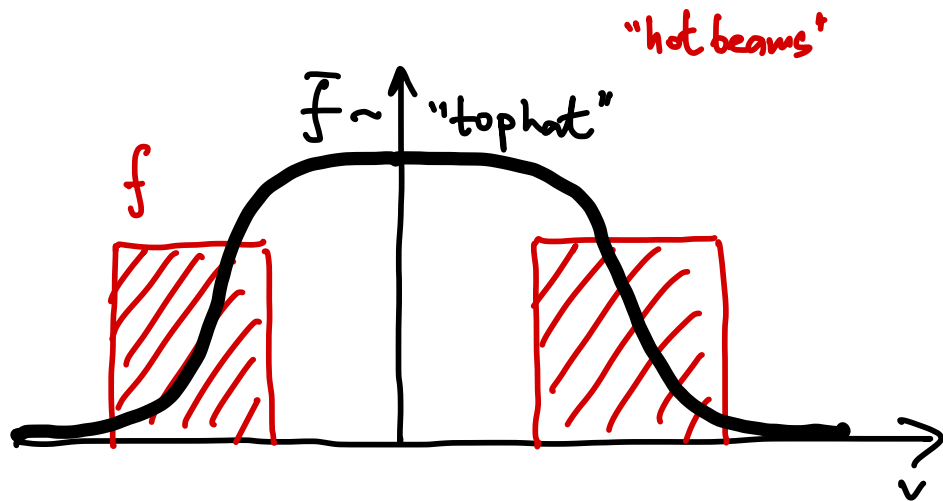
$$\bar{f} = \frac{1}{e^{\beta(\frac{mv^2}{2} - \mu)} + 1}$$

← get  $\beta$  and  $\mu$  from

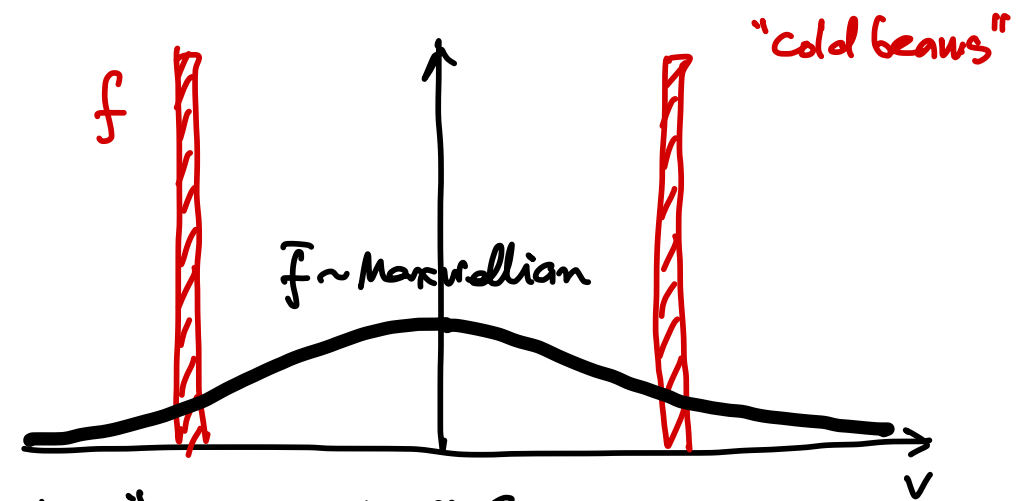
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[Interestingly, this is rather similar to what Stoutner + 2019 report...]

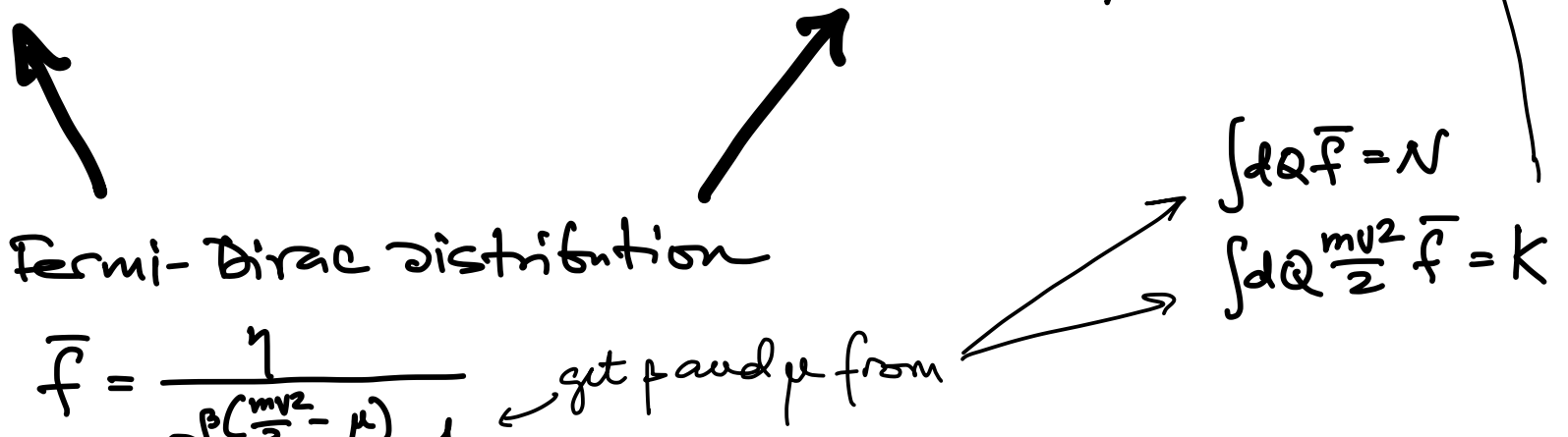
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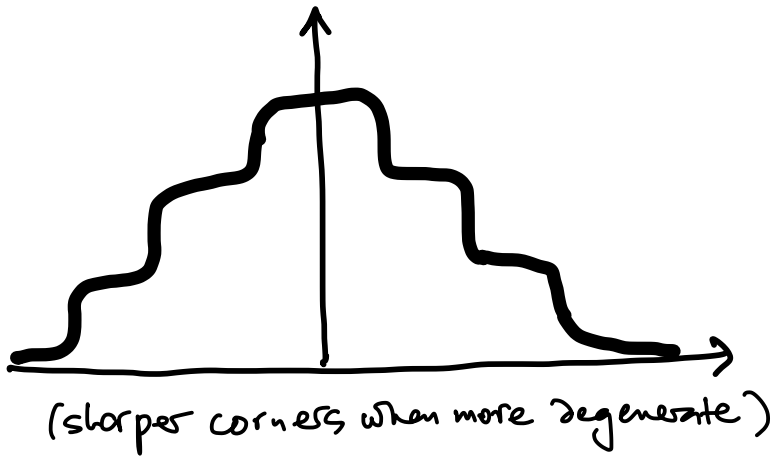


# STATISTICAL MECHANICS OF COLLISIONLESS PLASMA

Easy generalisation: "multi-waterbag model":  $f(Q) = \sum_J \underbrace{f_J(Q)}_{\eta_J \alpha_0}$

Treat each waterbag as a "species", get

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---

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$$\bar{f} = \sum_J \eta_J P_J(Q) \rightarrow \int d\eta \eta P(Q, \eta)$$

↑ "hyperkinetic" distribution

$$P = \frac{e^{-\beta \eta \left[ \frac{mv^2}{2} - \mu(\eta) \right]}}{\int d\eta' e^{-\beta \eta' \left[ \frac{mv^2}{2} - \mu(\eta') \right]}}$$

This is a kind of partition function

$$Z(\psi) = \int d\eta e^{-\eta \psi + \beta \eta \mu(\eta)}$$

$$\psi = \beta \frac{mv^2}{2}$$

$$\bar{f} = - \frac{\partial \ln Z}{\partial \psi} \dots \text{this proves to be a useful formalism ...}$$

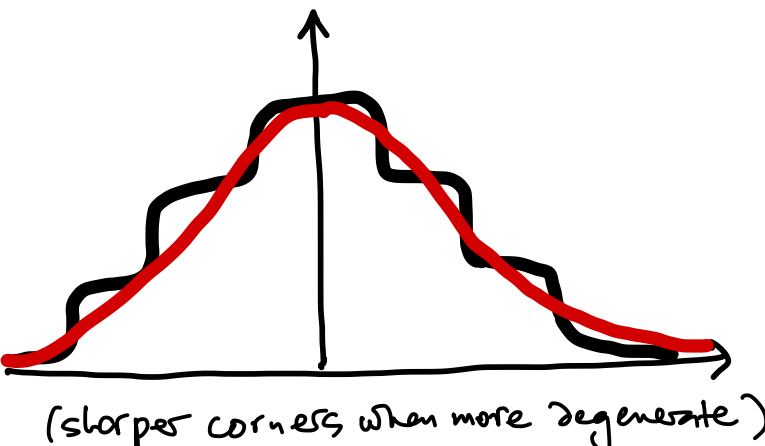
and again get  $\beta$  and  $\mu(\eta)$  from energy and phase volume conservation

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a continuum of "Casimir invariants"

cf.



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This captures a wide class of equilibria, including, intriguingly, ones with power-law tails, which can be argued to emerge organically.

- Power-law distributions are observed in many astro contexts: electrons in solar wind, cosmic rays etc. They are associated with "non-thermal particle acceleration".
- Lynden-Bell equilibria develop power-law tails because of the exclusion principle - can't shove particles towards lower ("thermal") energies while conserving phase volume

[Ewart & AAS 2022]

We need KINETIC THEORY to find out if (and how quickly) collisionless plasmas relax to these (or other?) equilibria...

Objective: Derive "collisionless collision integral"

$\frac{\partial \bar{f}}{\partial t} = C[\bar{f}]$  ← equilibria are fixed points  $C[\bar{f}] = 0$ ,  
proved unique if there is an H-theorem, i.e.,  
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Starting point:

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$$\rightarrow \frac{\partial \bar{f}}{\partial t} = - \frac{e}{m} \frac{\partial}{\partial \vec{v}} \cdot \underbrace{\langle (\nabla \varphi) \delta f \rangle}_{\vec{E} = -\nabla \varphi \text{ electrostatic}} = \frac{e}{m} \frac{\partial}{\partial \vec{v}} \cdot \sum_{\vec{k}} i\vec{k} \langle \varphi_{\vec{k}}^* \delta f_{\vec{k}} \rangle$$

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Thus, to have a theory of relaxation to collisionless equilibria, we need a theory of phase-space correlations (cf. hydrodynamics: large-scale transport from small-scale turbulence)

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If there is perfect mixing in phase space,

$$\langle \delta f(\vec{r}, \vec{v}) \delta f(\vec{r}', \vec{v}') \rangle = \underbrace{\langle \delta f^2 \rangle}_{\langle f^2 \rangle - \bar{f}^2} \underbrace{\Delta \Gamma}_{\text{"correlation volume"}} \delta(\vec{r} - \vec{r}') \delta(\vec{v} - \vec{v}') \text{ "microgranulation ansatz"}$$

$$\rightarrow C_{\vec{k}}(\vec{v}, \vec{v}') = [\langle f^2 \rangle - \bar{f}^2] \frac{\Delta \Gamma}{V} \delta(\vec{v} - \vec{v}')$$

Thus, to have a theory of relaxation to collisionless equilibria, we need a theory of phase-space correlations (cf. hydrodynamics: large-scale transport from small-scale turbulence)

We need KINETIC THEORY to find out if (and how quickly) collisionless plasmas relax to these (or other?) equilibria...

Objective: Derive "collisionless collision integral"

$\frac{\partial \bar{f}}{\partial t} = C[\bar{f}]$  ← equilibria are fixed points  $C[\bar{f}] = 0$ ,  
 proved unique if there is an H-theorem, i.e.,  
 an "entropy" that always increases until  
 maximized by the equilibrium distribution.

Starting point:

$$\left\langle \frac{\partial f}{\partial t} + \vec{v} \cdot \nabla f - \frac{e}{m} \vec{E} \cdot \frac{\partial f}{\partial \vec{v}} = 0 \right\rangle$$

$$\rightarrow \frac{\partial \bar{f}}{\partial t} = - \frac{e}{m} \frac{\partial}{\partial \vec{v}} \cdot \underbrace{\langle (\nabla \phi) \delta f \rangle}_{\vec{E} = -\nabla \phi \text{ electrostatic}} = \frac{e}{m} \frac{\partial}{\partial \vec{v}} \cdot \sum_{\vec{k}} i\vec{k} \langle \underbrace{\varphi_{\vec{k}}^*}_{\varphi_{\vec{k}} = -\frac{4\pi e}{k^2} \int d^3\vec{v}' \delta f_{\vec{k}}(\vec{v}')} \delta f_{\vec{k}} \rangle = - \frac{4\pi e^2}{m} \frac{\partial}{\partial \vec{v}} \cdot \sum_{\vec{k}} \frac{i\vec{k}}{k^2} \int d^3\vec{v}' \underbrace{\langle \delta f_{\vec{k}}^*(\vec{v}') \delta f_{\vec{k}}(\vec{v}) \rangle}_{\text{only Im } C_{\vec{k}}(\vec{v}, \vec{v}') \text{ contributes}}$$

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$$C_{\vec{k}}(\vec{v}, \vec{v}') = [\langle f^2 \rangle - \bar{f}^2] \frac{\Delta \Gamma}{V} \delta(\vec{v} - \vec{v}')$$

{ So we need to work out how mixing happens dynamically ...

This gives  $\frac{\partial \bar{f}}{\partial t} = 0$  because  
 $C_{\vec{k}}(\vec{v}, \vec{v}') = C_{\vec{k}}(\vec{v}', \vec{v}) = C_{\vec{k}}^*(\vec{v}, \vec{v}')$   
 so  $\text{Im } C_{\vec{k}}(\vec{v}, \vec{v}') = 0$

→  $C_{\vec{k}}(\vec{v}, \vec{v}')$  correlation function of phase-space turbulence  
 Thus, to have a theory of relaxation to collisionless equilibria, we need a theory of phase-space correlations (cf. hydrodynamics: large-scale transport from small-scale turbulence)

# KINETIC THEORY OF PHASE MIXING

This is still the same, but  
decompose  $f = \bar{f} + \delta f$   
and look for  $\delta f$

Starting point:

$$\frac{\partial f}{\partial t} + \vec{v} \cdot \nabla f - \frac{e}{m} \vec{A} \cdot \frac{\partial f}{\partial \vec{v}} = 0$$



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$$\frac{\partial \delta f}{\partial t} + \vec{v} \cdot \nabla \delta f = \frac{e}{m} \vec{A} \cdot \frac{\partial \bar{f}}{\partial \vec{v}} + \frac{e}{m} \vec{A} \cdot \frac{\partial \delta f}{\partial \vec{v}},$$

or, in  $k$  space,

$$\frac{\partial \delta f_{\mathbf{k}}}{\partial t} + i \mathbf{k} \cdot \vec{v} \delta f_{\mathbf{k}} = -i \frac{e}{m} \varphi_{\mathbf{k}} \mathbf{k} \cdot \frac{\partial \bar{f}}{\partial \vec{v}} \quad \leftarrow \text{"source" of } \langle \delta f^2 \rangle$$

phase mixing

$$- i \frac{e}{m} \sum_{\mathbf{k}'} \varphi_{\mathbf{k}'} \mathbf{k}' \cdot \frac{\partial \delta f_{\mathbf{k}-\mathbf{k}'}}{\partial \vec{v}}$$

nonlinear coupling

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$\alpha, \text{ in } k \text{ space,}$

$$\frac{\partial \delta f_k}{\partial t} + i\vec{k} \cdot \vec{v} \delta f_k = -i \frac{e}{m} \varphi_k \vec{k} \cdot \frac{\partial \bar{f}}{\partial \vec{v}} \quad \leftarrow \text{"source" of } \langle \delta f^2 \rangle$$

$\uparrow$   
phase mixing

$$-i \frac{e}{m} \sum_{k'} \varphi_{k'} \vec{k}' \cdot \frac{\partial \delta f_{k-k'}}{\partial \vec{v}}$$

$\uparrow$   
nonlinear coupling

QUASILINEAR THEORY: drop nonlinearity, let

$$\delta f_k = g_k e^{-i\vec{k} \cdot \vec{v} t} + \delta f_k^{QL} \quad \text{and work out the asymmetric part of } C_k(\vec{v}, \vec{v}') \text{)$$

$\uparrow$   
initial distribution

$\uparrow$   
QL evolution from source + phase mixing (also depends on  $g_k$  via  $\varphi_k$  and Poisson's law)

# KINETIC THEORY OF PHASE MIXING

This is still the same, but decompose  $f = \bar{f} + \delta f$  and look for  $\delta f$

Starting point:

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QUASILINEAR THEORY: drop nonlinearity, let

$$\delta f_k = \underbrace{g_k}_{\substack{\uparrow \\ \text{initial} \\ \text{distribution}}} e^{-i\vec{k} \cdot \vec{v} t} + \underbrace{\delta f_k^{QL}}_{\substack{\uparrow \\ \text{QL evolution from} \\ \text{source + phase mixing} \\ \text{(also depends on } g_k \\ \text{via } \varphi_k \text{ and Poisson's} \\ \text{law)}}} \quad \text{and work out the asymmetric part of } C_k(\vec{v}, \vec{v}') \leftarrow$$

Use microgranulation ansatz to calculate

$$\langle g_k^*(\vec{v}') g_k(\vec{v}) \rangle$$

and derive collisionless closure for  $\langle g^2 \rangle$

[Severne & Louvel 1980, Charanis 2005, Ewart + 2022]

This leads to collisionless collision operators that are generalisations of Balescu-Lenard for phase-volume conserving plasmas,  $\nu_{eff} \propto \Delta \Gamma$ . Their fixed points are Lynden-Bell equilibria!

[Ewart + 2022, arXiv: 2201.03376]

For kinetic theory fans: SOME DETAILS ON COLLISION INTEGRALS

$$\frac{\partial \bar{f}}{\partial t} = \frac{16\pi^3 e^4 \Delta \Gamma}{m^2 V} \frac{\partial}{\partial \vec{v}} \cdot \int d^3 \vec{v}' \sum_{\mathbf{k}} \frac{\mathbf{k} \mathbf{k}}{k^4} \frac{\delta(\mathbf{k} \cdot (\vec{v} - \vec{v}'))}{|\epsilon_{\mathbf{k}, \mathbf{k} \cdot \mathbf{v}}|^2} \cdot \left[ \langle g^2 \rangle(\vec{v}') \frac{\partial \bar{f}(\vec{v})}{\partial \vec{v}} - \langle g^2 \rangle(\vec{v}) \frac{\partial \bar{f}(\vec{v}')}{\partial \vec{v}'} \right]$$

$\uparrow$   
 dielectric function

$\leftarrow \langle f^2 \rangle - \bar{f}^2 \quad \rightarrow$

- for one waterbag,  $\langle f^2 \rangle - \bar{f}^2 = (\gamma - \bar{f}) \bar{f}$  Kadomtsev-Pogutse coll. integral (1970)
  - ↳ Fermi-Dirac is fixed point

For kinetic theory fans: SOME DETAILS ON COLLISION INTEGRALS

$$\frac{\partial \bar{f}}{\partial t} = \frac{16\pi^3 e^4 \Delta\Gamma}{m^2 v} \frac{\partial}{\partial v} \cdot \int d^3v' \sum_{\mathbf{k}} \frac{\mathbf{k}\mathbf{k}}{k^4} \frac{\delta(\mathbf{k} \cdot (\mathbf{v} - \mathbf{v}'))}{|\epsilon_{\mathbf{k}, \mathbf{k} \cdot \mathbf{v}}|^2} \cdot \left[ \langle g^2 \rangle(\mathbf{v}') \frac{\partial \bar{f}(\mathbf{v})}{\partial v} - \langle g^2 \rangle(\mathbf{v}) \frac{\partial \bar{f}(\mathbf{v}')}{\partial v'} \right]$$

dielectric function

$\langle f^2 \rangle - \bar{f}^2$

- for one waterbag,  $\langle f^2 \rangle - \bar{f}^2 = (\eta - \bar{f}) \bar{f}$  Kadomtsev-Pogutse coll. integral (1970)
    - ↳ Fermi-Dirac is fixed point
  - non-degenerate:  $\eta \gg \bar{f}$ , so  $\langle f^2 \rangle - \bar{f}^2 = \eta \bar{f}$  Balescu-Lenard coll. integral (1960)
- e.g. for true 2 particle collisions,  $f = \sum_i \delta(\mathbf{r} - \mathbf{r}_i) \delta(\mathbf{v} - \mathbf{v}_i)$  (Klimontovich distr. function)
- ↳  $\eta \Delta\Gamma = 1$
- "Collisionless collision frequency":  $\nu_{eff} \sim \eta \Delta\Gamma \nu_{true} \gg \nu_{true}$  FAST RELAXATION

# For kinetic theory fans: SOME DETAILS ON COLLISION INTEGRALS

$$\frac{\partial \bar{f}}{\partial t} = \frac{16\pi^3 e^4 \Delta\Gamma}{m^2 V} \frac{\partial}{\partial \vec{v}} \cdot \int d^3 \vec{v}' \sum_{\mathbf{k}} \frac{\mathbf{k}\mathbf{k}}{k^4} \frac{\delta(\mathbf{k} \cdot (\vec{v} - \vec{v}'))}{|\epsilon_{\mathbf{k}, \mathbf{k} \cdot \vec{v}}|^2} \cdot \left[ \langle g^2 \rangle(\vec{v}') \frac{\partial \bar{f}(\vec{v})}{\partial \vec{v}} - \langle g^2 \rangle(\vec{v}) \frac{\partial \bar{f}(\vec{v}')}{\partial \vec{v}'} \right]$$

dielectric function

$\langle f^2 \rangle - \bar{f}^2$

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• non-degenerate:  $\eta \gg \bar{f}$ , so

$$\langle f^2 \rangle - \bar{f}^2 = \eta \bar{f}$$

Balescu-Lenard coll. integral (1960)

e.g. for true 2 particle collisions,  $f = \sum_i \delta(\vec{r} - \vec{r}_i) \delta(\vec{v} - \vec{v}_i)$  (Klimontovich distr. function)

$$\hookrightarrow \eta \Delta\Gamma = 1$$

"Collisionless collision frequency":  $\nu_{\text{eff}} \sim \eta \Delta\Gamma \nu_{\text{true}} \gg \nu_{\text{true}}$  FAST RELAXATION

• for many waterbags, upgrade to HYPERKINETICS:

$$\bar{P}(\eta, \vec{v}) = \langle \delta(f(\vec{v}, \vec{r}) - \eta) \rangle \quad 7D \text{ phase space } (\eta, \vec{r}, \vec{v})$$

$$\frac{\partial \bar{P}}{\partial t} = \frac{16\pi^3 e^4 \Delta\Gamma}{m^2 V} \frac{\partial}{\partial \vec{v}} \cdot \int d^3 \vec{v}' \sum_{\mathbf{k}} \frac{\mathbf{k}\mathbf{k}}{k^4} \frac{\delta(\mathbf{k} \cdot (\vec{v} - \vec{v}'))}{|\epsilon_{\mathbf{k}, \mathbf{k} \cdot \vec{v}}|^2} \cdot \int d\eta' \eta' \left[ (\eta' - \bar{f}(\vec{v}')) \bar{P}(\vec{v}', \eta') \frac{\partial \bar{P}(\vec{v}, \eta)}{\partial \vec{v}} - (\eta - \bar{f}(\vec{v})) \bar{P}(\vec{v}, \eta) \frac{\partial \bar{P}(\vec{v}', \eta')}{\partial \vec{v}'} \right]$$

$$\bar{f}(\vec{v}) = \int d\eta \eta \bar{P}(\eta, \vec{v})$$

$$\int d^3 \vec{v} \bar{P}(\eta, \vec{v}) = p(\eta) = \text{const}$$

↑ "waterbag content" conserved  
("Casimir invariants")

↳ Lynden-Bell equilibria are fixed points

[Sorensen & Luwel 1980, Ewert + 2022]

# KINETIC THEORY OF PHASE MIXING

This is still the same, but decompose  $f = \bar{f} + \delta f$  and look for  $\delta f$

Starting point:

$$\frac{\partial f}{\partial t} + \vec{v} \cdot \nabla f - \frac{e}{m} \vec{E} \cdot \frac{\partial f}{\partial \vec{v}} = 0$$

$\Rightarrow$

$$\frac{\partial \delta f}{\partial t} + \vec{v} \cdot \nabla \delta f = \frac{e}{m} \vec{E} \cdot \frac{\partial \bar{f}}{\partial \vec{v}} + \frac{e}{m} \vec{E} \cdot \frac{\partial \delta f}{\partial \vec{v}}$$

or, in  $k$  space,

$$\frac{\partial \delta f_k}{\partial t} + i\vec{k} \cdot \vec{v} \delta f_k = -i \frac{e}{m} \varphi_k \vec{k} \cdot \frac{\partial \bar{f}}{\partial \vec{v}} \quad \leftarrow \text{"source" of } \langle \delta f^2 \rangle$$

phase mixing

$$-i \frac{e}{m} \sum_{k'} \varphi_{k'} \vec{k}' \cdot \frac{\partial \delta f_{k-k'}}{\partial \vec{v}}$$

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and work out the asymmetric part of  $C_k(\vec{v}, \vec{v}')$

Use microgranulation ansatz to calculate

$$\langle g_k^*(\vec{v}') g_k(\vec{v}) \rangle$$

and derive collisionless closure for  $\langle g^2 \rangle$

[Severne & Louvel 1980, Chavanis 2005, Ewart + 2022]

Why is this reasonable? Just as Maxwell did with Stobzahl ansatz, we assume that everything gets thoroughly stochasticized so we can "reset" the initial condition at each "time step" of  $\frac{\partial \bar{f}}{\partial t}$ . That is the effect of nonlinearity!

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$\Downarrow$

$$-i \frac{e}{m} \sum_{k'} \varphi_{k'} \vec{k}' \cdot \frac{\partial \delta f_{k-k'}}{\partial \vec{v}}$$

$$\frac{\partial C_k}{\partial t} + i\vec{k} \cdot (\vec{v} - \vec{v}') C_k = S_k + N_k$$



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$$C_k(\vec{v}, \vec{v}') = \langle \delta f_k^*(\vec{v}') \delta f_k(\vec{v}) \rangle$$

$\hookrightarrow C_{kS}$  "phase-space spectrum"  
S is dual of  $\vec{v} - \vec{v}'$

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$$\frac{\partial C_{kS}}{\partial t} + \vec{k} \cdot \frac{\partial C_{kS}}{\partial \vec{S}} = S_{kS} + N_{kS}$$

# KINETIC THEORY OF PHASE MIXING

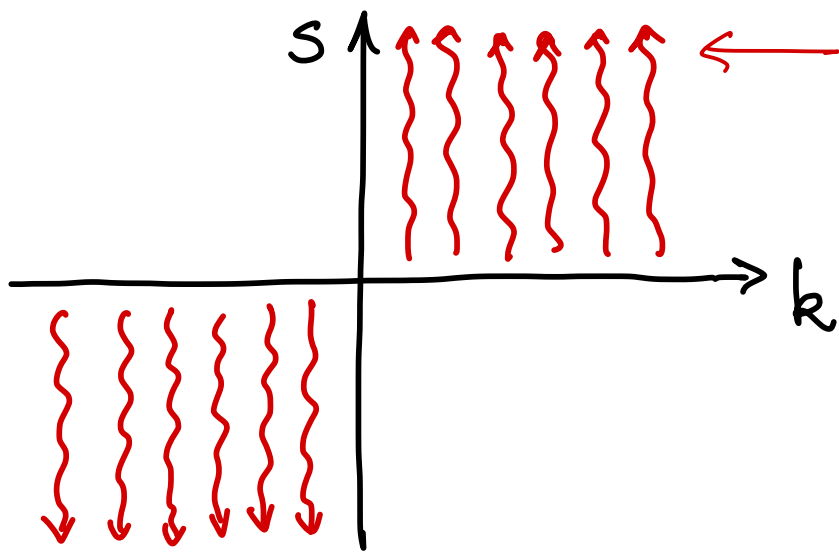
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$$\frac{\partial C_{ks}}{\partial t} + \vec{k} \cdot \frac{\partial C_{ks}}{\partial \vec{s}} = \underbrace{S_{ks}}_{\substack{\uparrow \\ \text{at low} \\ s}} + N_{ks}$$

NB:

$$C_{k,s} \neq C_{k,-s}$$

so

$$C_k(\vec{v}, \vec{v}') \neq C_k(\vec{v}', \vec{v})$$

asymmetric

(this is where QL coll. integrals come from)

# KINETIC THEORY OF PHASE MIXING

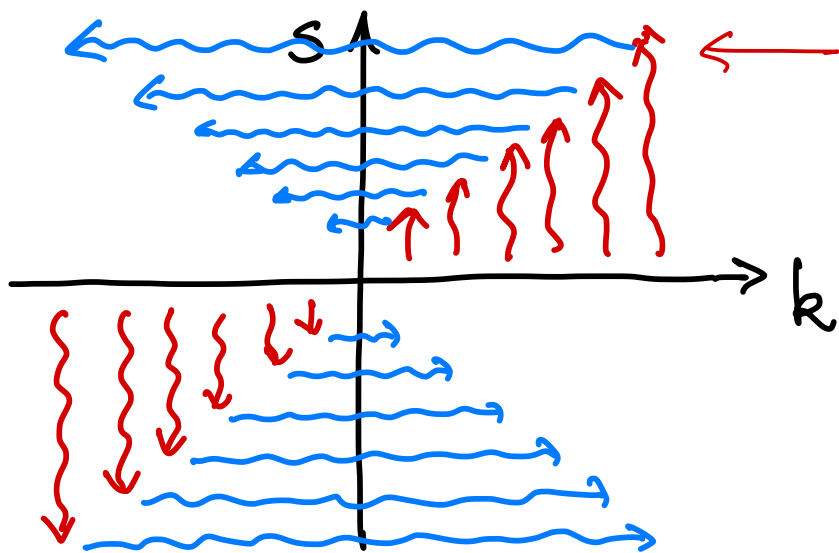
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$$\frac{\partial C_{ks}}{\partial t} + \vec{k} \cdot \frac{\partial C_{ks}}{\partial \vec{s}} = \underbrace{S_{ks}}_{\text{at low } s} + N_{ks}$$

← phase mixing

at low  $s$

↑ coupling between  $k$ 's

# KINETIC THEORY OF PHASE MIXING & UNMIXING

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$C_{ks}$  "phase-space spectrum"  
 $s$  is dual of  $\vec{v} - \vec{v}'$

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$$\frac{\partial \delta f}{\partial t} + \vec{v} \cdot \nabla \delta f = \frac{e}{m} \vec{E} \cdot \frac{\partial \delta f}{\partial \vec{v}} + \frac{e}{m} \vec{E} \cdot \frac{\partial \bar{f}}{\partial \vec{v}}$$

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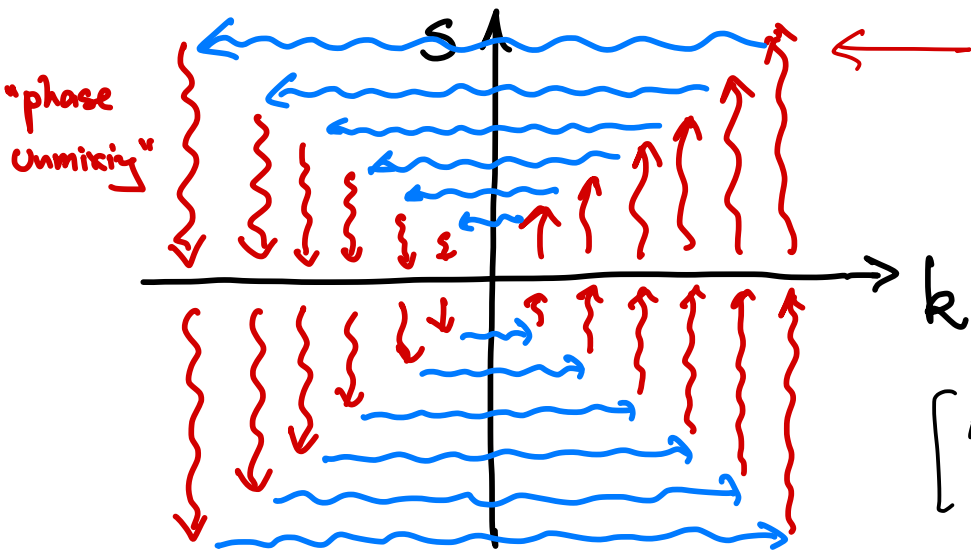
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← phase mixing

↑ coupling between  $k$ 's leading to "phase unmixing" (plasma echo)

[A simple solvable model of this:  
 Adkins & AAS JPP (2018)  
 Nastac+ (2022)]



# KINETIC THEORY OF PHASE MIXING & UNMIXING

superficially, suppression of phase mixing (= Landau damping) by echoes seems to symmetrise  $C_{ks}$  and perhaps even produce a solution with zero flux of  $\langle \delta f^2 \rangle$  roughly compatible with microgranulation ansatz:

$$\langle \delta f_k^*(\vec{v}') \delta f_k(\vec{v}) \rangle = \langle \delta f^2 \rangle \frac{\Delta \Gamma}{V} \delta(\vec{v} - \vec{v}')$$

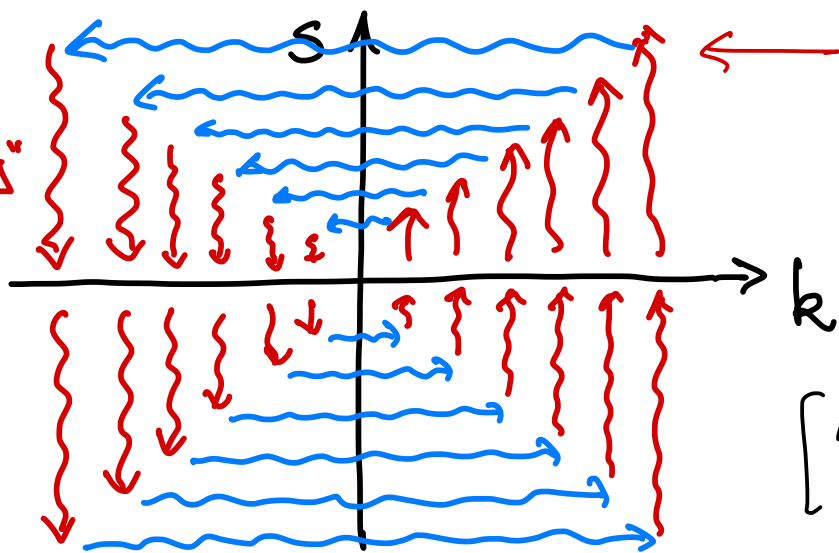
$\uparrow C_{ks} = \text{const in } S$   
 this might depend on  $k$  and be  $\propto \text{velocity scale}^3 (\sim S^{-3})$  where nonlinearity wins over phase mixing  
 $\rightarrow$  ENHANCED COLLISIONALITY

$C_{ks}$  "phase-space spectrum"  
 $S$  is dual of  $\vec{v} - \vec{v}'$

$$\frac{\partial C_{ks}}{\partial t} + \vec{k} \cdot \frac{\partial C_{ks}}{\partial \vec{S}} = \underbrace{\Sigma_{ks}}_{\substack{\uparrow \\ \text{at low} \\ S}} + \underbrace{N_{ks}}_{\substack{\uparrow \\ \text{coupling} \\ \text{between} \\ k's \text{ leading to} \\ \text{"phase} \\ \text{unmixing"} \\ \text{(plasma} \\ \text{echo)}}}$$

← phase mixing

"phase unmixing"



[ A simple solvable model of this: ]  
 [ Adkins & AAS JPP (2018) ]  
 Nystac + (2022)

"phase unmixing"  
 (plasma echo)

# KINETIC THEORY OF PHASE MIXING & UNMIXING

superficially, suppression of phase mixing (= Landau damping) by echoes seems to symmetrise  $C_{ks}$  and perhaps even produce a solution with zero flux of  $\langle \delta f^2 \rangle$  roughly compatible with microgranulation ansatz:

$$\langle \delta f_k^*(\vec{v}') \delta f_k(\vec{v}) \rangle = \langle \delta f^2 \rangle \frac{\Delta \Gamma}{V} \delta(\vec{v} - \vec{v}')$$

$\uparrow C_{ks} = \text{const in } s$

this might depend on  $k$  and be  $\propto \text{velocity scale}^3 (\sim s^{-3})$  where nonlinearity wins over phase mixing

$\hookrightarrow$  ENHANCED COLLISIONALITY

IN FACT, this is a system into which  $\langle \delta f^2 \rangle$  is constantly injected by  $S_{ks}$  and this flux has to be carried to large  $s$ , to be thermalised by collisions!

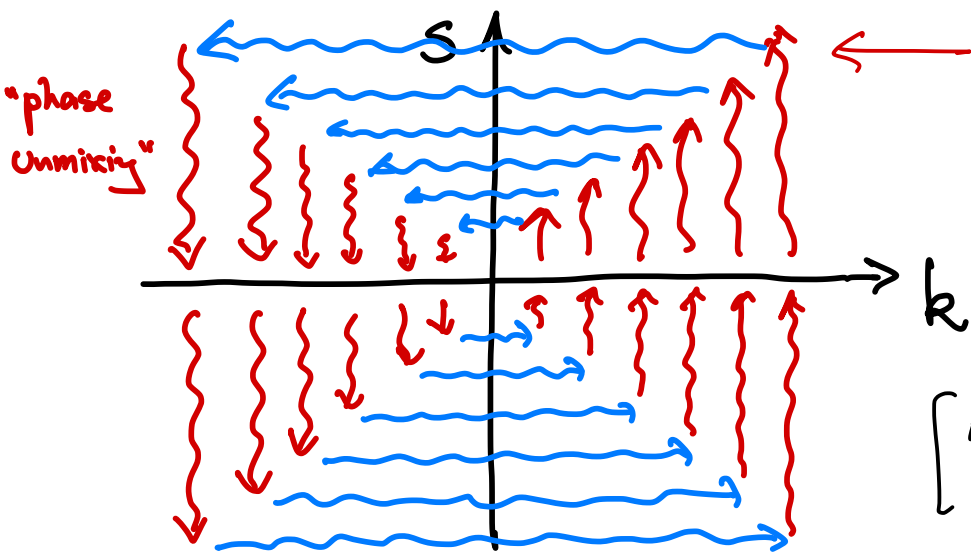
$C_{ks}$  "phase-space spectrum"  
 $s$  is dual of  $\vec{v} - \vec{v}'$

$$\frac{\partial C_{ks}}{\partial t} + \vec{k} \cdot \frac{\partial C_{ks}}{\partial \vec{s}} = S_{ks} + N_{ks} - \nu s^2 C_{ks}$$

$\uparrow$  at low  $s$

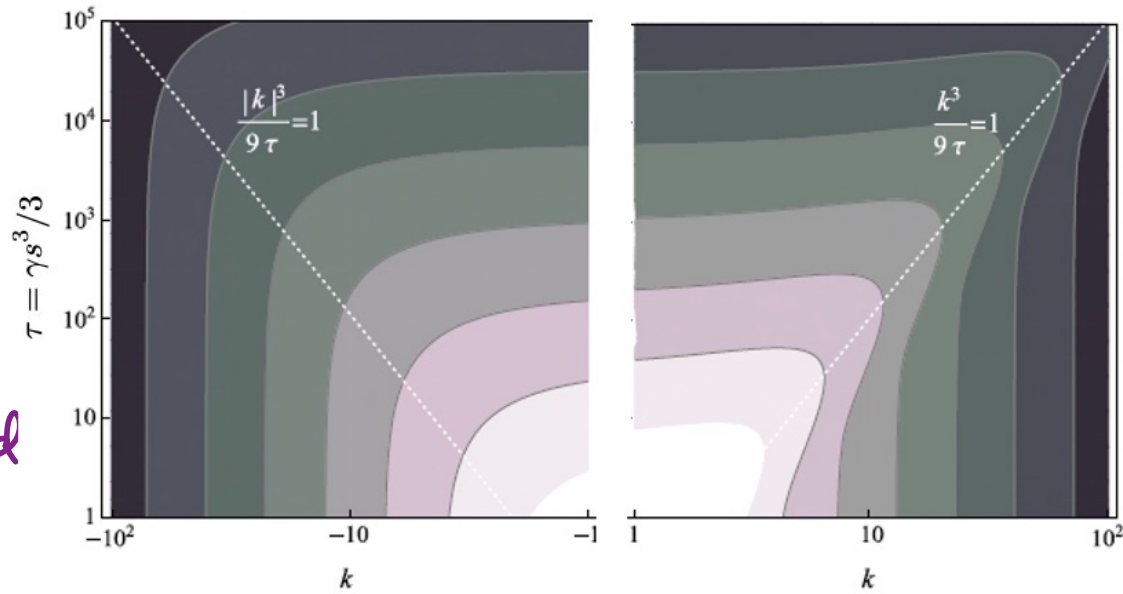
coupling between  $k$ 's leading to "phase unmixing" (plasma echo)

[A simple solvable model of this:  
 Adkins & AAS JPP (2018)  
 Nasta et al (2022)]



# KINETIC THEORY OF PHASE-SPACE TURBULENCE

Phase-space spectrum found by Adkins & AAS was not symmetric and can be shown to carry constant flux [Nastac + 2022]



IN FACT, this is a system into which  $\langle \delta f^2 \rangle$  is constantly injected by  $S_{ks}$  and this flux has to be carried to large  $s$ , to be thermalised by collisions!

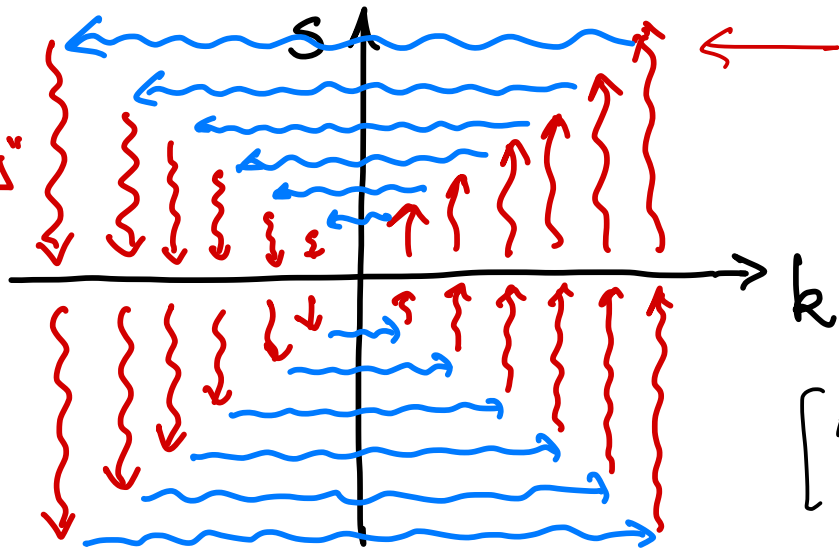
$C_{ks}$  "phase-space spectrum"  
 $s$  is dual of  $\vec{v} - \vec{v}'$

$$\frac{\partial C_{ks}}{\partial t} + k \cdot \frac{\partial C_{ks}}{\partial \vec{s}} = \underbrace{S_{ks}}_{\text{at low } s} + N_{ks} - \underbrace{\nu s^2 C_{ks}}_{\sim \frac{\partial^2}{\partial v^2}}$$

← phase mixing

↑ coupling between  $k$ 's leading to "phase unmixing" (plasma echo)

"phase unmixing"



[A simple solvable model of this:  
 Adkins & AAS JPP (2018)  
 Nastac + (2022)]





# INTERESTING QUESTIONS FOR FURTHER RESEARCH:

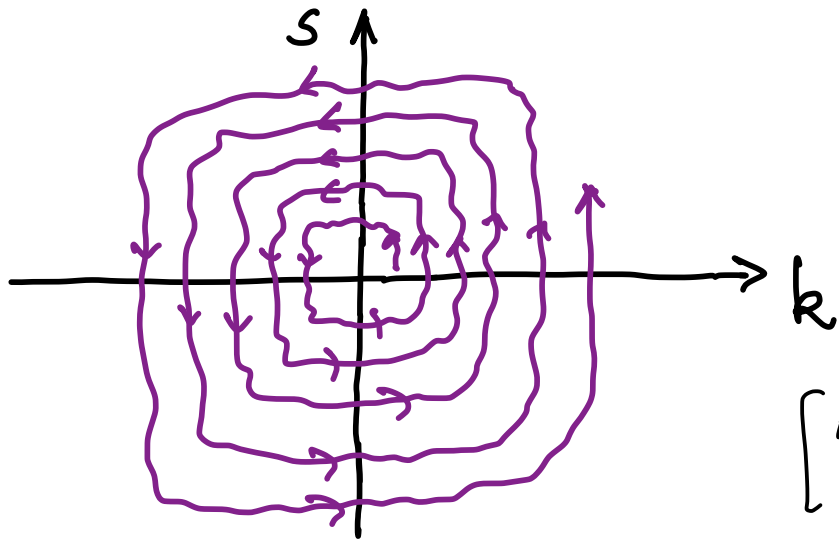
- Collisionless collision integral in a field of constant-flux turbulence:

$$\frac{\partial \bar{f}}{\partial t} = -\frac{4\pi e^2}{m} \frac{\partial}{\partial \vec{v}} \cdot \sum_{\mathbf{k}} \frac{i\mathbf{k}}{k^2} \int d^3 \vec{v}' \langle \delta f_{\mathbf{k}}^*(\vec{v}') \delta f_{\mathbf{k}}(\vec{v}) \rangle \equiv C[\bar{f}] \neq 0$$

What is this? Does it have fixed points?  
 Is there an H theorem?  
 What is entropy?

- While  $\bar{f}$  is collisionless,  $\delta f$  reaches collisional scales (small  $\delta v$ ) fast (cf. "dissipative anomaly" in fluid turbulence) - see poster by M. Natsic.  
 Is this always true? What does it imply for Casimir constraints?  
 [cf. Zhidankin's recent papers]

$C_{ks}$  "phase-space spectrum"  
 $s$  is dual of  $\vec{v}-\vec{v}'$



$$\frac{\partial C_{ks}}{\partial t} + \mathbf{k} \cdot \frac{\partial C_{ks}}{\partial \mathbf{s}} = \mathcal{P}_{ks} + \mathcal{N}_{ks} - \nu s^2 C_{ks}$$

$\langle \delta f^2 \rangle$  injected at low  $k$  &  $s$  and spirals out by combined action of phase mixing and nonlinearity until thermalized by collisions

[A simple solvable model of this:  
 Adkins & AAS JPP (2018)  
 Natsic + (2022)]

# INTERESTING QUESTIONS FOR FURTHER RESEARCH:

- Collisionless collision integral in a field of constant-flux turbulence:

$$\frac{\partial \bar{f}}{\partial t} = - \frac{4\pi e^2}{m} \frac{\partial}{\partial \vec{v}} \cdot \sum_{\mathbf{k}} \frac{i\mathbf{k}}{k^2} \int d^3 \vec{v}' \langle \delta f_{\mathbf{k}}^*(\vec{v}') \delta f_{\mathbf{k}}(\vec{v}) \rangle \equiv C[\bar{f}] \neq 0$$

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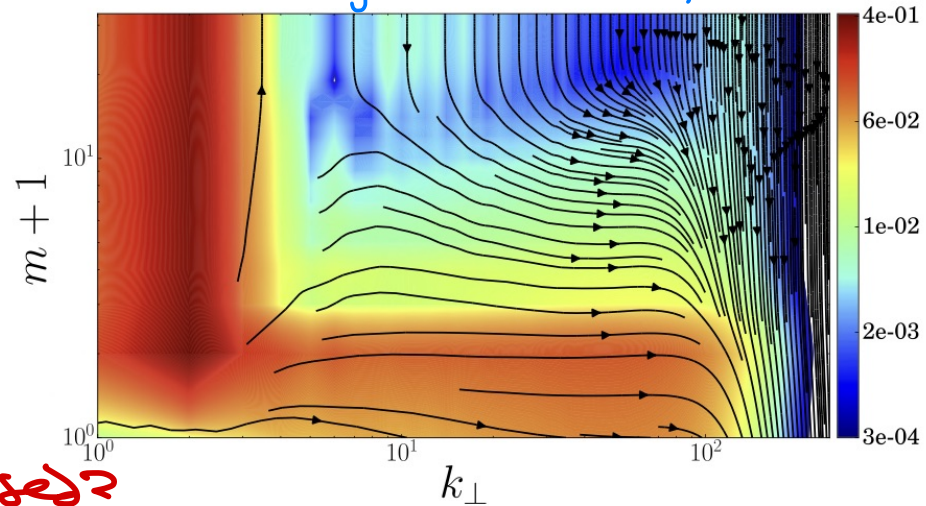
- How does all this work in magnetised systems?

Nonlinearity is "more space-like" - advection by  $\mathbf{E} \times \mathbf{B}$  flow:  
 ↪ Maxwellian eq'n.

Meyrand + PNAS 116, 1185 (2019)

$$\frac{\partial \delta f}{\partial t} + \vec{u}_{\perp} \cdot \nabla_{\perp} \delta f + v_{\parallel} \nabla_{\parallel} \left( \delta f + \frac{e\varphi}{T} F_M \right) = 0$$

AAS + JPP (2016) - theory for drift-kinetic turbulence  
 Meyrand + PNAS (2019) - simulations for density turbulence in solar wind: flux entirely along  $k$  ("fluidisation" - no Landau damping in inertial range).



Is phase mixing always suppressed?