

# Extension of gyrokinetics to transport time scales [in tokamaks]

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# Introduction

- $\delta f$  gyrokinetics has proven useful for calculating turbulence at  $k_{\perp}\rho \sim 1$  and on saturation time scales
- Challenge: extending turbulence calculations to transport time scales (huge time scale separation)
- Special focus on axisymmetric  $E_r$  field
  - Important:  $E \times B$  shear  $\leftrightarrow$  turbulence decorrelation
  - Hard to compute due to axisymmetry  
⇒ undetermined toroidal rotation  $\leftrightarrow$  undetermined  $E_r$
  - Need toroidal angular momentum transport

# Gyrokinetics

- Keep  $k_{\perp}\rho \sim 1$
- Variables  $\mathbf{R}$ ,  $E$ ,  $\mu$  and  $\varphi$  defined with  $d\mathbf{R}/dt$ ,  $dE/dt$ ,  $d\mu/dt$  and  $d\varphi/dt$  independent of  $\varphi$ 
  - ⇒ fast gyromotion absorbed in GK variables
    - Here  $d/dt \equiv$  Vlasov operator
- Need to find  $f(\mathbf{r}, \mathbf{v}, t)$  from  $f(\mathbf{R}, E, \mu, t)$
- Gyrokinetic variables are not constants of the motion! Gyrokinetics is an asymptotic expansion!
- Simplification: electrostatic gyrokinetics  
 $B$  slowly varying and time independent

# Orderings

- Small parameter  $\delta = \frac{\rho}{L} \sim \frac{\omega}{\Omega} \sim \frac{v}{\Omega} \ll 1$
- $f$  and  $\phi$  have  $k_{\perp}\rho \sim 1$  but  $k_{\parallel}L \sim 1$
- For  $k_{\perp}L \sim 1$ ,  $e\phi/T \sim 1$  and  $f \approx f_M \equiv$  Maxwellian
- For  $k_{\perp}\rho \sim 1$ ,  $e\phi_k/T \sim f_k/f_M \sim \delta$
- For general  $k_{\perp}$ ,  $\frac{e\phi_k}{T} \sim \frac{f_k}{f_M} \sim \frac{1}{k_{\perp}L}$ 
  - $\nabla\phi_k \sim T/eL$  and  $\nabla f_k \sim \nabla f_M$
  - Drift ordering  $v_{ExB} \sim \delta v_i \ll v_i$

# Gyrokinetic variable $\mathbf{R}$

- Define  $\mathbf{R}$  such that  $d\mathbf{R}/dt = \langle d\mathbf{R}/dt \rangle + \text{negligible}$   
where  $d/dt \equiv \partial/\partial t + \mathbf{v} \cdot \nabla - Ze/M \nabla \phi \cdot \nabla_v - \boxed{\Omega \partial/\partial \phi_0}$ 
  - Here  $\langle \dots \rangle \equiv$  gyroaverage holding  $\mathbf{R}, E, \mu, t$  fixed
- $\mathbf{R} = \mathbf{r} + \mathbf{R}_1 + \mathbf{R}_2$ ,  $\mathbf{R}_1 = O(\delta L)$  and  $\mathbf{R}_2 = O(\delta^2 L)$ 
  - To first order  $\dot{\mathbf{R}} \cong \dot{\mathbf{r}} + \dot{\mathbf{R}}_1 \cong \mathbf{v} - \Omega \partial \mathbf{R}_1 / \partial \phi_0$
- Imposing  $d\mathbf{R}/dt = \langle d\mathbf{R}/dt \rangle$  to first order,
$$\dot{\mathbf{R}} \cong \dot{\mathbf{r}} - \Omega \partial \mathbf{R}_1 / \partial \phi_0 = \langle \dot{\mathbf{R}} \rangle \cong \langle \dot{\mathbf{r}} \rangle$$
- Then,  $\mathbf{R}_1 = \Omega^{-1} \int d\phi_0 (\dot{\mathbf{r}} - \langle \dot{\mathbf{r}} \rangle) = \Omega^{-1} \mathbf{v} \times \hat{\mathbf{b}}$
- Similarly,  $\mathbf{R}_2 = \Omega^{-1} \int d\phi_0 (\dot{\mathbf{r}} + \dot{\mathbf{R}}_1 - \langle \dot{\mathbf{r}} + \dot{\mathbf{R}}_1 \rangle) = \dots$

# Gyrokinetic variable $\mathbf{R}$

- Gyrocenter position  $\mathbf{R}$  (using  $\mathbf{R}_1$  and  $\mathbf{R}_2$ )

$$\frac{d\mathbf{R}}{dt} \cong \left\langle \frac{d\mathbf{R}}{dt} \right\rangle = u\hat{\mathbf{b}}(\mathbf{R}) + \mathbf{v}_d \quad (= \text{gyrocenter motion})$$

- Parallel velocity defined by  $u^2/2 + \mu B(\mathbf{R}) = E$
- Drift velocity  $\mathbf{v}_d = \mathbf{v}_M - \frac{c}{B} \nabla_{\mathbf{R}} \langle \phi \rangle \times \hat{\mathbf{b}}$

$$\mathbf{v}_M = \frac{\mu}{\Omega(\mathbf{R})} \hat{\mathbf{b}}(\mathbf{R}) \times \nabla_{\mathbf{R}} B(\mathbf{R}) + \frac{u^2}{\Omega(\mathbf{R})} \hat{\mathbf{b}}(\mathbf{R}) \times \mathbf{k}(\mathbf{R})$$

with  $\langle \phi \rangle = \frac{1}{2\pi} \oint d\varphi \phi(\mathbf{R} - \mathbf{R}_1(\varphi) - \mathbf{R}_2(\varphi), t)$

# Gyrokinetic variables $E$ , $\mu$ and $\varphi$

- Kinetic energy  $E = v^2/2 + E_1 + E_2$ ,

$$\frac{dE}{dt} \cong \left\langle \frac{dE}{dt} \right\rangle = -\frac{Ze}{M} [\hat{u}\hat{\mathbf{b}}(\mathbf{R}) + \mathbf{v}_M] \cdot \nabla_{\mathbf{R}} \langle \phi \rangle$$

where  $E_1 = \frac{Ze\tilde{\phi}}{M}$ , with  $\tilde{\phi} = \phi - \langle \phi \rangle$

$$E_2 = \frac{c}{B} \frac{\partial \tilde{\Phi}}{\partial t}, \text{ with } \tilde{\Phi} = \int^\varphi d\varphi' \tilde{\phi}(\varphi')$$

- Magnetic moment  $\mu = v_{\perp}^2/2B + \mu_1$  such that  $\langle d\mu/dt \rangle = 0$  to requisite order

- Only need  $\mu_1$ ,  $f$  is  $f_M$  to lowest order

- Gyrophase  $\varphi = \varphi_0 + \varphi_1$ , with  $\mathbf{v}_{\perp} = v_{\perp} (\hat{\mathbf{e}}_1 \cos \varphi_0 + \hat{\mathbf{e}}_2 \sin \varphi_0)$
- Only need  $\varphi_1$ , gyrokinetics  $\Rightarrow \varphi$ -dependence weak

# Fokker-Planck equation

## □ Fokker-Planck equation

$$\frac{\partial f}{\partial t} + \dot{\mathbf{R}} \cdot \nabla_{\mathbf{R}} f + \dot{E} \frac{\partial f}{\partial E} + \dot{\mu} \frac{\partial f}{\partial \mu} + \dot{\phi} \frac{\partial f}{\partial \phi} = C\{f\}$$

## □ Gyroaveraging

$$\frac{\partial \langle f \rangle}{\partial t} + (\mathbf{u}\hat{\mathbf{b}} + \mathbf{v}_d) \cdot \left( \nabla_{\mathbf{R}} \langle f \rangle - \frac{Ze}{M} \nabla_{\mathbf{R}} \langle \phi \rangle \frac{\partial \langle f \rangle}{\partial E} \right) = \langle C\{f\} \rangle$$

## □ Also possible to use parallel velocity and to write in conservative form

## □ Gyrophase dependent piece

$$\tilde{f} = f - \langle f \rangle \simeq -\Omega^{-1} \int^\phi d\phi' (C\{f\} - \langle C\{f\} \rangle) = O(\delta v / \Omega f_M)$$

# Quasineutrality (QN): $Zn_i = n_e$

- Taylor expanding  $f_i$

$$f_i(\mathbf{R}, E, \mu, t) \cong f_i(\mathbf{r} + \Omega^{-1}\mathbf{v} \times \hat{\mathbf{b}}, E_0, \mu_0, t) - \frac{Ze\tilde{\phi}}{T_i} f_M + \dots$$

- For electrons (ITG ordering),  $n_e = n_0 + \frac{en_0}{T_e} (\phi - \langle \phi \rangle_\theta)$ 
  - Here  $\langle \dots \rangle_\theta$   $\equiv$  flux surface average

- For  $k_\perp \rho \sim 1$  and to  $O(\delta n)$ ,

$$\frac{Z^2 e}{T_i} \int d^3 v \tilde{\phi} f_M + \frac{en_0}{T_e} (\phi - \langle \phi \rangle_\theta) = Z\hat{N}_i - n_0$$

- Here  $\hat{N}_i = \int d^3 v f_i(\mathbf{r} + \Omega^{-1}\mathbf{v} \times \hat{\mathbf{b}}, E_0, \mu_0, t)$

- For  $k_\perp L \sim 1$  and axisymmetry, QN independent of  $\langle \phi \rangle_\theta$  to  $O(\delta^2 n)$  due to INTRINSIC AMBIPOLARITY

# Intrinsic ambipolarity

- In axisymmetric systems and for  $k_{\perp}L \sim 1$ ,  $n$  and  $T$  evolution does not depend on or in any way determines  $\langle \phi \rangle_{\theta}$  through  $O(\delta^2)$
- Symmetry  $\Rightarrow$  free toroidal rotation of flux surfaces  
 $\Rightarrow$  rotation  $\sim \partial\phi/\partial\psi \Rightarrow$  free  $\langle \phi \rangle_{\theta}$  (without viscosity)
  - No poloidal symmetry  $\Rightarrow$  relation between  $V_{i\parallel}$  &  $\partial\phi/\partial\psi$
- Viscosity ( $\pi_{\psi\zeta}$ )  $\Rightarrow$  toroidal momentum transport  
 $\Rightarrow$  constraint on rotation and  $\phi(\psi)$ 
  - $\pi_{\psi\zeta} \sim$  transport coeff.  $\times \nabla(nM\mathbf{V}) \sim (\delta\rho v_i) \times (nM\delta v_i/L) \sim \delta^3 p$

# Intrinsic ambipolarity & viscosity

- Flux surface average of toroidal angular momentum conservation ( $\partial/\partial t = 0$ )

$$\frac{1}{V'} \frac{\partial}{\partial \psi} V' \left\langle c R^2 \nabla \psi \cdot \vec{\pi}_i \cdot \nabla \zeta \right\rangle_\theta = \left\langle R^2 (\mathbf{J} \times \mathbf{B}) \cdot \nabla \zeta \right\rangle_\theta = \left\langle \mathbf{J} \cdot \nabla \psi \right\rangle_\theta = 0$$

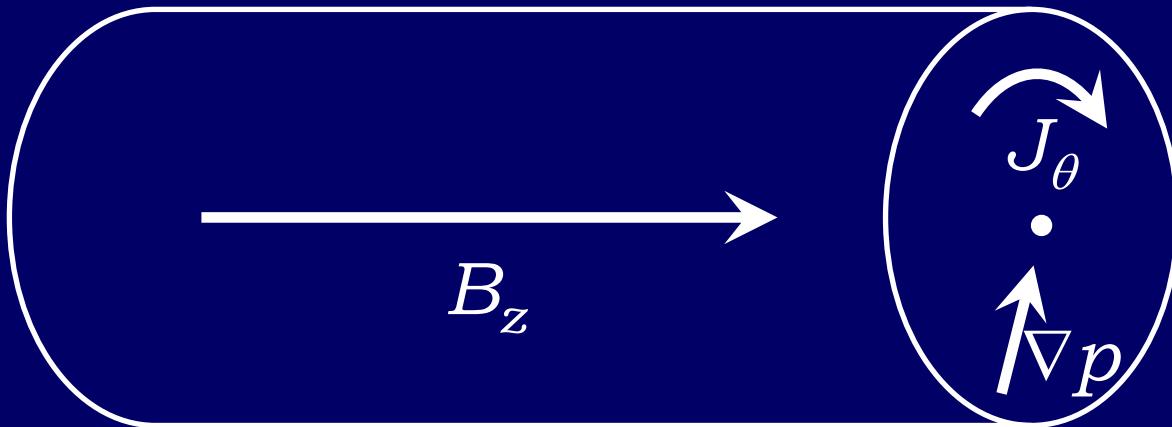
Here  $V'$  ≡ volume of a flux surface

$$\vec{\pi}_i = \vec{P}_i - p_{i\perp} \vec{I} - (p_{i\parallel} - p_{i\perp}) \hat{\mathbf{b}} \hat{\mathbf{b}}, \text{ where } \vec{P}_i = \int d^3v f_i M v v$$

- $\langle \phi \rangle_\theta$  solved from  $\left\langle R^2 \nabla \psi \cdot \vec{\pi}_i \cdot \nabla \zeta \right\rangle_\theta = 0$

- In general stellarators, no direction similar to  $\zeta$   
⇒ it is enough to keep  $(p_{\parallel} - p_{\perp})$

# $\theta$ - pinch



□ For  $k_{\perp}L \sim 1$ , steady-state  $\theta$ -pinch, to  $O(\delta^2)$

$$\langle \dot{\mathbf{R}} \rangle \cdot \left( \nabla_{\mathbf{R}} \langle f_i \rangle - \frac{Ze}{M} \nabla_{\mathbf{R}} \langle \phi \rangle \frac{\partial \langle f_i \rangle}{\partial E} \right) = \langle C\{f_i\} \rangle$$

□ For axisymmetric solutions,  $\langle C\{f_i\} \rangle = 0$

# $\theta$ - pinch solution

- With Krook  $C\{f\} = -\nu(f - f_M)$  and  $\langle \dots \rangle$  to  $O(\delta^2 f_M)$

$$\langle f_i \rangle = \langle f_M \rangle = f_{M0} \left[ 1 - \frac{Mv_\perp^2}{2p_i} \nabla \cdot \left( \frac{cn_i}{B\Omega} \nabla_\perp \phi \right) + \left( 2 - \frac{Mv_\perp^2}{2T_i} \right) \frac{Mc^2}{2T_i B^2} |\nabla_\perp \phi|^2 + \dots \right]$$

with  $f_M = n_i \left( \frac{M}{2\pi T_i} \right)^{3/2} \exp \left( - \frac{M(v - V_i)^2}{2T_i} \right)$ ,  $f_{M0} = n_i \left( \frac{M}{2\pi T_i} \right)^{3/2} \exp \left( - \frac{ME}{T_i} \right)$

- To find  $\langle \phi \rangle_\theta$ , QN needed to  $O(\delta^2 n)$  (valid for any  $n_e$ )

$$-\nabla \cdot \left( \frac{Zcn_i}{B\Omega} \nabla_\perp \phi \right) + \frac{Zn_i Mc^2}{2T_i B^2} |\nabla_\perp \phi|^2 = Z\hat{N}_i - n_e$$

with  $\hat{N}_i = \int d^3v \bar{f}_i(\mathbf{r}, E_0, \mu_0, t) \left( 1 + \frac{v_{||}}{\Omega} \hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} \right) + (\vec{\mathbf{I}} - \hat{\mathbf{b}}\hat{\mathbf{b}}) : \frac{\nabla \nabla p_i}{2M\Omega^2}$

# $\theta$ - pinch and tokamak potential

- Substitute  $f_i$  into QN  $\Rightarrow 0 = 0$   $\Rightarrow$  any  $\langle \phi \rangle_\theta$  satisfies!
- Typically,  $\delta^2 f_M$  terms MISSING  $\Rightarrow$  non physical  $\langle \phi \rangle_\theta$   
But even with  $\delta^2 f_M$  terms,

1. 
$$f_i(t=0) = f_{M0} \left[ 1 - \frac{M\nu_\perp^2}{2p_i} \nabla \cdot \left( \frac{cn_i}{B\Omega} \nabla_\perp G \right) + \dots \right], \quad G \text{ arbitrary}$$

2. Use QN to find :  $\phi = G$
3.  $f_i$  steady-state solution:  $f_i = f_i(t=0)$  and  $\phi = G$  at any  $t$

- Only need  $f_i$  to  $O(\delta^2 f_M)$  if using  $\langle J_r \rangle_\theta = 0 \Rightarrow \pi_{r\theta} = 0$

$$Ze \frac{\partial \phi}{\partial r} + \frac{1}{n_i} \frac{\partial p_i}{\partial r} = rB \int dr \frac{3}{rB} \frac{\partial T_i}{\partial r} \left[ \frac{5}{3} \frac{\partial}{\partial r} \ln B - \frac{\partial}{\partial r} \ln \left( \frac{p_i}{r} \frac{\partial T_i}{\partial r} \right) \right] \sim \frac{\partial T_i}{\partial r}$$

- Same in tokamaks. In both,  $\pi_{\psi\zeta} = 0$  gives  $\langle \phi \rangle_\theta$  at  $\delta^3 p$

# Vorticity for $\delta f$ models

- For  $k_{\perp}\rho \sim 1$ ,  $\partial/\partial t$  of quasineutrality

$$\frac{\partial \rho_{cp}}{\partial t} - \nabla \cdot \left[ \frac{c\rho_{cp}}{B} \nabla \phi \times \hat{\mathbf{b}} \right] = \nabla \cdot \left[ J_{||} \hat{\mathbf{b}} + \mathbf{J}_{dg} + \tilde{\mathbf{J}}_i \right] + \text{coll.}$$

where  $\rho_{cp}$  is the polarization charge density

$$\rho_{cp} = - \int d^3v \frac{Z^2 e^2 \tilde{\phi}}{T_i} f_M \xrightarrow{k_{\perp}\rho \rightarrow 0} \nabla \cdot \left( \frac{Ze cn_i}{B\Omega} \nabla_{\perp} \phi \right)$$

$$\mathbf{J}_{dg} \approx \frac{cp_{\perp}}{B} \hat{\mathbf{b}} \hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} + \frac{cp_{\perp}}{B^2} \hat{\mathbf{b}} \times \nabla B + \frac{cp_{||}}{B} \hat{\mathbf{b}} \times \kappa$$

- Must construct algorithm to recover intrinsic ambipolarity  $\Rightarrow \langle \phi \rangle_{\theta}$  constant in time

$$\frac{\partial \langle \rho_{cp} \rangle_{\theta}}{\partial t} = \langle \nabla \cdot (\dots) \rangle_{\theta} = 0 \text{ at } k_{\perp} L \sim 1$$

# Moment approach

- Solve a gyrokinetic equation for  $(f - f_M)$
- Find  $n_e$ ,  $\mathbf{V}_i$ ,  $\mathbf{J}$ ,  $T_i$ ,  $T_e$  and  $\phi$  from moment equations

$$\frac{\partial n_e}{\partial t} + \nabla \cdot (n_e \mathbf{V}_e) = 0$$

$$\nabla \cdot \mathbf{J} = 0$$

$$\frac{\partial}{\partial t} (n_i M \mathbf{V}_i) + \nabla \cdot [p_{\perp} \vec{\mathbf{I}} + (p_{||} - p_{\perp}) \hat{\mathbf{b}} \hat{\mathbf{b}} + \vec{\pi}_i] = \frac{1}{c} \mathbf{J} \times \mathbf{B}$$

$$\nabla \cdot [p_{e\perp} \vec{\mathbf{I}} + (p_{e||} - p_{e\perp}) \hat{\mathbf{b}} \hat{\mathbf{b}}] = e n_e \nabla \phi - \frac{e n_e}{c} \mathbf{V}_e \times \mathbf{B} + \mathbf{F}_{ei}$$

$$\frac{\partial}{\partial t} \left( \frac{3}{2} n_i T_i \right) + \nabla \cdot \mathbf{Q}_i = -Z e n_i \mathbf{V}_i \cdot \nabla \phi - W_{ei}$$

$$\frac{\partial}{\partial t} \left( \frac{3}{2} n_e T_e \right) + \nabla \cdot \mathbf{Q}_e = e n_e \mathbf{V}_e \cdot \nabla \phi + W_{ei}$$

# Energy and momentum fluxes

- Moments of the Fokker Planck equation  $\Rightarrow$  higher order results than direct evaluation of  $\mathbf{Q}_i$ ,  $\mathbf{Q}_e$  and  $\vec{\boldsymbol{\pi}}_i$
- Using the  $Mv^2\mathbf{v}/2$  moment

$$\begin{aligned}\mathbf{Q}_{i\perp} = & \frac{1}{\Omega} \hat{\mathbf{b}} \times \nabla \cdot \left[ \int d^3v \frac{Mv^2}{2} \mathbf{v} \mathbf{v} f_i \right] - \left( \frac{1}{2} p_{i\parallel} + 2 p_{i\perp} \right) \frac{c}{B} \nabla \phi \times \hat{\mathbf{b}} \\ & + \frac{c}{B} \hat{\mathbf{b}} \times (\vec{\boldsymbol{\pi}}_i \cdot \nabla \phi) - \frac{1}{\Omega} \hat{\mathbf{b}} \times \int d^3v \frac{Mv^2}{2} \mathbf{v} C\{f_i\} - \frac{\partial}{\partial t} \left[ \frac{1}{\Omega} \mathbf{Q}_{i\perp}^{(0)} \times \hat{\mathbf{b}} \right]\end{aligned}$$

- Using the  $Mvv$  moment,

$$\vec{\boldsymbol{\pi}}_i = \frac{1}{4\Omega} \left[ \hat{\mathbf{b}} \times \vec{\mathbf{K}} \cdot \left( \vec{\mathbf{I}} + 3\hat{\mathbf{b}}\hat{\mathbf{b}} \right) - \left( \vec{\mathbf{I}} + 3\hat{\mathbf{b}}\hat{\mathbf{b}} \right) \cdot \vec{\mathbf{K}} \times \hat{\mathbf{b}} \right]$$

$$\vec{\mathbf{K}} = \nabla \cdot \left[ \int d^3v Mvv \mathbf{v} f_i \right] + Zen_i (\nabla \phi \mathbf{V}_i + \mathbf{V}_i \nabla \phi) - \int d^3v Mvv C\{f_i\} + \frac{\partial \vec{\boldsymbol{\pi}}_i^{(0)}}{\partial t}$$

# Vorticity in general

- Plugging  $\mathbf{J}_\perp$  from momentum into  $\nabla \cdot \mathbf{J} = 0$

$$\frac{\partial \varpi}{\partial t} = \nabla \cdot \left[ J_{||} \hat{\mathbf{b}} + \mathbf{J}_d + \frac{c}{B} \hat{\mathbf{b}} \times (\nabla \cdot \vec{\boldsymbol{\pi}}_i) \right]$$

$$\text{Vorticity} \equiv \varpi = \nabla \cdot \left( \frac{Ze}{\Omega} n_i \mathbf{V}_i \times \hat{\mathbf{b}} \right) \cong \nabla \cdot \left[ \frac{Zecn_i}{B\Omega} \nabla_\perp \phi + \frac{c}{B\Omega} (\nabla \cdot \vec{\mathbf{P}}_i) \Big|_\perp \right]$$

$$\mathbf{J}_d = \frac{cp_\perp}{B} \hat{\mathbf{b}} \hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} + \frac{cp_\perp}{B^2} \hat{\mathbf{b}} \times \nabla B + \frac{cp_{||}}{B} \hat{\mathbf{b}} \times \boldsymbol{\kappa}$$

- Electron dynamics along  $\mathbf{B}$  determine  $\hat{\mathbf{b}} \cdot \nabla \phi$ 
  - $J_{||}$  must adapt to provide the right value of  $\hat{\mathbf{b}} \cdot \nabla \phi$
  - $\vec{\boldsymbol{\pi}}_i$  matters for  $k_\perp \rho \sim 1$  due to gradients  $\sim \rho^{-1}$
  - $\vec{\boldsymbol{\pi}}_i$  matters for  $k_\perp L \sim 1$  determines rotation  $\Rightarrow \langle \phi \rangle_\theta$
- ⟨Vorticity⟩<sub>θ</sub> gives conservation of toroidal momentum

# Tokamak vs. Stellarator

## □ Vorticity

$$\frac{\partial \varpi}{\partial t} = \nabla \cdot \left[ J_{||} \hat{\mathbf{b}} + \mathbf{J}_d + \frac{c}{B} \hat{\mathbf{b}} \times (\nabla \cdot \vec{\boldsymbol{\pi}}_i) \right]$$

$$\mathbf{J}_d = \frac{cp_{\perp}}{B} \hat{\mathbf{b}} \hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} + \frac{cp_{\perp}}{B^2} \hat{\mathbf{b}} \times \nabla B + \frac{cp_{||}}{B} \hat{\mathbf{b}} \times \boldsymbol{\kappa}$$

□ For tokamaks,  $\partial \varpi / \partial t = F(\boldsymbol{\pi}_i) = O(\delta^4 e n_e v_i / L)$

$$\Rightarrow \Delta t \sim L^2 / D_{gB} \sim L / \delta^2 v_i$$

□ For stellarators,  $\partial \varpi / \partial t = F(J_d) = O(\delta^2 e n_e v_i / L)$

$$\Rightarrow \Delta t \sim L / v_i$$

# Comparison with full $f$ approach

- Full  $f$  solves for all time and length scales!!  
Moment approach can separate scales
- Current gyrokinetic equations only good to  $O(\delta)$  but  
need  $O(\delta^2)$  to see radial transport  
**Do full  $f$  models retain the right radial transport?**
- For  $k_{\perp}L \sim 1$ , take moment  $G$  of gyrokinetic equation

$$\begin{aligned}\frac{\partial}{\partial t} \int d^3v G f_i + \nabla \cdot \left\{ \int d^3v G f_i [u \hat{\mathbf{b}}(\mathbf{R}) + \mathbf{v}_d] \cdot \nabla_{\mathbf{R}} \mathbf{r} \right\} &= \int d^3v G \frac{Ze}{M} \frac{\partial \tilde{\phi}}{\partial t} \left( \frac{\partial f_i}{\partial E} + \frac{1}{B} \frac{\partial f_i}{\partial \mu} \right) \\ &\quad + \int d^3v f_i \left[ \frac{\partial G}{\partial t} \Big|_{\mathbf{r}, \mathbf{v}} + \dot{\mathbf{R}} \cdot \nabla_{\mathbf{R}} G + \dot{E} \frac{\partial G}{\partial E} \right] + \int d^3v G \langle C \{f_i\} \rangle\end{aligned}$$

# Particle and energy transport in full $f$

- Flux surface averaging moment  $Mv^2/2 = ME_0$

$$\frac{\partial}{\partial t} \left\langle \frac{3}{2} n_i T_i \right\rangle_\theta + \frac{1}{V'} \frac{\partial}{\partial \psi} V' \left\langle \int d^3 v \frac{Mv^2}{2} f_i \left( \mathbf{v}_{M0} - \frac{c}{B} \nabla \phi \times \hat{\mathbf{b}} \right) \cdot \nabla \psi \right\rangle_\theta = \\ - Ze \left\langle \nabla \phi \cdot \int d^3 v f_i (v_{||} \hat{\mathbf{b}} + \mathbf{v}_{M0}) \right\rangle_\theta + \dots$$

Except for neoclassical pieces, it coincides with moment approach! Similar for particle transport

- Full  $f$  models retain the turbulent radial particle and energy transport at  $k_\perp L \sim 1$
- BUT do not keep the particle radial transport to high enough order to compute  $\langle \phi \rangle_\theta$

# Conclusions

- Intrinsic ambipolarity means  $f_i$  to  $O(\delta^2 f_M)$  doesn't determine  $\langle \phi \rangle_\theta$  in axisymmetric,  $k_\perp L \sim 1$  limit
  - Explicit demonstration given for  $\theta$ -pinch
- Typically, gyrokinetic  $f$  only to  $O(\delta) \Rightarrow$  faulty  $\langle \phi \rangle_\theta$
- First solution: vorticity equation for  $\delta f$  codes that insures  $\partial \rho_{cp} / \partial t = 0$  for axisymmetry and  $k_\perp L \sim 1$
- Second solution: moment approach
  - Moment approach only requires  $f_i$  to  $O(\delta^2 f_M)$
- Full  $f$  codes may recover the right particle and energy transport but not the right electric field