

**Problem set 2**  
**Groups and the Lorentz transformation**

**Problem 1: Derivation of the rotation formula**

Consider a vector  $\mathbf{v} = (v_x, v_y, v_z)$ . A rotation by an angle  $\theta$  around an axis defined by the unit vector  $\hat{\mathbf{u}} = (u_x, u_y, u_z)$  transforms  $\mathbf{v}$  into  $\mathbf{v}' = R\mathbf{v}$  where:

$$R(\theta, \hat{\mathbf{u}}) = I + (1 - \cos \theta) (\hat{\mathbf{u}} \cdot \mathbf{J})^2 + \sin \theta \hat{\mathbf{u}} \cdot \mathbf{J},$$

with:

$$\hat{\mathbf{u}} \cdot \mathbf{J} = \begin{pmatrix} 0 & -u_z & u_y \\ u_z & 0 & -u_x \\ -u_y & u_x & 0 \end{pmatrix}.$$

Derive the rotation formula:

$$\mathbf{v}' = \mathbf{v} \cos \theta + (\mathbf{u} \times \mathbf{v}) \sin \theta + \mathbf{u} (\mathbf{u} \cdot \mathbf{v}) (1 - \cos \theta).$$

This formula may also be derived directly from geometric arguments.

**Problem 2: Lorentz transformation for a boost in an arbitrary direction**

Consider a frame (S) with origin  $O$  and another frame (S') with origin  $O'$  moving with velocity  $\mathbf{v} = v\hat{\mathbf{n}}$  relative to (S), where  $\hat{\mathbf{n}}$  is a unit vector. At time  $t = 0$  (as measured in frame S), the origins  $O$  and  $O'$  coincide.

The transformation matrix for a boost with velocity  $\mathbf{v}$  is given by:

$$\Lambda = e^{-\zeta \cdot \mathbf{K}},$$

where  $\zeta = \zeta \hat{\mathbf{n}}$  is the boost vector and  $\mathbf{K} = K_x \hat{\mathbf{x}} + K_y \hat{\mathbf{y}} + K_z \hat{\mathbf{z}}$ , with  $\hat{\mathbf{x}}$ ,  $\hat{\mathbf{y}}$  and  $\hat{\mathbf{z}}$  being unit vectors along the  $x$ -,  $y$ - and  $z$ -axes, respectively. The  $K_i$  represent the generators of the boost:

$$K_x = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad K_y = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad \text{and} \quad K_z = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}.$$

a) Show that  $(\hat{\mathbf{n}} \cdot \mathbf{K})^3 = \hat{\mathbf{n}} \cdot \mathbf{K}$ , and use this result to derive:

$$\Lambda = I - (\sinh \zeta) \hat{\mathbf{n}} \cdot \mathbf{K} + (\cosh \zeta - 1) (\hat{\mathbf{n}} \cdot \mathbf{K})^2.$$

- b) Given that the trajectory of  $O'$  is  $\mathbf{r} = \mathbf{v}t$  in frame (S) and  $\mathbf{r}' = \mathbf{0}$  in frame (S'), where  $\mathbf{r}$  and  $\mathbf{r}'$  are the spatial position vectors, show that  $\tanh \zeta = \beta$ , where  $\beta \equiv \mathbf{v}/c$ . Using this result, express  $\Lambda$  in terms of  $\gamma = (1 - \beta^2)^{-1/2}$  and the components of  $\beta$ .
- c) Show that the transformation matrix  $\Lambda$  can also be derived by expressing a boost along an arbitrary direction  $\hat{\mathbf{n}}$  as a sequence of operations: a rotation, a boost along one of the coordinate axes, followed by another rotation. [*Hint*: It might be useful to recall that for a rotation by an angle  $\theta$  around an axis aligned with the unit vector  $\hat{\mathbf{u}}$ , the rotation matrix is given by  $R(\theta, \hat{\mathbf{u}}) = I + (1 - \cos \theta)(\hat{\mathbf{u}} \cdot \mathbf{J})^2 + \sin \theta \hat{\mathbf{u}} \cdot \mathbf{J}$ ].

### Problem 3: Decomposing a Lorentz transformation into boost and rotation

We consider a matrix  $L$  that belongs to  $\text{SO}(1, 3)$ , meaning it satisfies  $L^\top g L = g$ .

- a) Express the inverse matrix  $L^{-1}$  in terms of the components of  $L$ , and show that:

$$\begin{aligned} L_{00}^2 - L_{01}^2 - L_{02}^2 - L_{03}^2 &= 1, \\ L_{00}L_{j0} - L_{0k}L_{jk} &= 0, \quad \text{for } j = 1, 2, 3. \end{aligned}$$

- b) Let  $O$  and  $O'$  denote the origins of frames (S) and (S'), respectively, and let  $\mathbf{v}$  represent the velocity of  $O'$  relative to frame (S). We assume that  $O$  and  $O'$  coincide at  $t = 0$ . By relating the coordinates of  $O'$  in frame (S) to those in frame (S'), show that  $\beta_i \equiv v_i/c = -L_{0i}/L_{00}$ . Then, show that  $L_{00} = \gamma \equiv (1 - \beta^2)^{-1/2}$ .
- c) Let  $\Lambda(\beta)$  denote the transformation matrix corresponding to a boost with velocity  $\mathbf{v} = \beta c$ , and define the matrix  $R = L\Lambda^{-1}(\beta) = L\Lambda(-\beta)$ . Show that  $R$  belongs to  $\text{SO}(1, 3)$ . Using the expression for  $\Lambda(\beta)$  in terms of  $\gamma$  and the components of  $\beta$  from problem 2, show that  $R_{00} = 1$  and  $R_{i0} = R_{0i} = 0$  for  $i = 1, 2, 3$ . Argue that  $R$  represents a rotation. This demonstrates that  $L = R\Lambda(\beta)$ , decomposing the Lorentz transformation into a boost and a spatial rotation.

### Problem 4: Lorentz transformations and their expressions through $\text{SU}(2)$

$\text{SU}(2)$  is the group of unitary  $2 \times 2$  complex matrices with determinant 1. This means that if  $M \in \text{SU}(2)$ , then  $M^\dagger M = MM^\dagger = I$  and  $\det(M) = 1$ , where  $M^\dagger$  is the Hermitian adjoint (complex conjugate transpose) of  $M$ .

- a) Show that the group has three independent generators.

- b) Let  $J_i$ , for  $i = 1, 2, 3$ , be three generators of  $SU(2)$ . A matrix  $M$  in  $SU(2)$  can be written to first order in some small (complex) parameters  $z_1, z_2$  and  $z_3$  as  $M = I + iz_1J_1 + iz_2J_2 + iz_3J_3$ . Show that the matrices  $K_i = z_iJ_i$  are Hermitian, meaning  $K_i^\dagger = K_i$ . Express the components of  $K_i$  in terms of real numbers, and show that  $M$  can be written as  $M = I - i(a_1\sigma_1 + a_2\sigma_2 + a_3\sigma_3)$ , where the  $a_i$  are real numbers and the  $\sigma_i$  are the Pauli spin matrices, given by:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

[Hint: Consider first the case where  $z_2 = z_3 = 0$ ]

- c) We now establish a connection between  $SU(2)$  and  $SO(1,3)$ . Show that any  $2 \times 2$  Hermitian complex matrix  $S$  can be expressed as  $ctI + x\sigma_1 + y\sigma_2 + z\sigma_3$ , where  $ct, x, y$  and  $z$  are real numbers. Calculate the determinant of  $S$ . Now, consider a  $2 \times 2$  matrix  $L$  such that  $\det(L) = 1$ , and define the transformation  $S' = LSL^\dagger$ . Show that  $S'$  is Hermitian and can be interpreted as representing a new spacetime position vector  $X'$ , which is a Lorentz transformation of the original spacetime position vector  $X = (ct, x, y, z)^\top$ .
- d) We first consider the case where the transformation corresponds to a boost. Assume that  $L$  is of the form:

$$L = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix},$$

with  $a$  and  $b$  real, and  $\det(L) = ab = 1$ . Show that the transformation  $LSL^\dagger$  represents a boost along the  $z$ -axis. Further, demonstrate that  $L$  can be written as  $L = (\cosh q)I - (\sinh q)\sigma_3$ , where  $q$  is the rapidity.

- e) Next, we consider the case where the transformation is a pure rotation. Under a rotation of the spatial coordinates, the time-dependent term remains unchanged, so we can focus on matrices of the form  $S = x\sigma_1 + y\sigma_2 + z\sigma_3$ . Show that the condition  $\text{tr}(S') = \text{tr}(S) = 0$  for all  $x, y$  and  $z$ , together with  $\det(L) = 1$ , implies that  $L$  is unitary. Hence,  $L$  can be expressed as:

$$L = e^{-i(a_1\sigma_1 + a_2\sigma_2 + a_3\sigma_3)},$$

where the  $a_i$  are real. Consider the case where  $a_1 = a_2 = 0$  and let  $a_3 = \theta$ . Show that:

$$L(0, 0, \theta) = e^{-i\theta\sigma_3} = \begin{pmatrix} e^{-i\theta} & 0 \\ 0 & e^{i\theta} \end{pmatrix}.$$

Which rotation in  $SO(3)$  does the transformation  $LSL^\dagger$  correspond to? Is there another element of  $SU(2)$  that corresponds to the same rotation in  $SO(3)$ ?