

# The 2-dimensional XY model

The  $O(n)$  models with  $n \geq 2$  are examples of models with a continuous (rather than a discrete - eg Ising) symmetry.

For these models, the Mermin-Wagner-Hohenberg-Coleman theorem states that there can be

if we write  $\vec{S}(r) = (s_1(r), \dots, s_n(r))$  with  $\vec{S}^2 = 1$  no ordered state.  $\langle \vec{S} \rangle = 0$

Suppose that  $\langle \vec{S} \rangle \neq 0$  points in the 1-direction

Write  $\vec{S} = (\sqrt{1-\sigma^2}, \vec{\sigma})$  where  $\vec{\sigma}$  has  $n-1$  components

$$\mathcal{H} = -\frac{1}{2}\beta \sum_{\vec{r}, \vec{r}'} J(\vec{r}-\vec{r}') \vec{S}(\vec{r}) \cdot \vec{S}(\vec{r}') \approx \text{const} + \frac{1}{2}K \int (\nabla \vec{\sigma})^2 d^d r$$

The effective action for the  $\vec{\sigma}$ -field (spin waves) is

with  $\langle \sigma_i(\vec{r}) \sigma_j(\vec{r}') \rangle = \frac{\delta_{ij}}{K} \int \frac{e^{ik \cdot (\vec{r}-\vec{r}')}}{k^2} \frac{d^d k}{(2\pi)^d}$   
↑ Brillouin zone

To next order  $\langle s_1(\vec{r}) \rangle = 1 - \frac{1}{2} \langle \vec{\sigma}^2(\vec{r}) \rangle$

$$= 1 - \frac{n-1}{2K} \int_{\text{BZ}} \frac{d^d k}{k^2 (2\pi)^d}$$

For  $d > 2$  this is finite, but for  $d \leq 2$  it diverges  $\Rightarrow$  the fluctuations destroy the ordered state.

$d=2$  is called the lower critical dimension

Although this theorem means there is no spontaneous magnetisation, for  $d=2$  it turns out that for  $n=2$  there is still a special kind of a phase transition.

$n=2, d=2$  is important because it describes thin film superconductors, superfluid He, as well as being mapped to other important problems. It also can be solved 'exactly' using the RG.

For  $n=2$  it is convenient to write  $\vec{S}(r) = (\cos \theta(r), \sin \theta(r))$

$$\mathcal{H} = -\frac{1}{2} \beta \sum_{r,r'} J(r-r') \cos(\theta(r) - \theta(r'))$$

At low  $T$ , we expect the fluctuations to be small, so expand the cosine. The result, in the naive continuum limit, is

$$\mathcal{H} = \text{const} + K \int \left[ \frac{1}{2} a^2 (\nabla \theta)^2 - u_4 a^4 (\nabla \theta)^4 + \dots \right] \frac{d^2 r}{a^2}$$

where  $K \propto \frac{\beta R^2 J}{a^2}$

Note that, by power counting,  $u_4$  etc are irrelevant, so

that  $\mathcal{H}$  flows into  $\mathcal{H}^* = \frac{1}{2} K \int (\nabla \theta)^2 d^2 r$

**[A]** This is exactly scale invariant:  $dk/dl = 0$ . Note that unlike  $\phi^4$  theory we cannot rescale  $\theta$  so that  $K=1$ , since  $\theta$  has period  $2\pi$ :  $K$  parametrises a line of fixed points

Let us compute the properties of this fixed point theory.

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$$\langle \theta(r_1) \theta(r_2) \rangle \equiv G(r_1 - r_2) = \frac{1}{K} \int_{\text{BZ}} \frac{e^{ik(r_1 - r_2)}}{k^2} \frac{d^2k}{(2\pi)^2}$$

This is divergent at  $k=0$ . However it turns out that physical quantities involve only

$$\begin{aligned} G(r_2) - G(0) &= -\frac{1}{K} \int \frac{1 - e^{ik(r_1 - r_2)}}{k^2} \frac{d^2k}{(2\pi)^2} \\ &\sim -\frac{1}{2\pi K} \ln\left(\frac{r}{a}\right) + \frac{C}{K} + \dots \end{aligned}$$

More interesting are the spin-spin correlators

$$\langle \vec{s}(r_1) \cdot \vec{s}(r_2) \rangle = \text{Re} \langle e^{i(\theta(r_1) - \theta(r_2))} \rangle$$

In a Gaussian theory

$$\begin{aligned} \langle e^{i(\theta(r_1) - \theta(r_2))} \rangle &= \langle 1 + i(\theta(r_1) - \theta(r_2)) - \frac{1}{2}(\theta(r_1) - \theta(r_2))^2 + \dots \rangle \\ &= e^{-\frac{1}{2}(\theta(r_1) - \theta(r_2))^2} + \text{nothing else} \\ &= e^{-(G(0) - G(r_{12}))} \sim \frac{\text{const.}}{r_{12}^{1/2\pi K}} \end{aligned}$$

so it decays with a continuous varying exponent  $\eta = \frac{1}{2\pi K} \propto T$

However, this cannot be valid at high enough  $T$ , where  $\langle \vec{s} \cdot \vec{s} \rangle$  should decay exponentially.

The reason is that vortices have been neglected - configs. where  $\nabla\theta$  is small almost everywhere, but  $\theta$  changes by  $2\pi n$  on going round a given point.

The energy of a vortex with  $\theta \sim \frac{2\pi}{n} \phi$  is

$$E = \frac{1}{2} K \int_a^L \left(\frac{n}{r}\right)^2 d^2r \sim \pi n^2 K \ln\left(\frac{L}{a}\right) \text{ so}$$

a single vortex has infinite energy as  $L \rightarrow \infty$ .

The Kosterlitz-Thouless criterion balances this against its entropy!

$$F = E - (T)S = \pi n^2 K \ln\left(\frac{L}{a}\right) - \ln\left(\frac{L}{a}\right)^2$$

$$\text{so for } K > \frac{2}{\pi} \quad \left( T < T_{KT} = \frac{\pi J R^2}{4 k_B a^2} \right)$$

vortices are suppressed

For  $T > T_{KT}$  they proliferate

A better way of doing this is through the RG:

let us introduce a fugacity  $y_0$  for vortices! All vortices have a coefficient  $y_0^N$ .

The terms of  $O(y_0^2)$  has energy given by substituting

$$\theta(r) = \phi(r-r_1) - \phi(r-r_2) \text{ into the action}$$

$$E(r_1, r_2) \sim 2\pi K \ln(r_{12}/a) + 2\pi K \tilde{C}$$

so the vortex-antivortex correlator is  $\propto \left(\frac{a}{r_{12}}\right)^{2\pi K}$

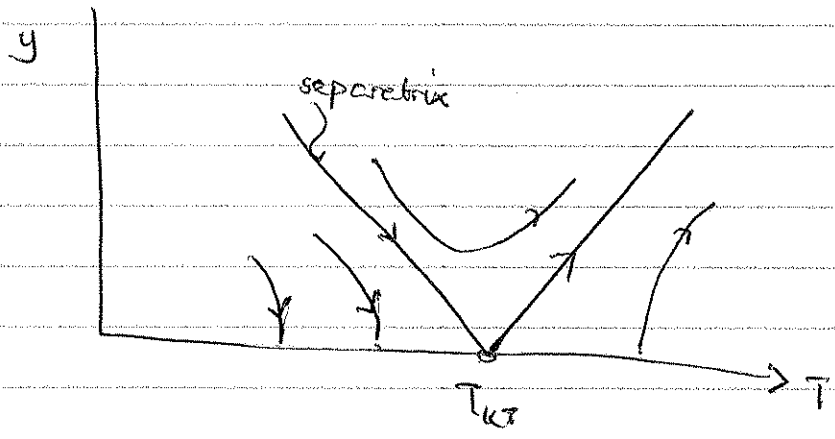
This means that  $x_V = \pi K$ ,  $y_V = 2 - \pi K$

$$\text{so } [y = y_0 e^{-\pi K \tilde{C}}]$$

$$\frac{dy}{dl} = (2 - \pi K)y$$

If we let  $x = 2\pi k$  then we also expect  $\frac{dx}{dl} = Ay^2$  where  $A$  is the OPE coeff.

RG flows



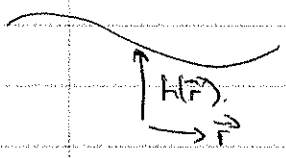
Features:

- low  $T$  phase :  $y \rightarrow 0$  i.e. Gaussian theory works  $\eta = \eta(T_{\infty})$ .  
 At  $T_c$   $\eta = \frac{1}{2\pi k (= \frac{2}{\pi})} = \frac{1}{4}$  exact

- high  $T$  phase : vortices proliferate.  
 can show that  $f \propto e^{b/\sqrt{T-T_c}}$

A related problem is the roughening transition

Imagine  $h(\vec{r})$  ( $\vec{r} \in \mathbb{R}^2$ ) as giving the height of a crystalline surface (for simplicity we take this to be simple cubic)



The energy has 2 terms:  
 surface tension  $\sigma \times \text{area}$   
 $\approx \sigma \int d^2r \sqrt{1 + (\nabla h)^2}$   
 $\approx \text{const} + \frac{1}{2} \sigma \int d^2r (\nabla h)^2$

and a term which says that  $h$  would like to be an integer multiple of  $a$ , lattice spacing.

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We could for example choose  $V(h) = -v_0 \cos(2\pi h/a)$

$$\text{So } S = \frac{E}{kT} = \frac{1}{2} \frac{\sigma}{kT} \int d^2r (\nabla h)^2 = v_0 \int d^2r \cos \frac{2\pi h}{a}$$

This is called a sine Gordon theory.

If we can neglect  $v_0$ , then

$$K = \frac{\sigma}{kT}$$

$$\langle (h(r) - h(0))^2 \rangle \sim G(0) - G(r) \propto \frac{1}{2\pi K} \ln \frac{r}{a}$$

- the surface is rough.

We can test for whether  $v_0$  is relevant by working out

$$\begin{aligned} \langle \cos \frac{2\pi h(r)}{a} \cos \frac{2\pi h(0)}{a} \rangle &\sim \text{Re } e^{i \frac{2\pi}{a} (h(r) - h(0))} \\ &\sim e^{-\left(\frac{2\pi}{a}\right)^2 [G(0) - G(r)]} \sim \frac{1}{r^{\frac{1}{2\pi K} \left(\frac{2\pi}{a}\right)^2}} \end{aligned}$$

$$\text{So } x_{v_0} = 2 \frac{k_B T}{\sigma a^2}$$

$$y_{v_0} = 2 - x_{v_0}$$

If  $x_{v_0} > 2$   $v_0$  is irrelevant i.e.  $T > T_R = \frac{\sigma a^2}{\pi k_B}$

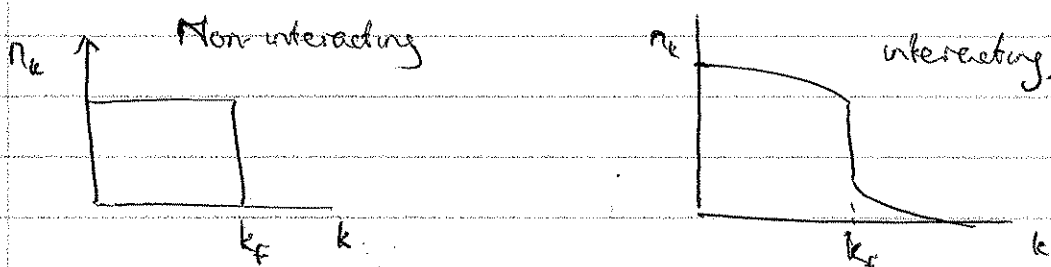
& the surface is smooth.

For  $T < T_R$  it feels the crystalline structure and it is smooth or faceted.

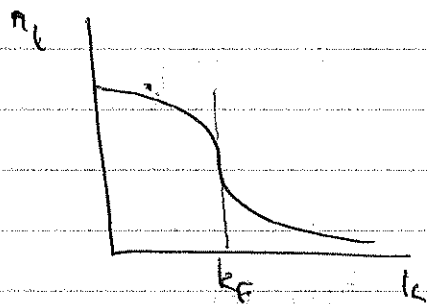
# Bosonization in 1+1 dimensions

Interacting Fermions are an obvious problem of importance in

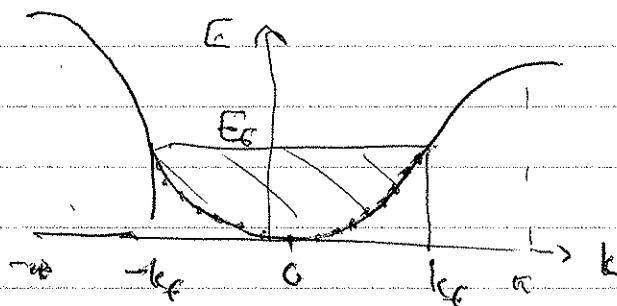
condensed matter theory: eg electrons in a metal. Fortunately there is a simple qualitative (and quantitative) phenomenological theory which works very well for  $d \geq 2$ : Fermi liquid theory. It turns out that, for reasons of phase space an interacting Fermi gas is not qualitatively that much different from a free gas. eg. the 1-particle momentum distribution at  $T=0$ :



However, in  $d=1$ , things are very different: there is no discontinuity in  $n_k$ . Instead, there is a (non-universal) power law singularity at  $k_f$ :



If we think about a non-interacting Fermi gas in  $d=1$ , its dispersion relation looks like



Depending on the electron density, the Fermi sea at  $T=0$  is filled to  $E_f \leftrightarrow k_f$ .

One of the important excitations at low  $T$  consists of moving a particle from  $E \lesssim E_f$  to  $E \gtrsim E_f$  - exciting a particle-hole pair. This behaves like a boson. Bosonization is a way of deriving an effective low energy theory for these excitations.

Bosonization of a fermion with one chirality

For simplicity consider to begin with the excitations around  $k_f$ , and ignore spin. [These actually occur in the edge states of the quantum Hall effect].

We introduce a second-quantized Fermi field

$$\psi_R(x) = \frac{1}{\sqrt{L}} \sum_{k=-\infty}^{\infty} C_{R,k} e^{ikx} e^{i k_f x}$$

$\frac{N_B}{L} \downarrow$   
 $k = \frac{2\pi}{L} n_k$   
 $\uparrow$   
 integer.

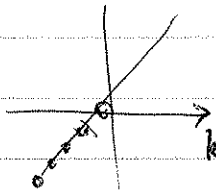
where  $\{C_{R,k}, C_{R,k'}\} = 0$

$\{C_{R,k}, C_{R,k'}\} = \delta_{kk'}$

The Fermi sea is defined by

$C_{R,k} |0\rangle = 0 \quad k > 0$

$C_{R,k}^\dagger |0\rangle = 0 \quad k \leq 0$



Fermion number operator  $\hat{N}_R = \sum_{k=-\infty}^{\infty} C_{R,k}^\dagger C_{R,k} \equiv \sum_{k>0} C_{R,k}^\dagger C_{R,k} - \sum_{k\leq 0} C_{R,k} C_{R,k}^\dagger$

so  $\hat{N}_R |0\rangle = 0$ .

Now define bosonic operators that create & destroy particle-hole pairs

$$b_{R,q}^\dagger \equiv C_1^\dagger C_0 + C_2^\dagger C_1 + C_3^\dagger C_2 + \dots = \frac{1}{\sqrt{n_q}} \sum_{k=-\infty}^{\infty} C_{R,k+q}^\dagger C_{R,k} \quad (q > 0)$$

$$b_{R,q} \equiv C_0 C_1 + C_1 C_2 + C_2 C_3 + \dots = \frac{1}{\sqrt{n_q}} \sum_{k=-\infty}^{\infty} C_{R,k} C_{R,k+q}$$

$(n_q = \frac{qL}{2\pi})$



Note that  $b$  &  $b^\dagger$  commute with  $\hat{N}_R$ .

$$\begin{aligned}
 [b_\varrho, b_{\varrho'}^\dagger] &\propto \sum_{k, k'} [c_k^\dagger c_{k+\varrho} c_{k'}^\dagger c_{k'+\varrho'}^\dagger - c_{k'}^\dagger c_{k'+\varrho'}^\dagger c_k^\dagger c_{k+\varrho}] \\
 &= \sum_{k, k'} [c_k^\dagger c_{k'+\varrho'}^\dagger \delta_{k+\varrho, k'} - c_{k'}^\dagger c_{k+\varrho}^\dagger \delta_{k'+\varrho', k}] \\
 &= \sum_{k \in \mathbb{Z}} [c_k^\dagger c_{k+\varrho+\varrho'}^\dagger] - \sum_{k'} [c_{k'}^\dagger c_{k'+\varrho+\varrho'}^\dagger] = 0.
 \end{aligned}$$

$$\begin{aligned}
 [b_\varrho, b_{\varrho'}^\dagger] &= \frac{1}{\sqrt{n_\varrho}} \frac{1}{\sqrt{n_{\varrho'}}} \sum_{k, k'} [c_k^\dagger c_{k+\varrho} c_{k'+\varrho'}^\dagger c_{k'}^\dagger - c_{k'+\varrho'}^\dagger c_{k'}^\dagger c_k^\dagger c_{k+\varrho}] \\
 &= \frac{1}{\sqrt{n_\varrho}} \frac{1}{\sqrt{n_{\varrho'}}} \sum_{k, k'} [c_k^\dagger c_{k'}^\dagger \delta_{k+\varrho, k'+\varrho'} - c_{k'+\varrho'}^\dagger c_{k+\varrho}^\dagger \delta_{k', k}]
 \end{aligned}$$

For  $\varrho = \varrho'$  this looks like it is zero, but we have to be careful with the infinite sum over  $k$ .

The energy of one of these excitations is  $v_F |2k + \varrho|$   
 so impose a cut-off  $|k + \frac{1}{2}\varrho| < \Lambda$

Then eg. for  $\varrho = \varrho'$  we get

$$\begin{aligned}
 &\frac{1}{n_\varrho} \sum_{|k + \frac{1}{2}\varrho| < \Lambda} (c_k^\dagger c_k - c_{k+\varrho}^\dagger c_{k+\varrho}^\dagger) \\
 &\quad \begin{array}{c} \text{---} \Lambda \quad k \quad k+\varrho \quad \Lambda \\ \text{---} -\Lambda - \frac{1}{2}\varrho \quad k \quad \Lambda - \frac{1}{2}\varrho \end{array} \\
 &= \frac{1}{n_\varrho} \left[ \sum_{-\Lambda - \frac{1}{2}\varrho < k < \Lambda - \frac{1}{2}\varrho} c_k^\dagger c_k - \sum_{-\Lambda + \frac{1}{2}\varrho < k < \Lambda + \frac{1}{2}\varrho} c_k^\dagger c_k \right] \\
 &= \frac{1}{n_\varrho} \left[ \sum_{-\Lambda - \frac{1}{2}\varrho < k < -\Lambda + \frac{1}{2}\varrho} c_k^\dagger c_k - \sum_{\Lambda - \frac{1}{2}\varrho < k < \Lambda + \frac{1}{2}\varrho} c_k^\dagger c_k \right].
 \end{aligned}$$

These are the numbers of particles in a range  $|\varrho|$  about  $\pm\Lambda$ . If we insist that these are not changed by the dynamics then we get 1, from the first term. Similarly if  $\varrho \neq \varrho'$  we get  $\sum_{|k - \frac{1}{2}\varrho| < \Lambda} c_k^\dagger c_{k+\varrho+\varrho'}^\dagger = 0$ .

so  $[b_\varrho, b_{\varrho'}^\dagger] = \delta_{\varrho\varrho'}$

Once we have bosonic creation & annihilation operators we can define fields

$$\chi_R(x) = \frac{i}{2\sqrt{\omega}} \sum_{q>0} \frac{1}{\sqrt{n_q}} b_{R,q} e^{iqx}$$

&  $\chi_R^\dagger$

$$[\chi_R(x), \chi_R^\dagger(x')] = + \frac{1}{4\omega} \sum_q \frac{1}{n_q} e^{iq(x-x')}$$

$$= -\frac{1}{4\omega} \sum_q \ln(1 - e^{\frac{2\omega i}{L} q(x-x')}) \quad \downarrow \text{recall } q = \frac{2\omega n_q}{L}$$

$$= -\frac{1}{4\omega} \ln \left[ \frac{2\omega}{L} i(x-x') \right]$$

Defining  $\phi_R(x) = \chi_R(x) + \chi_R^\dagger(x)$

$$[\phi_R(x), \phi_R(x')] = \frac{1}{4\omega} \ln \left[ \frac{-i(x-x')}{+i(x-x')} \right] = -\frac{i}{4} \text{sgn}(x-x')$$

We need formulas which connect the original fermion field  $\psi_R(x)$  to  $\phi_R$ . (or rather operators in terms of each of these).

The fermion density is

$$P_R(x) = : \psi_R^\dagger(x) \psi_R(x) :$$

$$= \frac{1}{L} \sum_{k,k'} c_{R,k}^\dagger c_{R,k'} e^{-i(k-k')x} \quad k' = k+q$$

$$= \frac{1}{L} \sum_{q>0} \sum_k \underbrace{c_{R,k}^\dagger c_{R,k+q}}_{\sqrt{n_q} b_{R,q}} e^{iqx} + \frac{1}{L} \sum_{q>0} \sum_k \underbrace{c_{R,k+q}^\dagger c_{R,k}}_{\sqrt{n_q} b_{R,q}^\dagger} e^{-iqx}$$

$$= -\frac{1}{\sqrt{\omega}} \frac{\partial \phi_R}{\partial x}$$

Similarly we can work out that

$$[b_{R_2}, \psi_R(x)] = -\frac{e^{-iqx}}{\sqrt{n_2}} \psi_R(x)$$

$$\text{so } b_{R_2} \psi_R(x) |0\rangle = -\frac{e^{-iqx}}{\sqrt{n_2}} \psi_R(x) |0\rangle$$

$$\rightarrow \left[ \frac{1}{\sqrt{n_2} \sqrt{L}} \sum_{k, k'} [c_k^\dagger c_{k+q}, c_{k'}] e^{ik'x} \right. \\ \left. - \delta_{k'k} c_{k+q} \right] \propto \sum_{k'} c_{k'+q} e^{ik'x} \propto e^{-iqx} \psi_R(x)$$

This means that  $\psi_R(x) |0\rangle$  is a coherent state of  $b_{R_2}$  for all  $q$ .

Therefore:

$$\psi_R(x) |0\rangle \propto e^{-\sum_{q>0} \frac{e^{-iqx}}{\sqrt{n_2}} b_{R_2}^\dagger} |0\rangle \\ = e^{-i2\sqrt{n} \chi_R^+(x)} |0\rangle \\ = e^{-i2\sqrt{n} \phi_R(x)} |0\rangle$$

We now make the stronger statement that this is true on all states, i.e.

$$\psi_R(x) \propto e^{-i2\sqrt{n} \phi_R(x)}$$

[check commutation relations], Use  $e^A e^B = e^{A+B + \frac{1}{2}[A,B]}$  if  $[A,B]$  a c-number.

$$\text{If } x < x' \quad \psi_R(x) \psi_R^\dagger(x') \propto e^{-i2\sqrt{n}(\phi_R(x) - \phi_R(x')) + \frac{\sqrt{n}}{2} \cdot \frac{i}{4}} \\ \psi_R(x')^\dagger \psi_R(x) \propto \dots - \frac{\sqrt{n}}{2} \cdot \frac{i}{4}$$

$$[ , ] \text{ sum} = 0$$

## Hamiltonian and interactions

First let us consider the free theory:

$$H_0 = v_F \sum_k k :c_{R,k}^\dagger c_{R,k}: = v_F \left( \sum_{k>0} c_k^\dagger c_k - \sum_{k<0} c_k c_k^\dagger \right)$$

$$= -v_F \int dx :\psi_R^\dagger i\partial_x \psi_R: \quad H_0|0\rangle = 0$$

$b_{R,q}^\dagger \propto \sum_k c_{k+q}^\dagger c_k$  creates an excitation of energy  $v_F q$ ,

and we can check that  $[H_0, b_{R,q}^\dagger] = v_F q \cdot b_{R,q}^\dagger$ .

Hence, in the boson language  $H_0 = v_F \sum_{q>0} q b_{R,q}^\dagger b_{R,q}$

$$= v_F \int_0^L dx :(\partial_x \phi)^2:$$

↑

because  $\phi_R \propto \sum_{q>0} \frac{1}{\sqrt{q}} b_q e^{iqx}$

So  $H_0$  is the hamiltonian for a free (chiral) boson.

The magic happens if we add interactions:

E.g.  $V = \frac{g_4}{2} \int p_R^2(x) dx \propto \int \psi_R^\dagger(x) \psi_R(x)$

$$\int dx dx' V(x-x') p_R(x) p_R(x')$$

chiral Luttinger liquid.

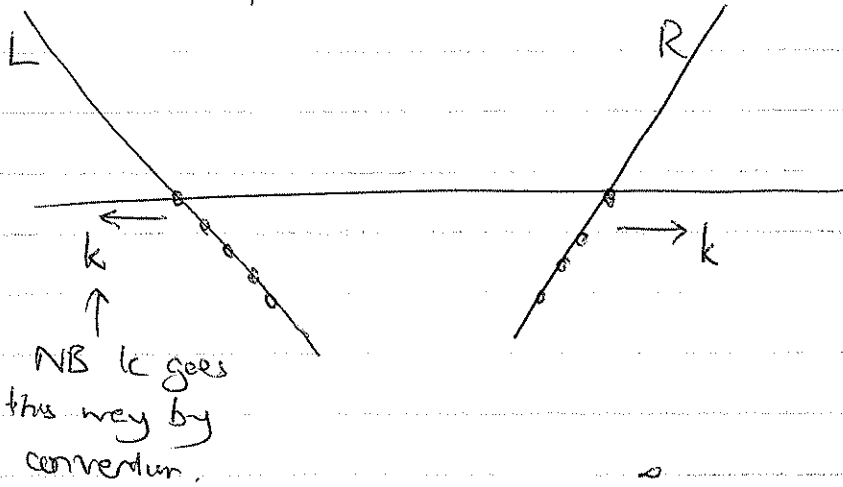
If  $V(x-x')$  is short-ranged, this is equivalent in the low-energy approx. to

$$\frac{g_4}{2\pi} \int :(\partial_x \phi)^2: dx$$

i.e. all that happens is that  $v_F$  is renormalised!

# Bosonization of a fermion with two chiralities

Before examining the consequences of this, let us immediately generalize to both chiralities, L & R.



Define  $b_{v,q}^{\dagger} = \frac{1}{\sqrt{n_q}} \sum_{k=-\infty}^{\infty} c_{v,k+q}^{\dagger} c_{v,k}$   $v = L, R$   
 or  $v = -1, 1$

The corresponding fields

$$\phi_v(x) = -\frac{i v}{2\sqrt{\pi}} \sum_q \frac{1}{\sqrt{n_q}} b_{v,q}^{\dagger} e^{i v q x} + c.c.$$

satisfy

$$[\phi_v(x), \phi_v(x')] = -\frac{i v}{4} \sum_{v v} \text{sgn}(x-x')$$

Finally we can form non-chiral combinations

$$\begin{aligned} \phi(x) &= \phi_R(x) + \phi_L(x) \\ \theta(x) &= -\phi_R(x) + \phi_L(x) \end{aligned}$$

so that  $[\phi(x), \theta(x')] = -\frac{i}{2} \text{sgn}(x-x')$

↑ IMPORTANT

Recall that  $p_R \propto \partial_x \phi_R$

The total density is then  $\rho(x) = p_R + p_L = -\frac{1}{\sqrt{\pi}} \partial_x \phi$

and the current is  $j(x) = v_F (p_R - p_L) = \frac{v_F}{\sqrt{\pi}} \partial_x \theta$

The free Hamiltonian is

$$H_0 = v_F \int dx \left[ (\partial_x \phi_L)^2 + (\partial_x \phi_R)^2 \right]$$

$$= \frac{1}{2} v_F \int dx \left[ (\partial_x \phi)^2 + (\partial_x \theta)^2 \right].$$

Note that  $\phi$  &  $\theta$  do not commute

$$[\phi(x), \theta(x')] = -\frac{i}{2} \text{sgn}(x-x')$$

$$\text{so } [\phi(x), \partial_{x'} \theta(x')] = i \delta(x-x')$$

This means that  $\partial_{x'} \theta(x') = \pi(x')$ , the canonical conjugate to  $\phi(x')$ !

Now add interaction: we can add terms  $\propto p_L^2, p_R^2$  but once again they only renormalise  $v_F$ .

The interesting term is now  $\propto g_2 p_L p_R$

$$= g_2 : \psi_L^\dagger(x) \psi_L(x) : : \psi_R^\dagger(x) \psi_R(x) :$$

This represents scattering of L-moving fermions against R-moving ones.

$$H = \int dx \left[ v_F (\partial_x \phi_L)^2 + v_F (\partial_x \phi_R)^2 + 2g_2 (\partial_x \phi_L) (\partial_x \phi_R) \right]$$

$$= \frac{1}{2} \int dx \left[ (v_F + g_2) (\partial_x \phi)^2 + (v_F - g_2) (\partial_x \theta)^2 \right],$$

$$= \frac{1}{2} \int dx \left[ (v_F + g_2) (\partial_x \phi)^2 + (v_F - g_2) \pi(x)^2 \right],$$

This is more symmetric if we rescale

$$\tilde{\pi} \Rightarrow \lambda^{-\frac{1}{2}} \tilde{\tilde{\pi}} \quad (\text{ie } \tilde{\theta} = \lambda^{-\frac{1}{2}} \tilde{\tilde{\theta}})$$

$$\phi = \lambda^{\frac{1}{2}} \tilde{\tilde{\phi}} \quad [\text{thus preserving the commutation relations}]$$

where  $\lambda = \left( \frac{v_F - g_z}{v_F + g_z} \right)^{\frac{1}{2}}$

Then  $H = \frac{1}{2} v \int dx [ \tilde{\tilde{\pi}}^2 + (\partial_x \tilde{\tilde{\phi}})^2 ]$

where  $v = [(v_F - g_z)(v_F + g_z)]^{\frac{1}{2}}$ .

The ~~H~~ action is  $S = \frac{1}{2} \int dt dx \left[ \frac{(\partial_t \tilde{\tilde{\phi}})^2}{v} - v (\partial_x \tilde{\tilde{\phi}})^2 \right]$

or, on letting  $\tilde{t} = vt$ :

$$S = \frac{1}{2} \int d\tilde{t} dx [ (\partial_{\tilde{t}} \tilde{\tilde{\phi}})^2 - (\partial_x \tilde{\tilde{\phi}})^2 ]$$

- the action for a (non-chiral) free field!

The 2-pt. function is

$$\langle 0 | T \tilde{\tilde{\phi}}(\tilde{t}, x) \tilde{\tilde{\phi}}^\dagger(0, 0) | 0 \rangle = -\frac{1}{4\pi i} \ln [ \tilde{t}^2 - x^2 ]$$

$$= -\frac{1}{2\pi i} \ln (vt - x) - \frac{1}{2\pi i} \ln (vt + x)$$

$$\equiv \langle \tilde{\tilde{\phi}}_R \tilde{\tilde{\phi}}_R^\dagger \rangle + \langle \tilde{\tilde{\phi}}_L \tilde{\tilde{\phi}}_L^\dagger \rangle \quad \text{with } \langle \tilde{\tilde{\phi}}_R \tilde{\tilde{\phi}}_L^\dagger \rangle = 0,$$

### Fermion Green's function

Consider the 1-particle propagator for the R-moving fermions:

$$\langle 0 | T \psi_R(t, x) \psi_R(0, 0)^\dagger | 0 \rangle$$

$$\propto e^{ik_F x} \langle e^{-i2\sqrt{v} \psi_R(t, x)} e^{i2\sqrt{v} \psi_R(0, 0)} \rangle$$

$$= e^{ik_F x} \langle e^{-i2\sqrt{v} (\phi - \theta)/2} e^{i2\sqrt{v} (\phi + \theta)/2} \rangle$$

$$= e^{ik_F x} \langle e^{-i2\sqrt{v} (\lambda^{1/2} \tilde{\phi} - \lambda^{-1/2} \tilde{\theta})/2} e^{i2\sqrt{v} (\lambda^{1/2} \tilde{\phi} + \lambda^{-1/2} \tilde{\theta})/2} \rangle$$

$$= e^{ik_F x} \langle e^{-i2\sqrt{v} \left[ \frac{\lambda^{1/2} + \lambda^{-1/2}}{2} \tilde{\phi}_R + \frac{\lambda^{1/2} - \lambda^{-1/2}}{2} \tilde{\phi}_L \right]} e^{i2\sqrt{v} \left[ \frac{\lambda^{1/2} + \lambda^{-1/2}}{2} \tilde{\phi}_R + \frac{\lambda^{1/2} - \lambda^{-1/2}}{2} \tilde{\phi}_L \right]} \rangle$$

$$= e^{ik_F x}$$

$$\frac{(vt - x)^{((\lambda^{1/2} + \lambda^{-1/2})/2)^2}}{(vt + x)^{((\lambda^{1/2} - \lambda^{-1/2})/2)^2}}$$

From this we can work out various things.

If we set  $x=0$  and Fourier transform wrt.  $t$  we get the 1-particle density of states:

$$\int dt \frac{e^{-i\omega t}}{t^{\frac{\lambda+1}{2}}} \sim |\omega|^\beta \quad \text{where } \beta = \frac{\lambda+1}{2} - 1 = \frac{(1-\lambda)^2}{2\lambda}$$

Similarly, if we set  $t=0$  and Fourier transform wrt.  $x$ , we get the momentum distribution

$$n(k) \sim n(k_F) + \text{const. sgn}(k - k_F) |k - k_F|^\beta$$

Note that  $\beta$  depends on  $g_4$ , Luttinger liquid



### Other perturbations of a Luttinger liquid

There are many other interesting effects.

One occurs in a half-filled system, when  $4k_F a = 2\pi$ .

Then a terms  $\psi_R^\dagger \psi_L + \psi_L^\dagger \psi_R$

can occur in the Hamiltonian, since it is consistent with lattice translations under which  
(by  $2a$ )

$$\begin{aligned} \psi_L &\rightarrow \psi_L e^{-ik_F \cdot 2a} \\ \psi_R^\dagger &\rightarrow \psi_R^\dagger e^{-ik_F \cdot 2a} \end{aligned}$$

In bosonised language, such a term is proportional to

$$M = e^{i2\sqrt{\pi} \phi} + e^{-i2\sqrt{\pi} \phi}$$

We therefore have a Sine-Gordon theory!

The  $\langle MM \rangle$  correlator is

$$\begin{aligned} \langle e^{-i2\sqrt{\pi} \phi} e^{i2\sqrt{\pi} \phi} \rangle &= \langle e^{-i2\sqrt{\pi} \lambda^{\frac{1}{2}} \phi} e^{+i2\sqrt{\pi} \lambda^{\frac{1}{2}} \phi} \rangle \\ &\approx \frac{1}{[(vt)^2 - x^2]^\lambda} \end{aligned}$$

so its scaling dimension is  $\lambda$ .  
If  $\lambda > 2$ , we still have a Luttinger liquid.

But if  $\lambda < 2$  the cosine interaction opens up a gap,  
called a dimerisation gap.