# Waves in Turbulent, Active Media <br> William Dorland Aug 8, 2019 

## Overview

- What is a plasma?
- Equations of plasma physics (Newton, Maxwell, Boltzmann)
- Mathematical problems in quest for magnetic confinement fusion
- Adjoint optimization techniques
- Multiscale expansions
- Nonlinearly interacting waves in plasma
- Invention of new numerical algorithms


## Solid to liquid to gas to plasma

- Consider ice:There is little kinetic energy compared to the magnitude of the potential energy
- Heat is required to break H bonds. Ice melts. Molecules in water have more kinetic energy, but still a lot of H bonds
- Heat is required to break the rest of the H bonds. Water boils. Gas molecules have a lot of kinetic energy but there are still charges bound together
- Heat is required to separate electrons and nuclei.
- This makes plasma: A gas of charged particles with $K \gg|U|$
- Here on Earth, we are attempting to fuse H to release energy
- The typical conditions under which H fuses involve plasmas


## Are the laws of physics compatible with controlled thermonuclear fusion?

$\mathbf{F}=m \mathbf{a}$

$$
\mathbf{F}=q\left(\mathbf{E}+\frac{\mathbf{v} \times \mathbf{B}}{c}\right) \quad E=m c^{2}
$$

$i \hbar \frac{\partial \Psi}{\partial t}=\mathbf{H} \Psi \quad \mathbf{F}=-\frac{G m_{1} m_{2}}{r^{2}} \hat{\mathbf{r}} \quad \mathbf{F}=\frac{q_{1} q_{2}}{r^{2}} \hat{\mathbf{r}}$
$\frac{1}{c}\left(\frac{\partial \mathbf{E}}{\partial t}+4 \pi \mathbf{J}\right)=\nabla \times \mathbf{B} \quad \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}=-\nabla \times \mathbf{E}$
$\nabla \cdot \mathbf{B}=0$

## Are the laws of physics compatible with controlled thermonuclear fusion?

$\mathbf{F}=m \mathbf{a}$

$$
\mathbf{F}=q\left(\mathbf{E}+\frac{\mathbf{v} \times \mathbf{B}}{c}\right) \quad E=m c^{2}
$$

$i \hbar \frac{\partial \Psi}{\partial t}=\mathbf{H} \Psi \quad \mathbf{F}=-\frac{G m_{1} m_{2}}{r^{2}} \hat{\mathbf{r}} \quad \mathbf{F}=\frac{q_{1} q_{2}}{r^{2}} \hat{\mathbf{r}}$
$\frac{1}{c}\left(\frac{\partial \mathbf{E}}{\partial t}+4 \pi \mathbf{J}\right)=\nabla \times \mathbf{B} \quad \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}=-\nabla \times \mathbf{E}$
$\nabla \cdot \mathbf{B}=0$
Of course! The stars shine brightly.

## Are the laws of physics compatible with controlled thermonuclear fusion?

$$
\begin{array}{lll}
\mathbf{F}=m \mathbf{a} & \mathbf{F}=q\left(\mathbf{E}+\frac{\mathbf{v} \times \mathbf{B}}{c}\right) & E=m c^{2} \\
i \hbar \frac{\partial \Psi}{\partial t}=\mathbf{H} \Psi & \mathbf{F}=-\frac{G m_{1} m_{2}}{r^{2}} \hat{\mathbf{r}} & \mathbf{F}=\frac{q_{1} q_{2}}{r^{2}} \hat{\mathbf{r}}
\end{array}
$$

$\frac{1}{c}\left(\frac{\partial \mathbf{E}}{\partial t}+4 \pi \mathbf{J}\right)=\nabla \times \mathbf{B} \quad \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}=-\nabla \times \mathbf{E}$
$\nabla \cdot \mathbf{B}=0 \quad$ Of course! The stars shine brightly.
But can we do it without a gravity assist? There is some help from quantum mechanics

## Are the laws of physics compatible with controlled thermonuclear fusion?



## Are the laws of physics compatible with controlled thermonuclear fusion?



## Are the laws of physics compatible with controlled thermonuclear fusion?



But can we do it without a gravity assist? There is some help from quantum mechanics

## ITER is under construction in France

One way forward is to use magnetic fields instead of gravity, to confine and insulate the reacting plasma.


## Magnetic confinement:Tokamak



## Magnetic confinement: Stellarator



## The stellarator approach...



## The stellarator approach...



## The stellarator approach...



## Shape optimization problems in stellarator design

## MHD equilibrium

- Given outer boundary shape, $S_{P}$, and 2 free functions, $\boldsymbol{B}$ determined everywhere in confinement region
- Figures of merit describing configuration are a function of boundary shape, $f\left(S_{P}\right)$ (e.g. neoclassical transport)
How should one deform $S_{P}$ to obtain an optimal configuration?



## Coil design

- Given desired boundary shape, $S_{P}$, where should one position electromagnetic coils such that $S_{P}$ is a magnetic surface?
- Figures of merit are a function of coil shape or winding surface shape
How should one deform coils to obtain desired plasma surface? How sensitive is a figure of merit to coil displacements?



## Describing derivatives with respect to shape

- Consider $f(\Gamma)$, a functional of some surface, $\Gamma$
- For displacement of surface, $\Gamma_{\epsilon}=\left\{\boldsymbol{r}_{0}+\epsilon \delta \boldsymbol{r}: \boldsymbol{r}_{0} \in \Gamma\right\}$, shape derivative is

$$
\delta f(\Gamma, \delta \boldsymbol{r})=\lim _{\epsilon \rightarrow 0} \frac{f\left(\Gamma_{\epsilon}\right)-f(\Gamma)}{\epsilon}
$$

- Differential change to $f$ can be written as

$$
\delta f(\Gamma, \delta r)=\int_{\Gamma} d^{2} x \delta \boldsymbol{r} \cdot n S
$$

- The shape gradient, $S$, describes the differential contribution of local perturbations to the surface, $\delta r$, to changes in the the function, $\delta f$
Why is S useful?
- Gradient-based optimization
- Local sensitivity analysis
- Quantifying engineering tolerances


## Adjoint methods - the big picture

- Adjoint methods allow gradient of a function of the solution to a system of equations to be computed efficiently
- Useful for optimization within high-dimensional spaces with gradient-based methods
- Efficient computation of shape gradient
- Widely used in aerodynamic engineering

| $C_{\text {L }}$ surace sensitivity |
| :---: |
| $1.41 \mathrm{E}-02$ |
| $1.04 \mathrm{E}-02$ |
| 6.61 E .03 |
| $2.86 \mathrm{E}-03$ |
| $-8.98 \mathrm{E}-04$ |
| $-4.65 \mathrm{E}-03$ |
| $-8.41 \mathrm{E}-03$ |
| $-1.22 \mathrm{E}-02$ |
| -1.59 E |
| $-1.97 \mathrm{E}-02$ |

$L_{\text {. }}$ Upper surface

Lower surface

## A linear algebra example

- Consider linear $M \times M$ system

$$
\overleftrightarrow{A} x=b
$$

- Interested in linear dependence of inner product with $\boldsymbol{x}$ on parameters, $\Omega=\left\{\Omega_{i}\right\}_{i=1}^{N}$

$$
{ }^{1} F=\boldsymbol{x}^{T} \boldsymbol{c}
$$

- Derivative expensive $\left(\mathcal{O}\left(M^{3} N\right)\right)$ to compute direct way

$$
\frac{\partial F}{\partial \Omega_{i}}=\boldsymbol{x}^{T}\left(\frac{\partial \boldsymbol{c}}{\partial \Omega_{i}}\right)+\left(\frac{\partial \boldsymbol{x}}{\partial \Omega_{i}}\right)^{T} \boldsymbol{c}
$$

- Compute $\partial \boldsymbol{x} / \partial \Omega_{i}$ from perturbed linear system

$$
\overleftrightarrow{\boldsymbol{A}} \frac{\partial \boldsymbol{x}}{\partial \Omega_{i}}=\left(\frac{\partial \boldsymbol{b}}{\partial \Omega_{i}}-\frac{\partial \overleftrightarrow{\boldsymbol{A}}}{\partial \Omega_{i}} \boldsymbol{x}\right)
$$

- Instead, solve additional adjoint equation

$$
\overleftrightarrow{\boldsymbol{A}}^{T} \boldsymbol{q}=\boldsymbol{c}
$$

- Compute derivative with 2 solutions of $M \times M$ system $(\boldsymbol{x}, \boldsymbol{q})$

$$
\frac{\partial F}{\partial \Omega_{i}}=\boldsymbol{x}^{T}\left(\frac{\partial \boldsymbol{c}}{\partial \Omega_{i}}\right)+\boldsymbol{q}^{T}\left(\frac{\partial \boldsymbol{b}}{\partial \Omega_{i}}-\frac{\partial \overleftrightarrow{\boldsymbol{A}}}{\partial \Omega_{i}} \boldsymbol{x}\right)
$$

## Newton and Maxwell (and Coulomb, and ...)

$\mathbf{F}=m \mathbf{a} \quad \mathbf{F}=q\left(\mathbf{E}+\frac{\mathbf{v} \times \mathbf{B}}{c}\right) \quad E=m c^{2}$
$i \hbar \frac{\partial \Psi}{\partial t}=\mathbf{H} \Psi \quad \mathbf{F}=-\frac{G m_{1} m_{2}}{r^{2}} \hat{\mathbf{r}} \quad \mathbf{F}=\frac{q_{1} q_{2}}{r^{2}} \hat{\mathbf{r}}$
$\frac{1}{c}\left(\frac{\partial \mathbf{E}}{\partial t}+4 \pi \mathbf{J}\right)=\nabla \times \mathbf{B} \quad \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}=-\nabla \times \mathbf{E}$
$\nabla \cdot \mathbf{B}=0$

## Newton and Maxwell (and Coulomb, and ...)

$\mathbf{F}=m \mathbf{a}$

$$
\mathbf{F}=q\left(\mathbf{E}+\frac{\mathbf{v} \times \mathbf{B}}{c}\right)
$$

$$
\mathbf{F}=\frac{q_{1} q_{2}}{r^{2}} \hat{\mathbf{r}}
$$

$\frac{1}{c}\left(\frac{\partial \mathbf{E}}{\partial t}+4 \pi \mathbf{J}\right)=\nabla \times \mathbf{B}$
$\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}=-\nabla \times \mathbf{E}$
$\nabla \cdot \mathbf{B}=0$
Too much information; we need Boltzmann!

## What is kinetic theory? A quick peek...

Consider a collection of a large number of charged particles which are neither created nor destroyed as time goes forward.

The particles move under the influence of their electric and magnetic fields, $\mathbf{E}(\mathbf{x}, t)$ and $\mathbf{B}(\mathbf{x}, t)$, which may be calculated from Maxwell's equations.

Under the influence of these fields, each particle moves along a classical trajectory $\mathbf{x}_{i}(t), \mathbf{v}_{i}(t)$. None disappear.

Define a function that describes the probability of finding this collection of $N$ particles in a specific state:

$$
f_{N}=f_{N}\left(\mathbf{x}_{1}, \mathbf{v}_{1}, \mathbf{x}_{2}, \mathbf{v}_{2}, \ldots, \mathbf{x}_{N}, \mathbf{v}_{N} ; t\right)
$$

According to the laws of classical physics, we have

$$
\frac{D f_{N}}{D t}=0
$$

(Liouville equation)

## Weak coupling

$$
\frac{D f_{N}}{D t}=0
$$

This equation looks simple, but remember that $f_{N}$ is $(6 N+1)$ dimensional Important simplification:

* Weakly coupled system of indistinguishable particles if



## Weak coupling: No high-order correlations



Mathematical machinery of this reduction is "BBGKY" theory
Reduces dimensionality of problem radically, to Boltzmann equation:


Two-particle collisions

## Fluid theory sometimes suffices

$$
\frac{\partial f}{\partial t}+\mathrm{v} \cdot \frac{\partial f}{\partial \mathrm{x}}+\mathbf{a} \cdot \frac{\partial f}{\partial \mathrm{v}}=\mathrm{C}(f, f)=0
$$

Solution of this equation is Maxwell-Boltzman distribution:

$$
f \propto \frac{n}{T^{3 / 2}} \exp \frac{-m(\mathbf{v}-\mathbf{u})^{2}}{2 T}
$$

Need only keep track of density, momentum and temp: plasma as fluid Mean-free-path is simply $\lambda_{\operatorname{mfp}} \equiv \frac{v_{t}}{\nu}$. Take $L$ to be size of interest.

If $\lambda_{\operatorname{mfp}} \ll L$, then structures of size $L$ are Maxwellian in $v$-space. Fluid-like phenomena can be described by 3-D theory (e.g., MHD)

## Kinetic theory when $\lambda_{\operatorname{mfp}} \gg L$

$$
\frac{\partial f}{\partial t}+\mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}}+\mathbf{a} \cdot \frac{\partial f}{\partial \mathbf{v}}=C(f, f)
$$

Boltzmann equation + Maxwell's equations = kitchen sink
Very frequently, the largest term in the equation is the acceleration due to the (self-consistent and/or imposed) magnetic field: leads to rapid gyration.

Take advantage of this, and work out asymptotically rigorous equations that describe all dynamics slower than the gyration: theory is known as "gyrokinetics".

## Basic idea: Asymptotic, multiscale expansion

Expand Boltzmann and Maxwell equations in powers of epsilon, where

$$
\epsilon \equiv \frac{\omega}{\Omega_{c}}, \quad \Omega_{c} \equiv \frac{q B}{m c} .
$$

Identify relevant physics at each order in epsilon (gyration, turbulence, thermodynamics) taking place at different space and time scales.

Key result: for processes that are slow compared to the gyration, equations are reduced in dimensionality (from 6 to 5) but are integro-differential.

Conceptually, particles are replaced by rings whose radii are time-varying. Interesting turbulent phenomena exist with eddies both large and small, compared to a typical "gyroradius". Challenging to study!

## GK equations describe evolution of guiding centers

- The order-by-order reduction of the Boltzmann equation is achieved by repeatedly orbit-averaging the equations, because the orbit-average annihilates the largest term at each order -- the gyration.
- One finds an equation for the part of the distribution function which is independent of gyro-angle. The gyro-angle dependent part of the distribution function yields a "polarization density".
- To find the actual currents and charge densities for Maxwell's equations, one must keep both contributions. Results in algebraic clutter.
- Key result: the gyroaveraging operation smooths over perturbations that are small compared to the gyroradius. Particles respond to and produce small-scale electromagnetic fields, but both are tempered by the spatial averaging coming from the rapid (instantaneous, in the theory) gyration.


## Kinetic theory when $\lambda_{\text {mfp }} \gg L$



$$
\frac{d f_{s}}{d t}=\frac{\partial f_{s}}{\partial t}+\boldsymbol{v} \cdot \nabla f_{s}+\frac{Z_{s} e}{m_{s}}\left(\widetilde{\boldsymbol{E}}+\frac{1}{c} \boldsymbol{v} \times \widetilde{\boldsymbol{B}}\right) \cdot \frac{\partial f_{s}}{\partial \boldsymbol{v}}=C\left[f_{s}\right]+S_{s}
$$

Choose good coordinates in velocity space...

$$
\frac{d f_{s}}{d t}=\frac{\partial f_{s}}{\partial t}+\dot{\boldsymbol{R}}_{s} \cdot \frac{\partial f_{s}}{\partial \boldsymbol{R}_{s}}+\dot{\mu}_{s} \frac{\partial f_{s}}{\partial \mu_{s}}+\dot{\varepsilon}_{s} \frac{\partial f_{s}}{\partial \varepsilon_{s}}+\dot{\vartheta} \frac{\partial f_{s}}{\partial \vartheta}=C\left[f_{s}\right]+S_{s}
$$

Expand in a small parameter and average in three different ways


$$
F_{0 s}=n_{s}(\boldsymbol{r})\left[\frac{m_{s}}{2 \pi T_{s}(\boldsymbol{r})}\right]^{3 / 2} \exp \left\{-\frac{m_{s}\left[w^{2}-2 m_{s} w_{\|} \hat{u}_{\| s}(\boldsymbol{r})+\hat{u}_{\| s}^{2}(\boldsymbol{r})\right]}{2 T_{s}(\boldsymbol{r})}\right\}
$$



$$
F_{0 s}=N_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)\left[\frac{m_{s}}{2 \pi T_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)}\right]^{3 / 2} e^{-\varepsilon_{s} / T_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)}
$$



$$
\begin{aligned}
\Delta^{*} \psi= & -4 \pi R^{2} \sum_{s} n_{s}\left\{T_{s} \frac{d \ln N_{s}}{d \psi}+\left[Z_{s} e \varphi_{0}-\frac{1}{2} m_{s} \omega^{2}(\psi) R^{2}+T_{s}\right] \frac{d \ln T_{s}}{d \psi}\right\} \\
& -4 \pi R^{2}\left(\sum_{s} m_{s} n_{s} R^{2}\right) \omega(\psi) \frac{d \omega}{d \psi}-I(\psi) \frac{d I}{d \psi}
\end{aligned}
$$

$$
\Delta^{*} \psi=\left(\frac{\partial^{2}}{\partial R^{2}}-\frac{1}{R} \frac{\partial}{\partial R}+\frac{\partial^{2}}{\partial z^{2}}\right) \psi
$$

To find the shape, density, temperature, etc, we need to go to higher order

$$
\begin{aligned}
& f_{s}=F_{s}+\delta f_{s}, \\
& F_{s}=F_{0 s}\left(\psi\left(\boldsymbol{R}_{s}\right), \varepsilon_{s}\right)+F_{1 s}\left(\boldsymbol{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma\right)+\mathrm{O}\left(\epsilon^{2} f\right), \\
& \delta f_{s}=-\frac{Z_{s} e}{T_{s}} \delta \varphi^{\prime}(\boldsymbol{r}) F_{0 s}+h_{s}\left(\boldsymbol{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma\right)+\mathrm{O}\left(\epsilon^{2} f\right), \\
& F_{0 s}=N_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)\left[\frac{m_{s}}{2 \pi T_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)}\right]^{3 / 2} e^{-\varepsilon_{s} / T_{s}\left(\psi\left(\boldsymbol{R}_{s}\right)\right)},
\end{aligned}
$$

## Result is a 5-D, nonlinear, integro-differential system of equations. Remarkably, they can be solved.

$$
\begin{aligned}
& {\left[\frac{\partial}{\partial t}+\boldsymbol{u}\left(\boldsymbol{R}_{s}\right) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\right] h_{s}+w_{\|} \boldsymbol{b} \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\left(F_{1 s}+h_{s}\right)+\left(\boldsymbol{V}_{\mathrm{D} s}+\left\langle\boldsymbol{V}_{\chi}\right\rangle_{\boldsymbol{R}}\right) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\left(F_{0 s}+h_{s}\right)} \\
& =\frac{Z_{s} e F_{0 s}}{T_{s}}\left[\frac{\partial}{\partial t}+\boldsymbol{u}\left(\boldsymbol{R}_{s}\right) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\right]\langle\chi\rangle_{\boldsymbol{R}}-\frac{m_{s} F_{0 s}}{T_{s}}\left[\frac{I w_{\|}}{B}+\omega(\psi) R^{2}\right] \frac{d \omega}{d \psi}\left\langle\boldsymbol{V}_{\chi}\right\rangle_{\boldsymbol{R}} \cdot \nabla \psi \\
& \quad-\frac{Z_{s} e}{T_{s} c} w_{\|} F_{0 s} \frac{\partial \boldsymbol{A}}{\partial t} \cdot \boldsymbol{b}+\left\langle C\left[F_{0 s}+F_{1 s}+h_{s}\right]\right\rangle_{\boldsymbol{R}},
\end{aligned}
$$

## Some definitions:

$$
\begin{aligned}
\chi=\delta \varphi-\frac{1}{c} \boldsymbol{v} \cdot \delta \boldsymbol{A}=\delta \varphi^{\prime}-\frac{1}{c} \boldsymbol{w} \cdot \delta \boldsymbol{A} \\
\boldsymbol{V}_{\chi}=\frac{c}{B} \boldsymbol{b} \times \nabla \chi, \quad\left\langle\boldsymbol{V}_{\chi}\right\rangle_{\boldsymbol{R}}=\frac{c}{B} \boldsymbol{b} \times \frac{\partial\langle\chi\rangle_{\boldsymbol{R}}}{\partial \boldsymbol{R}_{s}} \\
\begin{aligned}
\boldsymbol{V}_{\mathrm{D} s}=\frac{\boldsymbol{b}}{\Omega_{s}} \times & {\left[w_{\|}^{2} \boldsymbol{b} \cdot \nabla \boldsymbol{b}+\frac{1}{2} w_{\perp}^{2} \nabla \ln B\right.} \\
& \left.\quad-\omega^{2}(\psi) R \nabla R-2 w_{\|} \omega(\psi) \boldsymbol{b} \times \nabla z+\frac{Z_{s} e}{m_{s}} \nabla \varphi_{0}\right]
\end{aligned}
\end{aligned}
$$

EM potentials
Nonlinearities
Drift velocities

## Gyrokinetic physics: Gyration + streaming + drifts



Highly anisotropic, because particles stream freely along the magnetic field lines.

Plane perpendicular to magnetic field is special.

Self-consistent currents and fields.

ExB drift, flexing, stretching and tearing of field lines, included.

GK describes field perturbations larger and smaller than the gyration radii.

## At next order, the system closes! (non-trivial)

$$
\begin{aligned}
& f_{s}=F_{0 s}\left(\psi\left(\boldsymbol{R}_{s}\right), \varepsilon_{s}\right)+F_{1 s}\left(\boldsymbol{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma\right)+F_{2 s}(\boldsymbol{r}, \boldsymbol{v}) \\
& \quad-\frac{Z_{s} e}{T_{s}} \delta \varphi^{\prime}(\boldsymbol{r}) F_{0 s}+h_{s}\left(\boldsymbol{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma\right)+\delta f_{2 s}(\boldsymbol{r}, \boldsymbol{v})+\cdots \\
& \left.\frac{1}{V^{\prime}} \frac{\partial}{\partial t}\right|_{\psi} V^{\prime}\left\langle n_{s}\right\rangle_{\psi}+\frac{1}{V^{\prime}} \frac{\partial}{\partial \psi} V^{\prime}\left\langle\Gamma_{s}\right\rangle_{\psi}=\left\langle S_{s}^{(n)}\right\rangle_{\psi} \\
& \begin{array}{l}
\left\langle\Gamma_{s}\right\rangle_{\psi}= \\
\end{array} \quad \begin{array}{l}
\left\langle d^{3} \boldsymbol{w}\left(\frac{\boldsymbol{w} \times \boldsymbol{b}}{\Omega_{s}} \cdot \nabla \psi\right) C\left[F_{0 s}\right]\right\rangle_{\psi}+\left\langle\int d^{3} \boldsymbol{w} F_{s}^{(\mathrm{nc})} \boldsymbol{V}_{\mathrm{D} s} \cdot \nabla \psi\right\rangle_{\psi} \\
\quad-\left\langle n_{s}\right\rangle_{\psi} I(\psi) \frac{\langle\boldsymbol{E} \cdot \boldsymbol{B}\rangle_{\psi}}{\left\langle B^{2}\right\rangle_{\psi}}+\left\langle\left\langle\int d^{3} \boldsymbol{w}\left\langle h_{s} \boldsymbol{V}_{\chi}\right\rangle_{\boldsymbol{r}} \cdot \nabla \psi\right\rangle_{\mathrm{turb}}\right\rangle_{\psi}
\end{array} .
\end{aligned}
$$

And similarly, one can derive equations for the momentum and temperature profiles

## Where are the waves?

- We can look at the linearized (small amplitude) equations and find dispersion relations for the waves
- Let us do that briefly, without the complications of toroidal geometry


## Gyrokinetic Equations

- The drift frequency $i \omega_{*}^{T}=n_{0} c \partial F_{0} / \partial \psi$, where $n_{0}$ labels the $\alpha$ Fourier harmonic of the perturbation
- The perpendicular drifts (curvature, grad-B) are

$$
\omega_{d}=\mathrm{k}_{\perp} \cdot \mathrm{B}_{0} \times\left(m v^{2} \hat{\mathrm{~b}} \cdot \nabla \hat{\mathrm{~b}}+\mu \nabla B_{0}\right) /\left(m B_{0} \Omega\right),
$$

- Potentials for the fields appear as

$$
\chi=J_{0}(\gamma)\left(\Phi-\frac{v_{\|}}{c} A_{\|}\right)+\frac{J_{1}(\gamma)}{\gamma} \frac{m v_{\perp}^{2}}{q} \frac{\delta B_{\|}}{B} ; \quad \gamma \equiv k_{\perp} v_{\perp} / \Omega
$$

## Gyrokinetic Field Equations

- Maxwell's equations, neglecting displacement current.
- Poisson's equation: $\quad[\nabla \cdot \mathrm{E}=4 \pi \rho]$

$$
\nabla_{\perp}^{2} \Phi=4 \pi \sum_{s} \int d^{3} v q\left[q \Phi \frac{\partial F_{0}}{\partial \epsilon}+h \exp (i L)\right]
$$

where $L=\left(\mathrm{v} \times \hat{\mathrm{b}} \cdot k_{\perp}\right) / \Omega$ accounts for the gyrophase dependence.

- Preferred velocity space coordinates are $(E, \mu, \xi)$, so that

$$
\int d^{3} v=\frac{B}{m^{2}} \int \frac{d E d \mu d \xi}{|v \||} \equiv \frac{1}{2 \pi} \int d^{2} v d \xi
$$

- Integrate over the gyrophase to find

$$
\nabla_{\perp}^{2} \Phi=4 \pi \sum_{s} \int d^{2} v q\left[q \Phi \frac{\partial F_{0}}{\partial \epsilon}+J_{0}(\gamma) h\right]
$$

- Similarly, Ampere's law provides the two components of the perturbed magnetic field:

$$
\begin{aligned}
\nabla_{\perp}^{2} A_{\|}=-\frac{4 \pi}{c} \sum_{s} \int d^{2} v q v_{\|} J_{0}(\gamma) h & {\left[\hat{\mathrm{~b}} \cdot \nabla \times \mathrm{B}=\frac{4 \pi J_{\|}}{c}\right] } \\
\frac{\delta B_{\|}}{B}=-\frac{4 \pi}{B^{2}} \sum_{s} \int d^{2} v m v_{\perp}^{2} \frac{J_{1}(\gamma)}{\gamma} h & {\left[4 \pi \delta p_{\perp}+\frac{\delta B_{\|}}{B}=0\right] }
\end{aligned}
$$

## Linear Dispersion Relation

- Take $C=\omega_{d}=\omega_{*}=0$ (collisionless, homogenous plasma, straight field lines)
- Linear GKE is:

$$
-i \omega h+i k_{\|} v_{\|} h=-\frac{i \omega q}{T} \chi F_{0}
$$

- For clarity, consider hydrogenic plasma, with $k_{\perp} \rho_{e} \ll 1$.


## Linear Dispersion Relation

$$
\begin{gathered}
\text { Shear Alfvèn } \\
{\left[\left(\frac{k_{\|} v_{A}}{\omega}\right)^{2}-\left(\frac{1-\mathcal{I}_{0}}{k_{\perp}^{2} \rho_{i}^{2}}\right)\left(1-\frac{1-\mathcal{I}_{0}}{S}\right)\right]\left[1-\frac{\beta_{i}}{2} C_{2}+\frac{\beta_{i}}{2} \frac{C_{1}^{2}}{S}\right]} \\
=\frac{\beta_{i}}{2}\left(\frac{1}{k_{\perp}^{2} \rho_{i}^{2}}\right)\left[\left(1-\mathcal{I}_{1}\right)-\left(1-\mathcal{I}_{0}\right) \frac{C_{1}}{S}\right]^{2}
\end{gathered}
$$

Finite Larmor radius coupling

$$
\begin{aligned}
& S=1+\mathcal{I}_{0 i} \zeta_{i} Z\left(\zeta_{i}\right)+\frac{T_{i}}{T_{e}}\left[1+\zeta_{e} Z\left(\zeta_{e}\right)\right] \\
& C_{j}=\sum_{s} \mathcal{I}_{j} \zeta Z(\zeta)\left(T_{i} / T_{s}\right)
\end{aligned}
$$

Long wavelength limits [small $b=\left(k_{\perp} \rho_{i}\right)^{2}$ ]:
$\mathcal{I}_{0} \sim 1-b$

$$
\mathcal{I}_{1} \sim 1-\frac{3}{2} b
$$

$$
\mathcal{I}_{1} \sim 2-3 b
$$

## Long wavelength waves $\left(k_{\perp} \rho_{i} \ll 1, \beta \sim 1\right)$

$$
\begin{aligned}
& \text { Shear Alfivèn Slow mode } \\
& {\left[\left(\frac{k_{\|} v_{A}}{\omega}\right)^{2}-1\right]\left[1-\frac{\beta_{i}}{2} C_{2}+\frac{\beta_{i}}{2} \frac{C_{1}^{2}}{S}\right]} \\
& =0
\end{aligned}
$$

Finite Larmor radius coupling

- Shear Alfvèn waves:

$$
\omega^{2}=k_{\|}^{2} v_{A}^{2}
$$

- Slow wave:

$$
1-\frac{\beta_{i}}{2} C_{2}+\frac{\beta_{i}}{2} \frac{C_{1}^{2}}{S}=0
$$

## The nonlinear version of this decoupling exists and is "Kinetic Reduced MagnetoHydroDynamics" or KRMHD

- Kinetic because the model includes phase mixing/Landau damping
- Reduced because the model describes small amplitude, spatially anisotropic fluctuations (long wavelengths along B and short wavelengths across)
- MHD because the Alfvenic fluctuations are the same as in reduced MHD.
- Just as in MHD, we represent the Alfvenic fluctuations in Elsasser variables
- The long wavelength $\left(k_{\perp} \rho \ll 1\right)$ kinetic fluctuations are described by "Vlasov"-like equations


## Kinetic Reduced MHD

- Alfven waves decouple:

$$
\left(\frac{\partial}{\partial t} \mp v_{A} \frac{\partial}{\partial z}\right) \omega^{ \pm}=-\left[\zeta^{\mp}, \omega^{ \pm}\right]-\left[\partial_{i} \zeta^{\mp}, \partial_{i} \zeta^{ \pm}\right]
$$

- Here, $\omega^{ \pm}=\nabla_{\perp}^{2} \zeta^{ \pm}$and $\zeta^{ \pm}=\Phi \pm \Psi$. Physically, this is a complicated looking way to describe counter-propagating Alfven waves in reduced MHD. No compressional effects!
- Kinetic equations for the ions are simple ( $i=1,2$ )

$$
\frac{d g^{(i)}}{d t}+v_{\|} \nabla_{\|} g^{(i)}+v_{\|} F_{0} \nabla_{\|} \phi^{(i)}=0
$$

- But the operators are the nonlinear ones

$$
d / d t=\partial / \partial t+\mathbf{v} \cdot \nabla \quad \text { and } \quad \nabla_{\|}=v_{A} \partial / \partial z+\mathbf{b} \cdot \nabla
$$

## These waves interact! (unlike ordinary light)

- Simulation of colliding Alfven waves using AstroGK

Current density, jz

| -8.00 |
| :--- |
| 6.22 |
| -4.44 |
| -2.67 |
| -889 |
| --.889 |
| -2.67 |
| -4.44 |
| --6.22 |
| --8.00 |



## Mathematical "angles"

- Deriving the appropriate multi-scale reductions
- Identifying conserved quantities and symmetries
- Identifying exact, nonlinear solutions
- Developing discretizations and numerical algorithms
- Developing closures (How do unresolved scales affect solutions?)
- Optimizing codes (mostly by inventing new algorithms)
- Extracting meaning! ___ "l" rather than "Al"


## Applications of gyrokinetics: Nature



The solar wind is a pressuredriven, outward flow of plasma from the sun.

The pressure should drop as the plasma expands, and the flow should stagnate.

Why doesn't this happen? Perhaps turbulent heating -in this case, gyrokinetic turbulent heating.

What we learn from solar wind may be applied to astrophysical systems.

The End

