# Waves in Turbulent, Active Media

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## Overview

- What is a plasma?
- Equations of plasma physics (Newton, Maxwell, Boltzmann)
- Mathematical problems in quest for magnetic confinement fusion
  - Adjoint optimization techniques
  - Multiscale expansions
  - Nonlinearly interacting waves in plasma
  - Invention of new numerical algorithms

## Solid to liquid to gas to plasma

- Consider ice: There is little kinetic energy compared to the magnitude of the potential energy
- Heat is required to break H bonds. Ice melts. Molecules in water have more kinetic energy, but still a lot of H bonds
- Heat is required to break the rest of the H bonds. Water boils. Gas molecules have a lot of kinetic energy but there are still charges bound together
- Heat is required to separate electrons and nuclei.
- This makes plasma: A gas of charged particles with  $K \gg |U|$
- Here on Earth, we are attempting to fuse H to release energy
- The typical conditions under which H fuses involve plasmas

$$\mathbf{F} = m\mathbf{a} \qquad \mathbf{F} = q\left(\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c}\right) \qquad E = mc^2$$
$$i\hbar \frac{\partial \Psi}{\partial t} = \mathbf{H}\Psi \qquad \mathbf{F} = -\frac{Gm_1m_2}{r^2}\hat{\mathbf{r}} \qquad \mathbf{F} = \frac{q_1q_2}{r^2}\hat{\mathbf{r}}$$

$$\frac{1}{c} \left( \frac{\partial \mathbf{E}}{\partial t} + 4\pi \mathbf{J} \right) = \nabla \times \mathbf{B} \qquad \qquad \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}$$

 $\nabla \cdot \mathbf{B} = 0$ 

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$$\nabla \cdot \mathbf{B} = 0 \qquad \text{Of course! The stars shine brightly.}$$



 $\nabla \cdot \mathbf{B} = 0$  Of course! The stars shine brightly. But can we do it without a gravity assist? There is some help from quantum mechanics







But can we do it without a gravity assist? There is some help from quantum mechanics

#### ITER is under construction in France

One way forward is to use magnetic fields instead of gravity, to confine and insulate the reacting plasma.



## Magnetic confinement: Tokamak



## Magnetic confinement: Stellarator



## The stellarator approach...



## The stellarator approach...



## The stellarator approach...



#### Shape optimization problems in stellarator design

#### **MHD** equilibrium

- Given outer boundary shape,  $S_P$ , and 2 free functions, *B* determined everywhere in confinement region
- Figures of merit describing configuration are a function of boundary shape, f(S<sub>P</sub>) (e.g. neoclassical transport) *How should one deform S<sub>P</sub> to obtain an optimal configuration?*

#### **Coil design**

- Given desired boundary shape,  $S_P$ , where should one position electromagnetic coils such that  $S_P$  is a magnetic surface?
- Figures of merit are a function of coil shape or winding surface shape

How should one deform coils to obtain desired plasma surface? How sensitive is a figure of merit to coil displacements?



#### **Describing derivatives with respect to shape**

- Consider  $f(\Gamma)$ , a functional of some surface,  $\Gamma$
- For displacement of surface,  $\Gamma_{\epsilon} = \{r_0 + \epsilon \delta r : r_0 \in \Gamma\}$ , shape derivative is

$$\delta f(\Gamma, \delta \mathbf{r}) = \lim_{\epsilon \to 0} \frac{f(\Gamma_{\epsilon}) - f(\Gamma)}{\epsilon}$$

- Differential change to f can be written as  $\delta f(\Gamma, \delta r) = \int_{\Gamma} d^2 x \, \delta r \cdot n \, S$
- The *shape gradient*, S, describes the differential contribution of local perturbations to the surface,  $\delta r$ , to changes in the the function,  $\delta f$



*Why is S useful?* 

- Gradient-based optimization
- Local sensitivity analysis
- Quantifying engineering tolerances

#### Adjoint methods – the big picture

- Adjoint methods allow *gradient* of a function of the solution to a system of equations to be computed efficiently
- Useful for optimization within high-dimensional spaces with gradient-based methods
- Efficient computation of shape gradient
- Widely used in aerodynamic engineering



#### A linear algebra example

• Consider linear  $M \times M$  system

$$\overleftrightarrow{A}x = b$$

• Interested in linear dependence of inner product with x on parameters,  $\Omega = \{\Omega_i\}_{i=1}^N$ 

$$F = \mathbf{x}^{T} \mathbf{c}$$

Derivative expensive 
$$(\mathcal{O}(M^3N))$$
 to compute direct way  $\partial F$   $(\partial c) (\partial x)^T$ 

$$\frac{\partial F}{\partial \Omega_i} = \mathbf{x}^T \left( \frac{\partial \mathbf{c}}{\partial \Omega_i} \right) + \left( \frac{\partial \mathbf{x}}{\partial \Omega_i} \right) \mathbf{c}$$

• Compute  $\partial x / \partial \Omega_i$  from perturbed linear system

$$\overrightarrow{A}\frac{\partial \boldsymbol{x}}{\partial \Omega_i} = \left(\frac{\partial \boldsymbol{b}}{\partial \Omega_i} - \frac{\partial \overrightarrow{A}}{\partial \Omega_i} \boldsymbol{x}\right)$$

• Instead, solve additional adjoint equation  $\overleftrightarrow{}_{\pi}$ 

$$\overrightarrow{A}^T q = c$$

• Compute derivative with 2 solutions of  $M \times M$  system (x, q)

$$\frac{\partial F}{\partial \Omega_i} = \boldsymbol{x}^T \left( \frac{\partial \boldsymbol{c}}{\partial \Omega_i} \right) + \boldsymbol{q}^T \left( \frac{\partial \boldsymbol{b}}{\partial \Omega_i} - \frac{\partial \overrightarrow{\boldsymbol{A}}}{\partial \Omega_i} \boldsymbol{x} \right)$$

#### Newton and Maxwell (and Coulomb, and ...)

$$\mathbf{F} = m\mathbf{a}$$
  $\mathbf{F} = q\left(\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c}\right)$   $E = mc^2$ 

$$i\hbar \frac{\partial \Psi}{\partial t} = \mathbf{H}\Psi \qquad \mathbf{F} = -\frac{Gm_1m_2}{r^2}\hat{\mathbf{r}} \qquad \mathbf{F} = \frac{q_1q_2}{r^2}\hat{\mathbf{r}}$$

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 $\nabla \cdot \mathbf{B} = 0$ 

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Too much information; we need Boltzmann!

Consider a collection of a large number of charged particles which are neither created nor destroyed as time goes forward.

The particles move under the influence of their electric and magnetic fields,  $\mathbf{E}(\mathbf{x}, t)$  and  $\mathbf{B}(\mathbf{x}, t)$ , which may be calculated from Maxwell's equations.

Under the influence of these fields, each particle moves along a classical trajectory  $\mathbf{x}_i(t), \mathbf{v}_i(t)$ . None disappear.

Define a function that describes the probability of finding this collection of *N* particles in a specific state:

$$f_N = f_N(\mathbf{x}_1, \mathbf{v}_1, \mathbf{x}_2, \mathbf{v}_2, \dots, \mathbf{x}_N, \mathbf{v}_N; t)$$

According to the laws of classical physics, we have

$$\frac{Df_N}{Dt} = 0$$

(Liouville equation)



 $\frac{Df_N}{Dt}$ = 0

This equation looks simple, but remember that  $f_N$  is (6N+1) dimensional Important simplification:

\* Weakly coupled system of indistinguishable particles if

 $\Lambda \equiv 4\pi N \lambda_D^3 \gg 1$ 

"plasma parameter"

Mediated by EM fields *Collective effects dominate behavior at scales larger than the screening radius* 

## Weak coupling: No high-order correlations



Mathematical machinery of this reduction is "BBGKY" theory Reduces dimensionality of problem radically, to Boltzmann equation:

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}} + \mathbf{a} \cdot \frac{\partial f}{\partial \mathbf{v}} = C(f, f)$$
  
EM fields Two-particular to the second se

Two-particle collisions

## Fluid theory sometimes suffices

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}} + \mathbf{a} \cdot \frac{\partial f}{\partial \mathbf{v}} = C(f, f) = 0$$

Solution of this equation is Maxwell-Boltzman distribution:

$$f \propto \frac{n}{T^{3/2}} \exp \frac{-m(\mathbf{v} - \mathbf{u})^2}{2T}$$

Need only keep track of density, momentum and temp: plasma as fluid

Mean-free-path is simply 
$$\,\lambda_{
m mfp}\equiv rac{v_t}{
u}$$
. Take L to be size of interest.

If  $\lambda_{mfp} \ll L$ , then structures of size *L* are Maxwellian in *v*-space. Fluid-like phenomena can be described by 3-D theory (*e.g.*, MHD)

## Kinetic theory when $\lambda_{mfp} \gg L$



EM fields

Two-particle collisions

Boltzmann equation + Maxwell's equations = kitchen sink

Very frequently, the largest term in the equation is the acceleration due to the (self-consistent and/or imposed) magnetic field: leads to rapid gyration.

Take advantage of this, and work out asymptotically rigorous equations that describe all dynamics slower than the gyration: theory is known as "gyrokinetics".

Expand Boltzmann and Maxwell equations in powers of epsilon, where

$$\epsilon \equiv \frac{\omega}{\Omega_c}, \qquad \Omega_c \equiv \frac{qB}{mc}.$$

Identify relevant physics at each order in epsilon (gyration, turbulence, thermodynamics) taking place at different space and time scales.

Key result: for processes that are slow compared to the gyration, equations are reduced in dimensionality (from 6 to 5) but are integro-differential.

Conceptually, particles are replaced by rings whose radii are time-varying.

Interesting turbulent phenomena exist with eddies both large and small, compared to a typical "gyroradius". Challenging to study!

## GK equations describe evolution of guiding centers

- The order-by-order reduction of the Boltzmann equation is achieved by repeatedly orbit-averaging the equations, because the orbit-average annihilates the largest term at each order -- the gyration.
- One finds an equation for the part of the distribution function which is independent of gyro-angle. The gyro-angle dependent part of the distribution function yields a "polarization density".
- To find the actual currents and charge densities for Maxwell's equations, one must keep both contributions. Results in algebraic clutter.
- Key result: the gyroaveraging operation smooths over perturbations that are small compared to the gyroradius. Particles respond to and produce small-scale electromagnetic fields, but both are tempered by the spatial averaging coming from the rapid (instantaneous, in the theory) gyration.

## Kinetic theory when $\lambda_{mfp} \gg L$



$$\frac{df_s}{dt} = \frac{\partial f_s}{\partial t} + \boldsymbol{v} \cdot \nabla f_s + \frac{Z_s e}{m_s} \left( \widetilde{\boldsymbol{E}} + \frac{1}{c} \boldsymbol{v} \times \widetilde{\boldsymbol{B}} \right) \cdot \frac{\partial f_s}{\partial \boldsymbol{v}} = C[f_s] + S_s$$

Choose good coordinates in velocity space...

$$\frac{df_s}{dt} = \frac{\partial f_s}{\partial t} + \dot{\mathbf{R}}_s \cdot \frac{\partial f_s}{\partial \mathbf{R}_s} + \dot{\mu}_s \frac{\partial f_s}{\partial \mu_s} + \dot{\varepsilon}_s \frac{\partial f_s}{\partial \varepsilon_s} + \dot{\vartheta} \frac{\partial f_s}{\partial \vartheta} = C[f_s] + S_s$$

# Expand in a small parameter and average in three different ways







$$F_{0s} = n_s(\mathbf{r}) \left[ \frac{m_s}{2\pi T_s(\mathbf{r})} \right]^{3/2} \exp\left\{ -\frac{m_s \left[ w^2 - 2m_s w_{\parallel} \hat{u}_{\parallel s}(\mathbf{r}) + \hat{u}_{\parallel s}^2(\mathbf{r}) \right]}{2T_s(\mathbf{r})} \right\}$$



$$F_{0s} = N_s(\psi(\boldsymbol{R}_s)) \left[\frac{m_s}{2\pi T_s(\psi(\boldsymbol{R}_s))}\right]^{3/2} e^{-\varepsilon_s/T_s(\psi(\boldsymbol{R}_s))}$$



$$\Delta^* \psi = -4\pi R^2 \sum_s n_s \left\{ T_s \frac{d\ln N_s}{d\psi} + \left[ Z_s e\varphi_0 - \frac{1}{2} m_s \omega^2(\psi) R^2 + T_s \right] \frac{d\ln T_s}{d\psi} \right\} - 4\pi R^2 \left( \sum_s m_s n_s R^2 \right) \omega(\psi) \frac{d\omega}{d\psi} - I(\psi) \frac{dI}{d\psi},$$

$$\Delta^* \psi = \left(\frac{\partial^2}{\partial R^2} - \frac{1}{R}\frac{\partial}{\partial R} + \frac{\partial^2}{\partial z^2}\right)\psi$$

## To find the shape, density, temperature, etc, we need to go to higher order

$$f_{s} = F_{s} + \delta f_{s},$$
  

$$F_{s} = F_{0s} \left( \psi(\mathbf{R}_{s}), \varepsilon_{s} \right) + F_{1s} \left( \mathbf{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma \right) + \mathcal{O} \left( \epsilon^{2} f \right),$$
  

$$\delta f_{s} = -\frac{Z_{s} e}{T_{s}} \delta \varphi'(\mathbf{r}) F_{0s} + h_{s} \left( \mathbf{R}_{s}, \varepsilon_{s}, \mu_{s}, \sigma \right) + \mathcal{O} \left( \epsilon^{2} f \right),$$
  

$$F_{0s} = N_{s} \left( \psi(\mathbf{R}_{s}) \right) \left[ \frac{m_{s}}{2\pi T_{s}(\psi(\mathbf{R}_{s}))} \right]^{3/2} e^{-\varepsilon_{s}/T_{s}(\psi(\mathbf{R}_{s}))},$$

# Result is a 5-D, nonlinear, integro-differential system of equations. Remarkably, they can be solved.

$$\begin{split} &\left[\frac{\partial}{\partial t} + \boldsymbol{u}(\boldsymbol{R}_{s}) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\right] h_{s} + w_{\parallel} \boldsymbol{b} \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}} \left(F_{1s} + h_{s}\right) + \left(\boldsymbol{V}_{\mathrm{D}s} + \langle \boldsymbol{V}_{\chi} \rangle_{\boldsymbol{R}}\right) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}} \left(F_{0s} + h_{s}\right) \\ &= \frac{Z_{s} e F_{0s}}{T_{s}} \left[\frac{\partial}{\partial t} + \boldsymbol{u}(\boldsymbol{R}_{s}) \cdot \frac{\partial}{\partial \boldsymbol{R}_{s}}\right] \langle \chi \rangle_{\boldsymbol{R}} - \frac{m_{s} F_{0s}}{T_{s}} \left[\frac{I w_{\parallel}}{B} + \omega(\psi) R^{2}\right] \frac{d\omega}{d\psi} \langle \boldsymbol{V}_{\chi} \rangle_{\boldsymbol{R}} \cdot \nabla \psi \\ &- \frac{Z_{s} e}{T_{s} c} w_{\parallel} F_{0s} \frac{\partial \boldsymbol{A}}{\partial t} \cdot \boldsymbol{b} + \langle C[F_{0s} + F_{1s} + h_{s}] \rangle_{\boldsymbol{R}}, \end{split}$$

#### Some definitions:

$$\chi = \delta \varphi - \frac{1}{c} \boldsymbol{v} \cdot \delta \boldsymbol{A} = \delta \varphi' - \frac{1}{c} \boldsymbol{w} \cdot \delta \boldsymbol{A},$$
$$\boldsymbol{V}_{\chi} = \frac{c}{B} \boldsymbol{b} \times \nabla \chi, \qquad \langle \boldsymbol{V}_{\chi} \rangle_{\boldsymbol{R}} = \frac{c}{B} \boldsymbol{b} \times \frac{\partial \langle \chi \rangle_{\boldsymbol{R}}}{\partial \boldsymbol{R}_{s}}$$
$$\boldsymbol{V}_{\mathrm{D}s} = \frac{\boldsymbol{b}}{\Omega_{s}} \times \left[ w_{\parallel}^{2} \boldsymbol{b} \cdot \nabla \boldsymbol{b} + \frac{1}{2} w_{\perp}^{2} \nabla \ln B - \omega^{2}(\psi) R \nabla R - 2 w_{\parallel} \omega(\psi) \boldsymbol{b} \times \nabla z + \frac{Z_{s} e}{m_{s}} \nabla \varphi_{0} \right]$$

EM potentials Nonlinearities Drift velocities

## Gyrokinetic physics: Gyration + streaming + drifts



Highly anisotropic, because particles stream freely along the magnetic field lines.

Plane perpendicular to magnetic field is special.

Self-consistent currents and fields.

**E** x **B** drift, flexing, stretching and tearing of field lines, included.

GK describes field perturbations larger and smaller than the gyration radii.

## At next order, the system closes! (non-trivial)

$$f_s = F_{0s}(\psi(\boldsymbol{R}_s), \varepsilon_s) + F_{1s}(\boldsymbol{R}_s, \varepsilon_s, \mu_s, \sigma) + F_{2s}(\boldsymbol{r}, \boldsymbol{v}) - \frac{Z_s e}{T_s} \delta \varphi'(\boldsymbol{r}) F_{0s} + h_s(\boldsymbol{R}_s, \varepsilon_s, \mu_s, \sigma) + \delta f_{2s}(\boldsymbol{r}, \boldsymbol{v}) + \cdots$$

$$\frac{1}{V'} \left. \frac{\partial}{\partial t} \right|_{\psi} V' \left\langle n_s \right\rangle_{\psi} + \frac{1}{V'} \frac{\partial}{\partial \psi} V' \left\langle \Gamma_s \right\rangle_{\psi} = \left\langle S_s^{(n)} \right\rangle_{\psi}$$

$$\begin{split} \langle \Gamma_s \rangle_{\psi} &= \left\langle \int d^3 \boldsymbol{w} \left( \frac{\boldsymbol{w} \times \boldsymbol{b}}{\Omega_s} \cdot \nabla \psi \right) C[F_{0s}] \right\rangle_{\psi} + \left\langle \int d^3 \boldsymbol{w} F_s^{(\mathrm{nc})} \boldsymbol{V}_{\mathrm{Ds}} \cdot \nabla \psi \right\rangle_{\psi} \\ &- \left\langle n_s \right\rangle_{\psi} I(\psi) \frac{\langle \boldsymbol{E} \cdot \boldsymbol{B} \rangle_{\psi}}{\langle B^2 \rangle_{\psi}} + \left\langle \left\langle \int d^3 \boldsymbol{w} \langle h_s \, \boldsymbol{V}_{\chi} \rangle_{\boldsymbol{r}} \cdot \nabla \psi \right\rangle_{\mathrm{turb}} \right\rangle_{\psi} \end{split}$$

And similarly, one can derive equations for the momentum and temperature profiles

## Where are the waves?

- We can look at the *linearized* (small amplitude) equations and find dispersion relations for the waves
- Let us do that briefly, without the complications of toroidal geometry

## **Gyrokinetic Equations**

• The drift frequency  $i\omega_*^T = n_0 c \partial F_0 / \partial \Psi$ , where  $n_0$  labels the  $\alpha$  Fourier harmonic of the perturbation

• The perpendicular drifts (curvature, grad-B) are  $\omega_d = \mathbf{k}_{\perp} \cdot \mathbf{B}_0 \times \left( m v_{\parallel}^2 \hat{\mathbf{b}} \cdot \nabla \hat{\mathbf{b}} + \mu \nabla B_0 \right) / (m B_0 \Omega),$ 

• Potentials for the fields appear as

$$\chi = J_0(\gamma) \left( \Phi - rac{v_{\parallel}}{c} A_{\parallel} 
ight) + rac{J_1(\gamma)}{\gamma} rac{m v_{\perp}^2}{q} rac{\delta B_{\parallel}}{B}; \quad \gamma \equiv k_{\perp} v_{\perp} / \Omega$$

#### **Gyrokinetic Field Equations**

- Maxwell's equations, neglecting displacement current.
- Poisson's equation:  $[\nabla \cdot \mathbf{E} = 4\pi\rho]$

$$\nabla_{\perp}^{2} \Phi = 4\pi \sum_{s} \int d^{3}v \, q \left[ q \Phi \frac{\partial F_{0}}{\partial \epsilon} + h \exp\left(iL\right) \right],$$

where  $L = (\mathbf{v} \times \hat{\mathbf{b}} \cdot k_{\perp}) / \Omega$  accounts for the gyrophase dependence.

• Preferred velocity space coordinates are  $(E,\mu,\xi)$ , so that

$$\int d^3v = \frac{B}{m^2} \int \frac{dE \, d\mu \, d\xi}{|v_{\parallel}|} \equiv \frac{1}{2\pi} \int d^2v \, d\xi$$

• Integrate over the gyrophase to find

$$\nabla_{\perp}^{2} \Phi = 4\pi \sum_{s} \int d^{2}v \, q \left[ q \Phi \frac{\partial F_{0}}{\partial \epsilon} + J_{0}(\gamma)h \right]$$

 Similarly, Ampere's law provides the two components of the perturbed magnetic field:

$$\nabla_{\perp}^{2} A_{\parallel} = -\frac{4\pi}{c} \sum_{s} \int d^{2}v \, q v_{\parallel} J_{0}(\gamma) h \qquad \left[ \hat{\mathbf{b}} \cdot \nabla \times \mathbf{B} = \frac{4\pi J_{\parallel}}{c} \right]$$

$$\frac{\delta B_{\parallel}}{B} = -\frac{4\pi}{B^2} \sum_{s} \int d^2 v \, m v_{\perp}^2 \frac{J_1(\gamma)}{\gamma} h \qquad \left[ 4\pi \delta p_{\perp} + \frac{\delta B_{\parallel}}{B} = 0 \right]$$

(Fast waves -> pressure balance)

#### **Linear Dispersion Relation**

- Take  $C = \omega_d = \omega_* = 0$  (collisionless, homogenous plasma, straight field lines)
- Linear GKE is:

$$-i\omega h + ik_{\parallel}v_{\parallel}h = -\frac{i\omega q}{T}\chi F_{0}$$

• For clarity, consider hydrogenic plasma, with  $k_{\perp} \rho_e \ll 1$ .

### **Linear Dispersion Relation**

 $S = C_j =$ 

$$\begin{aligned} & \text{Shear Alfvèn} & \text{Slow mode} \\ & \left[ \left( \frac{k_{\parallel} v_A}{\omega} \right)^2 - \left( \frac{1 - \mathcal{I}_0}{k_{\perp}^2 \rho_i^2} \right) \left( 1 - \frac{1 - \mathcal{I}_0}{S} \right) \right] \left[ 1 - \frac{\beta_i}{2} C_2 + \frac{\beta_i}{2} \frac{C_1^2}{S} \right] \\ & = \frac{\beta_i}{2} \left( \frac{1}{k_{\perp}^2 \rho_i^2} \right) \left[ (1 - \mathcal{I}_1) - (1 - \mathcal{I}_0) \frac{C_1}{S} \right]^2 \\ & \text{Finite Larmor radius coupling} \\ & 1 + \mathcal{I}_{0i} \zeta_i Z(\zeta_i) + \frac{T_i}{T_e} [1 + \zeta_e Z(\zeta_e)] \\ & = \sum_s \mathcal{I}_j \zeta Z(\zeta) \left( T_i / T_s \right) \end{aligned}$$

Long wavelength limits [small  $b = (k_{\perp}\rho_i)^2$ ]:  $\mathcal{I}_0 \sim 1 - b$   $\mathcal{I}_1 \sim 1 - \frac{3}{2}b$   $\mathcal{I}_1 \sim 2 - 3b$ 

### Long wavelength waves $(k_\perp ho_i \ll 1, eta \sim 1)$

Shear Alfvèn Slow mode  $\left[ \left( \frac{k_{\parallel} v_A}{\omega} \right)^2 - 1 \right] \left[ 1 - \frac{\beta_i}{2} C_2 + \frac{\beta_i C_1^2}{2 S} \right]$ 

= 0

Finite Larmor radius coupling

• Shear Alfvèn waves:  $\omega^2 = k_{\parallel}^2 v_A^2$ 

• Slow wave:  $1 - \frac{\beta_i}{2}C_2 + \frac{\beta_i}{2}\frac{C_1^2}{S} = 0$ 

The nonlinear version of this decoupling exists and is "Kinetic Reduced MagnetoHydroDynamics" or KRMHD

- **Kinetic** because the model includes phase mixing/Landau damping
- Reduced because the model describes small amplitude, spatially anisotropic fluctuations (long wavelengths along *B* and short wavelengths across)
- MHD because the Alfvenic fluctuations are the same as in reduced MHD.
- Just as in MHD, we represent the Alfvenic fluctuations in Elsasser variables
- The long wavelength  $(k_{\perp}\rho\ll 1)$  kinetic fluctuations are described by "Vlasov"-like equations

## **Kinetic Reduced MHD**

• Alfven waves decouple:

$$\left(\frac{\partial}{\partial t} \mp v_A \frac{\partial}{\partial z}\right) \omega^{\pm} = -\left[\zeta^{\mp}, \omega^{\pm}\right] - \left[\partial_i \zeta^{\mp}, \partial_i \zeta^{\pm}\right]$$

- Here,  $\omega^{\pm} = \nabla_{\perp}^2 \zeta^{\pm}$  and  $\zeta^{\pm} = \Phi \pm \Psi$ . Physically, this is a complicated looking way to describe counter-propagating Alfven waves in reduced MHD. No compressional effects!
- Kinetic equations for the ions are simple (*i*=1, 2)

$$\frac{dg^{(i)}}{dt} + v_{\parallel} \nabla_{\parallel} g^{(i)} + v_{\parallel} F_0 \nabla_{\parallel} \phi^{(i)} = 0$$

• But the operators are the nonlinear ones

 $d/dt = \partial/\partial t + \mathbf{v} \cdot \nabla$  and  $\nabla_{\parallel} = v_A \partial/\partial z + \mathbf{b} \cdot \nabla$ 

## These waves interact! (unlike ordinary light)

• Simulation of colliding Alfven waves using AstroGK



## Mathematical "angles"

- Deriving the appropriate multi-scale reductions
- Identifying conserved quantities and symmetries
- Identifying exact, nonlinear solutions
- Developing discretizations and numerical algorithms
- Developing closures (How do unresolved scales affect solutions?)
- Optimizing codes (mostly by inventing new algorithms)
- Extracting meaning! —— "I" rather than "AI"

## Applications of gyrokinetics: Nature



The solar wind is a pressuredriven, outward flow of plasma from the sun.

The pressure should drop as the plasma expands, and the flow should stagnate.

Why doesn't this happen? Perhaps turbulent heating -in this case, gyrokinetic turbulent heating.

What we learn from solar wind may be applied to astrophysical systems.

## The End