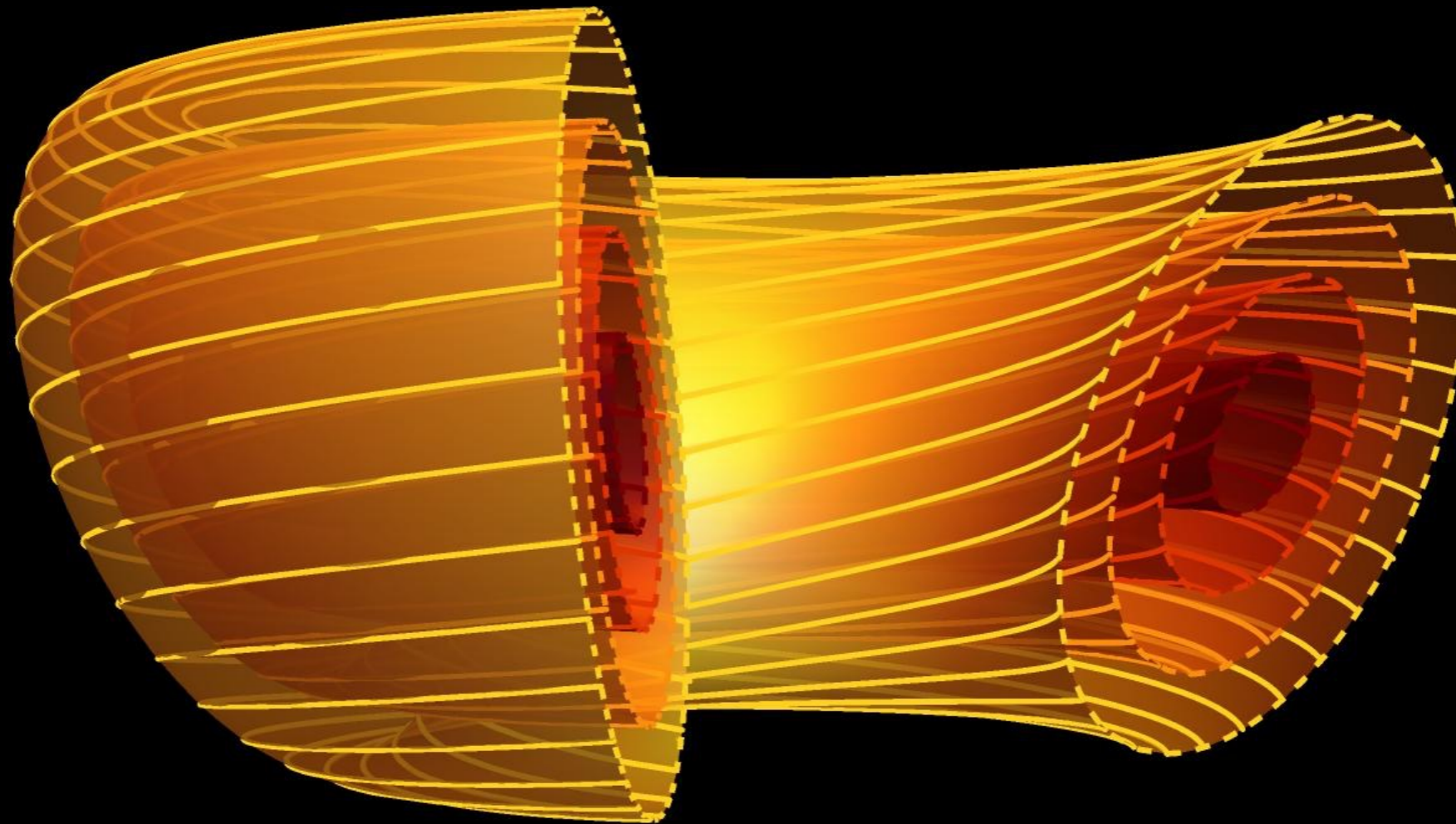


Up-down asymmetric tokamaks



Justin Ball

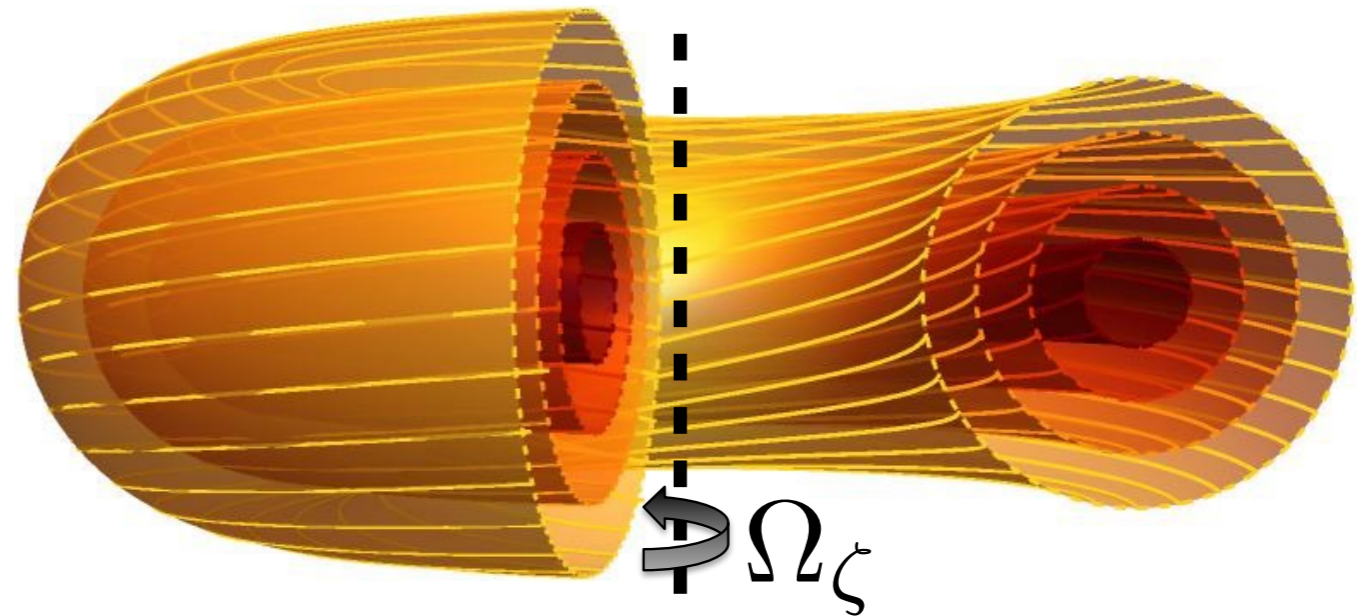
Prof. Felix Parra and Prof. Michael Barnes
Oxford University and CCFE

9th Gyrokinetic Working Group Meeting
29 July 2016

The problem

Liu et al. *Nucl. Fusion* (2004).

- Toroidal plasma rotation has been used in experiments to significantly increase the plasma pressure



- The usual methods to drive rotation do not appear to scale well to larger devices such as ITER
- Numerical modeling suggests that, to see a benefit, ITER requires rotation with

$$M_A \equiv \frac{R\Omega_\zeta}{v_{\text{Alfvén}}} \approx 0.5 - 5\% \quad (\text{on-axis})$$

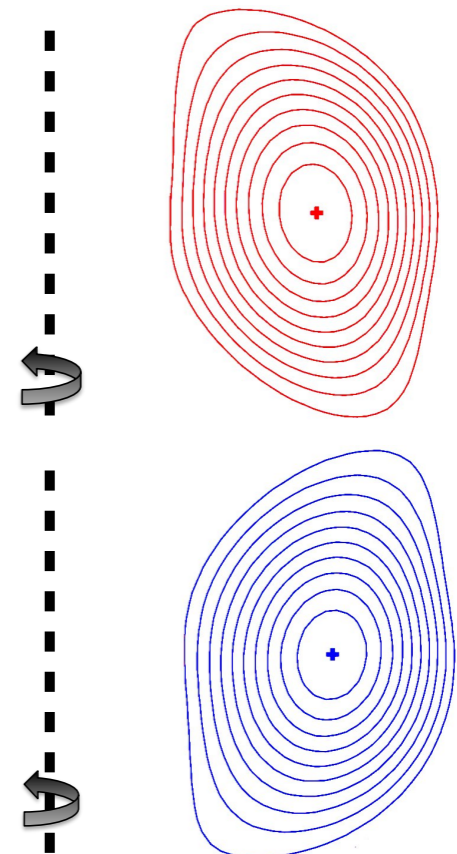
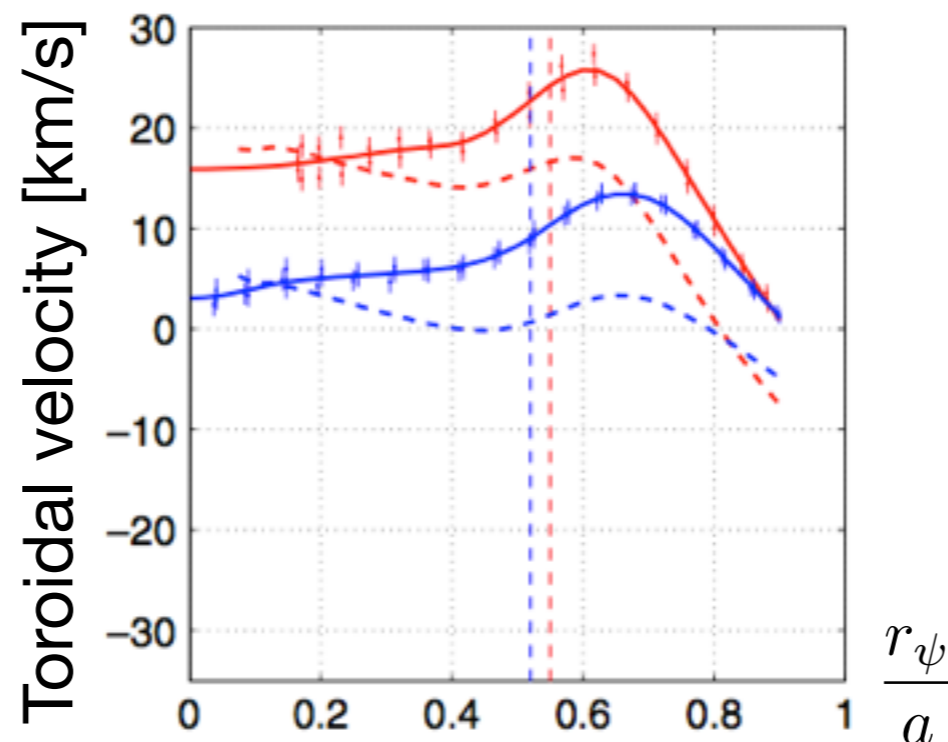
* multiply by ~10 to get

$$M_S \equiv \frac{R\Omega_\zeta}{v_{\text{sound}}}$$

The solution?

Camenen et al. *PPCF* (2010).

- Use plasma turbulence to transport momentum and spontaneously generate “intrinsic” rotation from a stationary plasma
- For future large devices, we need intrinsic rotation that scales with size
- As we will see, this severely restricts our options: up-down asymmetry in the magnetic equilibrium

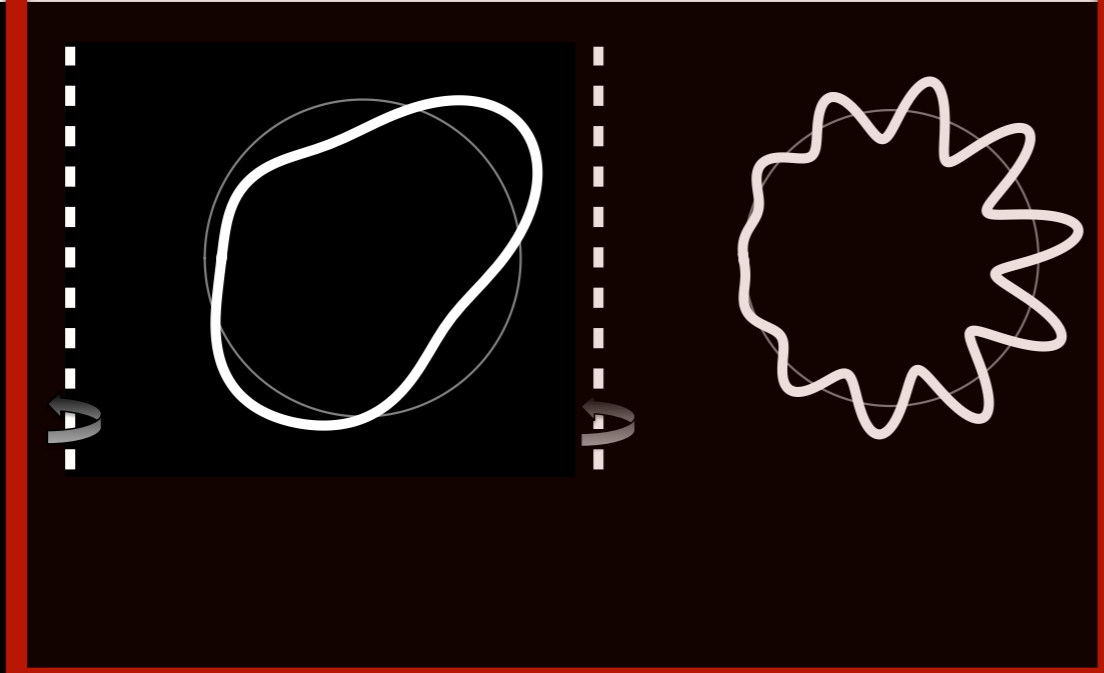
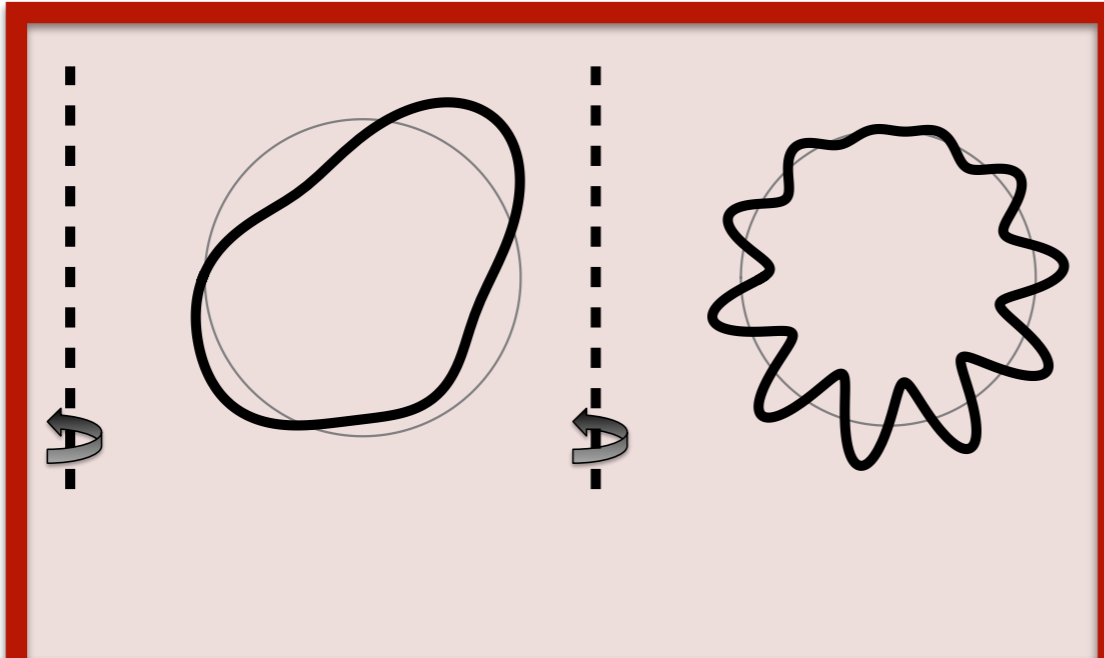


Outline

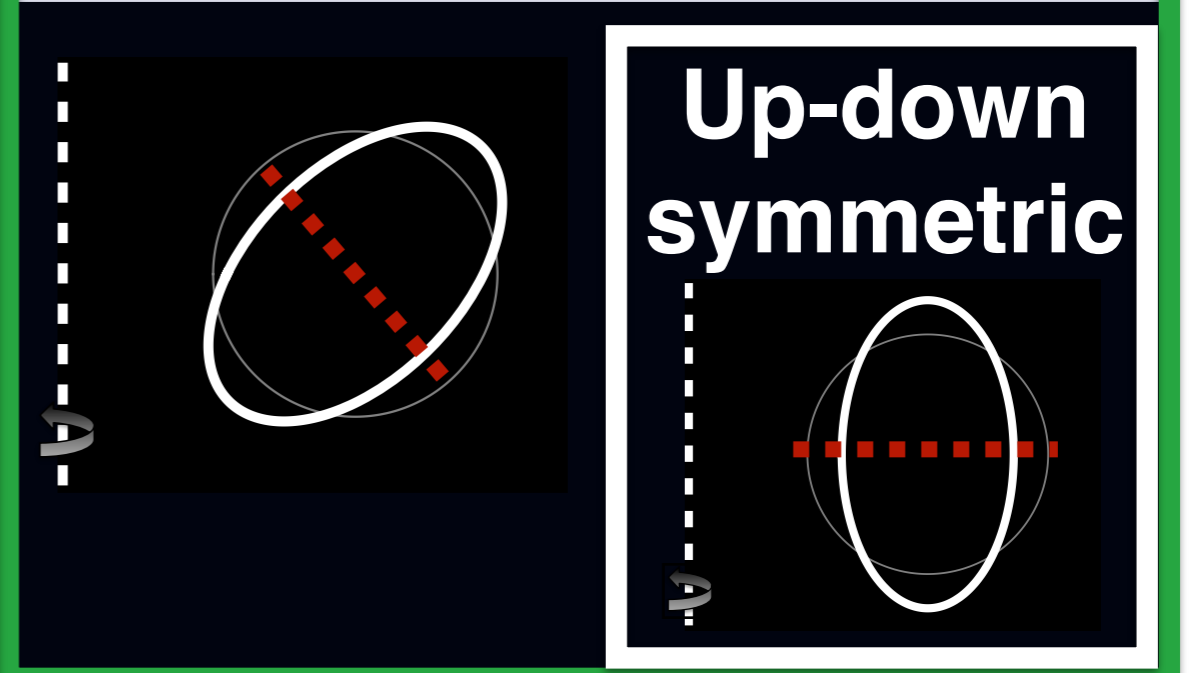
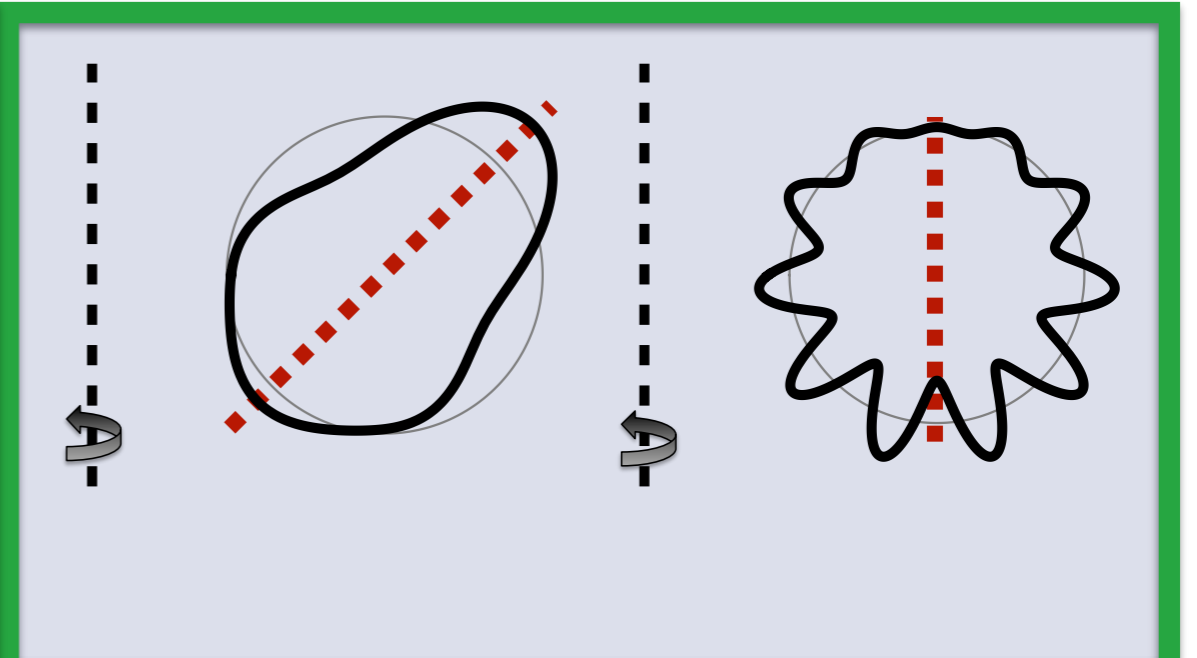
Up-down asym.
envelope

Up-down sym.
envelope

Non-mirror symmetric



Mirror symmetric



Generalization of Miller local equilibrium

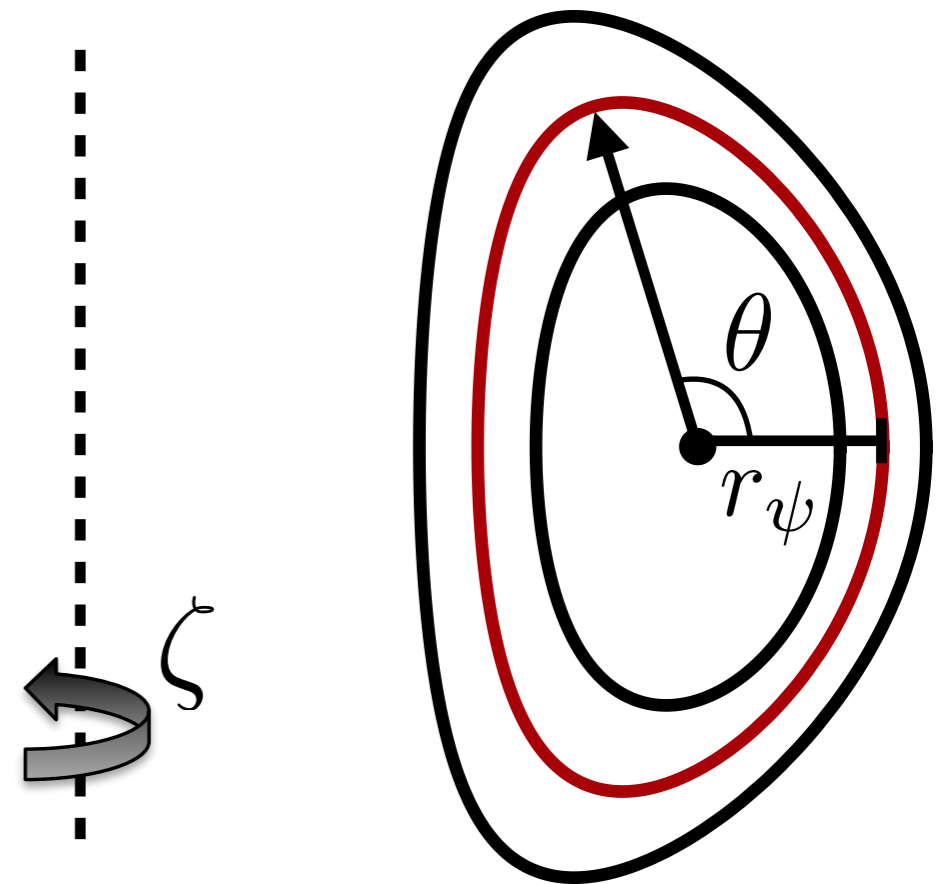
Miller et al. *Phys. Plasmas* (1998).

- Works well with GS2, a local δf gyrokinetic code
- Specify the flux surface of interest as a Fourier decomposition:

$$r_0(\theta) = r_{\psi 0} \left(1 - \sum_m C_m \cos(m(\theta + \theta_{tm})) \right)$$

- Specify how it changes with minor radius:

$$\left. \frac{\partial r_0}{\partial r_{\psi}} \right|_{\theta} = 1 - \sum_m C'_m \cos(m(\theta + \theta'_{tm}))$$



Gyrokinetics

- Governs turbulence in tokamaks:

$$\begin{aligned} \frac{\partial h_s}{\partial t} + v_{\parallel} \hat{b} \cdot \vec{\nabla} \theta \frac{\partial h_s}{\partial \theta} \Big|_{v_{\parallel}} + i (k_{\psi} v_{ds\psi} + k_{\alpha} v_{ds\alpha}) h_s + a_{\parallel s} \frac{\partial h_s}{\partial v_{\parallel}} - \sum_{s'} \langle C_{ss'}^{(l)} \rangle_{\varphi} + \{ J_0 (k_{\perp} \rho_s) \phi, h_s \} \\ = \frac{Z_s e F_{Ms}}{T_s} \frac{\partial}{\partial t} (J_0 (k_{\perp} \rho_s) \phi) - v_{\phi s \psi} F_{Ms} \left[\frac{1}{n_s} \frac{dn_s}{d\psi} + \left(\frac{m_s v^2}{2T_s} - \frac{3}{2} \right) \frac{1}{T_s} \frac{dT_s}{d\psi} \right] \end{aligned}$$

$$\text{where } k_{\perp} = \sqrt{k_{\psi}^2 |\vec{\nabla} \psi|^2 + 2k_{\psi} k_{\alpha} \vec{\nabla} \psi \cdot \vec{\nabla} \alpha + k_{\alpha}^2 |\vec{\nabla} \alpha|^2}$$

- Allows us to calculate the turbulent fluxes, such as

$$\Pi = 2\pi i I \sum_{k_{\psi}, k_{\alpha}} k_{\alpha} \left\langle \phi (k_{\psi}, k_{\alpha}) \int dv_{\parallel} d\mu v_{\parallel} J_0 (k_{\perp} \rho_s) h_s (-k_{\psi}, -k_{\alpha}) \right\rangle_{\psi}$$

- Calculate the eight geometric coefficients from MHD equilibrium

Estimating the Alfvén Mach number

Ball et al. *PPCF* (2014).
Peeters et al. *PRL* (2007).

$$\left\langle \Pi \left(\Omega_\zeta, \frac{d\Omega_\zeta}{dr_\psi} \right) \right\rangle_t = 0 \qquad \langle Q_i \rangle_t = -D_Q \frac{dT_i}{dr_\psi}$$

$$\langle \Pi(0,0) \rangle_t - \cancel{Pr} \Omega_\zeta - D_\Pi \frac{d\Omega_\zeta}{dr_\psi} = 0$$

ignore

$$Pr \equiv \frac{D_\Pi}{D_Q} \approx 1 \approx \text{constant}$$

$$M_A \approx \frac{\sqrt{2\beta_T} \langle \Pi \rangle_t}{Pr \langle Q_i \rangle_t}$$

- Ignoring pinch is conservative, may enhance rotation by a factor of 3

Up-down symmetry argument

Peeters et al. *PoP* (2005). & Parra et al. *PoP* (2011).

Sugama et al. *PPCF* (2011).

- Negating k_ψ , θ , and $v_{||}$ leads to a second solution of the gyrokinetic eq.

$$Q_{\text{geo}}^{\text{ud}} \in \left\{ B, \hat{b} \cdot \vec{\nabla} \theta, v_{ds\psi}, v_{ds\alpha}, a_{||s}, |\vec{\nabla} \psi|^2, \vec{\nabla} \psi \cdot \vec{\nabla} \alpha, |\vec{\nabla} \alpha|^2 \right\}$$

$$\rightarrow \left\{ B, \hat{b} \cdot \vec{\nabla} \theta, -v_{ds\psi}, v_{ds\alpha}, -a_{||s}, |\vec{\nabla} \psi|^2, -\vec{\nabla} \psi \cdot \vec{\nabla} \alpha, |\vec{\nabla} \alpha|^2 \right\}$$

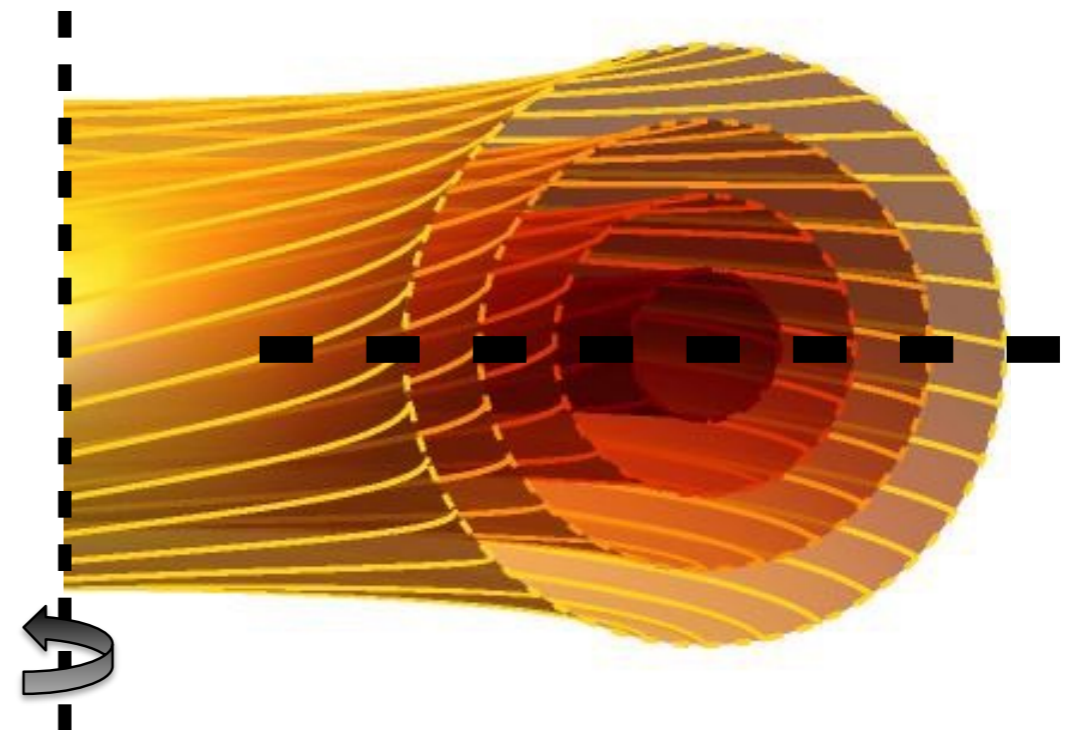
$$h_s(k_\psi, k_\alpha, \theta, v_{||}, \mu, t) \rightarrow -h_s(-k_\psi, k_\alpha, -\theta, -v_{||}, \mu, t)$$

- Second solution has a canceling momentum flux:

$$\langle \Pi \rangle_t \rightarrow -\langle \Pi \rangle_t$$

➔ $\langle \Pi \rangle_t = 0$

- Constrains $M_A = 0$ to lowest order in $\rho_* \equiv \rho_i/a \ll 1$

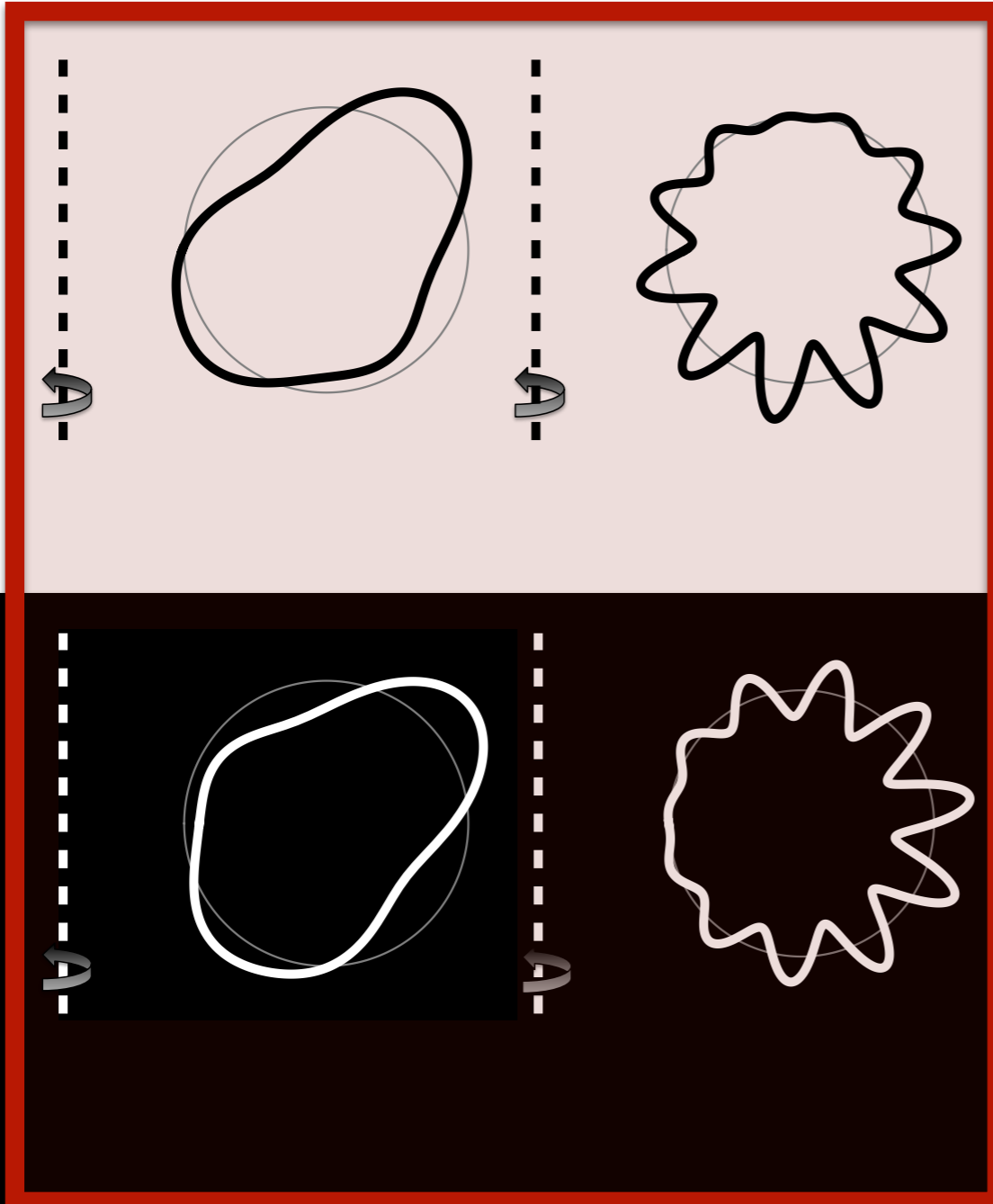


Outline

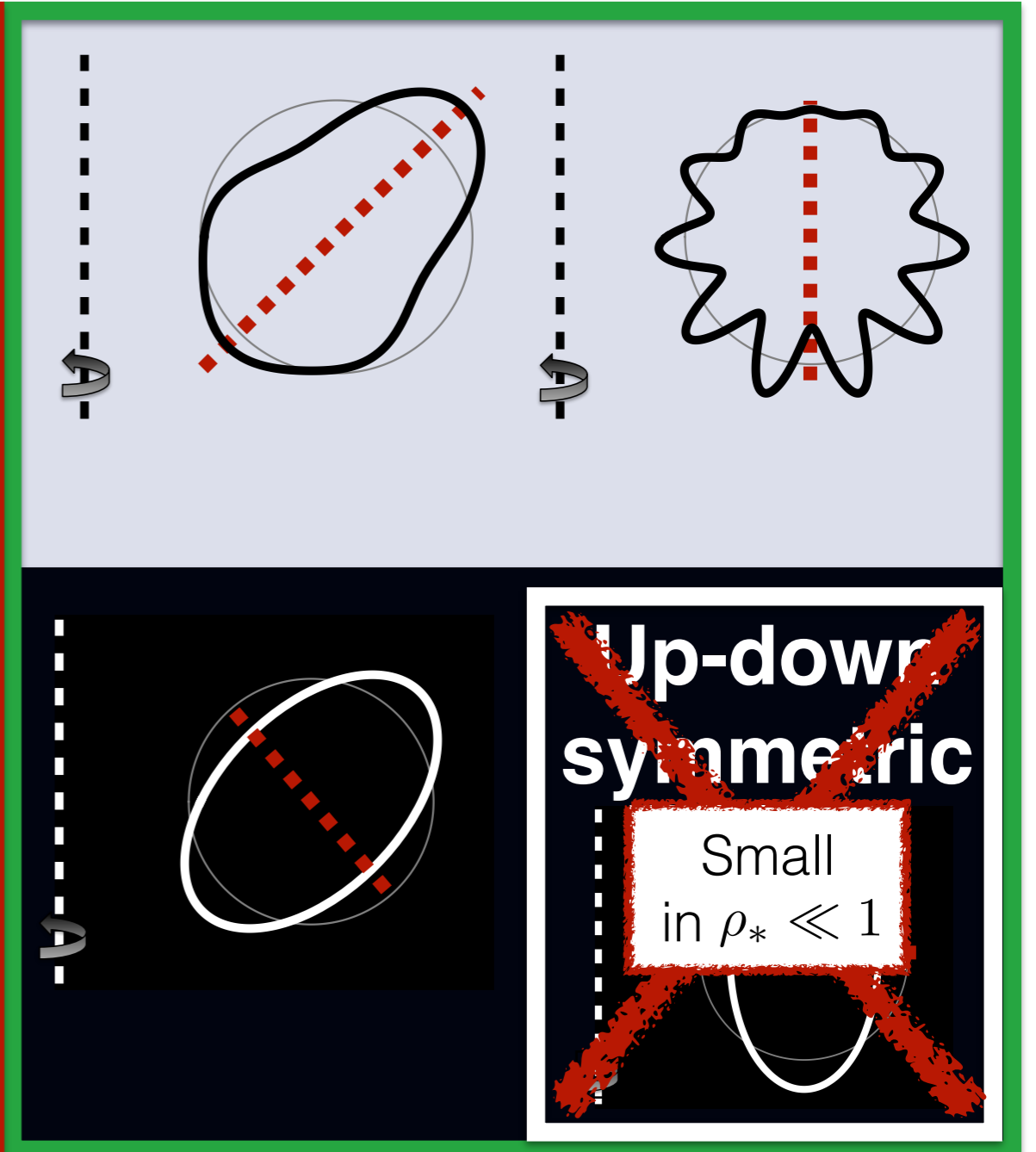
Up-down asym.
envelope

Up-down sym.
envelope

Non-mirror symmetric



Mirror symmetric



MHD equilibrium argument

Rodrigues et al. *Nucl. Fusion* (2014).
Ball et al. *PPCF* (2015).

- Grad-Shafranov equation for a constant toroidal current profile:

$$R^2 \vec{\nabla} \cdot \left(\frac{\vec{\nabla} \psi}{R^2} \right) = -\mu_0 R^2 \frac{dp}{d\psi} - I \frac{dI}{d\psi} = \text{const}$$

- To lowest order in aspect ratio, solutions are cylindrical harmonics:

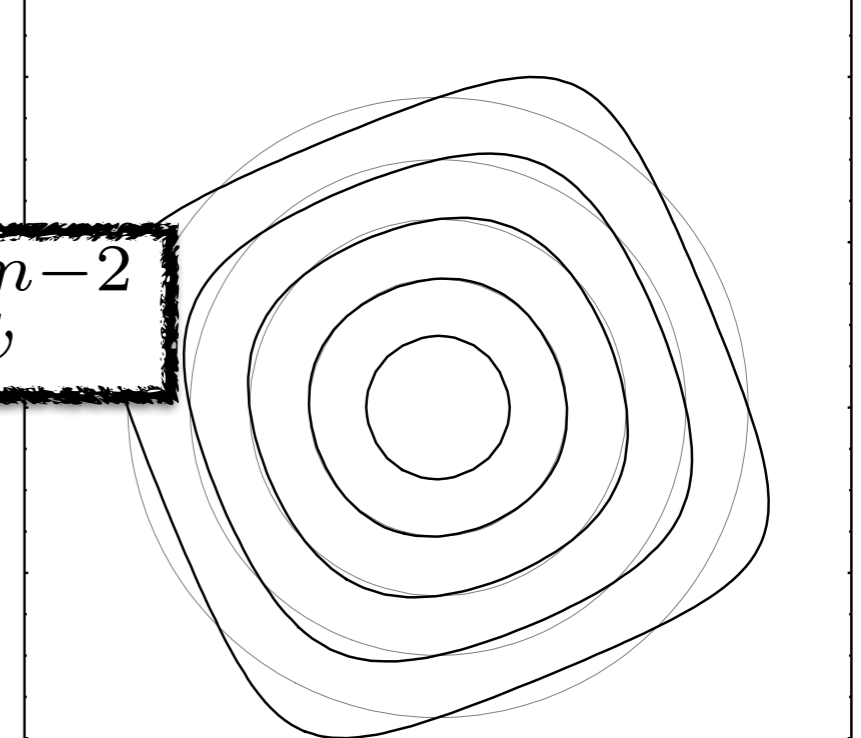
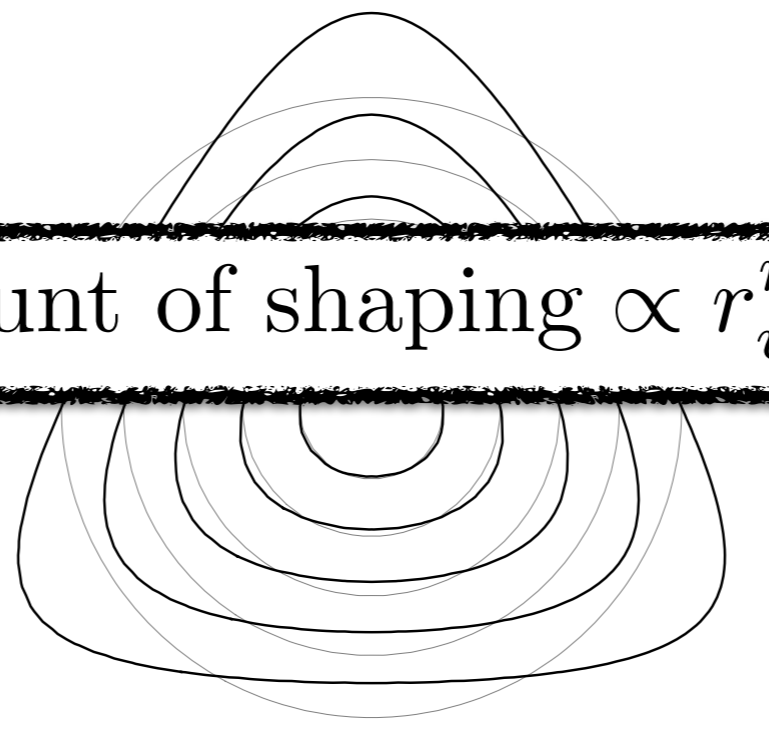
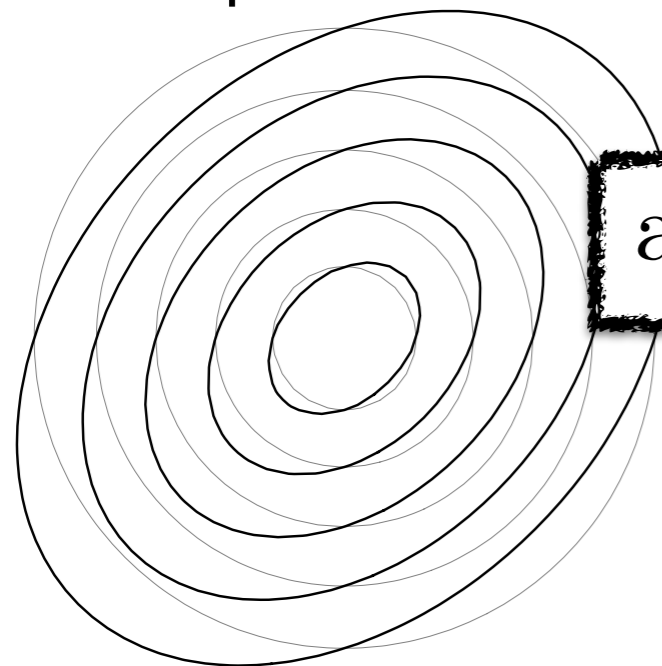
m=2 mode

m=3 mode

m=4 mode

Low m penetrates best

amount of shaping $\propto r_{\psi}^{m-2}$



Outline

Up-down asym.
envelope

Non-mirror symmetric

Diagram showing a non-mirror symmetric envelope with up-down asymmetry. The left panel shows a smooth, elongated black curve within a dashed vertical line labeled 'A'. The right panel shows a jagged, irregular black curve, crossed out with a large red 'X', with the text 'Exp. bad MHD' below it.

Mirror symmetric

Diagram showing a mirror symmetric envelope with up-down asymmetry. The left panel shows a smooth, elongated black curve within a dashed vertical line labeled 'A', with a red dashed diagonal line. The right panel shows a jagged, irregular black curve, crossed out with a large red 'X', with the text 'Exp. bad MHD' below it.

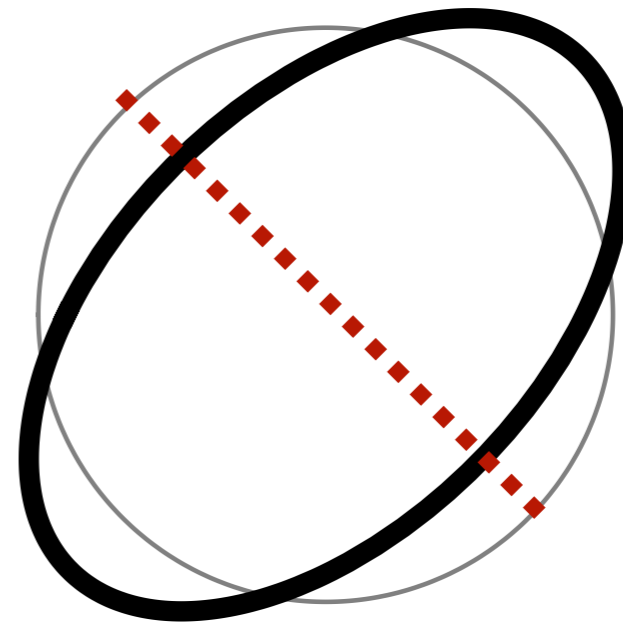
Up-down sym.
envelope

Diagram showing a non-mirror symmetric envelope with up-down symmetry. The left panel shows a smooth, elongated white curve within a dashed vertical line labeled 'A'. The right panel shows a jagged, irregular white curve, crossed out with a large red 'X', with the text 'Exp. bad MHD' below it.

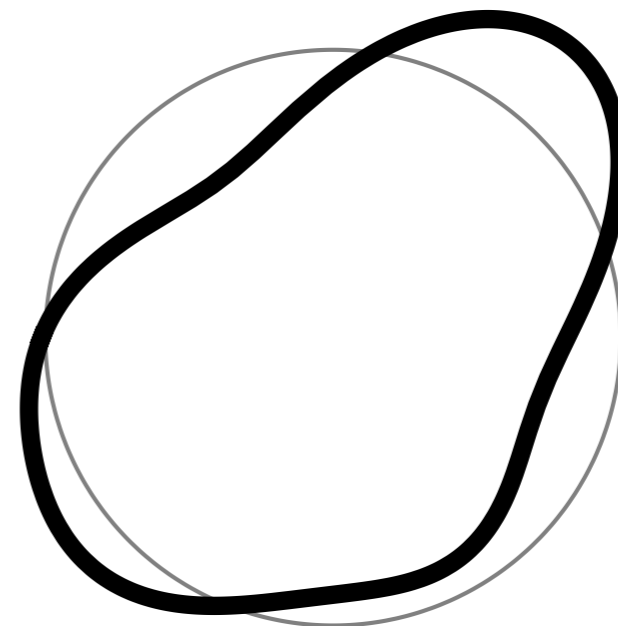
Diagram showing a mirror symmetric envelope with up-down symmetry. The left panel shows a smooth, elongated white curve within a dashed vertical line labeled 'A', with a red dashed diagonal line. The right panel shows a jagged, irregular white curve, crossed out with a large red 'X', with the text 'Up-down symmetric' and 'Small in $\rho_* \ll 1$ ' below it.

Screw pinch argument

- Screw pinches have no toroidicity, so up-down symmetry has no meaning
- Mirror symmetric flux surfaces generate no rotation
- Rotation can be generated by breaking mirror symmetry (i.e. the direct interaction of two different shaping effects)
- This can occur in tokamaks



$$M_A = 0$$



$$M_A \neq 0$$

Outline

Up-down asym.
envelope

Non-mirror symmetric

Exp. bad MHD

Mirror symmetric

Screw pinch limit

Exp. bad MHD

Up-down sym.
envelope

Exp. bad MHD

Screw pinch limit

Up-down symmetric

Small in $\rho_* \ll 1$

Poloidal tilting symmetry argument

Ball, et al. *PPCF* **58** 045023 (2016).

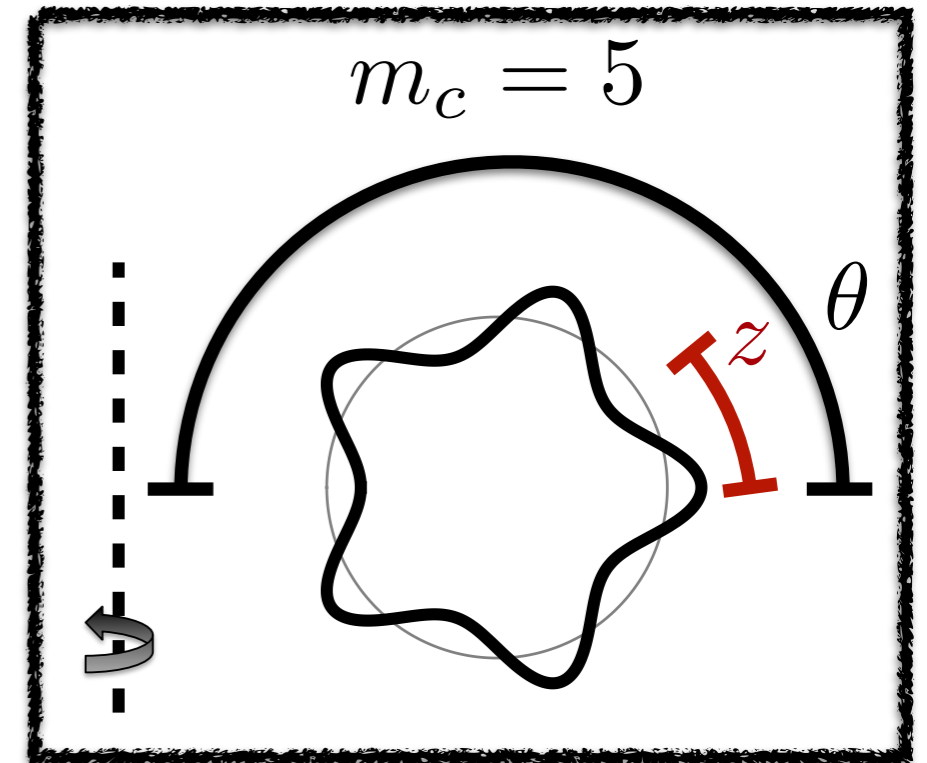
- Rewrite geometry specification to distinguish $z \equiv m_c \theta$ (the fast poloidal scale) from θ (the connection length scale):

$$r_0(\theta) = r_{\psi 0} \left(1 - \sum_m C_m \cos(m(\theta + \theta_{tm})) \right)$$

- Convert to the form of a 2-D Fourier series using $k \equiv m - lm_c$

$$r_0(\theta, z) = r_{\psi 0} \left(1 - \sum_{l=0}^{\infty} \sum_{k=0}^{m_c-1} C_{k+lm_c} \right.$$

$$\left. \times \left[\cos(l(z + m_c \theta_{tm})) \cos(k(\theta + \theta_{tm})) - \sin(l(z + m_c \theta_{tm})) \sin(k(\theta + \theta_{tm})) \right] \right)$$



- Define $l \equiv \lfloor m/m_c \rfloor$ according to the physics of the scale separation (defines any mode $m \geq m_c$ as “fast”)

Poloidal tilting symmetry argument

Ball, et al. *PPCF* **58** 045023 (2016).

- Specify $r_0^{\text{tilt}}(\theta, z) = r_0^{\text{ud}}(\theta, z + z_{\text{tilt}})$

$$Q_{\text{geo}}^{\text{ud}}(\theta, z) \in \left\{ B, \hat{b} \cdot \vec{\nabla}\theta, v_{ds\psi}, v_{ds\alpha}, a_{||s}, |\vec{\nabla}\psi|^2, \vec{\nabla}\psi \cdot \vec{\nabla}\alpha, |\vec{\nabla}\alpha|^2 \right\}$$

$$Q_{\text{geo}}^{\text{tilt}} = Q_{\text{geo}}^{\text{ud}}(\theta, z + z_{\text{tilt}})$$

➔ $h_s^{\text{tilt}}(\theta, z) = h_s^{\text{ud}}(\theta, z + z_{\text{tilt}})$

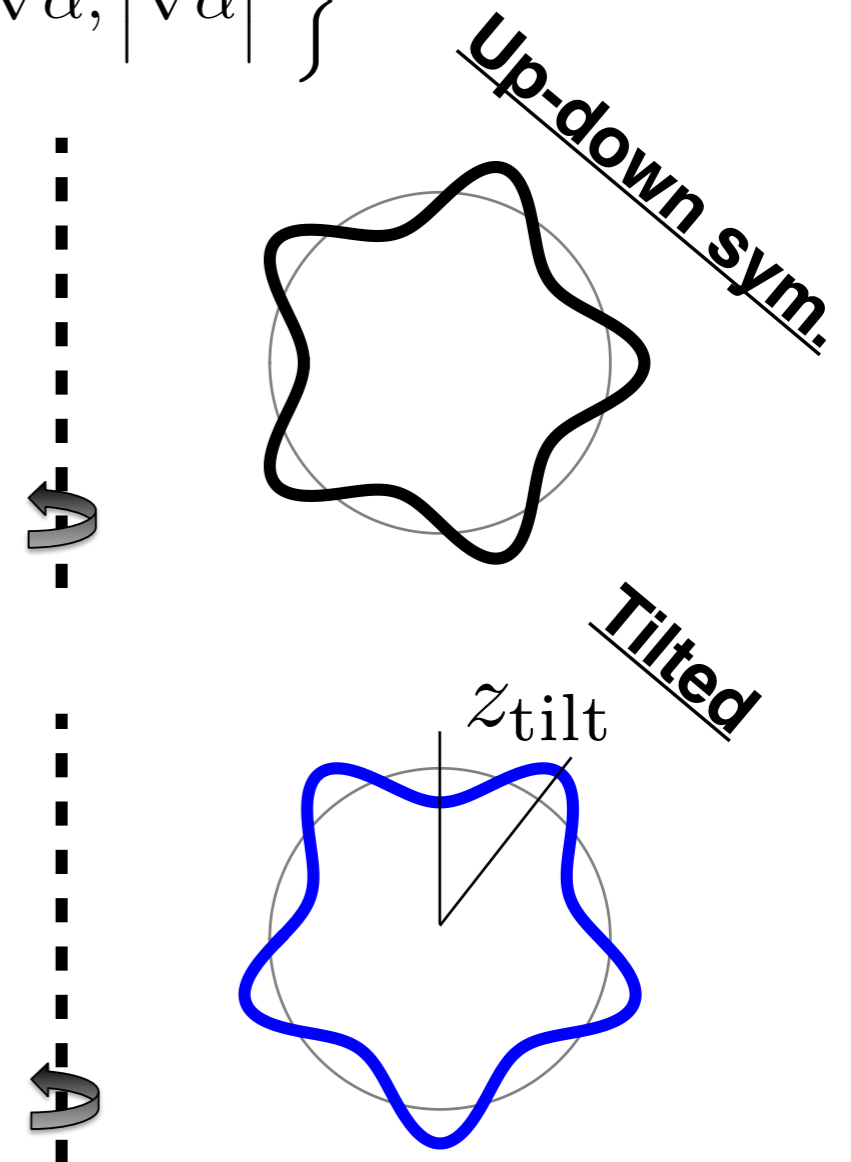
$$\langle \langle \Pi^{\text{tilt}} \rangle_t \rangle_z \neq \langle \langle \Pi^{\text{ud}} \rangle_t \rangle_z = 0$$

- But remember we expanded in $m_c \gg 1$

➔ $\langle \langle \Pi^{\text{tilt}} \rangle_t \rangle_z \sim M_A \sim \exp(-m_c)$

- Verify by looking for

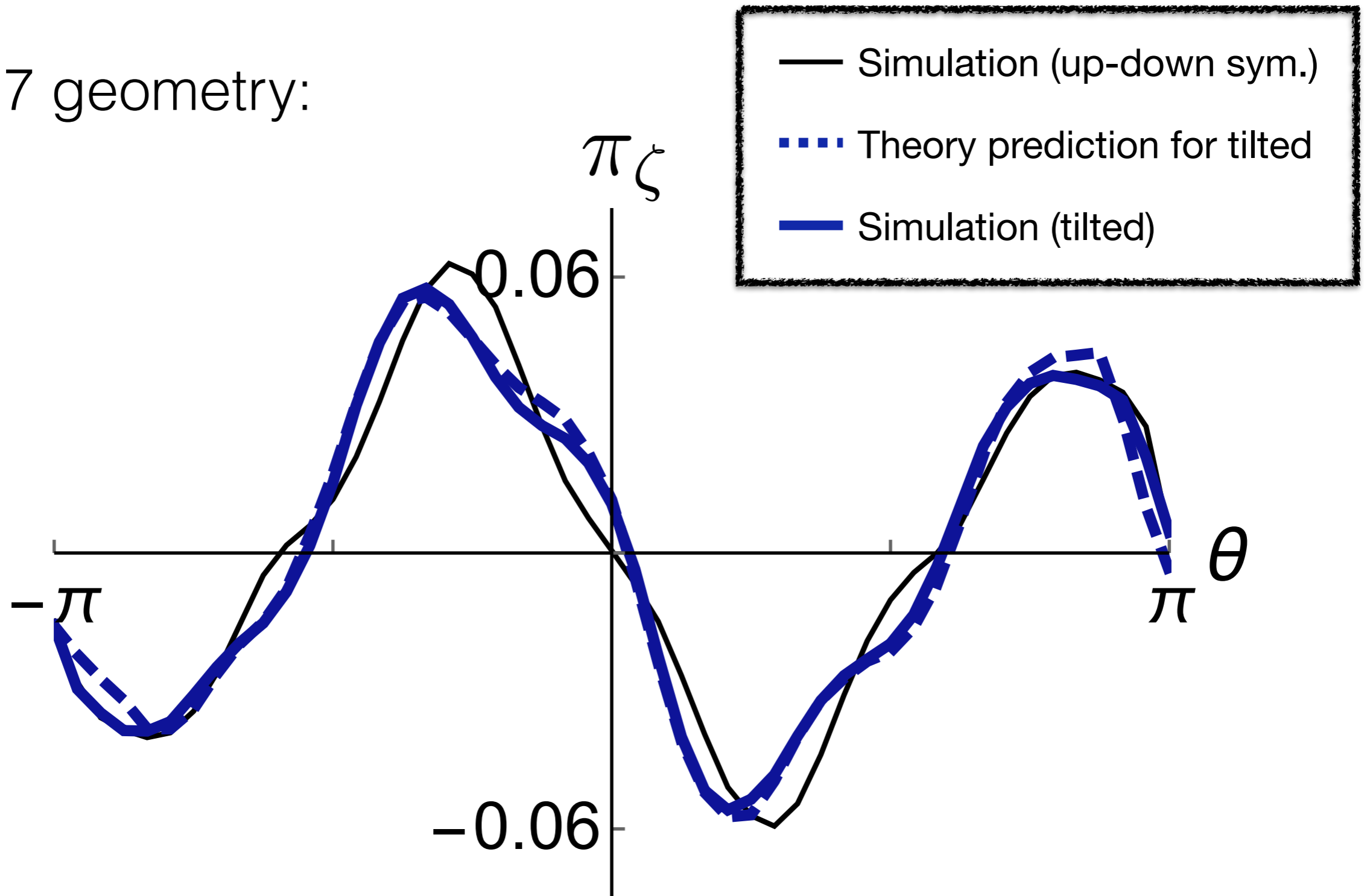
$$\pi_\zeta^{\text{tilt}}(\theta, z) = \pi_\zeta^{\text{ud}}(\theta, z + z_{\text{tilt}})$$



$$\text{Verify } \pi_{\zeta}^{\text{tilt}}(\theta, z) = \pi_{\zeta}^{\text{ud}}(\theta, z + z_{\text{tilt}})$$

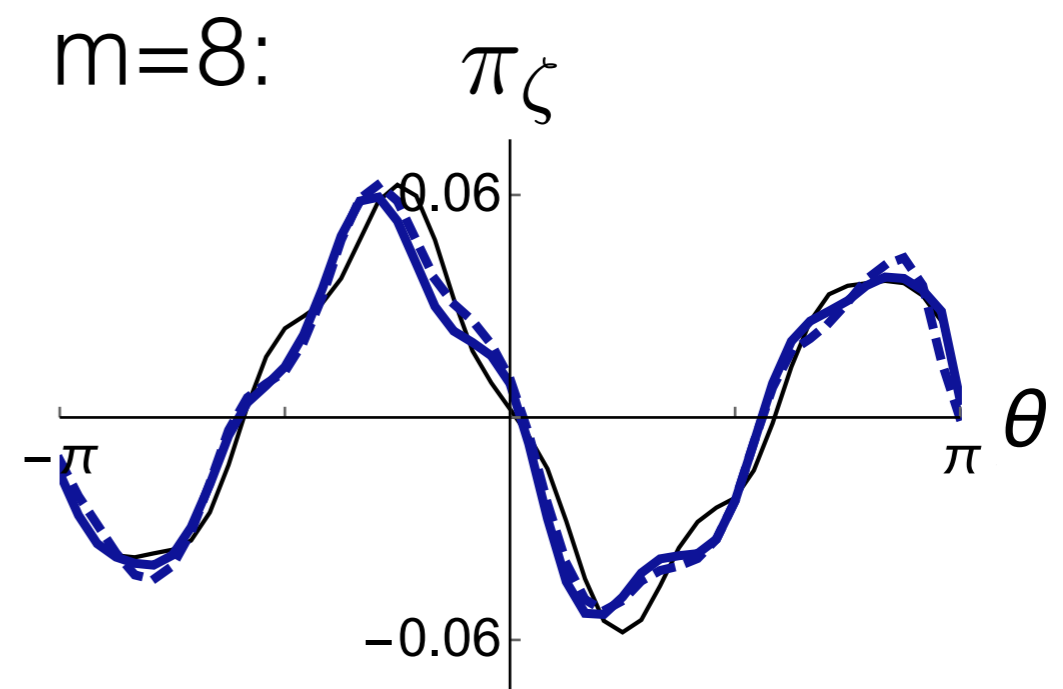
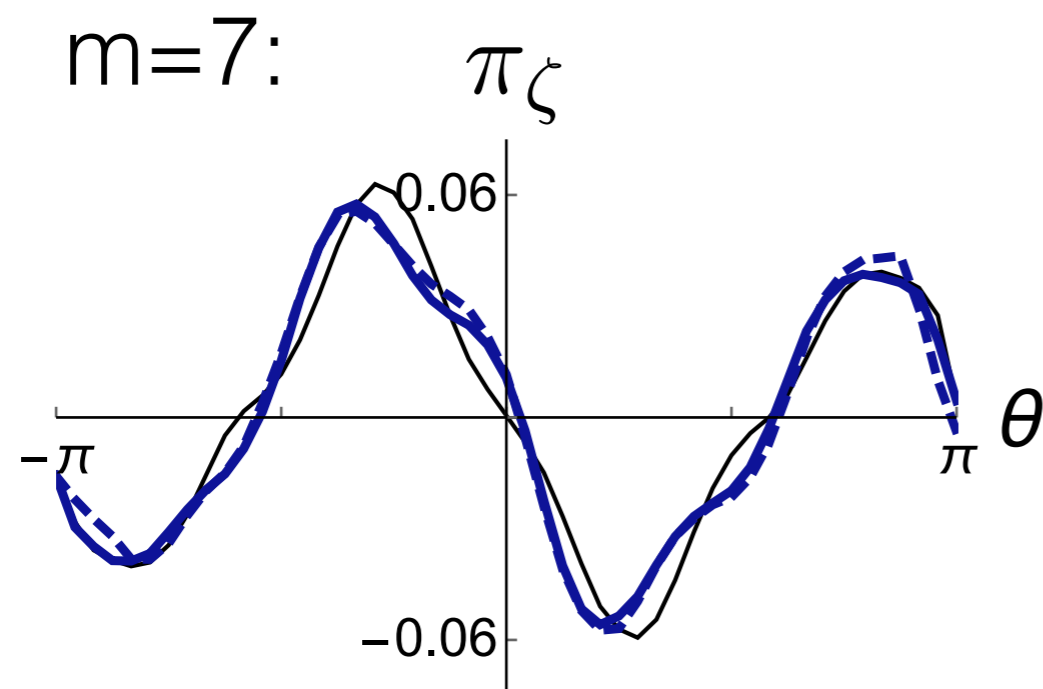
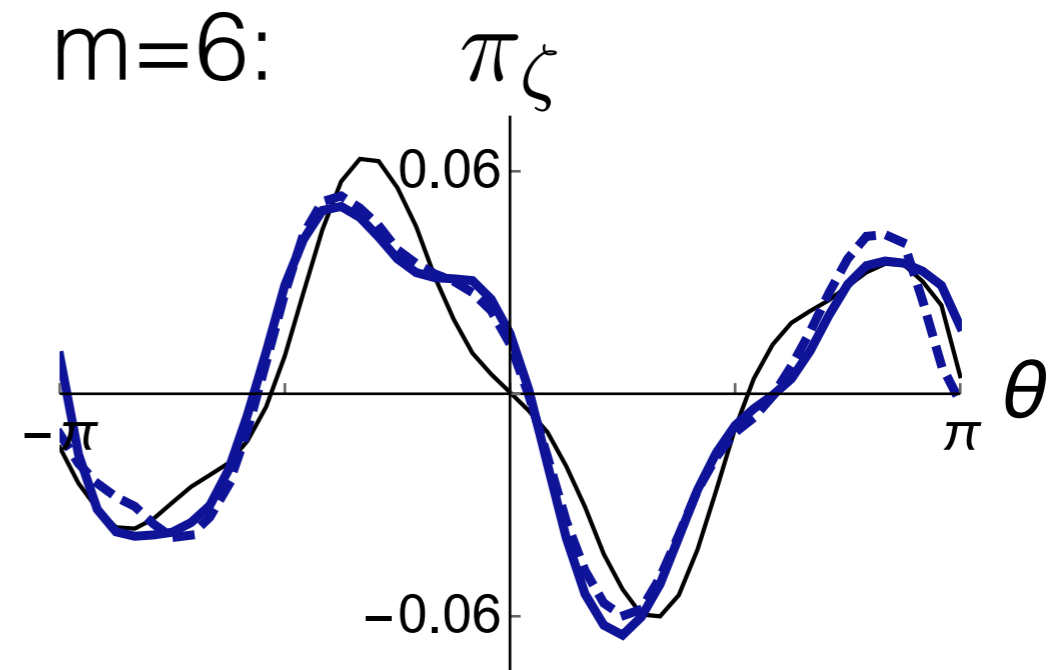
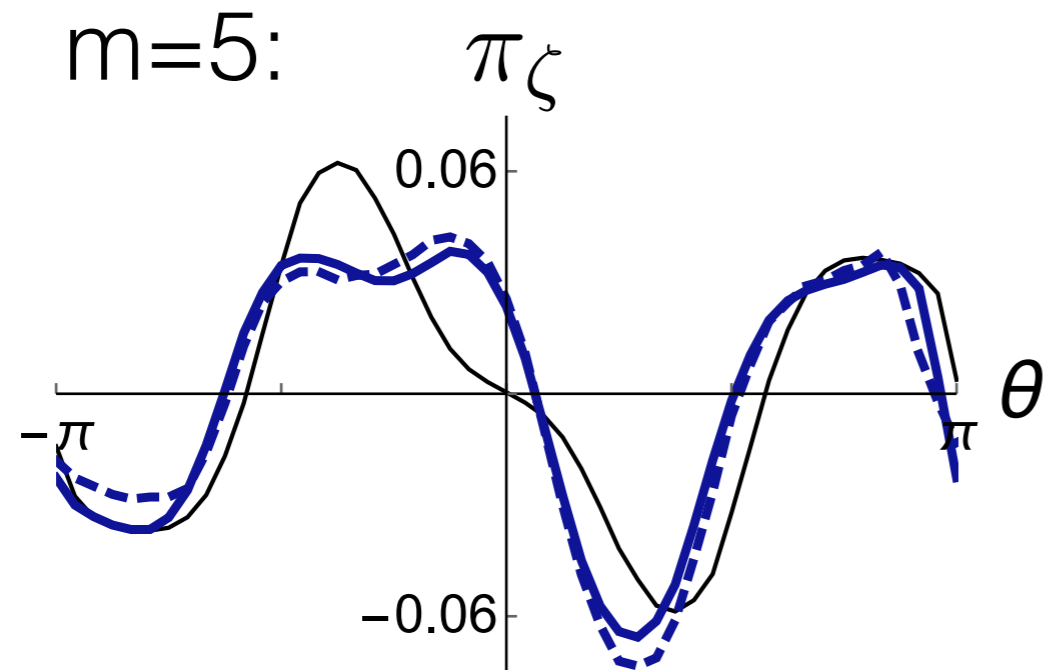
Ball, et al. *PPCF* **58** 045023 (2016).

m=7 geometry:



$$\text{Verify } \pi_{\zeta}^{\text{tilt}}(\theta, z) = \pi_{\zeta}^{\text{ud}}(\theta, z + z_{\text{tilt}})$$

Ball, et al. *PPCF* **58** 045023 (2016).



Outline

Up-down asym.
envelope

Non-mirror symmetric

Exp. bad MHD

Mirror symmetric

Screw pinch limit

Exp. bad MHD

Up-down sym.
envelope

Exp. small in $m \gg 1$

Exp. bad MHD

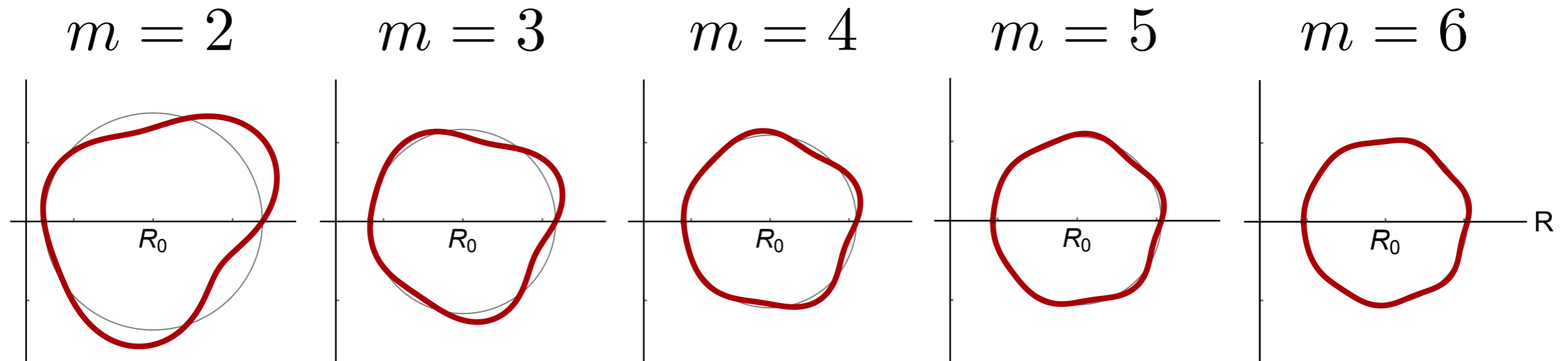
Screw pinch limit & Exp. small in $m \gg 1$

~~Up-down symmetric~~

Small in $\rho_* \ll 1$

Envelope argument: Expansion in $m \gg 1$

Ball, et al. *PPCF* **58** 055016 (2016).



- Created using two modes, m and $n = m + 1$, with distinct tilt angles, θ_{tm} and θ_{tn}
- Calculate geometric coefficients order-by-order in $m \gg 1$
- Look for beating between fast shaping effects (creates an envelope on the connection length)

Envelope argument: Expansion in $m \gg 1$

Ball, et al. *PPCF* **58** 055016 (2016).

- Calculate magnetic drift coefficient within flux surface, $v_{ds\alpha}$

$$\begin{aligned}
 v_{ds\alpha} = & \frac{B_0}{R_0 \Omega_s} \frac{dr_\psi}{d\psi} (\cos(\theta) + \hat{s}\theta \sin(\theta)) \\
 & + \frac{B_0}{2R_0 \Omega_s} \frac{dr_\psi}{d\psi} [(m^3 C_m^2 + n^3 C_n^2) \theta \sin(\theta) \\
 & - \hat{s}\theta \cos(\theta) (m C_m \sin(m(\theta - \theta_{tm})) + n C_n \sin(n(\theta - \theta_{tn}))) \\
 & + \hat{s}\theta \sin(\theta) (m C_m \cos(m(\theta - \theta_{tm})) + n C_n \cos(n(\theta - \theta_{tn}))) \\
 & + mn \frac{m+n}{n-m} C_m C_n \sin(\theta) \\
 & \times (\sin((n-m)\theta) \cos(n\theta_{tn} - m\theta_{tm}) + \cos((n-m)\theta) \sin(n\theta_{tn} - m\theta_{tm}))
 \end{aligned}$$

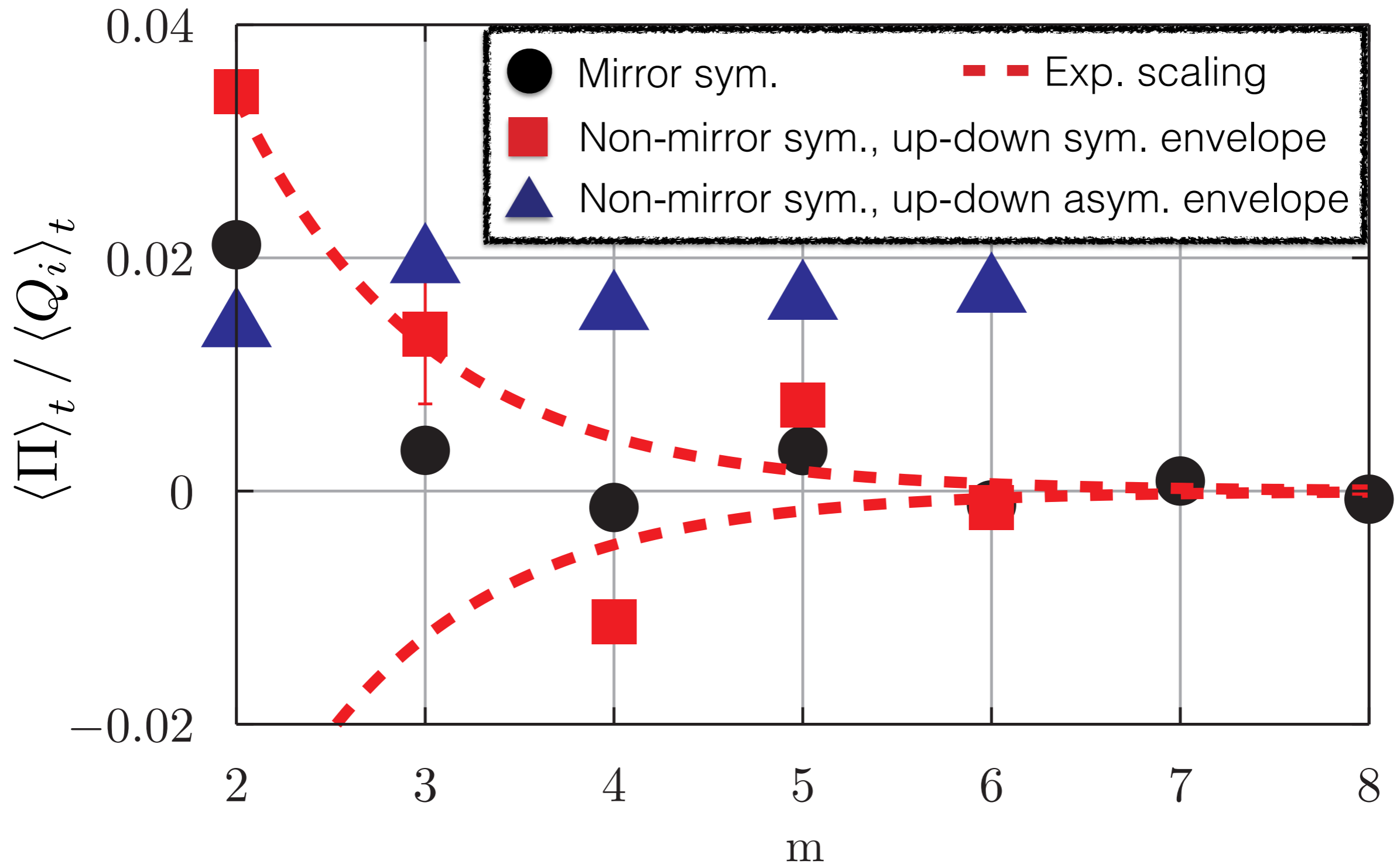
0

breaks symmetry $\sim m^{-1}$

$$\Rightarrow \frac{\langle \Pi \rangle_t}{\langle Q_i \rangle_t} \sim m^{-1} \Rightarrow M_A \propto m^{-1}$$

Envelope argument: Numerical scaling with $m \gg 1$

Ball, et al. *PPCF* **58** 055016 (2016).



Outline

Up-down asym.
envelope

Non-mirror symmetric

Poly. small in $m \gg 1$

Exp. bad MHD

Mirror symmetric

Screw pinch limit & Poly. small in $m \gg 1$

Exp. bad MHD

Up-down sym.
envelope

Exp. small in $m \gg 1$

Exp. bad MHD

Screw pinch limit & Exp. small in $m \gg 1$

~~Up-down symmetric~~

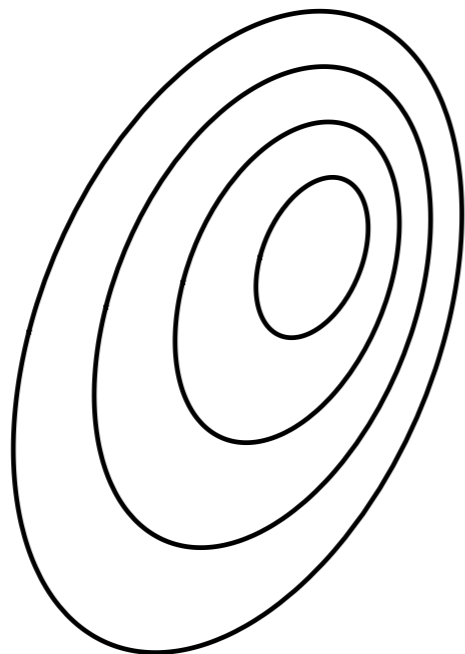
Small in $\rho_* \ll 1$

Two options to maximize rotation

- Use lowest m possible to break mirror symmetry and create up-down asymmetric envelopes
- Prefer modes to be controllable by external shaping magnets

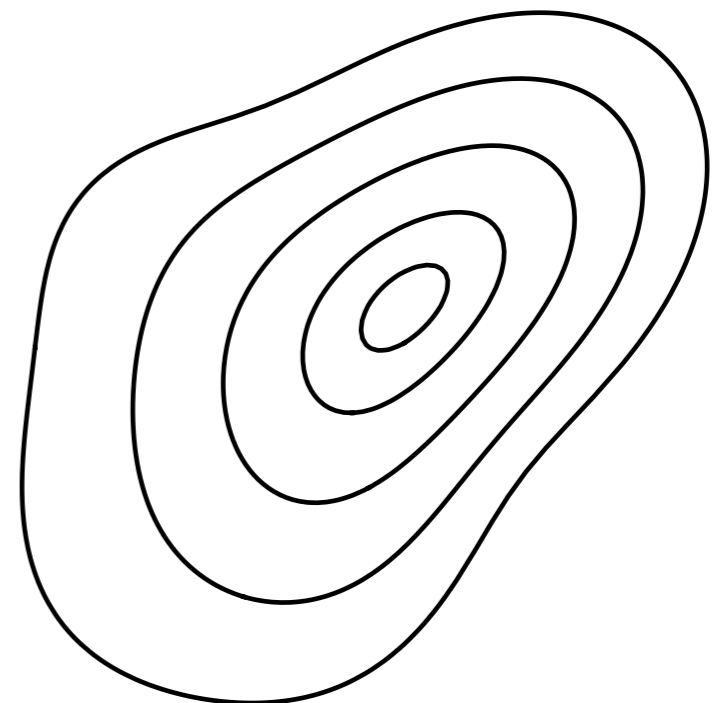
Shafranov shift & elongation

(i.e. $m = 1$ and $m = 2$)



Elongation & triangularity

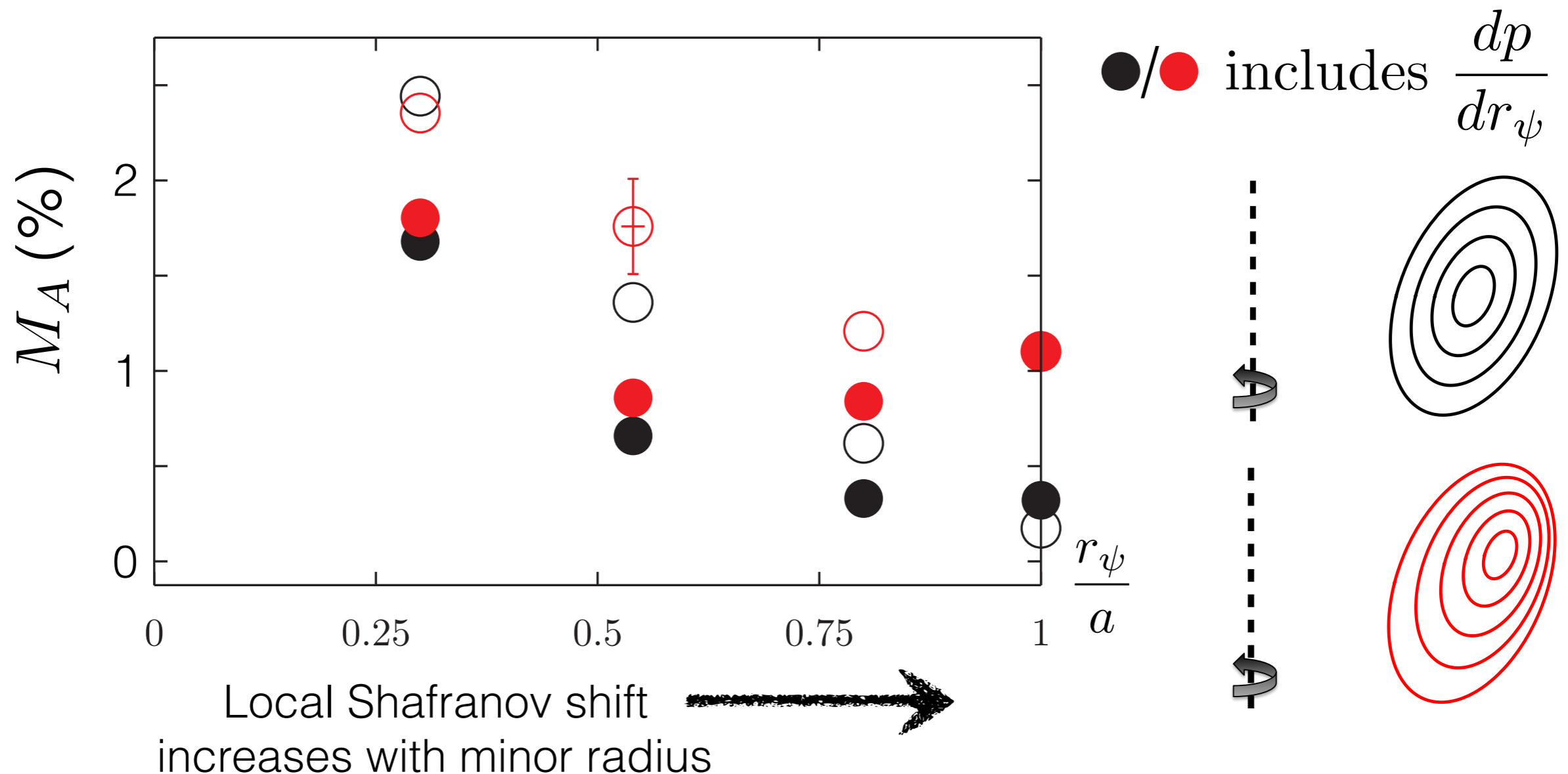
(i.e. $m = 2$ and $m = 3$)



Momentum flux from Shafranov shift

Ball, et al. *arXiv:1607.06387* (2016).

- Reduced by including effect of a constant dp/dr_ψ , which affects the magnetic equilibrium through the Grad-Shafranov equation

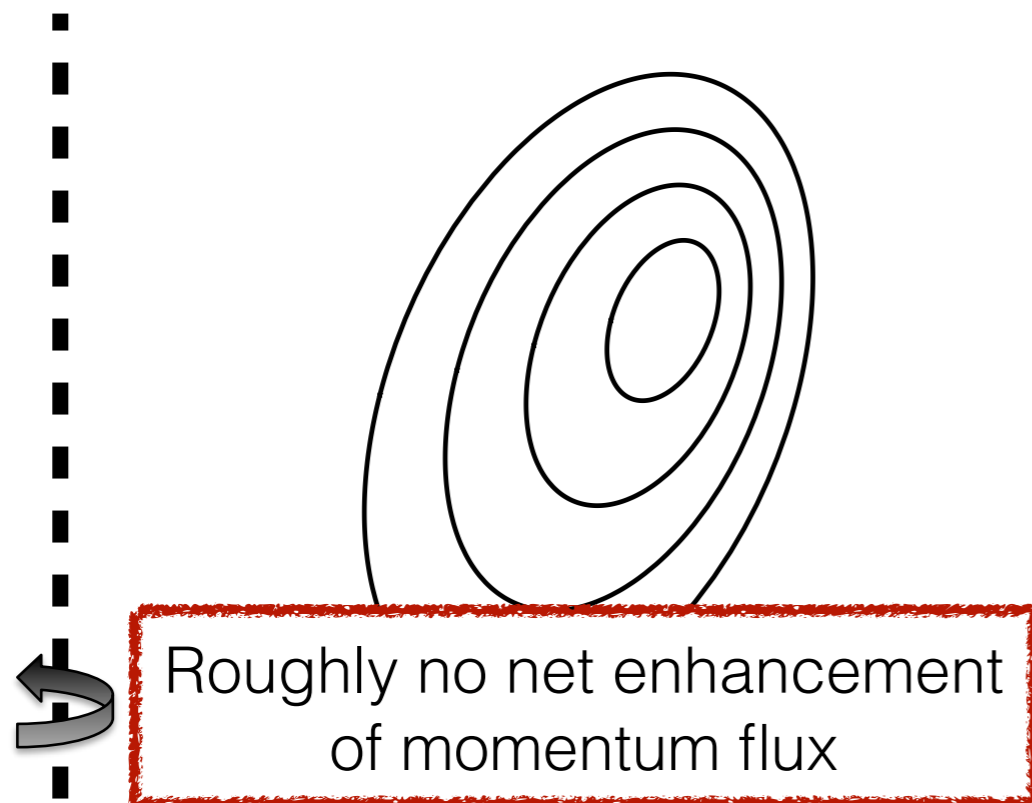


Two options to maximize rotation

- Use lowest m possible to break mirror symmetry and create up-down asymmetric envelopes
- Prefer modes to be controllable by external shaping magnets

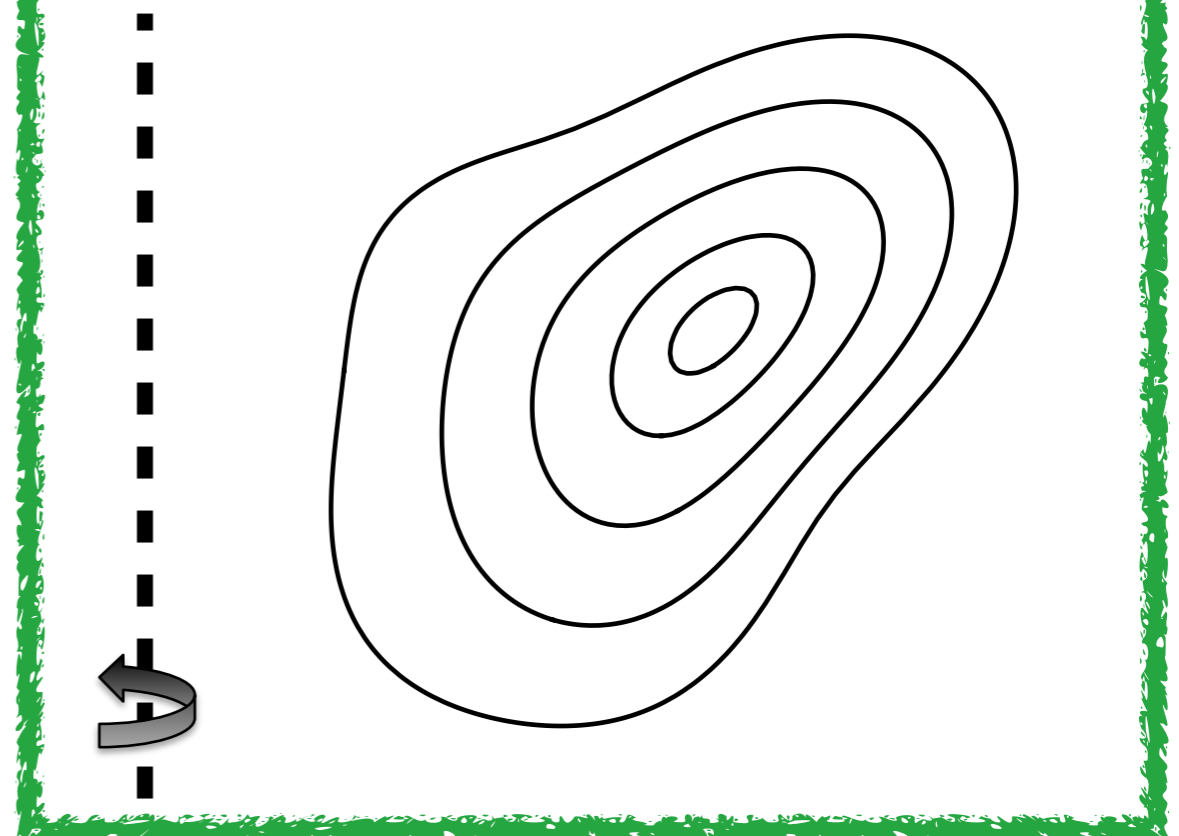
Shafranov shift & elongation

(i.e. $m = 1$ and $m = 2$)

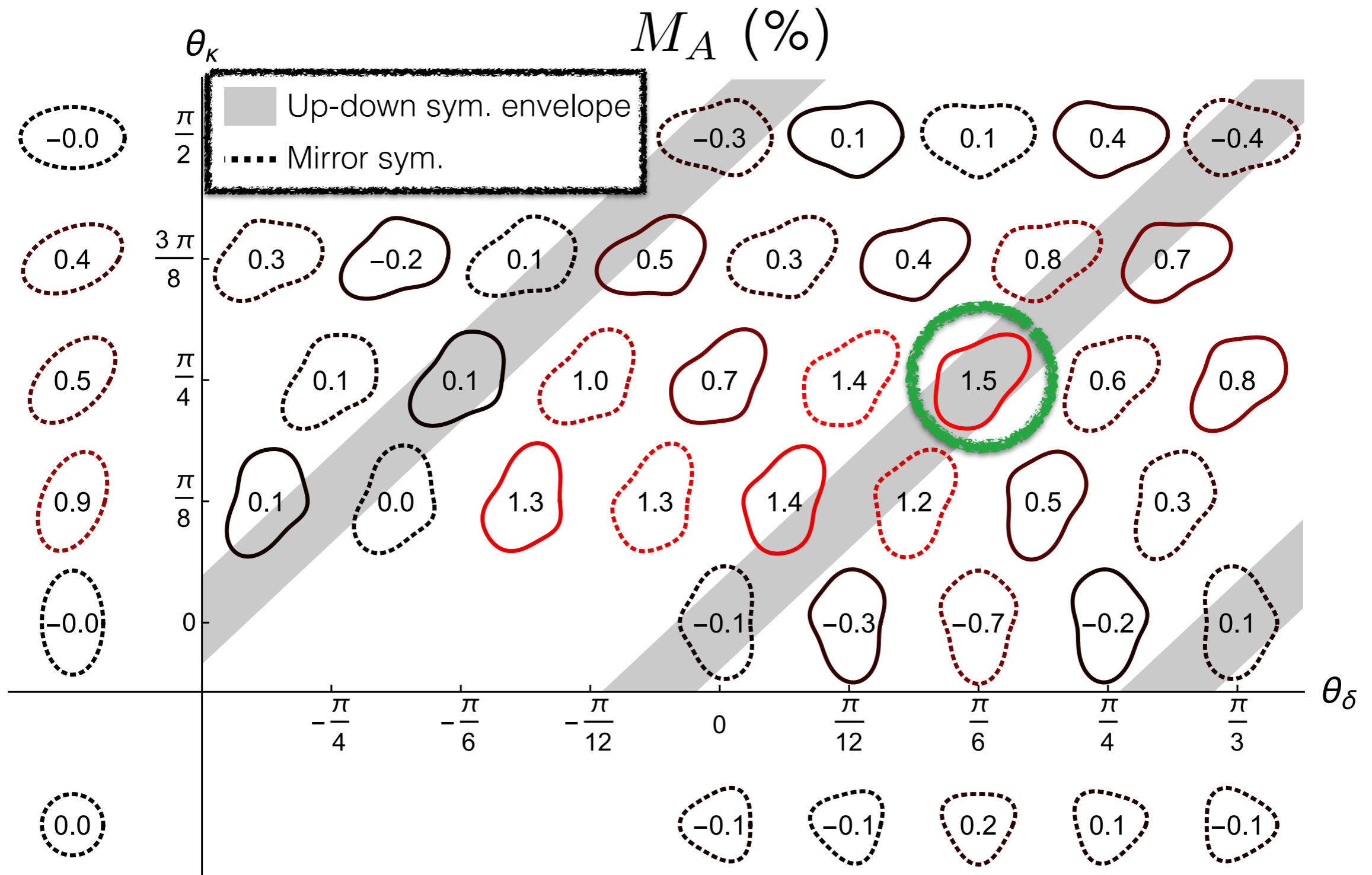


Elongation & triangularity

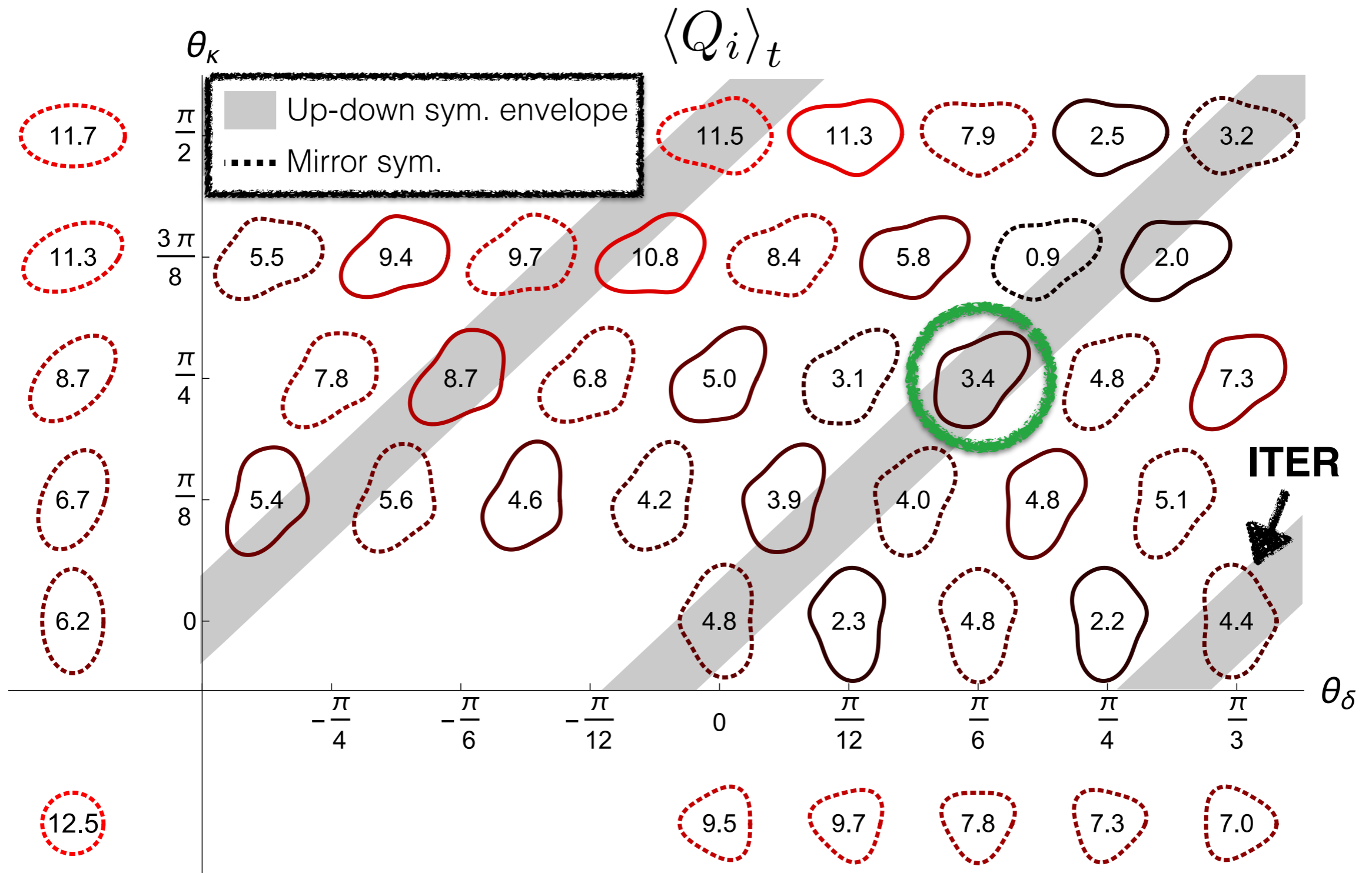
(i.e. $m = 2$ and $m = 3$)



Momentum flux from elongation and triangularity



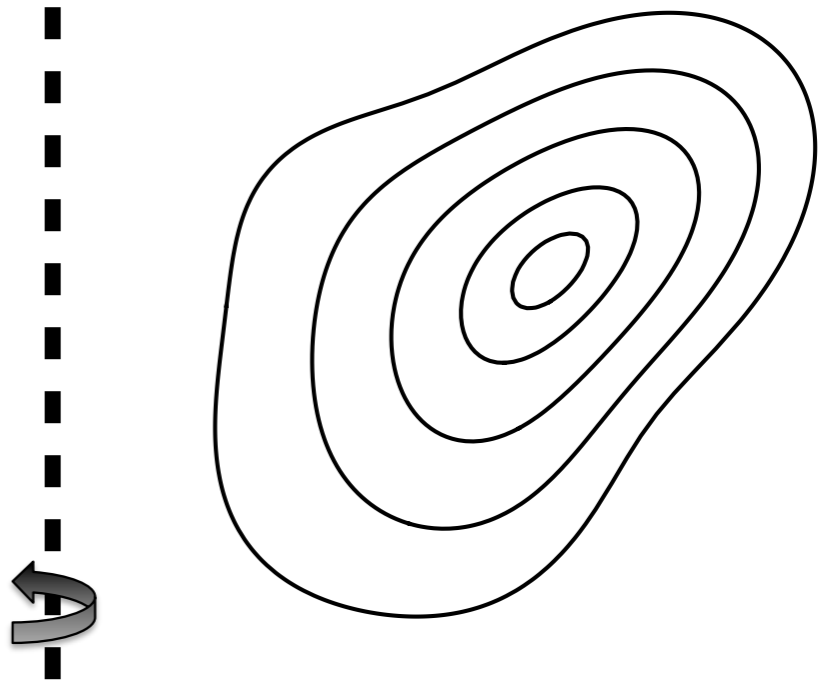
Momentum flux from elongation and triangularity



Conclusions

- Intrinsic rotation generated by up-down asymmetry scales well to larger machines (ITER, DEMO, etc.), unlike other mechanisms
- Tilting the elongation of flux surfaces is a simple way to generate significant rotation
 - The magnitude of rotation is roughly what is needed to permit increasing the plasma pressure in ITER
- Breaking ALL the symmetries, especially with external shaping, can boost the rotation significantly

The “optimal” geometry



$$\kappa = 1.7 \quad \theta_{\kappa} = \pi/4$$

$$\delta = 0.35 \quad \theta_{\delta} = \pi/6$$

$$M_A \approx 1.5\% \text{ in ITER}$$

Thank you!

Summary

Up-down asym.
envelope

Non-mirror symmetric

Poly. small in $m \gg 1$

Exp. bad MHD

Mirror symmetric

Screw pinch limit & Poly. small in $m \gg 1$

Exp. bad MHD

Up-down sym.
envelope

Exp. small in $m \gg 1$

Exp. bad MHD

Screw pinch limit & Exp. small in $m \gg 1$

~~Up-down symmetric~~

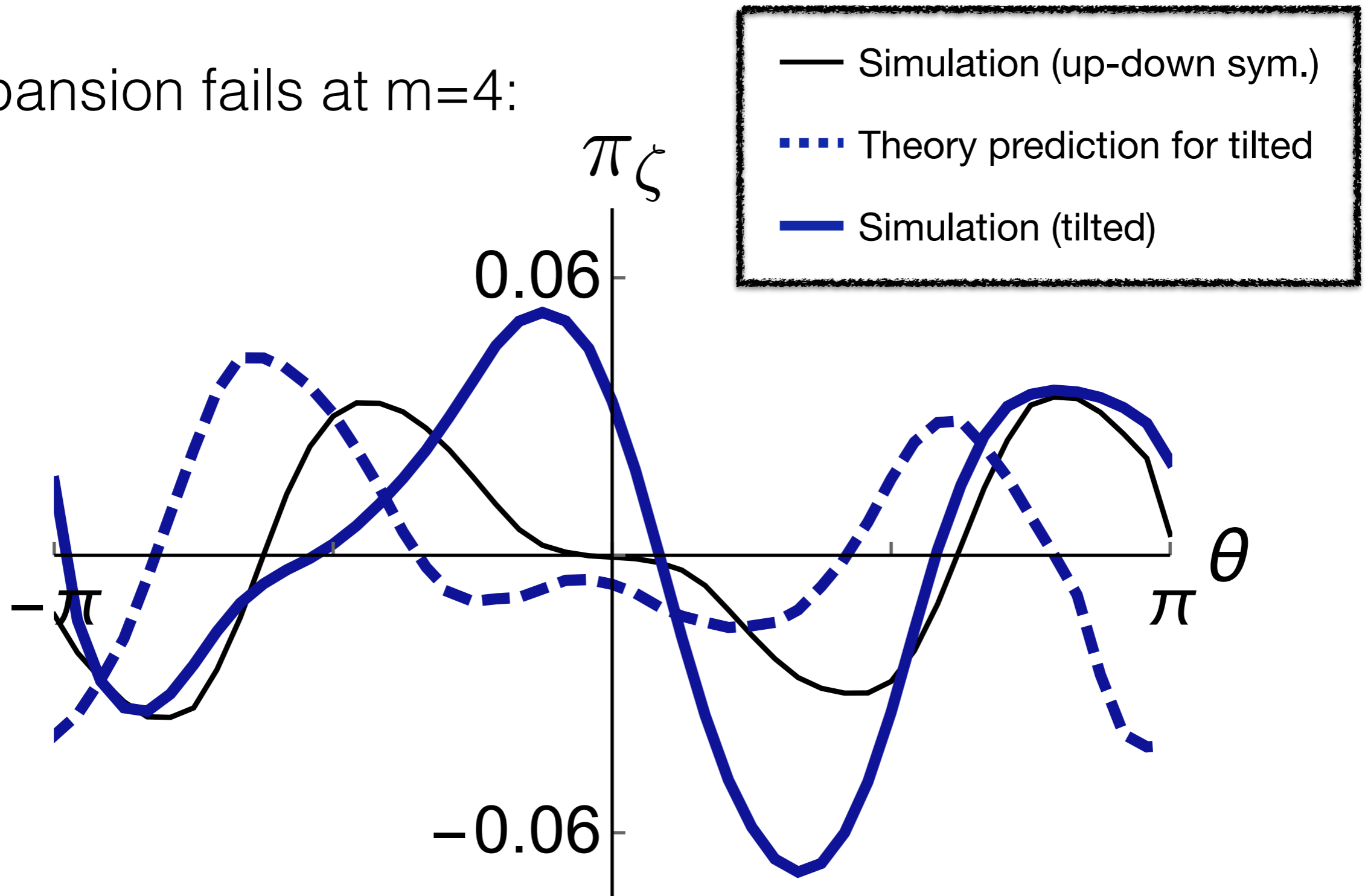
Small in $\rho_* \ll 1$

Extra Slides

$$\text{Verify } \pi_{\zeta}^{\text{tilt}}(\theta, z) = \pi_{\zeta}^{\text{ud}}(\theta, z + z_{\text{tilt}})$$

Ball, et al. *PPCF* **58** 045023 (2016).

Expansion fails at $m=4$:



Breakdown in poloidal tilting symmetry

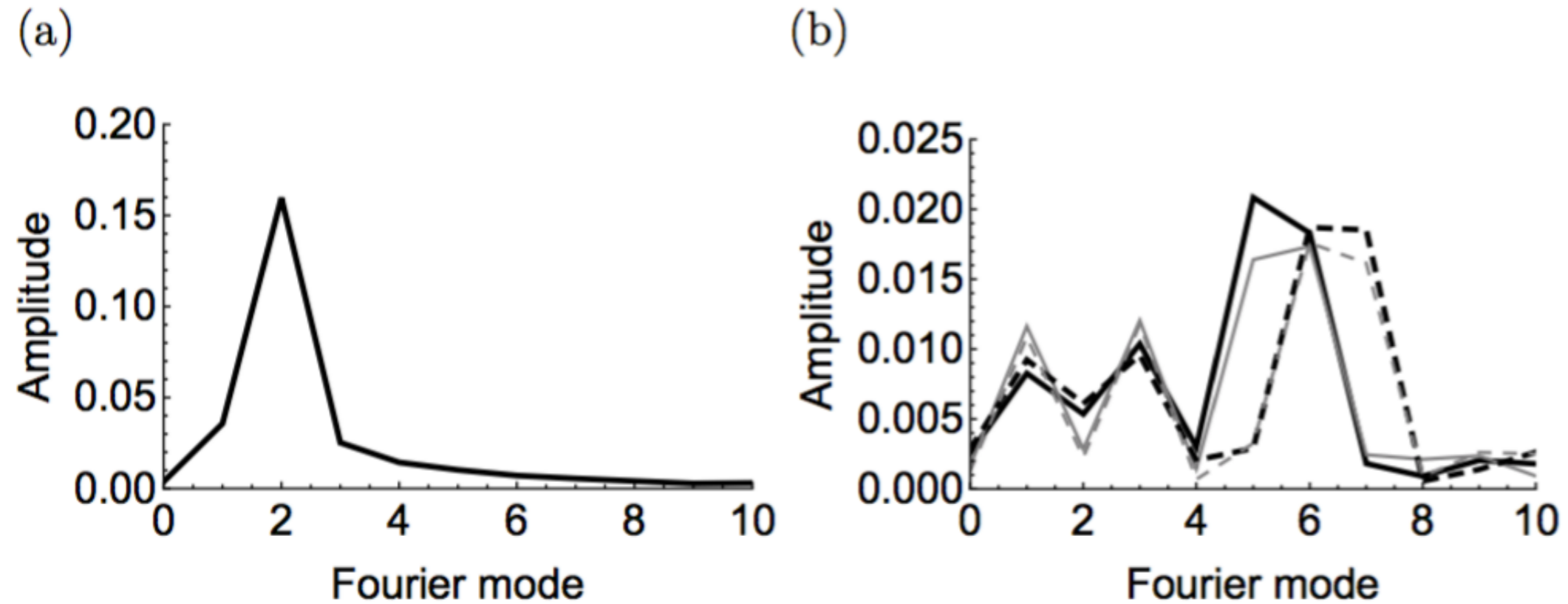


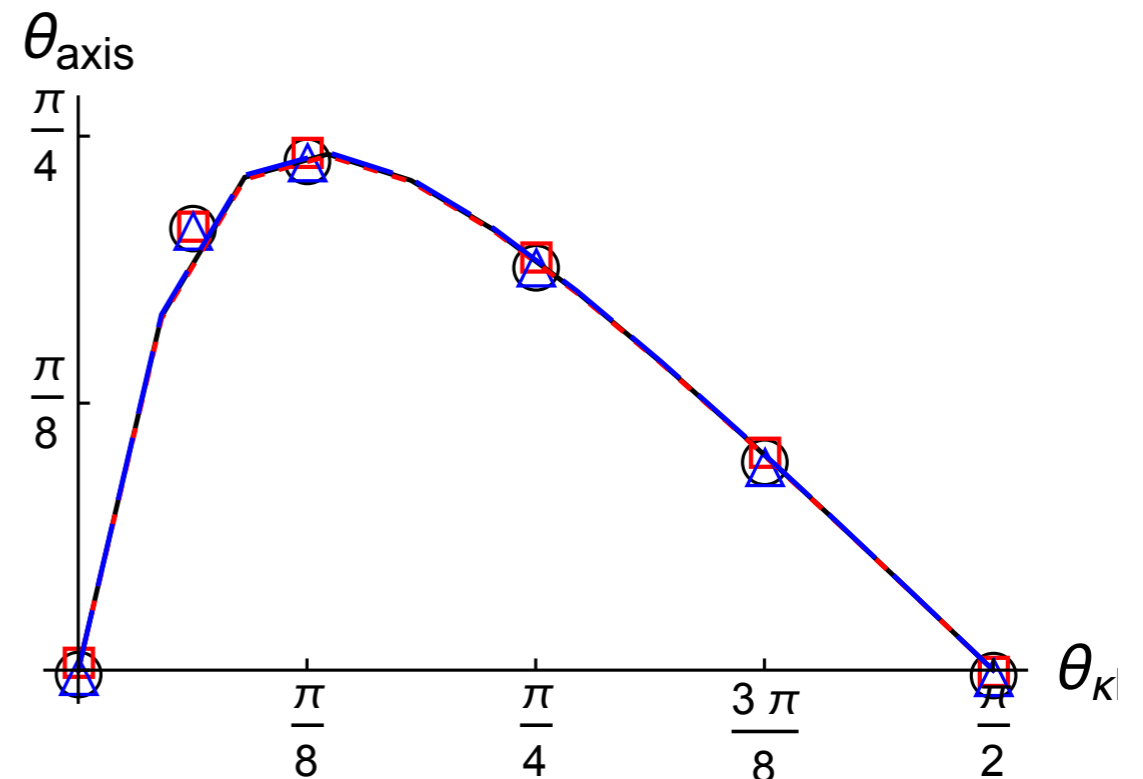
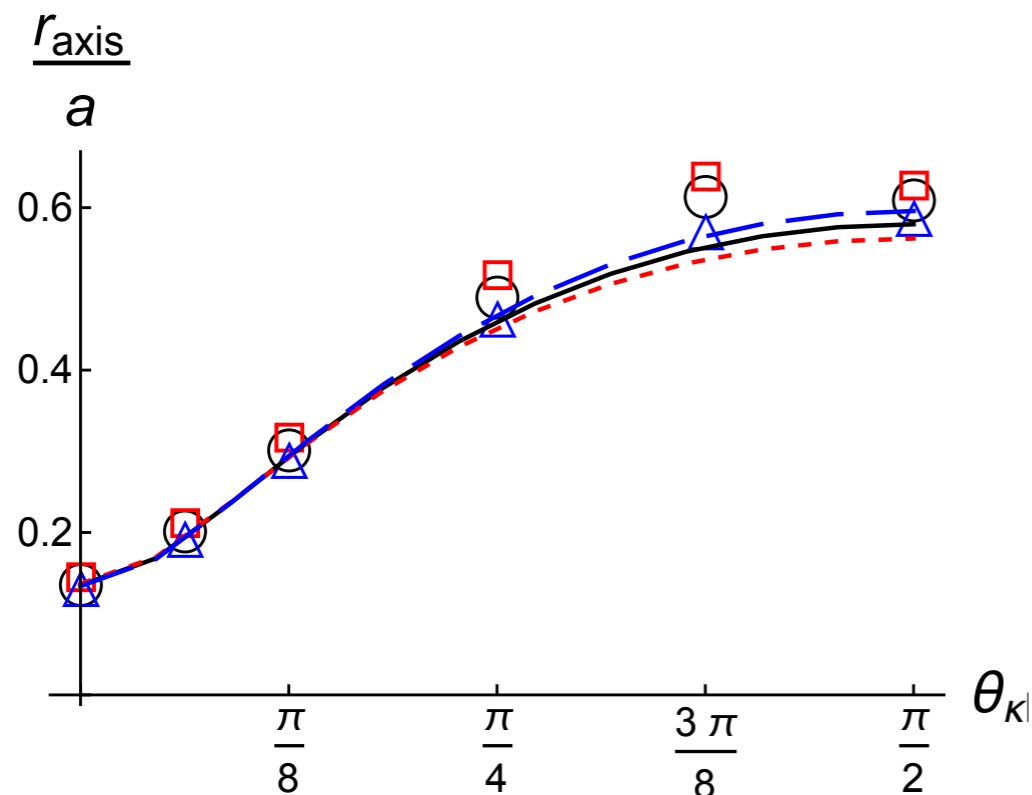
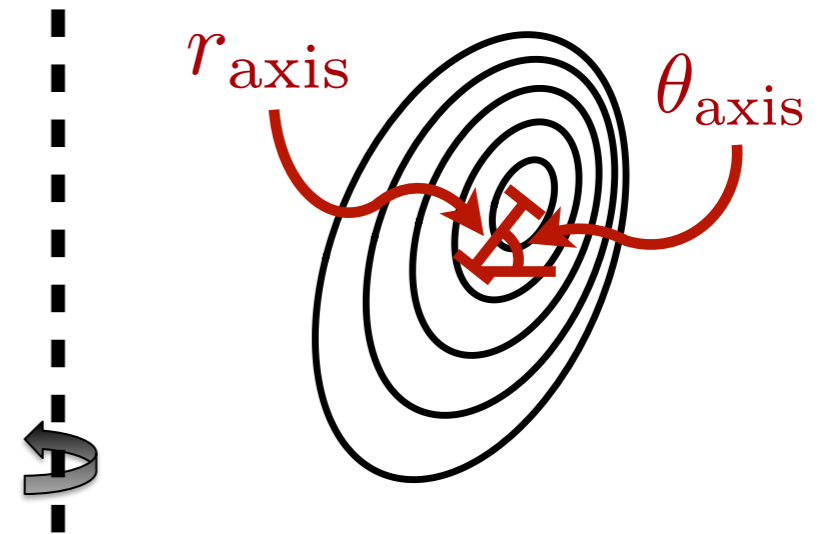
Figure 7.3: (a) The Fourier spectrum of the poloidal distribution of the ion momentum flux generated in circular flux surfaces.

(b) The Fourier spectrum of the poloidal distribution of ion momentum flux after subtracting the flux generated by circular flux surfaces (shown in (a)) for up-down symmetric (grey) and tilted (black) configurations in the $m_c = 7$ (solid) and $m_c = 8$ (dashed) geometries.

Global Shafranov shift in tilted elliptical geometry

Ball, et al. *arXiv:1607.06387* (2016).

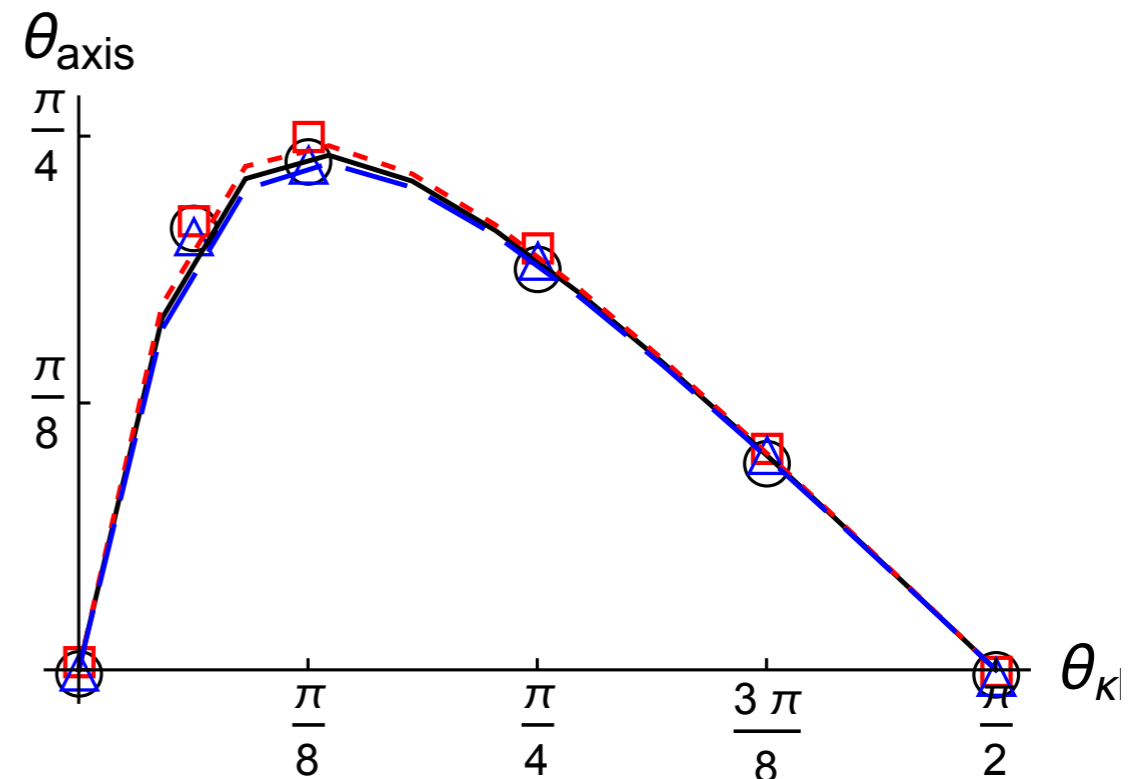
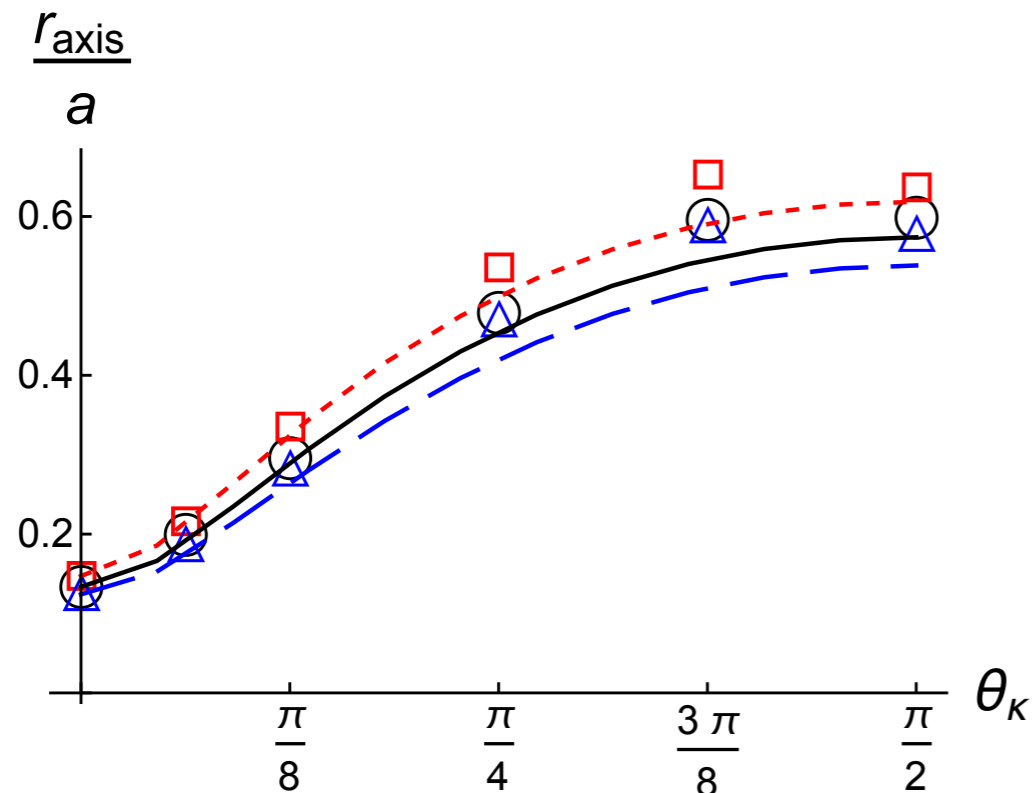
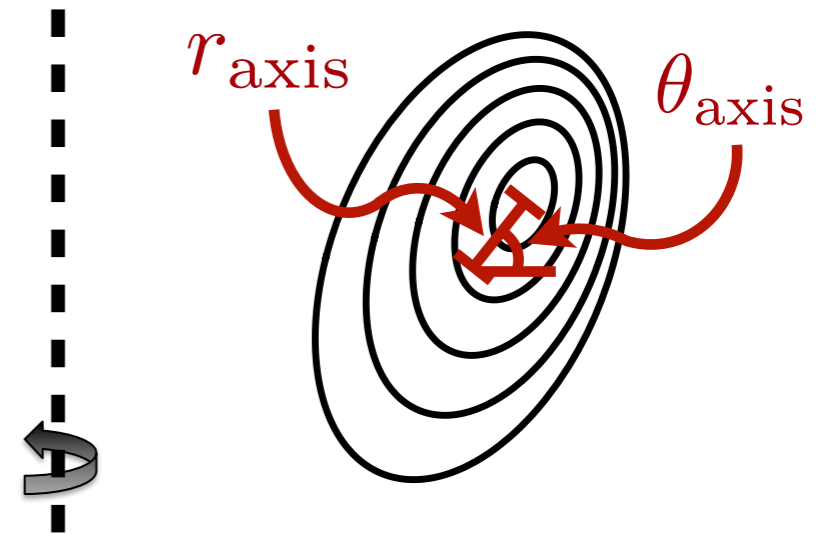
- Calculate from Grad-Shafranov eq. (to next order in aspect ratio) for ITER-like parameters
- Verify with the equilibrium code ECOM
- Insensitive to shape of **current** profile (holding I_p fixed)



Global Shafranov shift in tilted elliptical geometry

Ball, et al. arXiv:1607.06387 (2016).

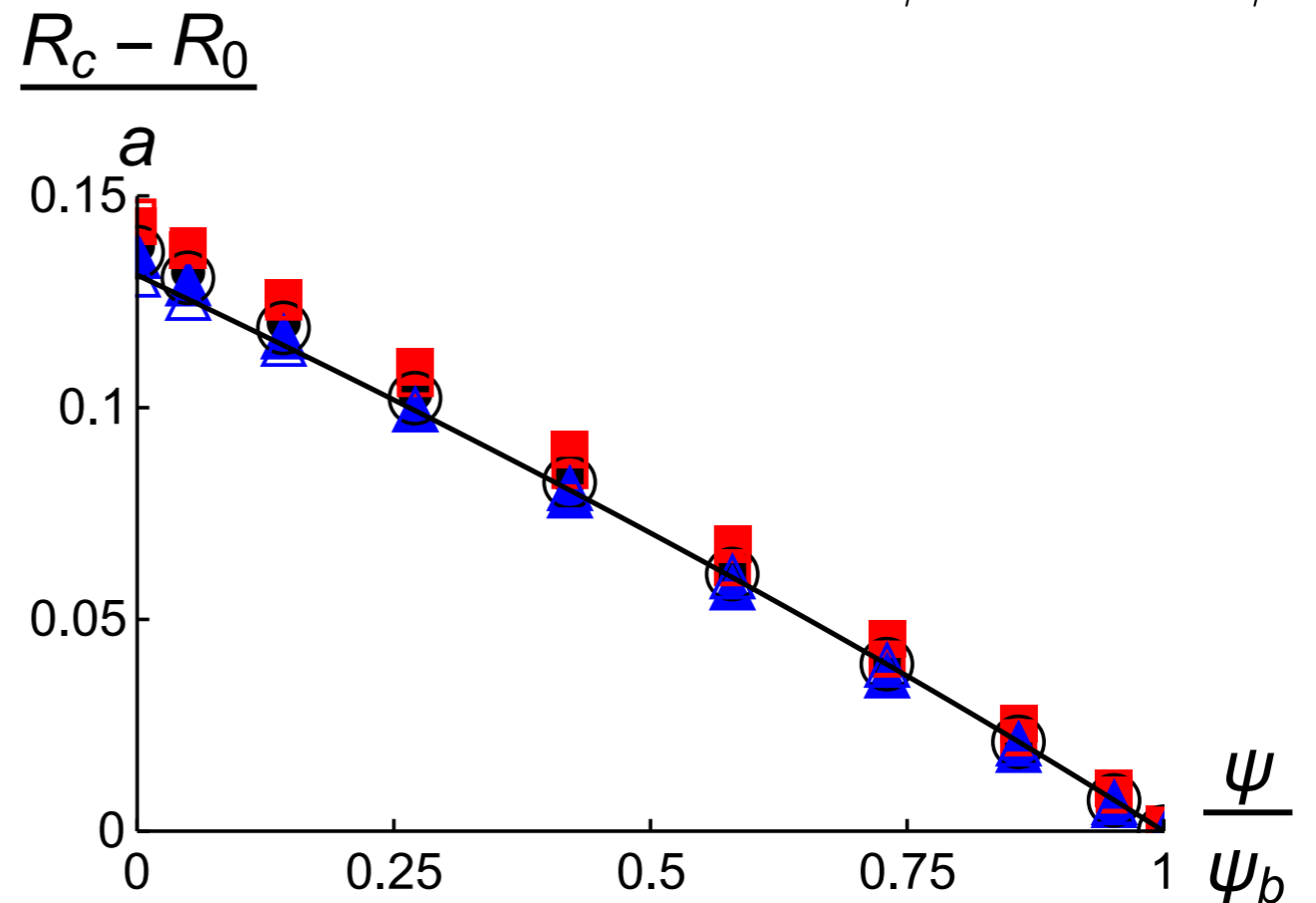
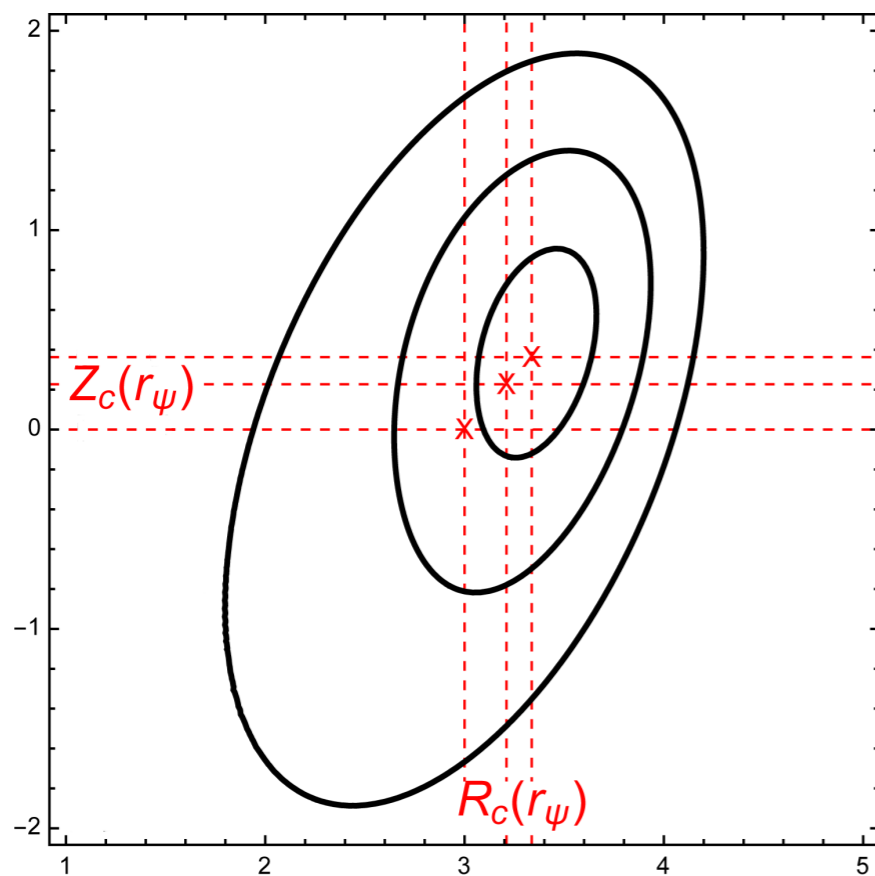
- Calculate from Grad-Shafranov eq. (to next order in aspect ratio) for ITER-like parameters
- Verify with the equilibrium code ECOM
- Insensitive to shape of **current** profile (holding p_{axis}/ψ_b fixed)



Global to local Shafranov shift

Ball, et al. arXiv:1607.06387 (2016).

- GS2 requires the local change in the flux surface center, $\frac{dR_c}{dr_\psi}$ and $\frac{dZ_c}{dr_\psi}$



- $\frac{dR_c}{d\psi} = \text{const} \Rightarrow \frac{dR_c}{dr_\psi} = \frac{dR_c}{d\psi} \frac{d\psi}{dr_\psi} = -2 \frac{r_\psi}{a} \frac{r_{\text{axis}}}{a} \sin(\theta_{\text{axis}})$

Can something like this be done in ITER?

- I think so, but there is a catch
- The shape of the first wall is fixed

➔ Reduced plasma volume

- Each shaping coil has a current limit

➔ Reduced plasma current

- For $\beta_N = 3$, it's worth a shot

