

# Progress towards continuum gyrokinetic simulations of the edge region

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# Improving Confinement Can Significantly ↓ Size & Construction Cost of Fusion Reactor

Well known that improving confinement &  $\beta$  can lower Cost of Electricity / kWh, at fixed power output.

Even stronger effect if consider smaller power:  
**better confinement allows significantly smaller size/cost at same fusion gain  $Q$  ( $nT\tau_E$ ).**

Standard H-mode empirical scaling:

$$\tau_E \sim H I_p^{0.93} P^{-0.69} B^{0.15} R^{1.97} \dots$$

( $P = 3VnT/\tau_E$  & assume fixed  $nT\tau_E$ ,  $q_{95}$ ,  $\beta_N$ ,  $n/n_{Greenwald}$ ):

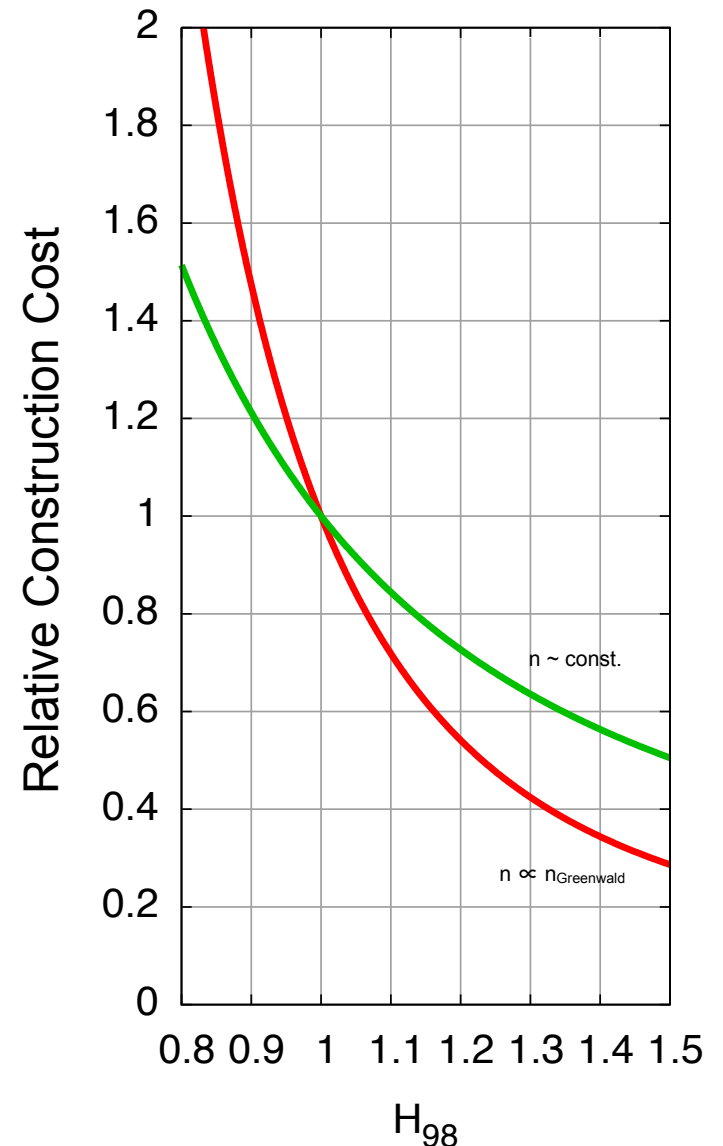
$$\text{\$} \sim R^2 \sim 1 / (H^{4.8} B^{3.4})$$

ITER std  $H=1$ , steady-state  $H \sim 1.5$

ARIES-AT  $H \sim 1.5$

MIT ARC  $H_{89}/2 \sim 1.4$

**Need comprehensive simulations to make case for extrapolating improved H to reactor scales.**



(Plots assumes cost  $\propto R^2$  roughly. Includes constraint on  $B$  @ magnet with ARIES-AT 1.16 m blanket/shield,  $a/R=0.25$ , i.e.  $B = B_{mag} (R-a-a_{BS})/R$ . Neglects current drive issues.)

# Progress & Plans for Discontinuous Galerkin Gyrokinetic Code Gkeyll

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- Developing new gyrokinetic code using advanced continuum/Eulerian algorithms (Discontinuous Galerkin, DG) that can help with the challenges of the edge region of fusion devices. Want to study edge problems like the height of the pedestal, suppression of ELMs, how much improvement can be made with lithium walls.
- Code or techniques could eventually be applied to a wider range of problems where kinetic effects become important, including astrophysics and non-plasma problems.
- Good progress:
  - Extensive tests in lower dimensions (Hamiltonian properties, parallel & perp dynamics of gyrokinetics, collisions), <http://www.ammar-hakim.org/sj/>
  - Invented several DG algorithm improvements. Improved treatment of diffusion terms: Hakim, Hammett, Shi (2014) <http://arxiv.org/abs/1405.5907>
  - Demonstrated ability to do 1D SOL Test problem of ELM on JET, 30,000x faster than full orbit (non-gyrokinetic) PIC code  
Shi, Hakim, Hammett (2014) <http://arxiv.org/abs/1409.2520>
  - Demonstrated ability to handle magnetic fluctuations in an efficient way.
  - Basic  $3x+2v$  ( $x, y, v_{||}, v_{\perp}$ ) capability demonstrated in simple geometry, including Lenard-Bernstein collision operator, logical sheath boundary conditions.

# General goal: robust (gyro)kinetic code incorporating several advanced algorithms

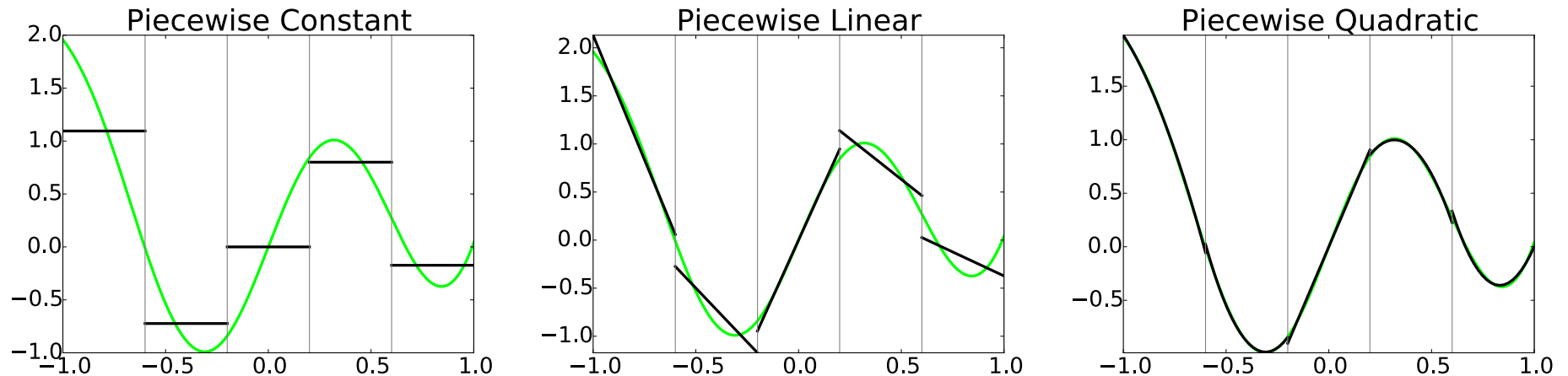
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- Several advanced algorithms (some in planning) to significantly improve efficiency:
  - A version of Discontinuous Galerkin (DG) algorithm can conserve energy exactly for Hamiltonian systems (even with upwinding, for continuous time)
  - DG flexibility to handle optimized (Maxwellian-weighted) basis functions (Landreman JCP 2013: just 6 basis functions in  $v$  for accurate neoclassical theory)
  - sub-grid models in phase space
  - efficient use of massively parallel computers (GENE continuum code has excellent strong scaling to over 100,000 cores)
- DG: Efficient Gaussian integration --> ~ twice the accuracy / interpolation point:
  - Standard interpolation:  $p$  uniformly-spaced points to get  $p$  order accuracy
  - DG interpolates  $p$  optimally-located points to get  $2p-1$  order accuracy
- Kinetic turbulence very challenging, benefits from all tricks we can find. Potentially big win: **Factor of 2 reduction in resolution --> 64x speedup in 5D gyrokinetics**

**Goal: a robust code applicable for a wider range of fusion and non-fusion problems, capable of relatively fast simulations at low velocity resolution, but with qualitatively-good gyro-fluid-like results, or fully converged kinetic results at high velocity resolution w/ massive computing.**

# Discontinuous Galerkin (DG) Combines Attractive Features of Finite-Volume & Finite Element Methods

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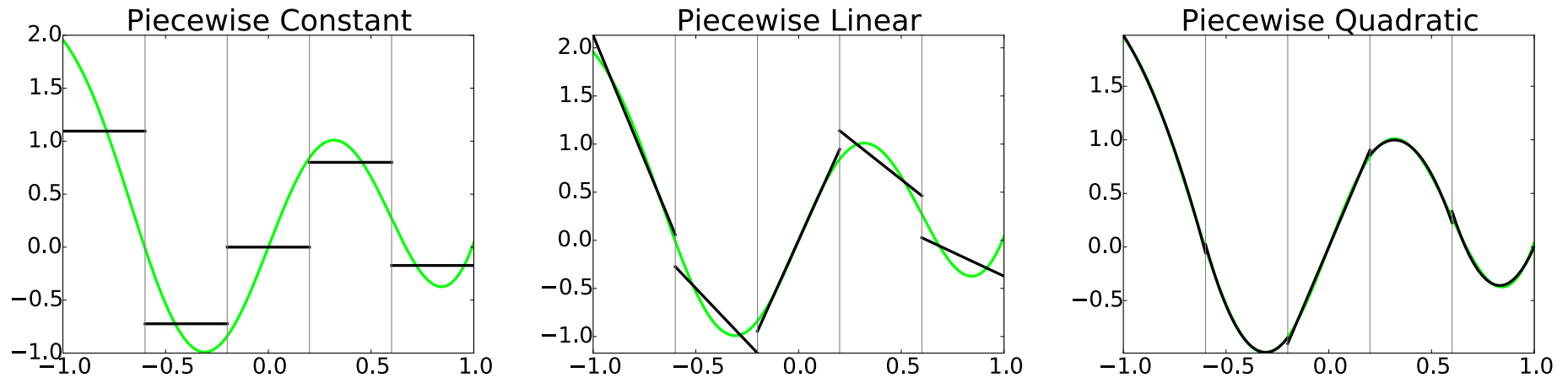
Standard finite-volume (FV) methods evolve just average value in each cell (piecewise constant), combined with interpolations

DG evolves higher-order moments in each cell. I.e. uses higher-order basis functions, like finite-element methods, but, allows discontinuities at boundary like shock-capturing finite-volume methods --> (1) easier flux limiters like shock-capturing finite-volume methods (preserve positivity) (2) calculations local so easier to parallelize.

Hot topic in CFD & Applied Math: >1000 citations to Cockburn & Shu JCP/SIAM 1998.

# Discontinuous Galerkin (DG) Combines Attractive Features of Finite-Volume & Finite Element Methods

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Don't get hung up on the word "discontinuous". Simplest DG is piecewise constant: equivalent to standard finite volume methods that evolve just cell averaged quantities. Can reconstruct smooth interpolations between adjacent cells when needed.

Need at least piecewise linear for energy conservation (even with upwinding).

DG has ~ twice the accuracy per point of FV, by optimal spacing of points within cell.

## Simplest Alfven Wave in Gyrokinetics

$$\frac{\partial f_e}{\partial t} + v_{||} \frac{\partial f_e}{\partial z} + \frac{q_e}{m_e} \left( -\frac{\partial \phi}{\partial z} - \frac{\partial A_{||}}{\partial t} \right) \frac{\partial f_e}{\partial v_{||}} = 0$$

$$-n_i k_{\perp}^2 \rho_{s0}^2 \frac{e\phi}{T_{e0}} = \int dv_{||} f_e - n_i$$

$$k_{\perp}^2 A_{||} = \mu_0 q_e \int dv_{||} f_e v_{||}$$

If  $\omega \gg k_{||} v_{te}$ , this gives:

$$\omega^2 = \frac{k_{||}^2 v_A^2}{1 + k_{\perp}^2 \rho_s^2 / \hat{\beta}_e}$$

where  $\hat{\beta}_e = (\beta_e/2)(m_i/m_e)$ . The electrostatic case  $A_{||} = 0$  corresponds to the low  $\beta$  limit, where there is an  $\Omega_H$  mode that is even faster than electrons at low  $k_{\perp}$ :

$$\omega^2 = \frac{k_{||}^2 v_{te}^2 / \hat{\beta}_e}{1 + k_{\perp}^2 \rho_s^2 / \hat{\beta}_e} \rightarrow \frac{k_{||}^2 v_{te}^2}{k_{\perp}^2 \rho_s^2}$$

It would seem that finite  $\beta$  should be easier because it limits the fastest wave at low  $k_{\perp}$  to be no faster than the Alfven wave

## Handling the $\partial A_{||}/\partial t$ term

$$\frac{\partial f_e}{\partial t} + v_{||} \frac{\partial f_e}{\partial z} + \frac{q_e}{m_e} \left( -\frac{\partial \phi}{\partial z} - \frac{\partial A_{||}}{\partial t} \right) \frac{\partial f_e}{\partial v_{||}} = 0$$

Codes usually eliminate the  $\partial A_{||}/\partial t$  term with the substitution  $\delta f_e = g + (q_e/m_e)A_{||}\partial F_{e0}/\partial v_{||}$  (or by going to  $p_{||} = mv_{||} + q_e A_{||}$  coordinates, which is equivalent linearly). Ampere's law become:

$$\underbrace{\left( k_{\perp}^2 + C_n \frac{\mu_0 q_e^2}{m_e} \int dp_{||} f_e \right)}_{C_n \omega_{pe}^2 / c^2} A_{||} = C_j \mu_0 \frac{q_e}{m_e^2} \int dp_{||} f_e p_{||}$$

“The Ampere Cancellation Problem”: the ratio of the first to second term is very small,  $k_{\perp}^2 \rho_s^2 / \hat{\beta} \sim 10^{-5}$ , for  $k_{\perp} \rho_s = 0.01$  and  $\beta_e \sim 1\%$ . Small errors (represented by  $C_n$  or  $C_j \neq 1$ ) in large terms can have a large effect:

$$\text{If } \omega \gg k_{||} v_{te}: \quad \omega^2 = \frac{k_{||}^2 v_{te}^2}{k_{\perp}^2 \rho_s^2} \left[ \frac{k_{\perp}^2 \rho_s^2 + (C_n - C_j) \hat{\beta}_e}{k_{\perp}^2 \rho_s^2 + C_n \hat{\beta}_e} \right]$$

GS2's implicit formulation never had a problem. I worked with Jenko in 2001 to fix this problem in GENE. Related papers by Candy & Waltz JCP 2003, Y. Chen & S. Parker JCP 2003, B. Cohen 2002, Dannert & Jenko 2004, Belli & Hammett 2005, Bottino et al. IAEA 2010.



# Challenge for magnetic fluctuations in DG

We were first to note a version of the Discontinuous Galerkin (DG) algorithm can exactly conserve energy for general Hamiltonian problems  $\partial f / \partial t = \{H, f\}$ . (Based on algorithm by C.-W. Shu and Liu, 2000.) Requires  $H$  (and thus  $\phi$  and  $A_{||}$ ) to be in a continuous subspace of  $f$ .

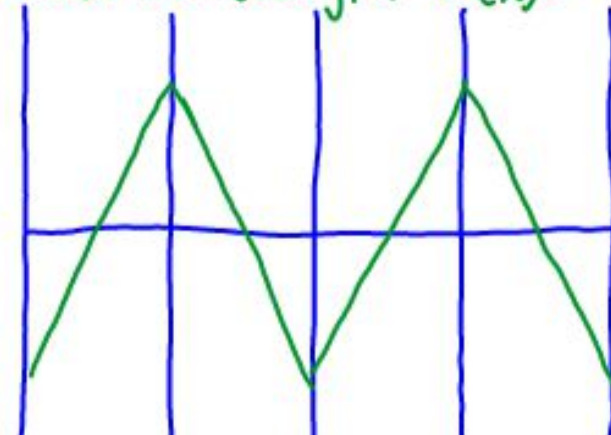
In the MHD limit, we need

$$E_{||} = -\frac{\partial \phi}{\partial z} - \frac{\partial A_{||}}{\partial t} \approx 0$$

but there is no way for a continuous  $A_{||}(z)$  to offset this discontinuous  $\partial \phi / \partial z$ .

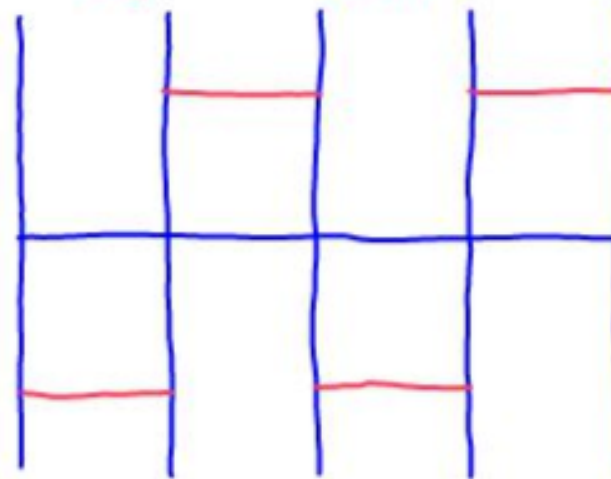
This electrostatic field drives a current that is a square wave, and wants to make a square wave  $A_{||}(z)$ . But projection of this square wave  $A_{||}$  onto a continuous subspace gives  $A_{||} = 0$ , as if  $\beta = 0$ . This gives very high frequency mode at grid scale, requiring a very small time step  $\Delta t < k_{||,max} v_{te} / (k_{\perp, min} \rho_s)$ .

shortest wavelength  $\phi(x)$ :



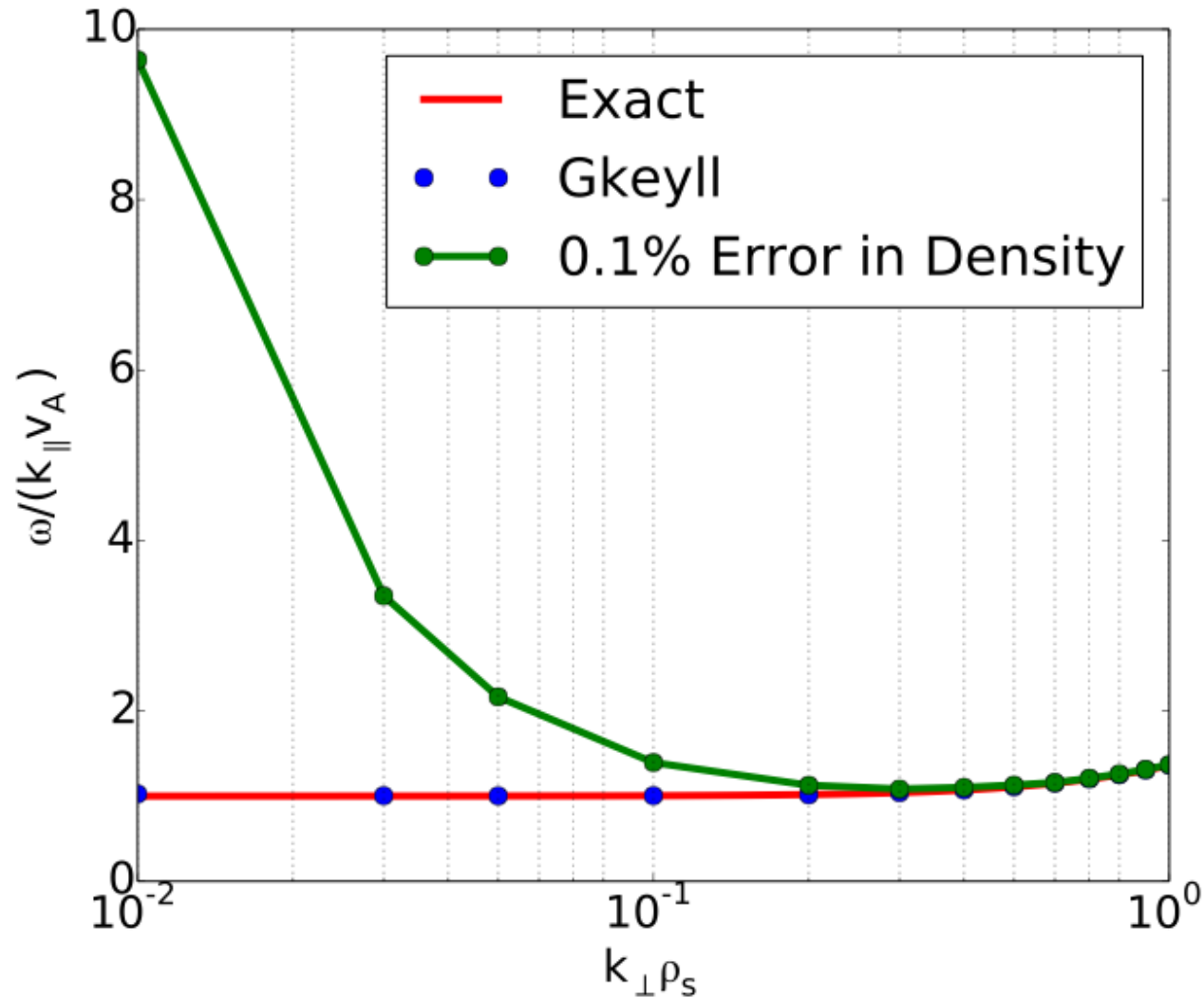
$$E_{\perp} = -\partial \phi / \partial x$$

(x in these figures should be z)



# Fix for magnetic fluctuations for DG

There are several solutions. One is to project  $\phi(z)$  onto a  $C_1$  subspace where  $\phi$  and  $\partial\phi/\partial z$  are continuous. ( $\phi$  must be at least piecewise-parabolic in this case.) This allows a  $C_0$   $A_{||}(z,t)$  to better approximate the ideal MHD condition  $E_{||} \approx 0 = -\partial\phi/\partial z - \partial A_{||}/\partial t$ . Allows Gkeyll to reproduce Alfvén wave even at very low  $k_{\perp}\rho_s$  with a normal time step.



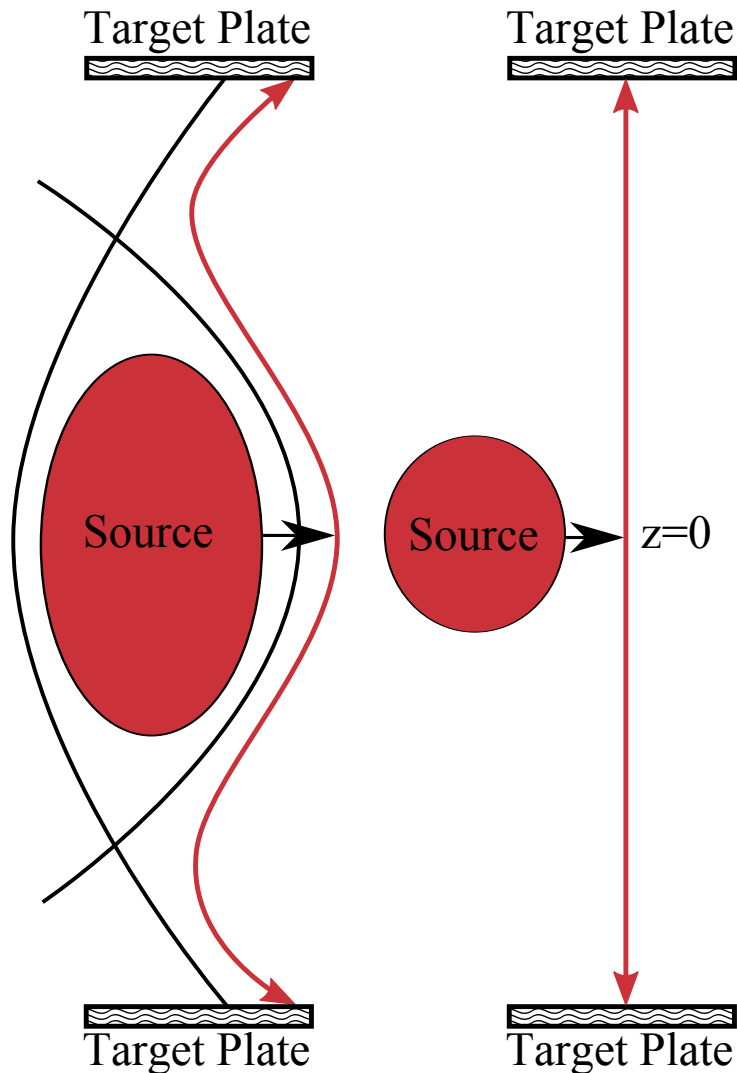
In order to conserve energy, the projection operator must be self-adjoint. We have found a local filtering/projection operator that is self-adjoint.

# Gkeyll uses modern code architecture

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- Gkeyll is written in C++ and is inspired by framework efforts like Facets, VORPAL (Tech-X Corporation) and WarpX (U. Washington). Uses structured grids with arbitrary dimension/order nodal basis functions.
- Linear solvers from Petsc<sup>1</sup> are used for inverting stiffness matrices.
- Programming language Lua<sup>2</sup>, used as embedded scripting language to drive simulations. (Lua in widely played games like World of Warcraft, some iPhone apps, ...)
- MPI is used for parallelization via the txbase library developed at Tech-X
- Package management and builds are automated via scimake and bilder, both developed at Tech-X.
- (I am beginning to explore Julia / iJulia for postprocessing: <http://julialang.org>. New high-level language oriented to scientific programming being developed at MIT. Fast, parallelization, ...)

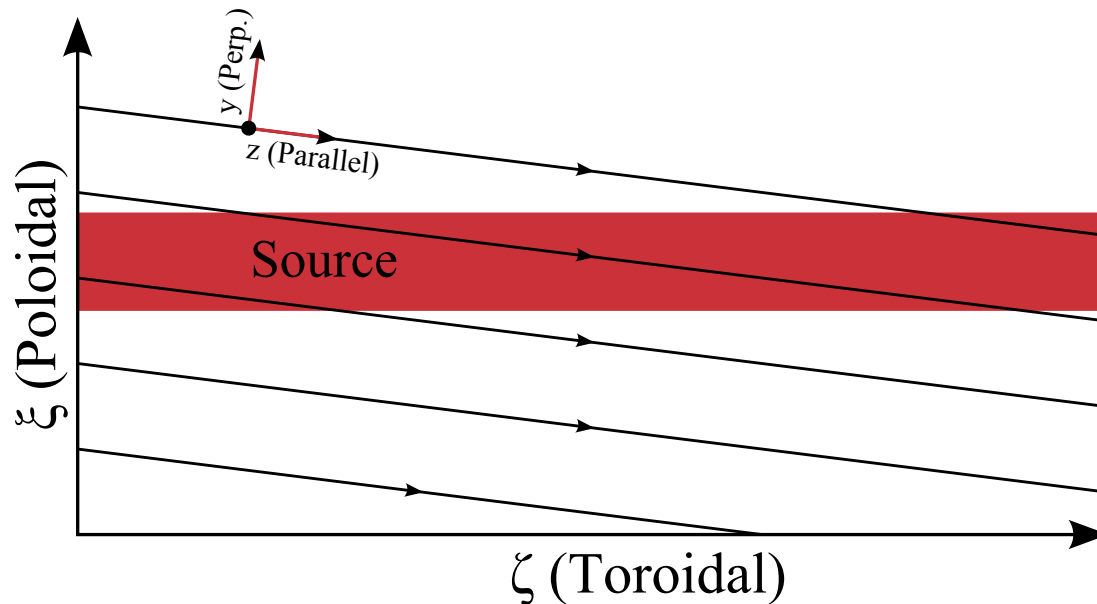
# Test Problem Geometry



- ELM crash simulated as a source of plasma at the midplane
- Target plates at edges of symmetric computational domain, midplane in the center
- Evolve plasma and calculate heat flux vs. time at target plates

First done by Pitts (2007), widely used test case (Havlickova, Fundamenski et al. (2012), Omatani & Dudson, 2013, ...)

# Axisymmetric SOL (Side View)



Use gyrokinetic equations: keeping not just parallel dynamics, but also perpendicular ion polarization in GK quasineutrality / vorticity equation.

Don't have to resolve Debye length (use sheath boundary conditions), much faster:

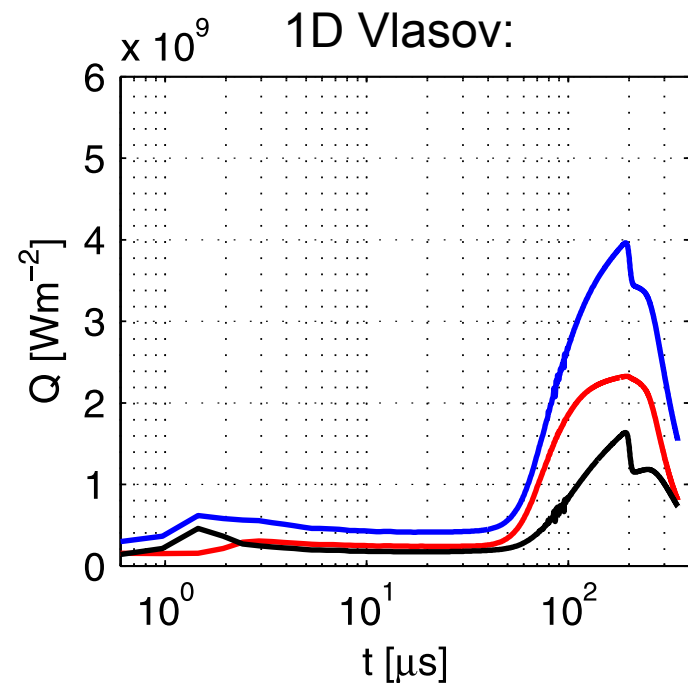
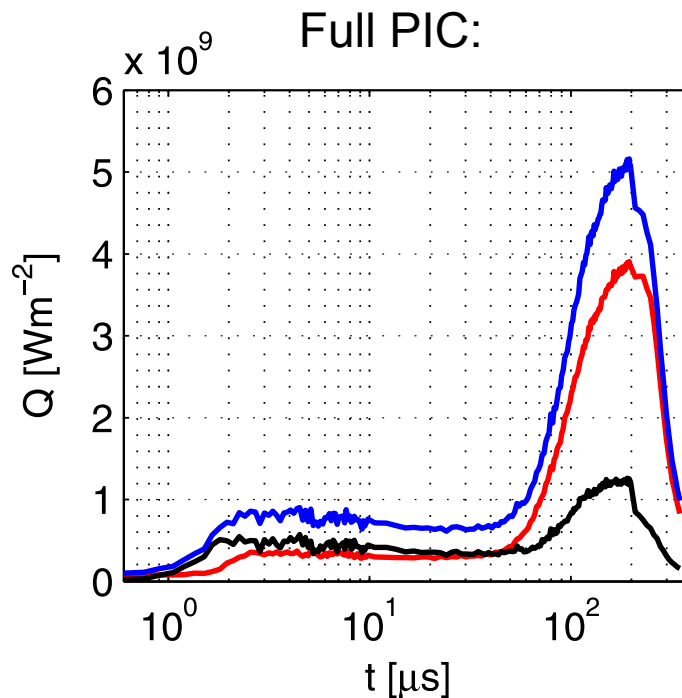
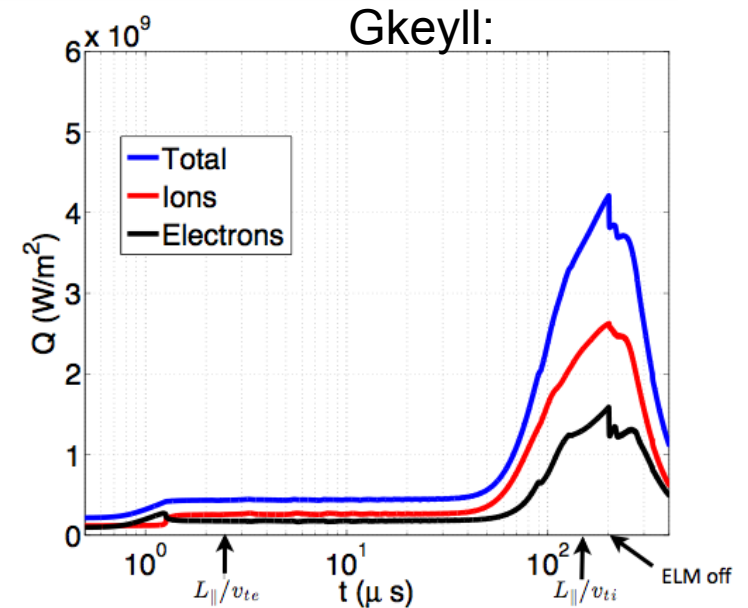
$$-\partial_{\perp} \left( \frac{n_i m_i}{B^2} \partial_{\perp} \phi \right) = e(n_i - n_e)$$

(using simplified lower bound on  $k_{\perp}^2$  at first.)

# Gkeyll can now Model ELM Heat Pulse in 1D SOL

Simulation of ELM pulse to divertor plate on JET agrees well with full PIC and Vlasov codes (Pitts, 2007, Havlickova, Fundamenski et al. 2012). Confirms sheath potential rises to shield divertor from initial electron heat pulse.

30,000x faster than full PIC because gyrokinetics doesn't have to resolve Debye length.



(small differences because initial conditions not precisely specified.)