3D Braginskii simulations of Turbulence in the tokamak edge, part 1: the SOL

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How do global fluid simulations of the edge differ from local (flux tube) simulations? When are global effects important?

What can global simulations teach about transport, H and L pedestal structure, the LH transition, density limit, etc?

Can we predict the plasma profiles given the source strengths and plasma parameters?

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# 3D Braginskii Equations $(T_i=0)$ $\frac{d\nabla_{\perp}^{2}\phi}{dt} = -V_{\parallel i}\frac{\partial\nabla_{\perp}^{2}\phi}{\partial z} + \frac{2B}{cm_{i}Rn}\frac{\partial p_{e}}{\partial u} + \frac{m_{i}\Omega_{ci}^{2}}{e^{2}n}\frac{\partial j_{\parallel}}{\partial z}$ $rac{dV_{||i|}}{dt} = -V_{||i|} rac{\partial V_{||i|}}{\partial z} - rac{1}{nm_i} rac{\partial p_e}{\partial z}$ $m_e n \frac{dV_{||e}}{dt} = -m_e V_{||e} \frac{\partial V_{||e}}{\partial z} - \frac{T_e}{n} \frac{\partial n}{\partial z} + e \frac{\partial \phi}{\partial z} - 1.71 \frac{\partial T_e}{\partial z} + \frac{e}{\sigma_{||}} j_{||}$ $\frac{dn}{dt} = \frac{2c}{eBB} \left( \frac{\partial p_e}{\partial u} - en \frac{\partial \phi}{\partial u} \right) - \frac{\partial (nV_{||e})}{\partial z} + S_n \longleftarrow Source$ $\frac{dT_e}{dt} = \frac{4cT_e}{3eBB} \left( \frac{7}{2} \frac{\partial T_e}{\partial u} + \frac{T_e}{n} \frac{\partial n}{\partial u} - \frac{\partial \phi}{\partial u} \right) + \frac{2}{3} \frac{T_e}{en} 0.71 \frac{\partial j_{\parallel}}{\partial z}$ $-V_{||e}\frac{\partial T_e}{\partial z} - \frac{2}{2}T_e\frac{\partial V_{||e}}{\partial z} + S_T \longleftarrow \text{Source}$

Parallel BCs at sheath edges  $(z = \pm L_z/2)$ :  $V_{||i} = \pm c_s$ ,  $c_s = \sqrt{T_e/m_i}$  $V_{||e} = \pm c_s \exp(\Lambda - e\phi/T_e)$ ,  $\Lambda = \ln\sqrt{m_i/(2\pi m_e)} \simeq 3$ 

# Resistive Ballooning Mode $\frac{\partial \nabla_{\perp}^{2} \phi}{\partial t} = \frac{2B}{cm_{i}Rn} \frac{\partial p_{e}}{\partial y} + \frac{4\pi V_{A}^{2}}{c^{2}} \frac{\partial j_{\parallel}}{\partial z} \rightarrow -\gamma k_{y}^{2} \tilde{\phi} = \frac{2B}{cm_{i}Rn} ik_{y} \tilde{p}_{e} + \frac{4\pi V_{A}^{2}}{c^{2}} ik_{\parallel} \tilde{j}_{\parallel}$

$$\frac{\partial p_e}{\partial t} = \frac{c}{B} \left[ \phi, p_e \right] \to \gamma \tilde{p}_e = -ik_y p'_{e0} c \tilde{\phi} / B$$

$$\eta_{\parallel} j_{\parallel} = -\frac{\partial \phi}{\partial z} \to \tilde{j}_{\parallel} = -ik_{\parallel} \tilde{\phi} / \eta_{\parallel}$$

These give:

$$\gamma = \frac{\gamma_b^2}{\gamma} - \gamma_b \frac{k_b^2}{k_y^2} \quad \text{where} \quad \gamma_b^2 = \frac{2c_s^2}{RL_p} \quad k_b^2 = \frac{4\pi V_A^2 k_{\parallel}^2}{\eta_{\parallel} c^2 \gamma_b} \quad k_{\parallel} \sim 1/(qR)$$
  
So:  $\gamma \simeq \gamma_b \quad \text{for} \quad k_y > k_b \quad \gamma \simeq (k_y^2/k_b^2)\gamma_b \quad \text{for} \quad k_y < k_b$   
For later:  $Max\{\gamma/k_y\} = (0.6)\gamma_b/k_b \quad \text{for} \quad k_y = (0.9)k_b$ 

Radial mode width:  $\sigma_x \sim \sqrt{L/k_y} \ll L$  for  $k_y L \gg 1$ 





## Nonlinear saturation mechanisms

1. KH: 
$$\partial_t W \sim \gamma W \sim [\phi, W] \sim k_x k_y \phi W \rightarrow \phi \sim \gamma/(k_x k_y)$$

2. Gradient Removal:  $dp_1/dx \sim k_x p_1 \sim dp_0/dx \rightarrow p_1 \sim p_0'/k_x$ 

But: 
$$\partial_t p \sim [\phi, p] \rightarrow p_1 \sim k_y \phi p'_0 / \gamma$$

and combining this with previous result gives the KH estimate:

$$p_1 \sim k_y \phi p'_0 / \gamma \sim p'_0 / k_x \rightarrow \phi \sim \gamma / (k_x k_y)$$

Thus we expect KH and gradient removal mechanisms to be potentially competitive, *provided that KH is unstable* 

Why would it matter if KH is unstable, if gradient removal is a possibility? It matters because of k<sub>x</sub>.

$$\partial_t W \sim [\phi, W] \sim k_x k_y \phi W \rightarrow \phi \sim \gamma/(k_x k_y)$$

 $dn_1/dx \sim k_x n_1 \sim n'_0 \to n_1 \sim n'_0/k_x \sim n_0/(k_x L)$ 

Thus consider the particle flux and diffusivity:

$$\Gamma_x \sim V_{Ex} n_1 \sim k_y \phi n_1 \sim n_0 \gamma / (k_x^2 L)$$
$$D \sim \Gamma_x / n_0' \sim \gamma / k_x^2$$

Now, if KH is unstable, it typically breaks up eddies with  $\,k_x\sim k_y$ 

But if KH is stable, smallest  $k_x \sim 1/\sigma_x \sim \sqrt{k_y/L} \sim k_y/\sqrt{k_yL}$ 

Thus:  $D_{KH}/D_{no \ KH} \sim 1/(k_y L) \ll 1$ 

Gyro-Bohm vs Bohm for driftwaves

## q=4 : full system



# q=4, no KH: $\partial_t W + [\phi, W] \rightarrow \partial_t W + [\langle \phi \rangle_y, W]$



Very little changes in plasma profiles, etc...

# q=16 : full system







Elimination of KH leads to streamers and flatter profiles

Why is KH stable at low q but not higher q? At low q, the eddies are too short to be KH unstable:

Typical KH growth rate (sinusoidal flows, max for  $k_x = (0.6)k_y$ )

$$\gamma_{KH} \sim (0.3) k_y V_{Ex} \qquad (k_x \simeq 0.6 k_y)$$

Max eddy turnover time:

$$\tau \sim \sigma_x / V_{Ex} = \sqrt{L/k_y} / V_{Ex}$$

So for KH instability, need:

$$\gamma_{KH}\tau > 1 \rightarrow \sqrt{k_yL} > 3$$







Gaussian eddy, Lx/Ly=2 ( $k_y L_x \sim 2\pi$ )





#### Gaussian eddy, Lx/Ly=1







# Radial eddy length exhibits expected scaling $\sigma_x \sim \sqrt{L/k_y}$



Transport and profile scaling for KH stable cases Consider density as an example:

$$\partial_t n + \nabla \cdot (n\vec{V}_E) = S_n$$

Take flux surf and time average, assume parallel losses dominate:

$$d\Gamma_x/dx \sim \Gamma_x/L \sim n_0 c_s/(qR)$$
 where  $\Gamma_x \sim n_1 V_{Ex}$ 

Recall previous result for  $\Gamma_x$  and assume  $k_x \sim \sqrt{k_y/L}$ 

$$\Gamma_x \sim n_0 \gamma / (Lk_x^2) \sim n_0 \gamma / k_y \sim n_0 \gamma_b / k_y , \quad k_y \sim k_b$$

Thus:

$$n_0 c_s/(qR) \sim \Gamma_x/L \sim n_0 \gamma_b/(k_y L) \sim n_0 [c_s/\sqrt{RL}]/(k_y L)$$

Comparing first and last:  $L \sim R^{1/3} (q/k_y)^{2/3}$ ,  $k_y \sim k_b$ 





But we are not quite done. From before:

$$L \sim R^{1/3} (q/k_y)^{2/3} , k_y \sim k_b$$

#### Now use

$$k_b^2 = \frac{4\pi V_A^2 k_{\parallel}^2}{\eta_{\parallel} c^2 \gamma_b} , \quad \gamma_b^2 = \frac{2c_s^2}{RL_p} , \quad k_{\parallel} \sim 1/(qR)$$

#### to get

$$L_{eq} \sim R^{5/7} q^{8/7} \left( \sqrt{2} c_s \eta_{\parallel} c^2 / (4\pi V_A^2) \right)^{2/7} = R^{1/3} \left( q / k_{b,eq} \right)^{2/3}$$

or 
$$k_{b,eq}L_{eq} \sim \left[4\pi V_A^2 R q^3 / (\sqrt{2}c_s \eta_{\parallel} c^2)\right]^{1/7}$$

Final condition for KH instability:

$$\sqrt{k_{b,eq}L_{eq}} > 3$$

# Summary

• For the cases considered, KH is near marginal stability, with gradient removal mechanism dominant. The transport increases strongly at this point due to an elongation of the eddies:

$$k_x \sim k_y \rightarrow k_x \sim \sqrt{k_y/L}$$

• The SOL equilibrium scale length:

$$L_{sol} \sim R^{1/3} (q/k_{b,eq})^{2/3}$$

is a geometric mean of large and small scales

# Sheath Physics

In an open fieldline system, the plasma develops a positive potential relative to the wall until the electron and ion outflows along B balance:



#### Electrons dynamics in sheath region

$$\begin{split} f_e &= n_{se} \left[ m_e / (2\pi T_e) \right]^{3/2} \exp \left\{ - \left[ (1/2) m_e v^2 - e(\phi - \phi_{se}) \right] / T_e \right\} \\ n &= \int f_e d^3 v = n_{se} \exp \left\{ e(\phi - \phi_{se}) / T_e \right\} \quad (= n_{se} \text{ for } \phi = \phi_{se}) \\ \Gamma_{\parallel e} &= \int_{v_z > 0} f_e v_z d^3 v = n_{se} \sqrt{T_e / (2\pi m_e)} \exp \left\{ e(\phi - \phi_{se}) / T_e \right\} \\ &= n_{se} c_s \exp \left\{ \Lambda + e(\phi - \phi_{se}) / T_e \right\} \\ \text{ where } c_s &= \sqrt{T_e / m_i} \ , \ \Lambda = \ln \sqrt{m_i / (2\pi m_e)} \end{split}$$

So electron flux to wall where  $\phi = 0$ :

$$\Gamma_{\parallel e, wall} = n_{se}c_s \exp\left\{\Lambda - e\phi_{se}/T_e\right\}$$

Continuity across sheath :

 $n_{se}V_{\parallel e,se} = \Gamma_{\parallel e,wall}$  so

$$V_{\parallel e,se} = c_s \exp\left\{\Lambda - e\phi_{se}/T_e\right\}$$

Ion dynamics at edge of sheath Continuity :

$$\partial_z \left( n V_{\parallel i} \right) = 0 \text{ so } n \partial_z V_{\parallel i} = -V_{\parallel i} \partial_z n$$

Momentum (isothermal for simplicity) :  $m_i n V_{\parallel i} \partial_z V_{\parallel i} = -T_e \partial_z n$ 

Combining these gives :  

$$-m_i V_{\parallel i}^2 \partial_z n = -T_e \partial_z n$$
 or  $V_{\parallel i,se} = c_s$ ,  $c_s = \sqrt{T_e/m_i}$ 

Electrons:  $V_{\parallel e,se} = c_s \exp \{\Lambda - e\phi_{se}/T_e\}$ 

Bottom Line : in equilibrium need  $V_{\parallel i} \simeq V_{\parallel e} \rightarrow \phi \simeq \Lambda T_e/e$ 

## **Resistive Driftwaves**

Neglecting the curvature terms, soundwaves, and  $m_e$ :  $\nu_e k_y^2 \rho_s^2 \gamma^2 + k_{||}^2 c_s^2 (1 + 2.94 k_y^2 \rho_s^2) \gamma + i k_{||}^2 c_s^2 \omega_* = 0$  ( $\nu_e = e^2 n \eta_{||} / m_i$ )

Fastest mode :

$$\gamma_{dw} \simeq 0.1 c_s / L_p$$
 for  $k_\perp \rho_s \simeq 0.5$ ,  $k_\parallel \simeq 0.2 \sqrt{\nu_e / (c_s L_p)}$ 

Define driftwave regime as :

$$\gamma_{dw} > \gamma_I$$
 where  $\gamma_I \simeq c_s / \sqrt{RL_p}$ 

Thus for DWs need  $L_p/R < 0.01$ 

