

# **Gyro-kinetic Theory of the Tearing Mode and Internal Kink Mode**

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# Background

- In hot tokamaks the reconnecting layer is **narrower** than the ion Larmor radius  
⇒ **gyro-kinetic** model for **ions**,  
i.e. fluid model inappropriate
- Use **semi-collisional** model for **electrons**
- Earlier work:
  - Cowley et al** – gyro-kinetic ions, both collisionless and semi-collisional electrons
  - Pegoraro, Porcelli & Schep** – gyro-kinetic ions, no temperature effects on electrons
  - Drake et al** – cold ions, semi-collisional electrons
- Apply to tearing and internal kink mode stability

# Equations

**Quasi-neutrality** – from electron continuity eqn, Ohm's Law and ion FLR density response

$$-\frac{x}{\delta} \left( A - \frac{x}{\delta} \phi \right) \frac{(\sigma_0 + \sigma_1(x/\delta)^2)}{(1 + d_0(x/\delta)^2 + d_1(x/\delta)^4)} = \int_{-\infty}^{\infty} dp \exp(ipx) \hat{\phi}(p) F(p\rho_i)$$

**Ampere's Law** – current from electron continuity and quasi-neutrality

$$\frac{1}{\hat{\omega}^2 \hat{\beta}} \frac{d^2 A}{dx^2} = \frac{1}{\delta^2} \frac{\delta}{x} \int_{-\infty}^{\infty} dp \exp(ipx) \hat{\phi}(p) F(p\rho_i),$$

$$F(p\rho_i) = \left( \frac{1}{\hat{\omega}} + \tau \right) (\Gamma_0 - 1) - \frac{1}{\hat{\omega}} \frac{\eta_i}{2} (p\rho_i)^2 (\Gamma_0 - \Gamma_1); \quad \Gamma_n = \exp(-p^2 \rho_i^2 / 2) I_n(p^2 \rho_i^2 / 2), \quad \hat{\omega} = \frac{\omega}{\omega_{*e}}$$

$$F(k) \rightarrow F_{\infty} + \frac{f_1}{k}; \quad F_{\infty} = -\left( \tau + \frac{1}{\hat{\omega}} \right), \quad f_1 = \frac{1}{\sqrt{\pi}} \left( \tau + \frac{(1 - \eta_i/2)}{\hat{\omega}} \right) \quad \text{for } k \rightarrow \infty \quad F(k) \rightarrow -\left( \tau + (1 + \eta_i)/\hat{\omega} \right) k^2 / 2 \quad \text{for } k \rightarrow 0$$

## Parameters

$$\frac{\delta_0}{\rho_i}, \quad \left( \delta^2 = e^{-i\pi/2} \frac{\omega v_{ei} L_s^2}{k_y^2 v_{the}^2} \equiv e^{-i\pi/2} \hat{\omega} \delta_0^2 \right), \quad \hat{\beta} = \frac{\beta_e}{2} \frac{L_s^2}{L_n^2} \quad \left( \beta_e = \frac{2\mu_0 n T_e}{B^2} \right), \quad \eta_{e,i}, \quad \Delta' \rho_i, \quad (\text{large } x \text{ boundary condition})$$

## Semi-collisional conductivity

$$\sigma_0 = (1 - (1 + 1.71\eta_e)/\hat{\omega}), \quad \sigma_1 = d_1(1 - 1/\hat{\omega}), \quad d_0 = 5.08 \quad d_1 = 2.13$$

# Regions

- Simplify equations by considering two regions
- Region 1 - 'Ion region':  $x \sim \rho_i \gg \delta$
- Region 2 - 'Electron region'  $x \sim \delta \ll \rho_i$
- **Asymptotic matching** of solutions in the two regions: involves **interplay** between k-space and x-space
- At large x match to MHD boundary condition involving tearing mode stability parameter:  $\Delta'$

# Region 1

- Convenient to **Fourier Transform** and introduce **current**

$$J = -\frac{d^2 A}{dX^2}, \quad \text{or} \quad \hat{J} = k^2 \hat{A} \quad X = x/\rho_i$$

In Region 1:

$$\frac{d}{dk} \left( \frac{G(k)}{F(k)} \frac{d\hat{J}}{dk} \right) + \hat{\omega}^2 \hat{\beta} \frac{\sigma_1}{d_1} \frac{\hat{J}}{k^2} = 0; \quad G(k) = -\frac{\sigma_1}{d_1} + F(k)$$

Since  $F \rightarrow F_\infty = -(1/\hat{\omega} + \tau)$  as  $k \rightarrow \infty$ ,

$$\hat{J}(k) \sim \hat{a}_+ k^{1/2+\mu} + \hat{a}_- k^{1/2-\mu}$$

$$1/4 - \mu^2 = \hat{\omega}^2 \hat{\beta} (1/\hat{\omega} + \tau) (1 - 1/\hat{\omega}) / (1 + \tau)$$

**Low k boundary condition**  $\hat{J}(k) \sim 1 + \frac{\pi \hat{\beta}}{3\Delta' \rho_i} \hat{\omega}^2 \left( \tau + \frac{1 + \eta_i}{\hat{\omega}} \right) k^3, \quad k \rightarrow 0$  5

# Region 2

- Fourier transform produces 4<sup>th</sup> order equation: best to **back-transform**

$$\frac{d^2}{ds^2} \left( \frac{(1 + \bar{d}_0 s^2 + \bar{d}_1 s^4)}{(\sigma_0 + \sigma_1 s^2)} \right) J + \hat{\omega}^2 \hat{\beta} J = 0 \quad s = e^{i\pi/4} (\rho_i / \hat{\omega}^{1/2} \delta_0) X$$

$$\bar{d}_0(\hat{\omega}) = d_0 + \frac{\sigma_0}{(\tau + 1/\hat{\omega})}, \quad \bar{d}_1(\hat{\omega}) = d_1 + \frac{\sigma_1}{(\tau + 1/\hat{\omega})} = d_1 \frac{(1 + \tau)}{(\tau + 1/\hat{\omega})}$$

- Thus

$$J(s) \sim b_+ s^{-3/2+\mu} + b_- s^{-3/2-\mu} \quad \text{as } s \rightarrow \infty$$

$$\text{so that } \hat{J} \sim \hat{c}_+ t^{1/2+\mu} + \hat{c}_- t^{1/2-\mu} \quad k = e^{i\pi/4} (\rho_i / \hat{\omega}^{1/2} \delta_0) t$$

$$\text{where } \frac{\hat{c}_-}{\hat{c}_+} = \frac{\Gamma(-1/2 + \mu)}{\Gamma(-1/2 - \mu)} \tan(\pi(1/4 + \mu/2)) \frac{b_+}{b_-}$$

- Matching condition

$$\frac{\hat{c}_-}{\hat{c}_+} = \frac{\hat{a}_-}{\hat{a}_+} \left( \frac{e^{-i\pi/4} \hat{\omega}^{1/2} \delta_0}{\rho_i} \right)^{2\mu}$$

# Low $\hat{\beta} = (\beta_e / 2)L_s^2 / L_n^2$ Solution (1)

Region 1: Expand in  $\hat{\beta}$ , matching to MHD at low  $k$

$$\hat{J}(k) = \exp\left(\frac{\hat{\omega}^2 \hat{\beta} \sigma_1}{d_1} \int_0^k \frac{du}{u} \frac{F(u)}{G(u)}\right) + \left(\frac{\hat{\omega}^2 \hat{\beta} \sigma_1}{d_1}\right) \left(\frac{\pi}{\Delta' \rho_i}\right) \int_0^k du \frac{F(u)}{G(u)} - \left(\hat{\omega}^2 \frac{\sigma_1}{d_1} \hat{\beta}\right)^2 \int_0^k du \frac{F(u)}{G(u)} \int_0^u dv \frac{F(v)}{v^2 G(v)} .$$

Thus for  $k \rightarrow \infty$

$$\hat{J}(k) \sim k^{1/2-\mu} + \frac{\pi}{\Delta' \rho_i} \left(\frac{1}{4} - \mu^2\right) k - \frac{\pi}{\Delta' \rho_i} \left(\frac{1}{4} - \mu^2\right) \frac{(\hat{\omega}-1)}{(1+\tau)} \frac{f_1}{(\hat{\omega}\tau+1)} \ell n k + \frac{\pi}{\Delta' \rho_i} \left(\frac{1}{4} - \mu^2\right) \frac{\hat{\omega}(1+\tau)}{(1+\tau\hat{\omega})} \bar{I} k^0 - \left(\frac{1}{4} - \mu^2\right)^2 \frac{\hat{\omega}(1+\tau)}{(1+\tau\hat{\omega})} I(\hat{\omega}, \tau, \eta_i) k$$

where

$$I(\hat{\omega}, \tau, \eta_i) = \int_0^\infty dk \frac{F(k)}{k^2 G(k)}$$

$$\bar{I}(\hat{\omega}, \tau, \eta_i) = \int_0^\infty dk \left( \frac{F(k)}{G(k)} - \frac{(1+\hat{\omega}\tau)}{\hat{\omega}(1+\tau)} + \frac{(\hat{\omega}-1)(\hat{\omega}\tau+1-\eta_i/2)}{\sqrt{\pi}(1+k)\hat{\omega}^2(1+\tau)^2} \right) .$$

# Low $\hat{\beta}$ Solution (2)

Region 2

$$J(s) = J_0 \left( \bar{\sigma}(s^2) - \hat{\omega}^2 \hat{\beta} \int_0^s ds' (s - s') \bar{\sigma}(s'^2) \right)$$

$$\bar{\sigma}(s^2) = \frac{\sigma_0 + \sigma_1 s^2}{1 + \bar{d}_0 s^2 + \bar{d}_1 s^4}$$

At large  $s$

$$J(s) \sim J_0 \frac{\sigma_1}{\bar{d}_1 s^2} \left[ 1 - \frac{\pi}{2\bar{d}_1} \frac{\hat{\omega}^2 \hat{\beta}}{(s_+ + s_-)} \left( \frac{\sigma_0}{s_+ s_-} - \sigma_1 \right) s + 2\hat{\omega}^2 \hat{\beta} \frac{\sigma_1}{\bar{d}_1} \ell n s \right]$$

$$s_{\pm}^2 = \frac{\bar{d}_0}{2\bar{d}_1} \pm \left( \frac{\bar{d}_0^2}{4\bar{d}_1^2} - \frac{1}{\bar{d}_1} \right)^{1/2}$$

Note at low  $\hat{\beta}$ ,  $J(s) \sim s^{-2} \left[ b_- \left( 1 + 2\hat{\omega}^2 \hat{\beta} \frac{\sigma_1}{\bar{d}_1} \ell n s^2 + \dots \right) + b_+ (s + \dots) \right]$

So we can identify  $b_{\pm}$



# Unified Low $\hat{\beta}$ Dispersion Relation

$$e^{-i\pi/4} \frac{\delta_0}{\rho_i} A(\hat{\omega}) B(\hat{\omega}) + \hat{\omega} \sqrt{1 + \hat{\omega}\tau} C(\hat{\omega}) D(\hat{\omega}) = 0$$

where

$$A(\hat{\omega}) = \sqrt{1 + \tau} \sqrt{d_0(1 + \hat{\omega}\tau) + \hat{\omega} - 1 - 1.71\eta_e + 2\sqrt{d_1(1 + \tau)\hat{\omega}(1 + \hat{\omega}\tau)}}$$

$$B(\hat{\omega}) = \frac{\Delta' \rho_i}{\pi \hat{\beta}} \hat{\omega} - (\hat{\omega} - 1)^2 \frac{(\hat{\omega}\tau + 1 - \eta_i/2)}{\sqrt{\pi(1 + \tau)^2}} \left( \frac{i\pi}{4} + \ell n \left( \frac{\rho_i}{\delta_0 \hat{\omega}^{1/2}} \right) \right) + \hat{\omega}^2 (\hat{\omega} - 1) \bar{I}(\hat{\omega})$$

$$C(\hat{\omega}) = 1 - \frac{\hat{\omega}(\hat{\omega} - 1) \Delta' \rho_i \hat{\beta}}{\pi} I(\hat{\omega}, \eta_i, \tau)$$

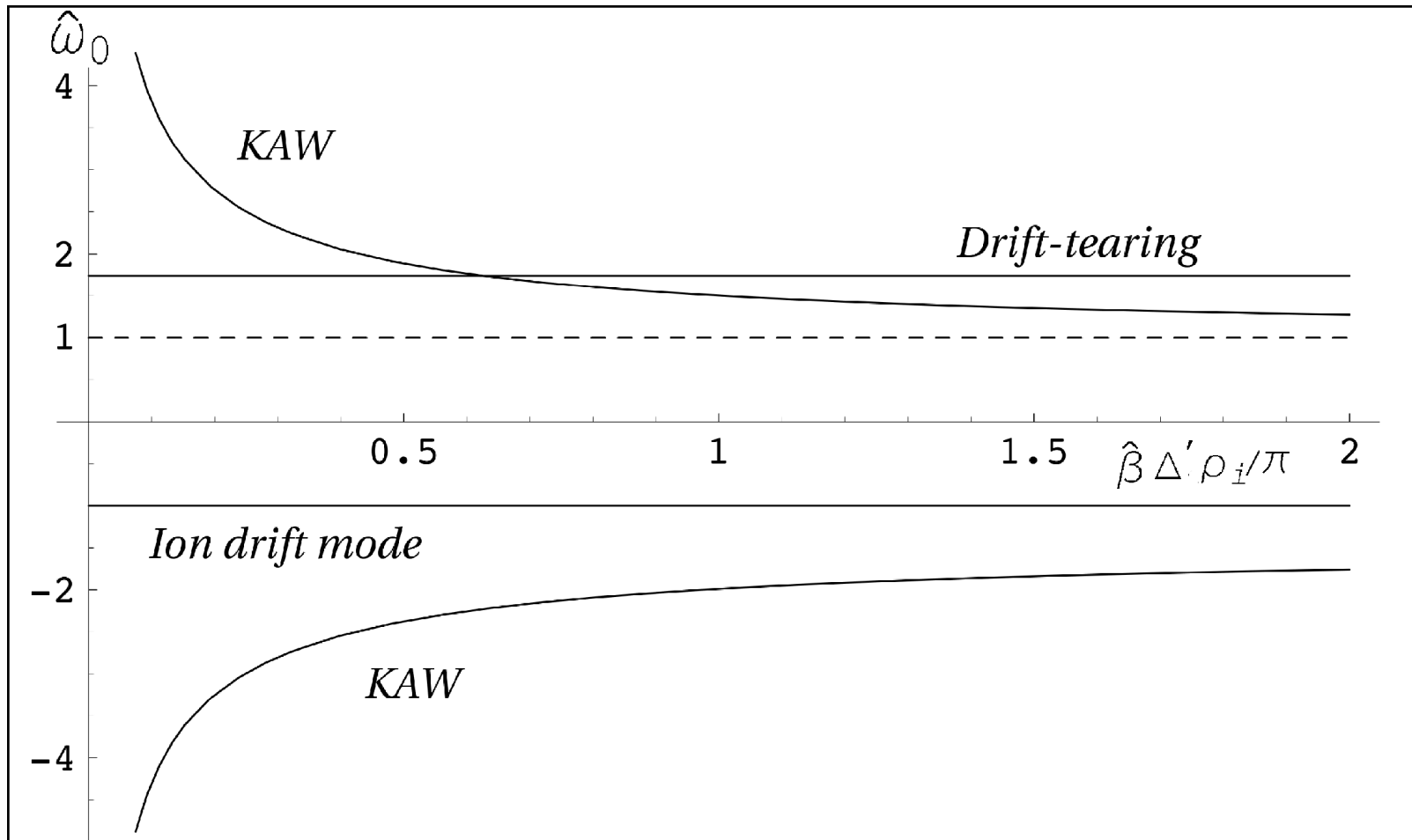
$$D(\hat{\omega}) = (\hat{\omega} - 1 - 1.71\eta_e) \sqrt{\hat{\omega}(1 + \tau)} + (\hat{\omega} - 1) \sqrt{d_1(1 + \hat{\omega}\tau)} \quad .$$

**Modes**  $D(\hat{\omega}) = 0$ ,  $C \simeq 1$ : **Drift – tearing** mode (DT)

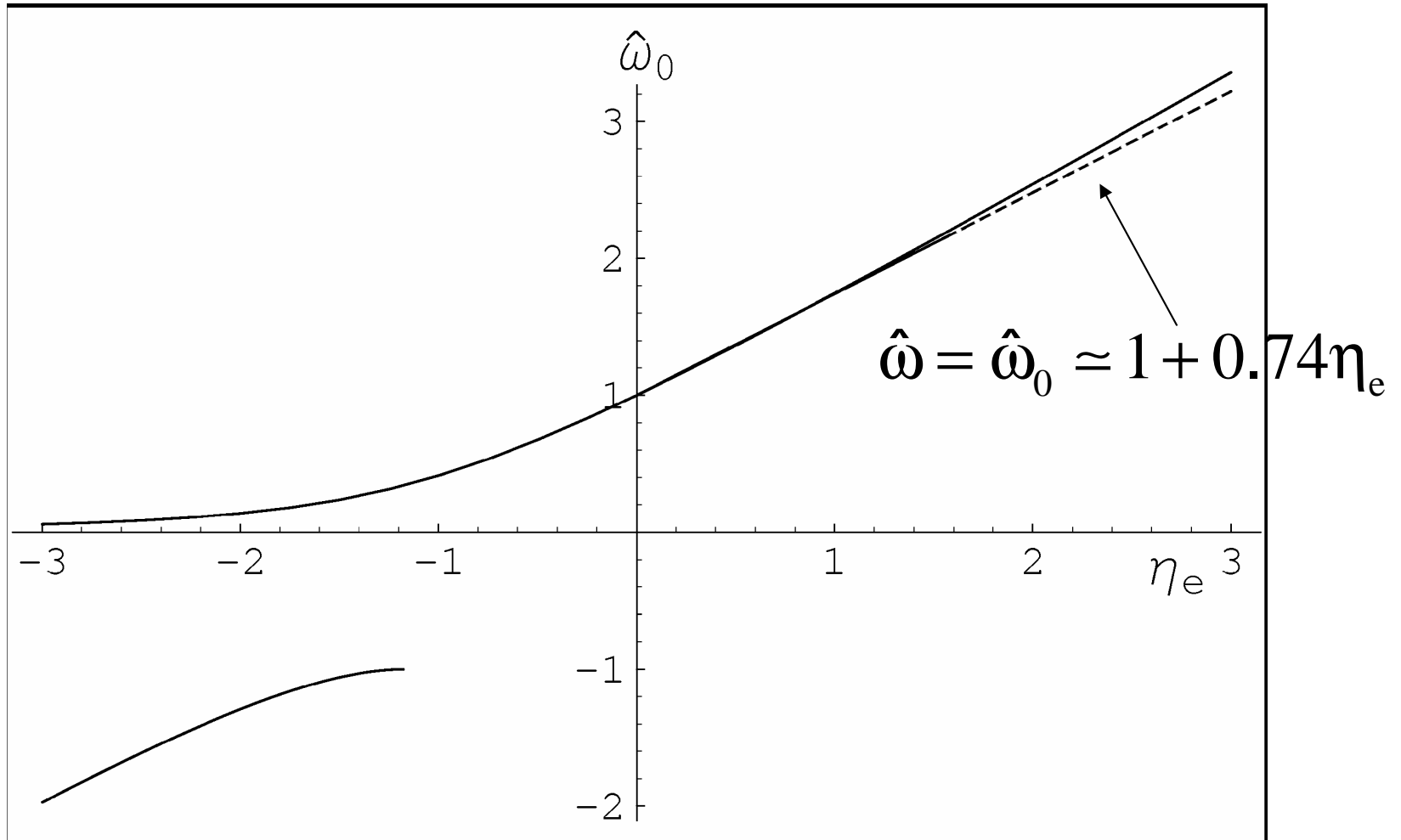
$C(\hat{\omega}) = 0$ : '**Kinetic Alfvén waves**' (KAW)

**Stability** controlled by small  $\delta_0 / \rho_i$  term

# Modes



# Drift-tearing Mode (1)



# Drift-tearing Mode Stability (1)

Solving for  $\delta_0 / \rho_i \ll 1$        $\hat{\gamma} \sim \frac{\delta_0 \hat{\omega}_0^{1/2}}{\pi \hat{\beta}} (\Delta' - \Delta'_{\text{crit}})$

$$\Delta'_{\text{crit}} = \frac{\sqrt{\pi} \hat{\beta}}{\rho_i} \frac{1 + \hat{\omega}_0 \tau}{\hat{\omega}_0^2 (1 + \tau)^2} \left( 1 + \hat{\omega}_0 \tau - \frac{\eta_i}{2} \right) (\hat{\omega}_0 - 1)^2 \ln \left( \frac{\rho_i e^{-\pi/4}}{\delta_0 \hat{\omega}_0^{1/2}} \right) - \frac{\pi \hat{\beta}}{\rho_i} (\hat{\omega}_0 - 1) \bar{I}$$

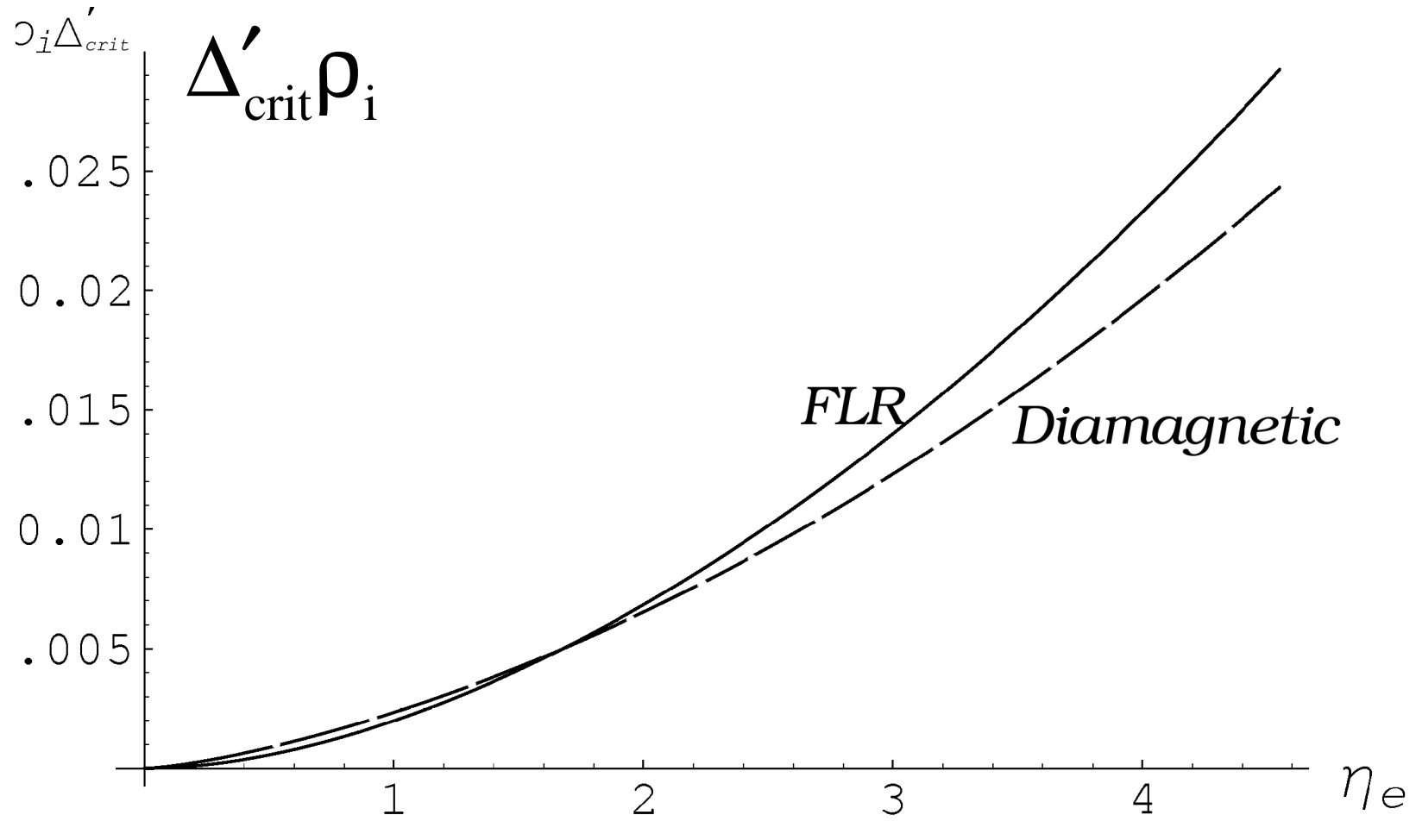
$\Delta'_{\text{crit}}^{\text{FLR}}$

$\Delta'_{\text{crit}}^{\text{Dia}}$

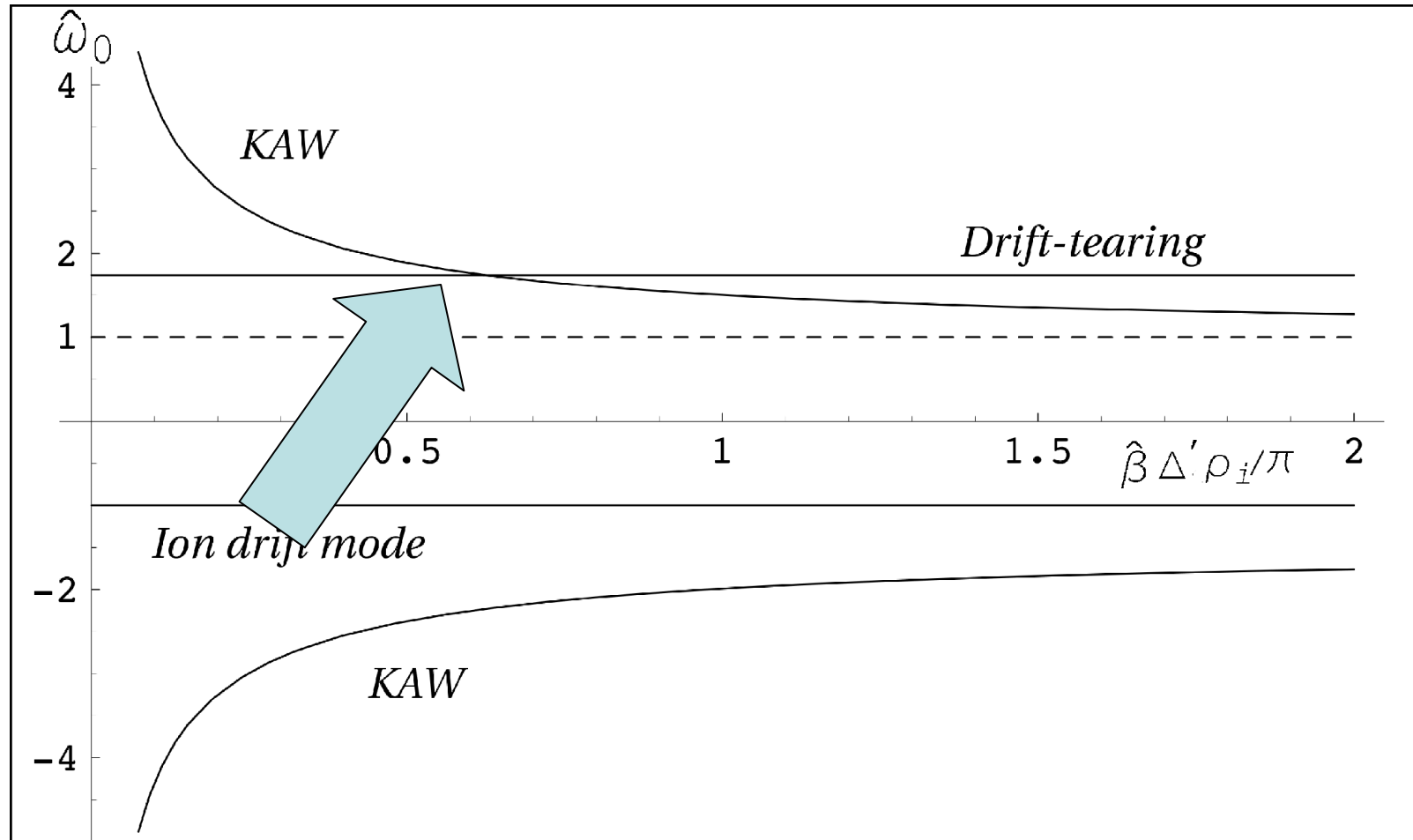
Cowley et al

Similar to Drake et al

# Drift-tearing Mode Stability (2)



# Coupling of DT and KAW Modes



# Kinetic Alfvén Wave

- When  $\Delta' \rho_i \hat{\beta} \sim O(1)$  the drift-tearing mode **couple**s strongly to the KAW and there is an **interchange** of stability; for higher values of  $\Delta' \rho_i \hat{\beta}$  the **DT is stable** and the **KAW unstable**

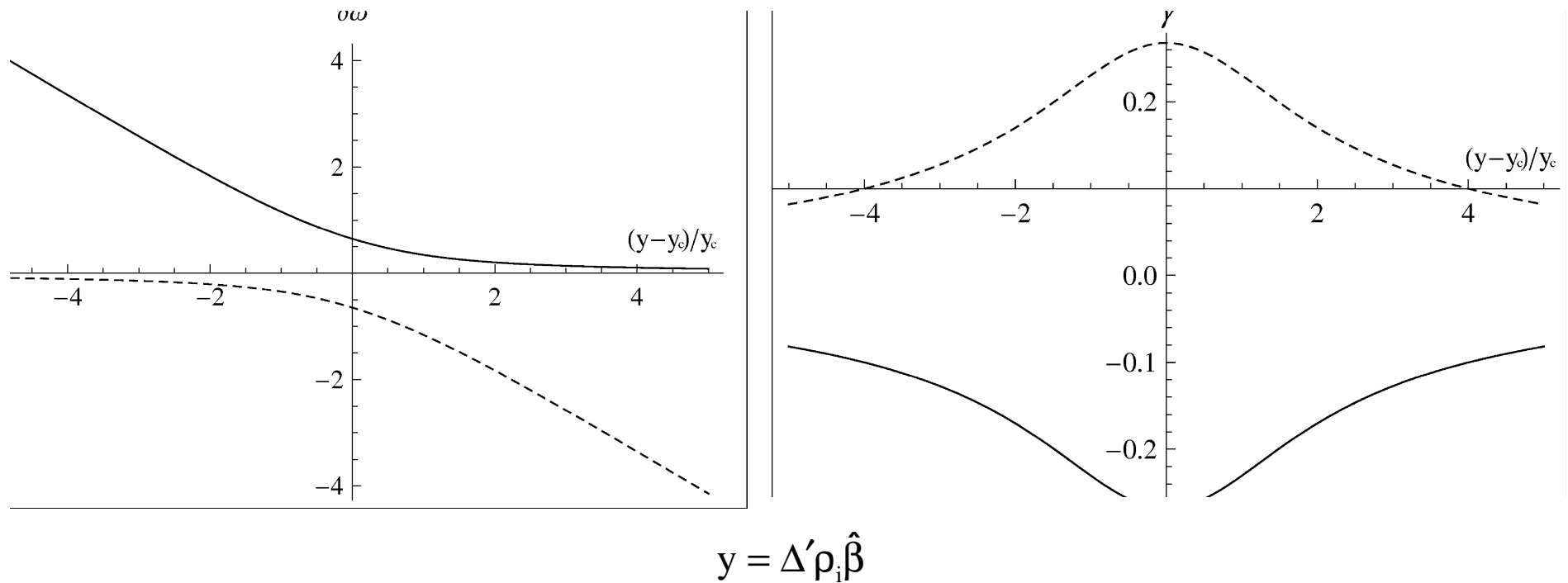
$$\hat{\omega} = 1 + \frac{2}{(1 + \tau + \eta_i)} \left( \frac{2}{\Delta' \rho_i \hat{\beta}} \right)^2 - \frac{8\sqrt{2} \left( d_0 + 2\sqrt{d_1} - 1.71/(1 + \tau) \right)^{1/2} \delta_0}{1.71\eta_e (1 + \tau + \eta_i) \hat{\beta}^3 \Delta' \rho_i} \frac{\delta_0}{\rho_i}$$

$$\frac{\gamma}{\omega_{*e}} = \frac{16}{\sqrt{2}\pi} \frac{\delta_0}{\rho_i} \frac{\left( \left( d_0 + 2\sqrt{d_1} \right) - 1.71\eta_e / (1 + \tau) \right)^{1/2}}{\Delta' \rho_i \hat{\beta}^3 (1.71\eta_e)(1 + \tau + \eta_i)} \left( 1 - \frac{1}{\sqrt{2}\pi} \frac{\delta_0}{\rho_i} \frac{\Delta' \rho_i}{\hat{\beta}} \frac{\left( \left( d_0 + 2\sqrt{d_1} \right) - 1.71\eta_e / (1 + \tau) \right)^{1/2}}{(1.71\eta_e)} \right).$$

- At high  $\Delta' \rho_i \hat{\beta}$ ,  $\hat{\omega} \rightarrow 1$  and there is **stability** for

$$\Delta' \rho_i > 2.42\pi \frac{\rho_i}{\delta_0} \frac{\eta_e \hat{\beta}}{\left( \left( d_0 + 2\sqrt{d_1} \right) - 1.71\eta_e / (1 + \tau) \right)^{1/2}}$$

# DT and KAW Coupling



**Larger** growth rate :  $\hat{\gamma} \sim (\delta_0 / \rho_i)^{1/2}$  at **cross-over**



**High**  $\hat{\beta} = (\beta_e / 2)L_s^2 / L_n^2$

At high  $\hat{\beta}$ ,  $\hat{\omega} = 1 - 0(1/\hat{\beta})$ : simplifies equations

**Ion Region**: Asymptotic **power law** solution holds down to low  $k$ ; **Hypergeometric** function solution provides **transition** for matching to MHD boundary condition. Since only **small  $k$**  involved, **fluid-like solution**

**Electron region**: Modified **Bessel** function solution in  $s$  shields resonant layer in presence of  $\eta_e$

**Matching** solutions provides **dispersion relation**

# High $\hat{\beta}$ Dispersion Relation

$$e^{i\pi\mu/2}R^\mu = \frac{(\mu + 1/2)\Gamma^2(-\mu)}{(1/2 - \mu)\Gamma^2(\mu)} \left[ \frac{D - \cot(\pi(1/4 + \mu/2))}{D - \cot(\pi(1/4 - \mu/2))} \right]$$

$$D = \frac{\sqrt{2}}{\pi} \Delta' \rho_i \frac{(1 + \tau + \eta_i)^{1/2}}{(\hat{\omega} - 1)^{1/2}} \frac{\Gamma(5/4 - \mu/2)\Gamma(5/4 + \mu/2)}{\Gamma(3/4 - \mu/2)\Gamma(3/4 + \mu/2)} \quad R = \left( \frac{8d_1}{1.71\eta_e} \right) \frac{\rho_i^2 (1 + \tau + \eta_i)}{\delta_0^2 (1/4 - \mu^2)} \gg 1$$

Generalises result of Drake et al to finite  $\eta_i$  and  $\tau$

Always stable!

At large  $\Delta'$ ,  $\hat{\gamma} \sim -1/(R^{1/2}\Delta'\rho_i)$

# Intermediate $\hat{\beta}$

**KAW** branch has  $\hat{\omega} \simeq 1$  as  $\hat{\beta}$  increases

Can solve **electron** region for **special**  $\eta_e$

Simplify  $\sigma(s)$  by considering regions in  $s$ :

**For  $s \sim 1$**   $\frac{d^2}{ds^2} (1 + (d_0 - 1.71\eta_e / (1 + \tau))s^2 + d_1s^4)J - 1.71\eta_e\hat{\beta}J = 0$

If  $d_0 - 1.71\eta_e / (1 + \tau) = 2\sqrt{d_1} \Rightarrow \eta_e = 2.53$  for  $\tau = 1$

can solve **exactly**:  $J = (1 + u^2)^{-3/2} \cos(\sqrt{1 - \lambda^2} \arctan u)$ ,

$$u = d_1^{1/4}s$$

**For  $s \gg 1$**  solution still in terms of **Bessel** function solutions, but now both **I** and **K** needed for matching – **reduces stabilising ‘shielding’!**

# Critical $\hat{\beta}$ for Stability (1)

Dispersion Relation  $e^{i\pi\mu/2} R^\mu = \frac{(\mu + 1/2)\Gamma^2(-\mu)}{(1/2 - \mu)\Gamma^2(\mu)} \left[ \frac{D - \cot(\pi(1/4 + \mu/2))}{D - \cot(\pi(1/4 - \mu/2))} \right] \Lambda(\mu, \lambda)$

Shielding factor  $\Lambda(\mu, \lambda) = \left\{ 1 - \sin \pi\mu \left[ 1 - \frac{\sqrt{\lambda^2 - 1}}{\lambda} \tanh\left(\frac{\pi\sqrt{\lambda^2 - 1}}{2}\right) \right] \right\}$   $\lambda^2 = \frac{1.71\eta_e \hat{\beta}}{\sqrt{d_1}}$

Eigenvalue  $\hat{\omega} \approx 1 + \frac{2}{(1 + \tau + \eta_i)} \left( \frac{2}{\hat{\beta} \Delta' \rho_i} \right)^2 + \frac{4\sqrt{2}\Lambda}{\hat{\beta}^{3/2} \Delta' \rho_i (1 + \tau + \eta_i)} \left( \frac{1.71\eta_e}{d_1} \right)^{1/2} \frac{\delta_0}{\rho_i}$ ,  
 $\hat{\gamma} = -4\sqrt{2}\Lambda \frac{\delta_0}{\rho_i} \left( \frac{1.71\eta_e}{d_1 \hat{\beta}} \right)^{1/2} \frac{1}{\Delta' \rho_i \hat{\beta} (1 + \tau + \eta_i)} \left( 1 + \frac{\Lambda \Delta' \rho_i \hat{\beta}^{1/2}}{2\sqrt{2}} \frac{\delta_0}{\rho_i} \left( \frac{1.71\eta_e}{d_1} \right)^{1/2} \right)$ ,

Implies  $\Delta' \rho_i \hat{\beta} \gg 1$  for consistency

Agrees with low  $\hat{\beta}$  limit:

$$\hat{\gamma} = \frac{32}{\sqrt{2}\pi} \frac{\delta_0}{\rho_i} \frac{d_1^{1/4}}{(1.71\eta_e)} \frac{1}{\Delta' \rho_i \hat{\beta}^3 (1 + \tau + \eta_i)} \left( 1 - \frac{\sqrt{2} d_1^{1/4} \Delta' \rho_i \delta_0}{1.71\eta_e \hat{\beta} \rho_i} \right)$$

# Critical $\hat{\beta}$ for Stability (2)

Critical  $\hat{\beta}$ : stability if  $\Lambda > 0$ , i.e.  $\lambda = 1$

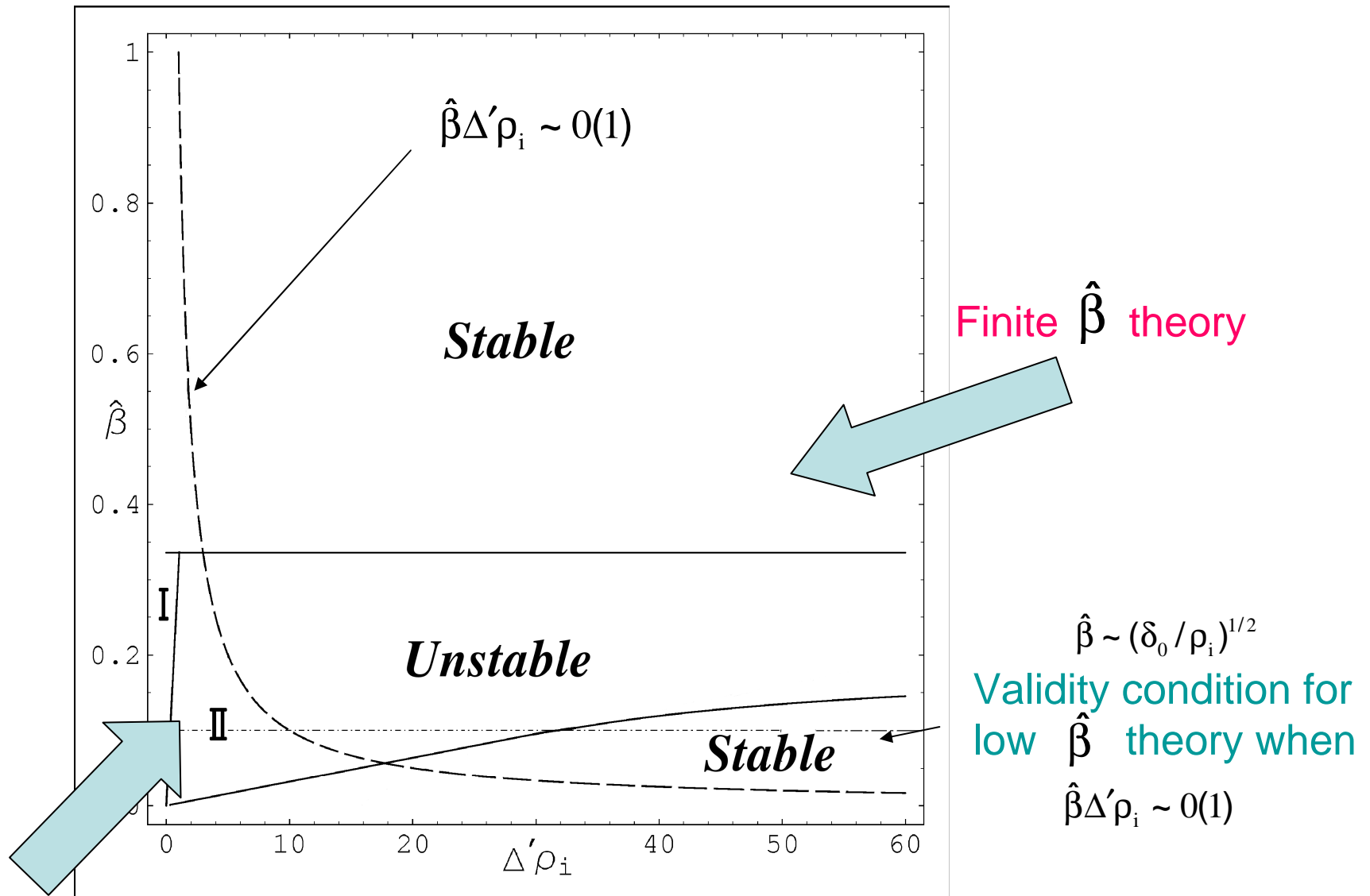
$$\Rightarrow \hat{\beta} > \hat{\beta}_{\text{crit}} = \frac{\sqrt{d_1}}{1.71\eta_e} = 0.34 \text{ for } \tau = 1$$

If  $\Lambda < 0$  still stability if

$$\Delta' \delta_0 \hat{\beta}^{1/2} \sqrt{\frac{1.71\eta_e}{d_1}} > \frac{1}{(-\Lambda)}$$

$$\Rightarrow \text{for } \hat{\beta} \rightarrow \hat{\beta}_{\text{crit}}, \text{ stability if: } \Delta' \delta_0 > \frac{\pi}{4\sqrt{2}} \sqrt{\frac{1.71\eta_e}{d_1}} \frac{1}{\hat{\beta}_c - \hat{\beta}}$$

# Tearing Mode Stability Diagram



Cowley et al low  $\hat{\beta}$  theory

# Internal Kink Mode

- Internal kink mode corresponds to  $C(\hat{\omega}) = 0$

- Usually introduce  $\lambda_H = -\pi / \Delta' r_s,$

$\lambda_H > 0 \Rightarrow$  ideal MHD instability

Low  $\hat{\beta}$  theory reproduces Pegoraro et al results

- $\lambda_H < 0 \Rightarrow$  dissipative MHD instability

Our low  $\hat{\beta}$  theory in the  $|\hat{\omega}| \ll 1$  limit reproduces Pegoraro et al results, but includes electron thermal effects

# Dissipative Internal Kink Stability (1)

- Dispersion relation

$$\hat{\omega} \left\{ \ln \left( \frac{\sqrt{\pi} \hat{\omega} (1 + \tau)}{(1 - \eta_i / 2)} \right) - i\pi - \left( 1 - \frac{\eta_i}{2} \right) I_2 - \frac{\lambda_H}{\sqrt{\pi} \rho_i \hat{\beta} \hat{\omega}} \left( 1 - \frac{\eta_i}{2} \right) \right\} = \sqrt{\frac{(1 + \tau) d(\eta_e)}{\pi d_1}} \left( 1 - \frac{\eta_i}{2} \right) \frac{\delta_0}{\rho_i \hat{\beta}^2} e^{-i\pi/4}$$

- Unstable mode in ion direction for  $\lambda_H = 0$

- Stable mode in electron direction for

$$\delta_0 / \rho_i \ll \hat{\beta} |\lambda_H| / \rho_i \ll \hat{\beta}^2$$

- Marginally stable criterion

$$\lambda_H^{\text{crit}} = -\frac{\pi}{\Delta'_{\text{crit}} r_s} = -\sqrt{\frac{(1 + \tau) d(\eta_e)}{2d_1}} \frac{\delta_0}{\pi \hat{\beta}} \left[ \ln \left( \frac{\rho_i \hat{\beta}^2}{\delta_0} \frac{\pi}{(1 + \tau)^{3/2}} \sqrt{\frac{2d_1}{d(\eta_e)}} \right) + \pi - 1 + \left( 1 - \frac{\eta_i}{2} \right) I_2(\eta_i) \right]$$

- Validity condition  $|\hat{\omega}| \ll 1 \Rightarrow \hat{\beta} > (\delta_0 / \rho_i)^{1/2}$



# Dissipative Internal Kink Stability (2)

Can exploit  $|\hat{\omega}| \ll 1$  to develop a finite  $\hat{\beta}$  treatment

In electron region

$$\frac{d^2}{ds^2} A - \hat{\omega} \hat{\beta} \sigma(s) A = 0 ,$$

$$\sigma(s) = \frac{1 + 1.71\eta_e + d_1 s^2}{1 + (d_0 - 1 - 1.71\eta_e) s^2 + (1 + \tau) \hat{\omega} s^4}$$

$\Rightarrow$  match simpler subregions:  $s \sim 1$  and  $s \sim |\hat{\omega}|^{-1/2}$

$s \sim 1$  involves elementary expansion;

$s \sim |\hat{\omega}|^{-1/2}$  involves hypergeometric solutions

# Dissipative Internal Kink Stability (3)

In ion region

For  $k \sim 1$  use similar expansion as before, based on  $|\hat{\omega}| \ll 1$  rather than  $\hat{\beta} \ll 1$ , matched to  $\lambda_H$

But **intermediate** region  $k \sim |\hat{\omega}|^{-1}$  where  **$k^{-1}$  tail** of  $G(k)/F(k) = -\hat{\omega}(1 + \tau) + (1 - \eta_i / 2) / \sqrt{\pi} k$  competes before reaching large  $k$  electron region

- solution involves hypergeometric solutions

# Dissipative Internal Kink Stability

- Dispersion relation follows from matching
- Critical negative  $\lambda_H$  for marginal stability

$$\lambda_H = -\frac{H(\mu_1)}{8} \sqrt{\frac{(1+\tau)d(\eta_e)}{d_1}} \delta_0$$

$$\times \left\{ \frac{\hat{\beta}}{(1+\tau)} \frac{\pi}{\cos(\pi\mu_1)} \left( \frac{\sin(\pi\mu_1/2)}{\sin(3\pi\mu_1/2)} \right)^{1/2} - 1 - \frac{\hat{\beta}}{(1+\tau)} \left[ \frac{3}{2} - k_0 - \left( 1 - \frac{\eta_i}{2} \right) I_2 + \ell n \left( \frac{\delta_0}{\rho_i} \frac{H(\mu_1)}{8} \sqrt{\frac{(1+\tau)d(\eta_e)}{d_1}} \right) \right] \right\}$$

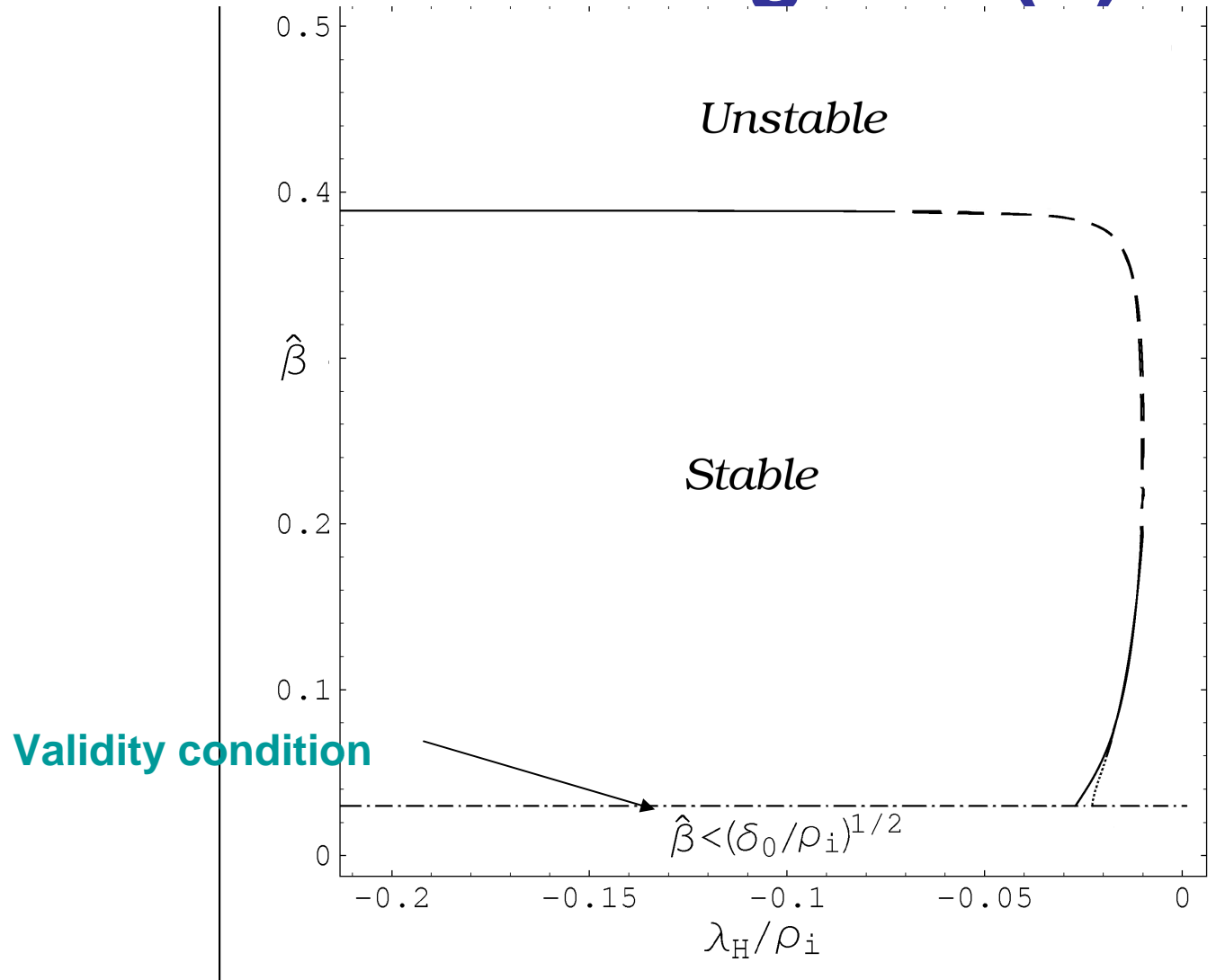
- where

$$H(\mu_1) = \left\{ \frac{\Gamma^2(-\mu_1) (\mu_1 + 1/2)}{\Gamma^2(\mu_1) (\mu_1 - 1/2)} \frac{-\cos(\pi\mu_1)}{\left[ \cos(\pi\mu_1/2) \pm (\sin(\pi\mu_1/2) \sin(3\pi\mu_1/2))^{1/2} \right]} \right\}^{1/2\mu_1} ; \mu_1 = \sqrt{1/4 + \hat{\beta}/(1+\tau)}, d(\eta_e) = d_0 - 1 - 1.71\eta_e$$

- With negative frequency

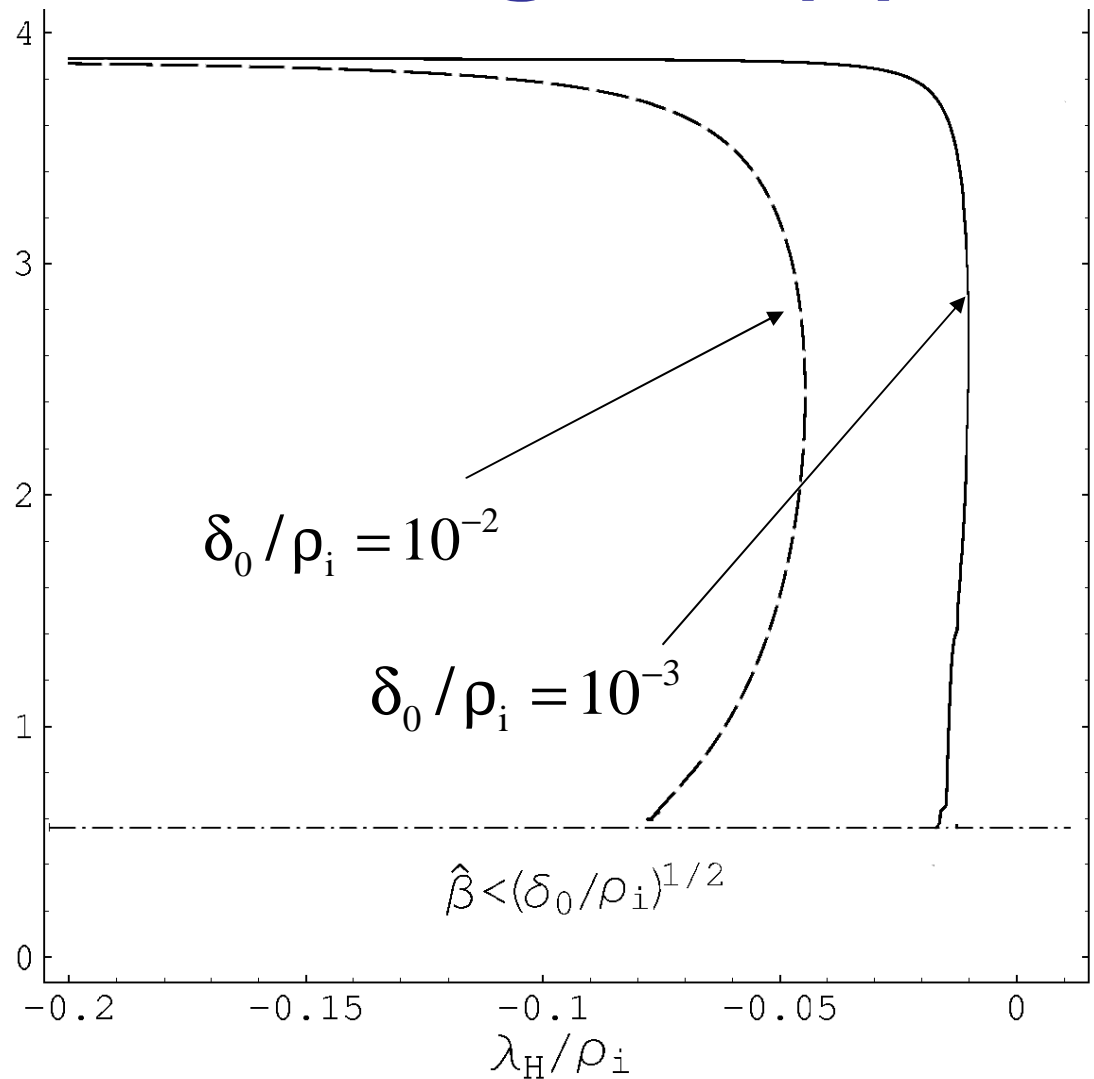
$$\hat{\omega} = \frac{\delta_0}{\rho_i} \frac{H(\mu_1)}{8} \sqrt{\frac{d_1}{\pi d(\eta_e)}} \frac{(1 - \eta_i/2)}{(1+\tau)^{3/2}}$$

# Dissipative Internal Kink Stability Diagram (1)

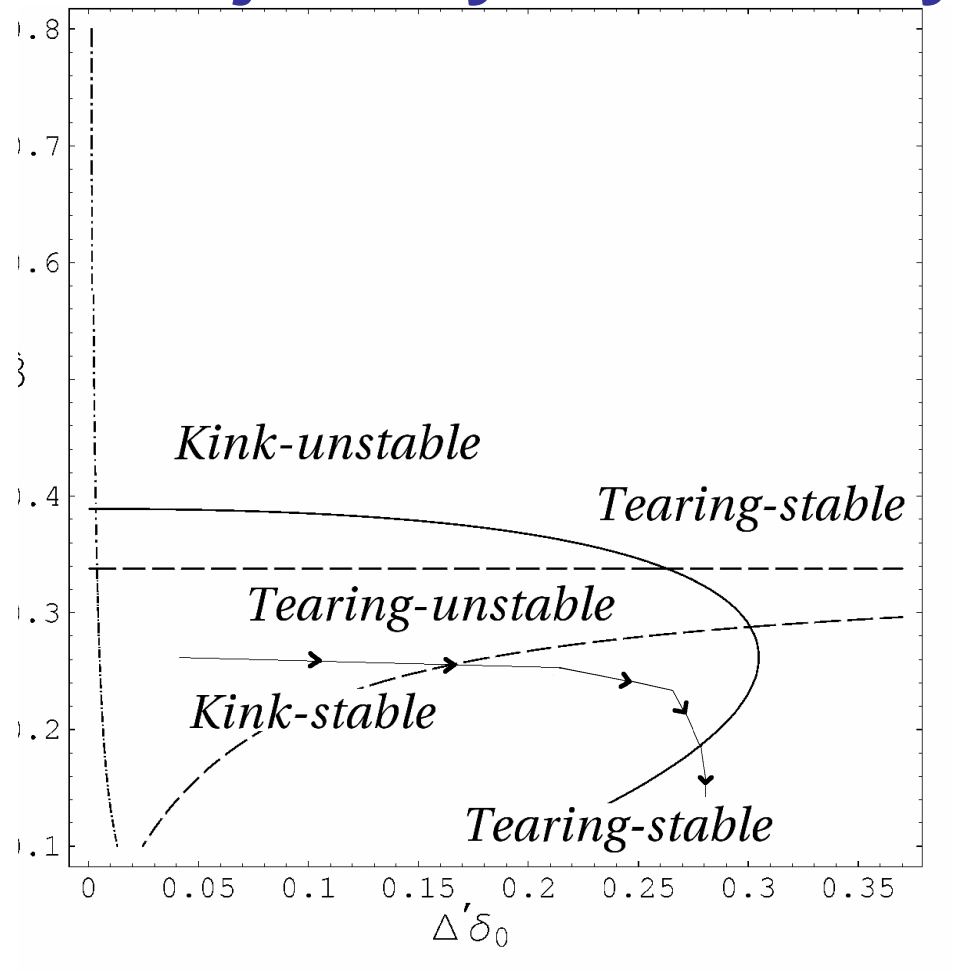


$$\delta_0/\rho_i = 10^{-3}$$

# Dissipative Internal Kink Stability Diagram (2)



# Sawtooth Trajectory in Stability Diagram



Precise expressions for **trigger criteria** as functions of  $\beta_e, \eta_e, \eta_i, \tau, L_n / L_s \dots$  for use in **sawtooth models** such as that of **Boucher, Porcelli and Rosenbluth**

# Conclusions (1)

- Unified treatment of tearing mode and internal kink mode for **large ion orbits** and **semi-collisional electrons** using Fourier transform formulation
- For **tearing mode**, recovered **orbit stabilisation** of Cowley et al at low  $\hat{\beta}$  and stabilisation due to **plasma gradients** ( $\eta_e$ ) of Drake et al at high  $\hat{\beta}$ 
  - determined **critical beta** for this stabilisation
  - anyway, **stable** for  $\Delta' \geq \hat{\beta} / \delta_0$

# Conclusions (2)

- Generalises theory of **internal kink mode** of Porcelli et al to include electron thermal effects:  $\eta_e$
- Critical, large  $\Delta'$  ( $= -\pi/\lambda_H$ ) for **dissipative internal kink instability** at low  $\hat{\beta}$ ; always unstable above critical  $\hat{\beta}$
- Joint tearing/internal kink **stability diagram** of relevance to **sawtooth** modelling; provides precise, **quantitative trigger criteria** (Jim Hastie)
- Techniques can be applied to issue of reconnection driven by **ITG modes** (S Cowley, A Zocco)