

Phase-space turbulence in 2D magnetized plasma using gyrokinetics

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- Summary

Tatsuno, Dorland, Schekochihin *et al.*, Phys. Rev. Lett. **103**, 015003 (2009);
Tatsuno, Barnes, Cowley *et al.*, J. Plasma & Fusion Res. SER. **9**, 509 (2010);
Numata, Howes, Tatsuno *et al.*, J. Comput. Phys. **229**, 9347 (2010).

Navier-Stokes turbulence

- Navier-Stokes eqn. ($\mathbf{u}$: velocity, p : pressure, ν : viscosity [**small**])

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nu \Delta \mathbf{u}$$

$$\nabla \cdot \mathbf{u} = 0$$

- Assuming **periodic box**, energy evolution yields

$$\frac{1}{2} \frac{d}{dt} \int |\mathbf{u}|^2 d\mathbf{r} = -\nu \int |\nabla \times \mathbf{u}|^2 d\mathbf{r}$$

- Inviscid invariant = energy: $E = \frac{1}{2} \int |\mathbf{u}|^2 d\mathbf{r}$

- Due to higher-order derivative, **energy can dissipate efficiently if it is transferred to small scales.**

Kolmogorov's scaling law

- Assumptions

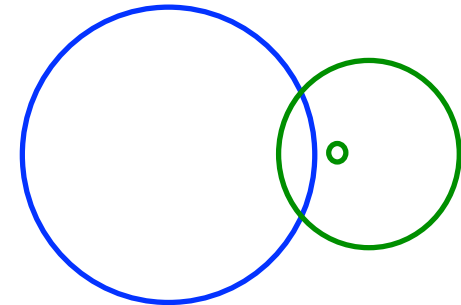
- Isotropy
- Local-in-scale interactions
- Existence of inertial range (no dissipation)

- Dimensional analysis

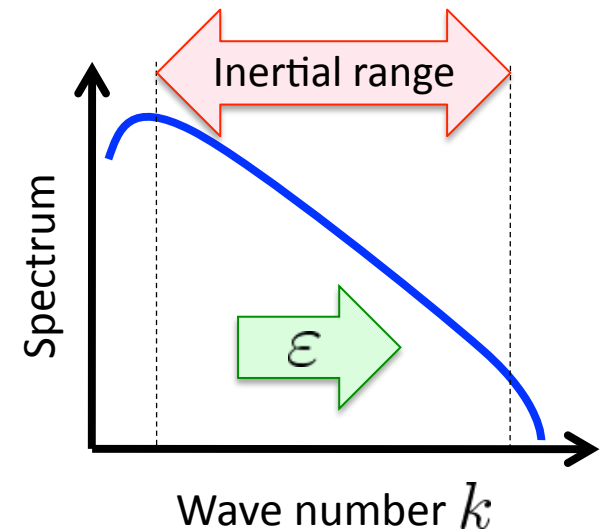
- $\varepsilon \sim \frac{u_\ell^2}{\tau_\ell} \sim \text{const}$ (Constant energy flux)

- $\tau_\ell \sim \frac{\ell}{u_\ell}, \quad u_\ell^2 \sim \int^{\ell^{-1}} E(k) dk$

➡ $E(k) \propto k^{-5/3}$
($k \sim \ell^{-1}$)



Similar-size eddies interact



Kinetic turbulence in magnetized plasma

- Examples in nature

- Magnetic fusion

- Solar wind

- Great Red Spot?

- Accretion disks?

	Fusion	Solar wind
Particle energy	1 keV	30 eV
Field strength	1 T	10 nT
Larmor radius (typical small scale)	5 mm	80 km

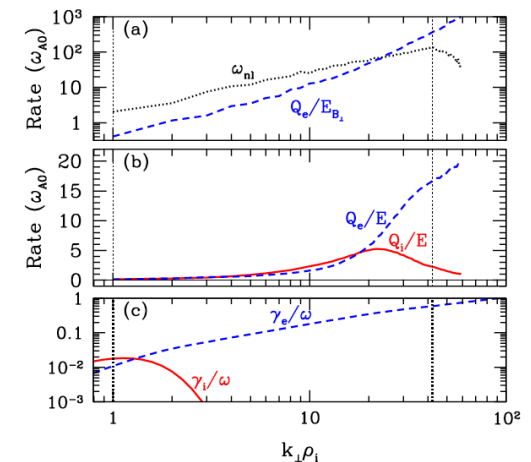
- How dissipation takes place in small scales?

- Turbulent spectra connects to small scales

- Irreversible dissipation achieved by collisions

- Collision operator is diffusion in velocity space

- Need to understand velocity space structure



Prelim.: Wave-number dependence of dissipation

FT-2 tokamak: Gurchenko *et al.*, Plasma Phys. Control. Fusion **53**, 035010 (2010).

Tokamak simulation: Navarro *et al.*, Phys. Rev. Lett. **106**, 055001 (2011).

Solar wind: Howes, Dorland, Cowley *et al.*, Phys. Rev. Lett. **100**, 065004 (2008).

Gyrokinetics (GK)

- Anisotropic magnetized plasma
- Average Larmor motion
- GK ordering

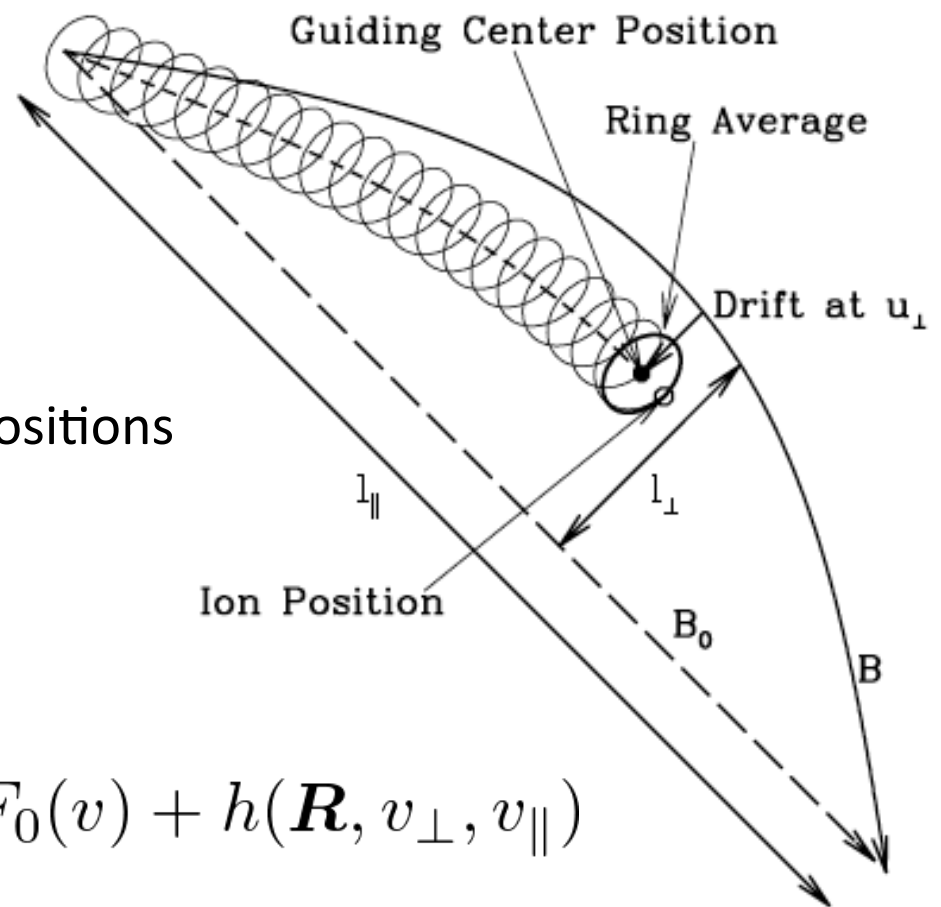
$$\frac{\omega}{\Omega} \sim \frac{\ell_{\perp}}{\ell_{\parallel}} \sim \frac{q\varphi}{T} \sim \epsilon$$

- Particle ($\mathbf{r}$) and ring center ($\mathbf{R}$) positions

$$\mathbf{R} = \mathbf{r} + \frac{\mathbf{v} \times \hat{\mathbf{b}}}{\Omega}$$

- Decompose distribution function

$$f(\mathbf{r}, \mathbf{v}) = F_0(v) - \frac{q\varphi(\mathbf{r})}{T} F_0(v) + h(\mathbf{R}, v_{\perp}, v_{\parallel})$$



Catto, Plasma Phys. **20**, 719 (1978); Frieman & Chen, Phys. Fluids **25**, 502 (1982);
Howes *et al.*, Astrophys. J. **651**, 590 (2006).

Gyrokinetics

Particle ($\mathbf{r}$) & ring center ($\mathbf{R}$) positions

$$\mathbf{R} = \mathbf{r} + \frac{\mathbf{v} \times \hat{\mathbf{b}}}{\Omega}$$

$$\mathbf{E} = -\nabla\varphi$$

$$\varphi(\mathbf{r})$$

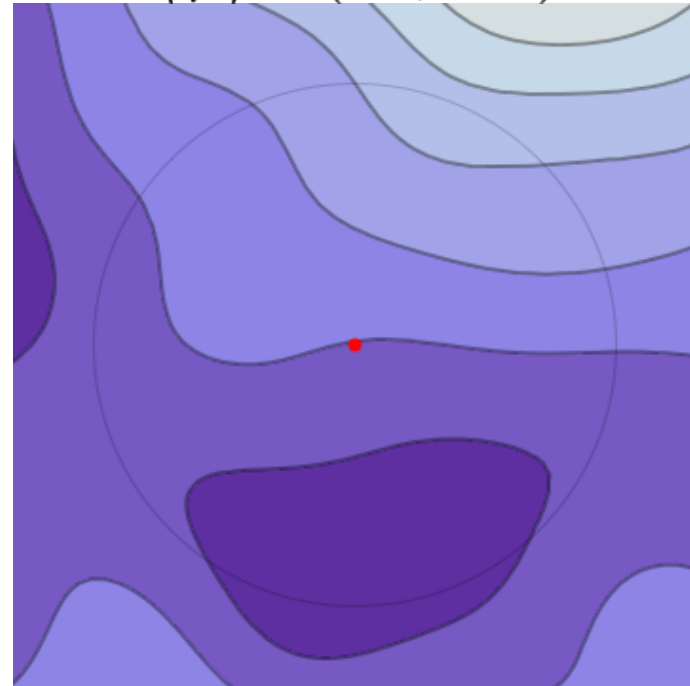
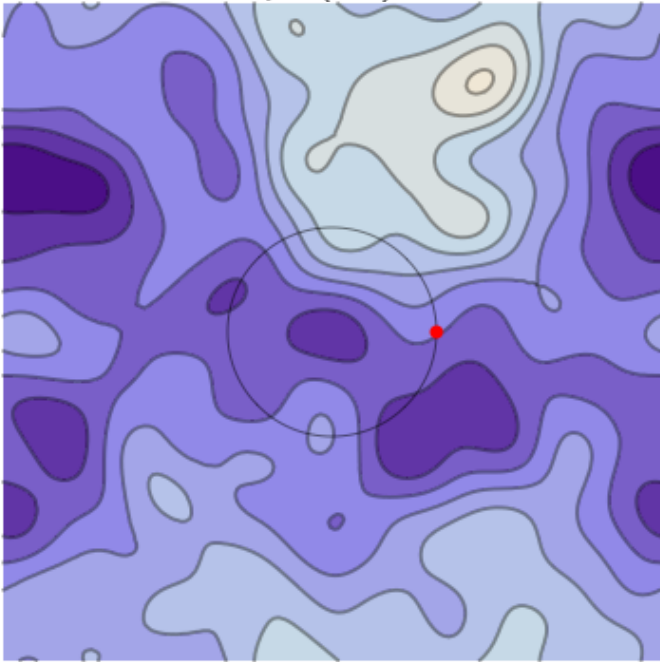
$$\hat{\mathbf{b}} := \mathbf{B}/|\mathbf{B}|$$

Ω : Larmor frequency

$\langle \cdot \rangle_{\mathbf{R}}$: Gyroaverage

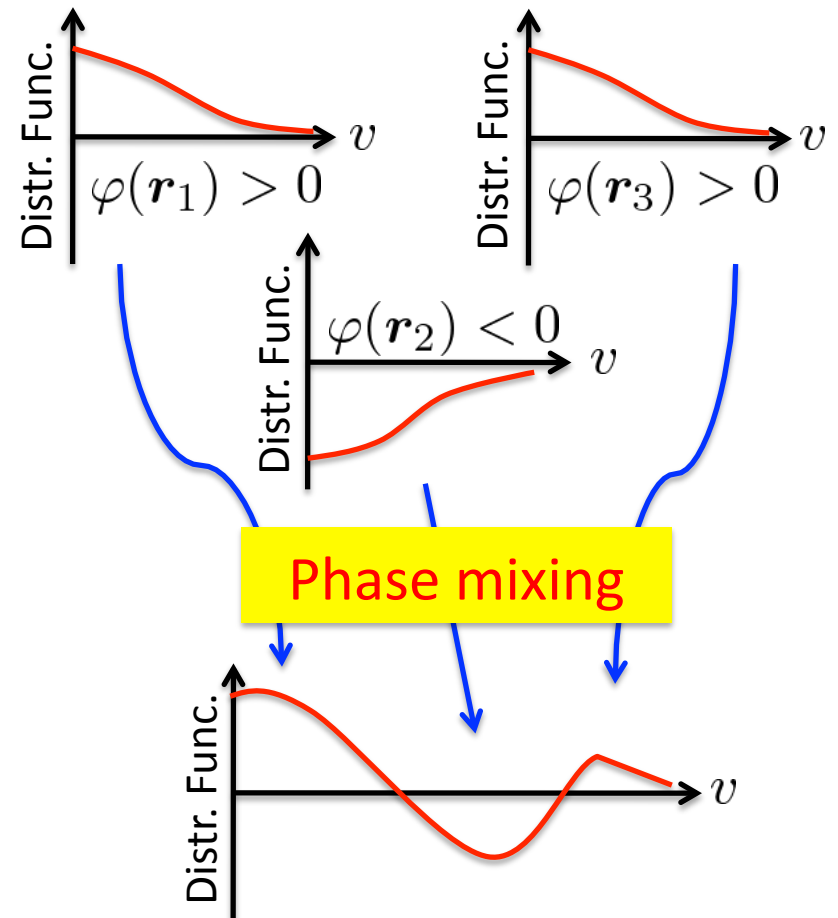
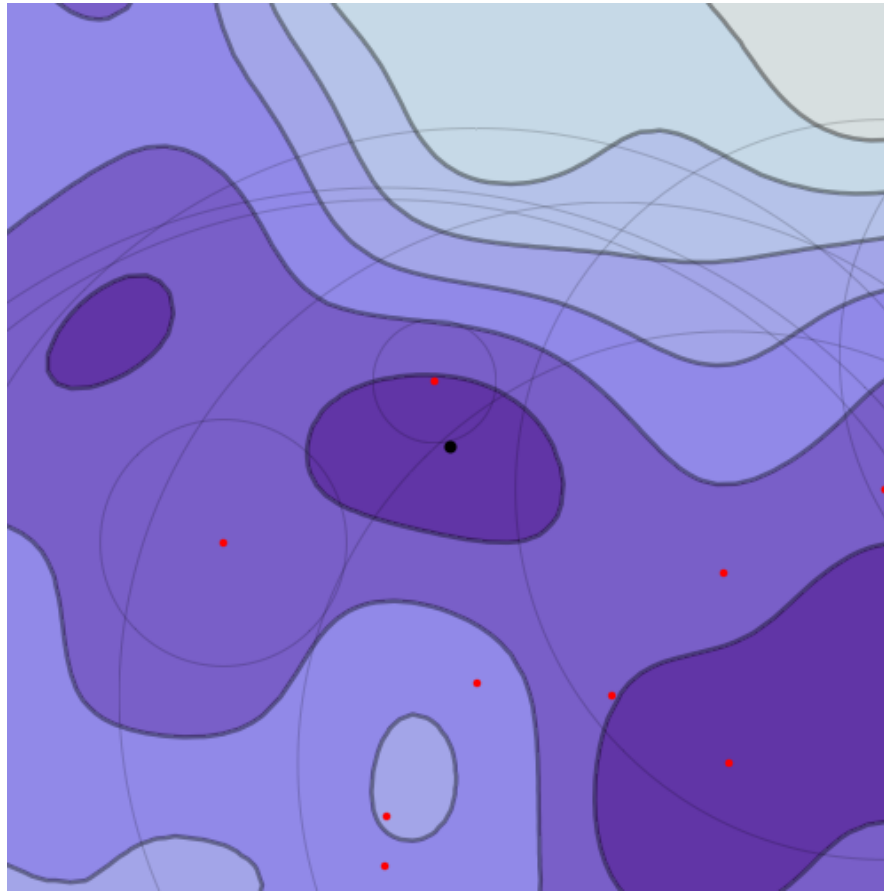
$$\langle \varphi \rangle_{\mathbf{R}}(\mathbf{R}, v_{\perp})$$

$\mathbf{B} \odot$



Nonlinear phase mixing

$$\varphi(\mathbf{r})$$



Dorland & Hammett, Phys. Fluids B **5**, 812 (1993).

Movie by G. G. Plunk

Gyrokinetic (GK) Equation

2D ($k_{\parallel} = 0$) electrostatic GK Eqn.

$$\frac{\partial g}{\partial t} + \frac{\hat{\mathbf{b}}}{B_0} \times \nabla \langle \varphi \rangle_{\mathbf{R}} \cdot \nabla g = \langle \mathcal{C}(h) \rangle_{\mathbf{R}}$$

Quasi-neutrality [no-resp. elec.: $Q = \frac{q^2 n_0}{T_0}$]

$$Q\varphi = q \int \langle h \rangle_{\mathbf{r}} d\mathbf{v} \iff \int \delta f d\mathbf{v} = 0$$

Collisionless invariants ($\Gamma_0 = I_0(b)e^{-b}$, $b = k_{\perp}^2 \rho^2 / 2$)

$$W_g = \iint \frac{T_0 g^2}{2F_0} d\mathbf{R} d\mathbf{v}, \quad E = \frac{Q}{2} \sum (1 - \Gamma_0) |\varphi_{\mathbf{k}}|^2$$

δf : Particle distr. func.
 g, h : Ring distr. func.
 $\mathcal{C}$: Collision operator
 W_g : Perturbed entropy
 E : Perturbed energy

Schekochihin *et al.*, *Astrophys. J. Suppl. Ser.* **182**, 310 (2009); Tatsuno *et al.*, *Phys. Rev. Lett.* **103**, 015003 (2009); Plunk *et al.*, *J. Fluid Mech.* **664**, 407 (2010).

Scaling theory of forward cascade

- Turbulent cascade of entropy

$$w_g \sim \frac{v_{th}^2}{\tau_\ell} \left(\frac{g_\ell v_{th}^3}{n_0} \right)^2 \sim \text{const}$$

- Nonln. correl. time (ρ : Larmor radius)

$$\tau_\ell \sim \left(\frac{\rho}{\ell} \right)^{1/2} \frac{\ell^2 B_0}{\varphi_\ell}$$

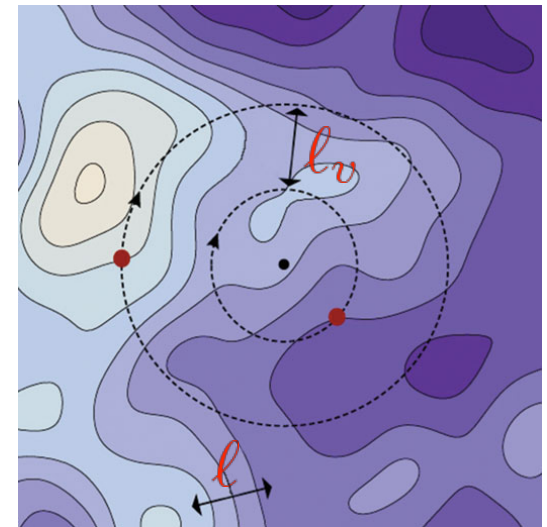
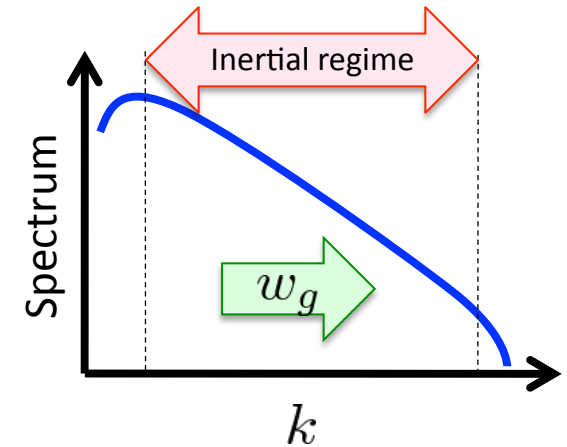
- Correlation betw. v- & x-scales: $\ell \sim \ell_v$

- QN cond.: $\frac{\varphi_\ell}{B_0} \sim \frac{v_{th}^4}{n_0} g_\ell \ell$



- Turbulent spectra ($k \sim \ell^{-1}$)

$$W_g(k) \propto k^{-4/3}, \quad E(k) \propto k^{-10/3}$$



AstroGK

Developed by M. Barnes, W. Dorland, G. Howes, R. Numata and T. Tatsuno based on GS2.

- Spatial discretization
 - Fourier spectral perpendicular to field line (xy plane)
 - 2nd order compact finite difference along field line (z direction)
 - Legendre, Laguerre spectral integration in velocity space
- Temporal discretization
 - Linear streaming: Implicit 2nd order trapezoidal
 - Nonlinear term: 3rd order Adams-Bashforth
 - Collisions: Implicit Euler

(P-a scattering + Energy diffusion + Moments conservation)

F95, MPI parallel open source code: <http://sourceforge.net/projects/gyrokinetics/>
Numata, Howes, Tatsuno *et al.*, J. Comput. Phys. **229**, 9347 (2010).

Simulation parameters

Setup

- Straight homogeneous slab: $L_x = L_y = 2\pi\rho$
- Initial condition (decaying turbulence)

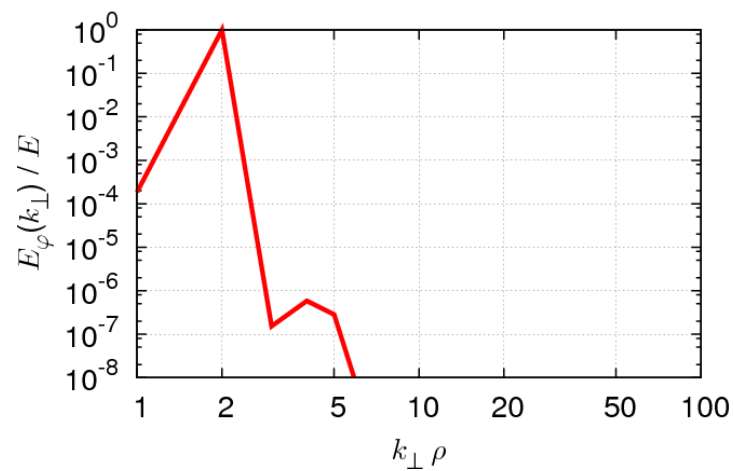
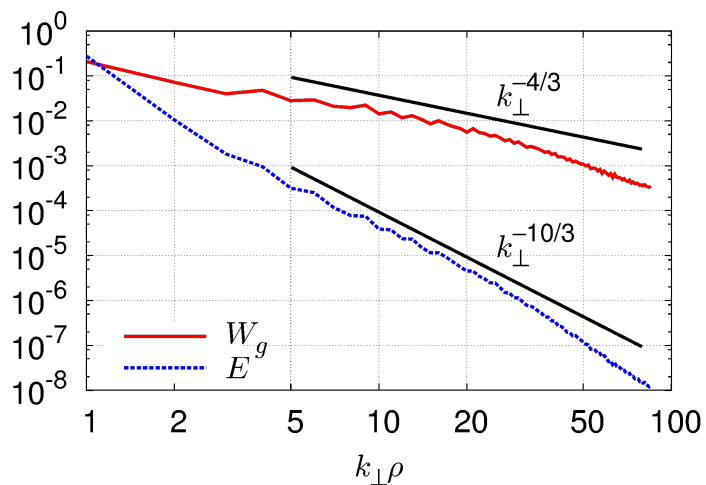
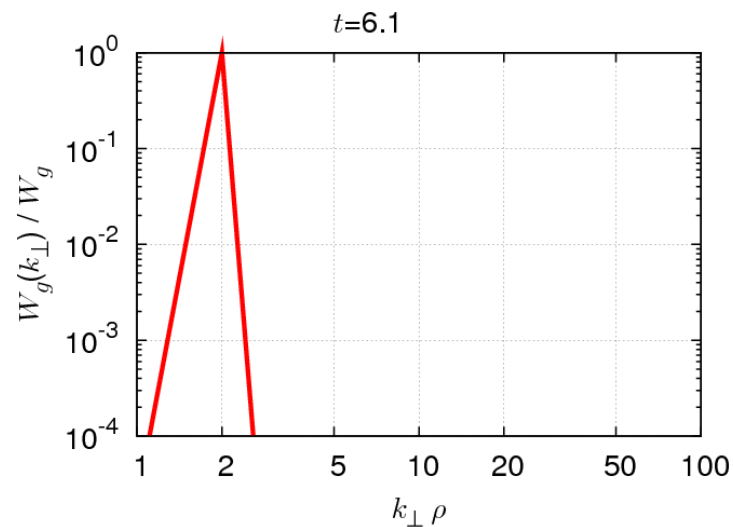
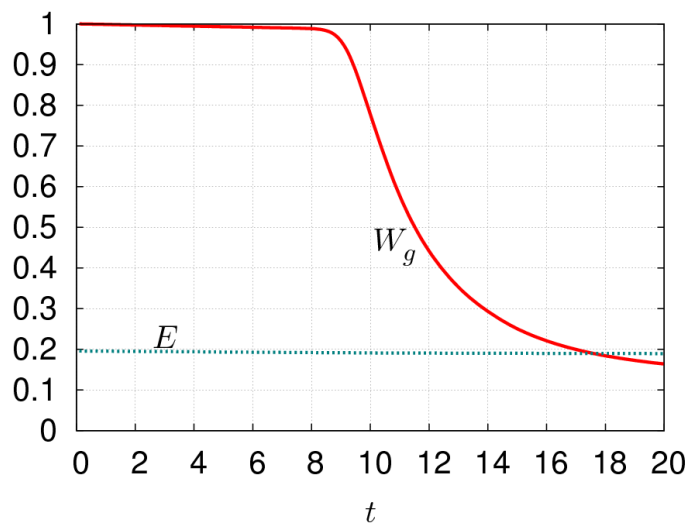
$$g_{\text{init}} = g_0 \left(\cos \frac{2x}{\rho} + \cos \frac{2y}{\rho} + \text{noise} \right) F_0$$

where F_0 is a Maxwellian.

Run table

case	$v_{ii} \tau_{\text{init}}$	Do	k_c	$N_x \times N_y$	$N_E \times N_\xi$
(i)	5.2×10^{-3}	32	16	64^2	32^2
(ii)	3.0×10^{-3}	48	21	64^2	32^2
(iii)	1.0×10^{-3}	118	42	128^2	64^2
(iv)	4.2×10^{-4}	440	72	256^2	128^2

Wave-number spectra



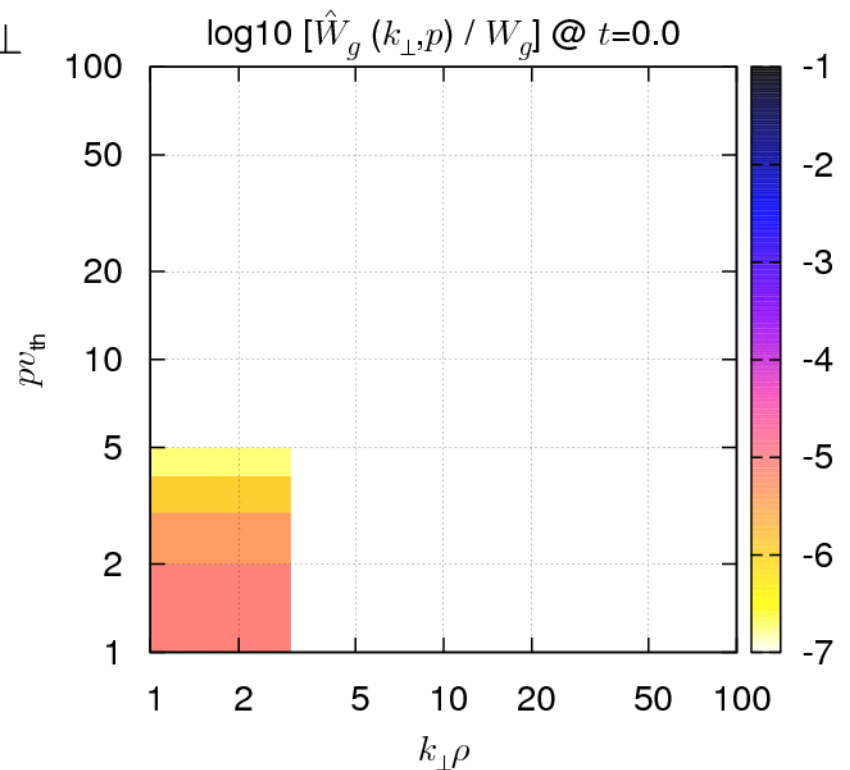
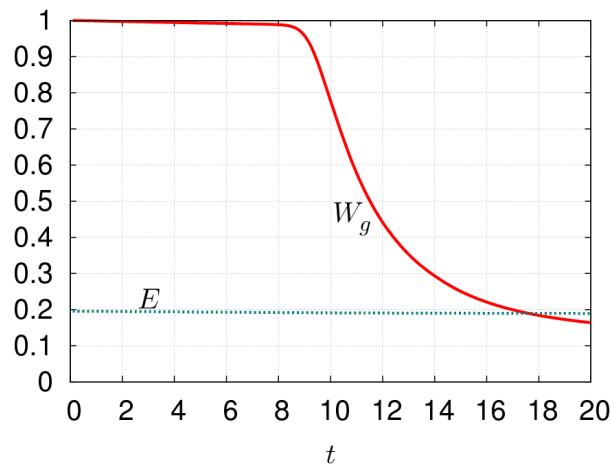
Phase-space cascade

Hankel trans. & phase-space spectra ($\mathcal{K} = \{\mathbf{k} : K\rho - 1/2 \leq |\mathbf{k}|\rho < K\rho + 1/2\}$)

$$\hat{g}_{\mathbf{k}}(\mathbf{p}) = \int v_{\perp} J_0(\mathbf{p} v_{\perp}) g_{\mathbf{k}}(v_{\perp}) dv_{\perp}$$

$$\hat{W}_g(k_{\perp}, p) = \sum_{\mathbf{k} \in \mathcal{K}} |\hat{g}_{\mathbf{k}}(p)|^2$$

Time evol. collisionless invariants



Tatsuno *et al.*, Phys. Rev. Lett. **103**, 015003 (2009); J. Plasma & Fusion Res. SER. **9**, 509 (2010).

Entropy transfer function

Shell filtering ($\mathcal{K} = \{\mathbf{k} : K\rho - 1/2 \leq |\mathbf{k}|\rho < K\rho + 1/2\}$)

$$g_K(\mathbf{R}, v_\perp, v_\parallel) := \sum_{\mathbf{k} \in \mathcal{K}} g_{\mathbf{k}}(v_\perp, v_\parallel) e^{i\mathbf{k} \cdot \mathbf{R}}$$

Entropy transfer function

$$T^{(W)}(K, Q) := - \iint \frac{1}{F_0} g_K \{ \langle \varphi \rangle_{\mathbf{R}}, g_Q \} d\mathbf{R} d\mathbf{v}$$

Time evolution of entropy

$$\frac{d}{dt} \int \frac{|g_K|^2}{2F_0} d\mathbf{R} d\mathbf{v} = \sum_Q T^{(W)}(K, Q) - \text{collisions}$$

Dar, Verma & Eswaran, *Physica D* **157**, 207 (2001);

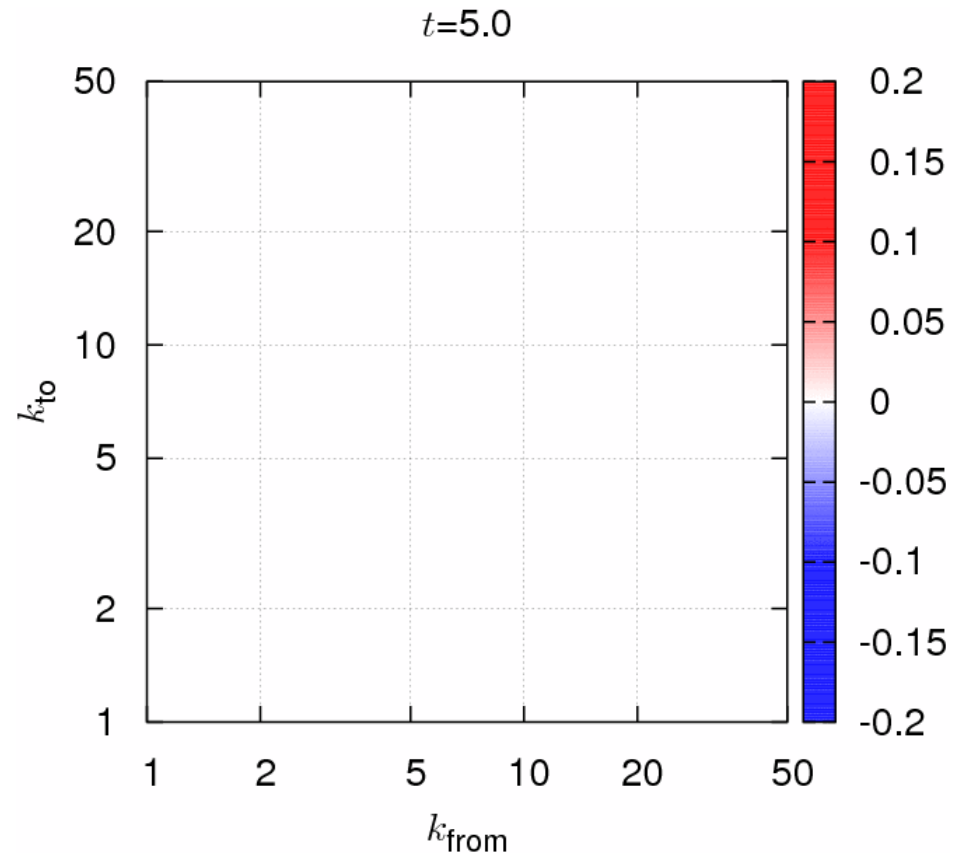
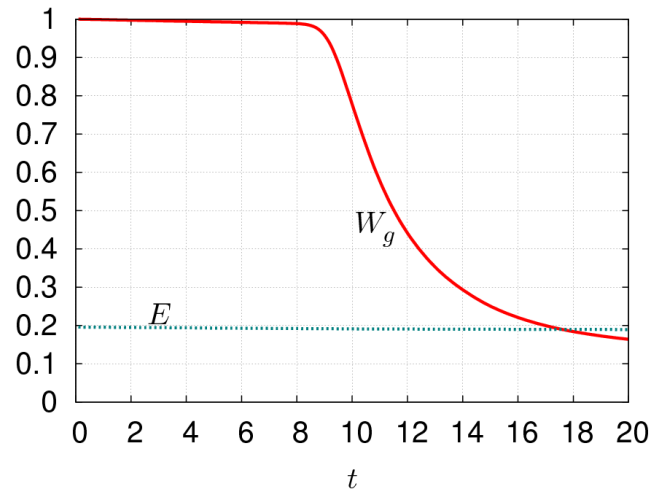
Alexakis, Mininni & Pouquet, *Phys. Rev. E* **72**, 046301 (2005) (MHD);

Tatsuno *et al.*, *J. Plasma & Fusion Res. SER.* **9**, 509 (2010) [arXiv:1003.3933];

Navarro *et al.*, *Phys. Rev. Lett.* **106**, 055001 (2011).

Entropy transfer diagnosed

$$T^{(W)}(k_{\text{to}}, k_{\text{from}}) = - \iint \frac{1}{F_0} g_{k_{\text{to}}} \{ \langle \varphi \rangle_{\mathbf{R}}, g_{k_{\text{from}}} \} d\mathbf{R} d\mathbf{v}$$



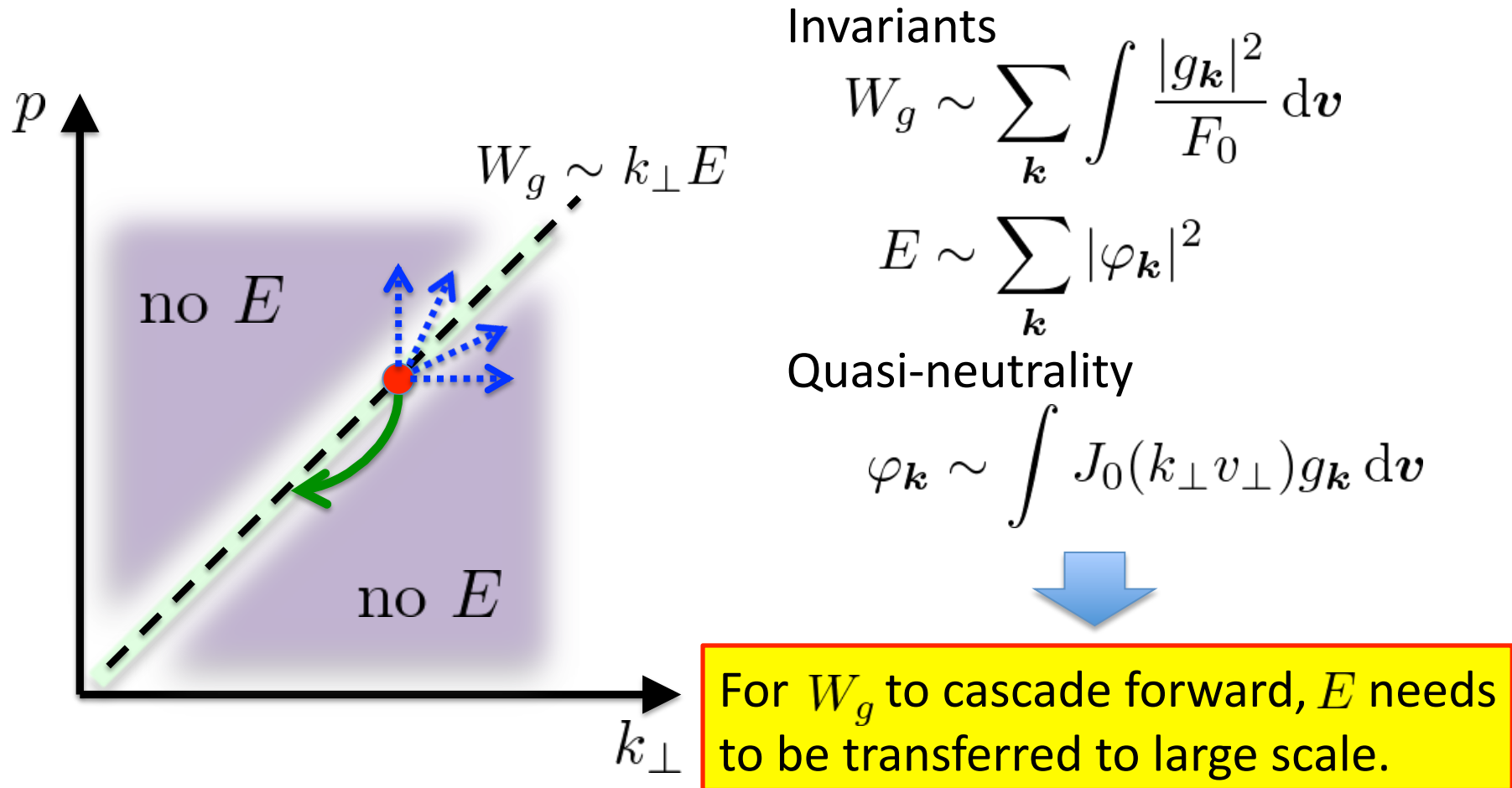
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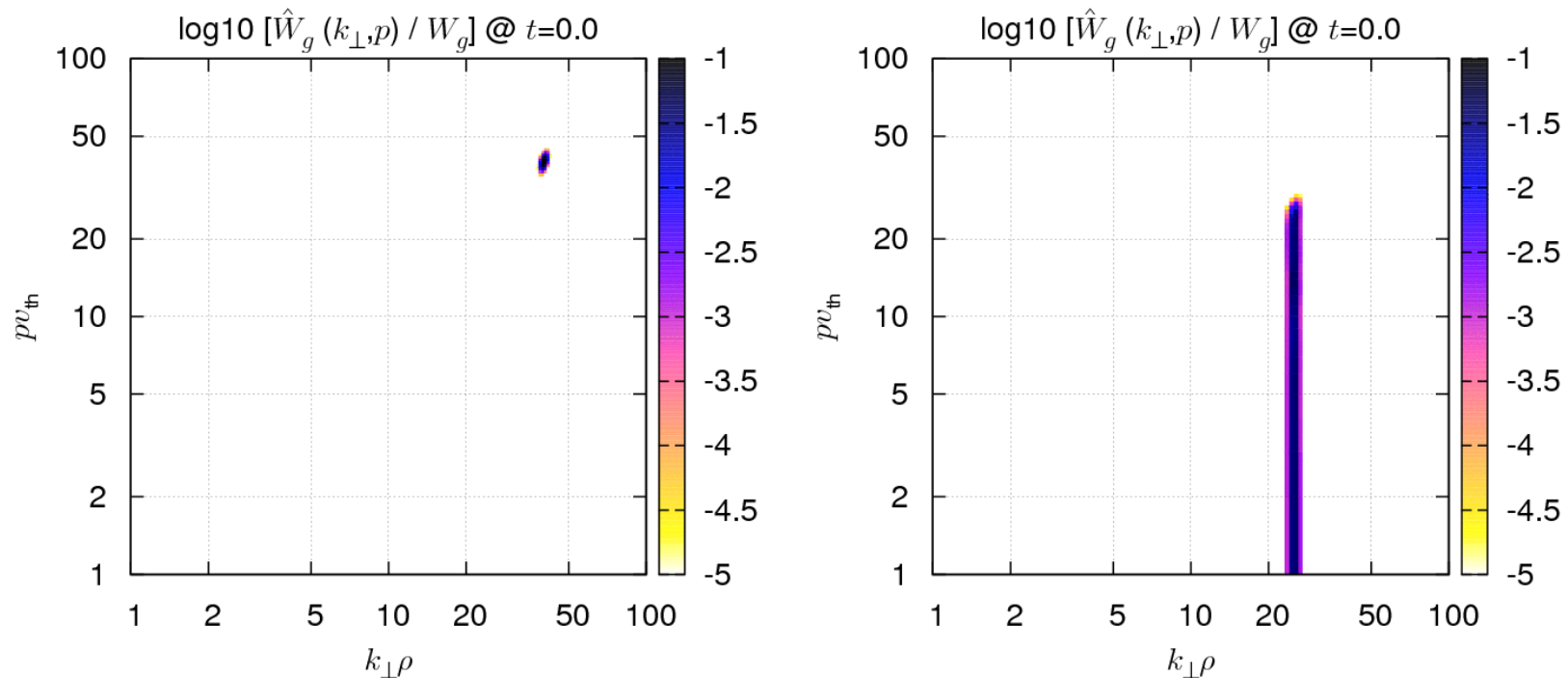
Dual cascade

- What if small scale initial condition?



Freely decaying turbulence

- Small scale initial condition
- Coherent v-dist. & random v-dist.



Example of small-scale instability: Ricci *et al.*, Phys. Plasmas **13**, 062102 (2006).

Energy transfer function

Shell filtering ($\mathcal{K} = \{\mathbf{k} : K\rho - 1/2 \leq |\mathbf{k}|\rho < K\rho + 1/2\}$)

$$\varphi_K(\mathbf{r}) := \sum_{\mathbf{k} \in \mathcal{K}} \varphi_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}}$$

Energy transfer function

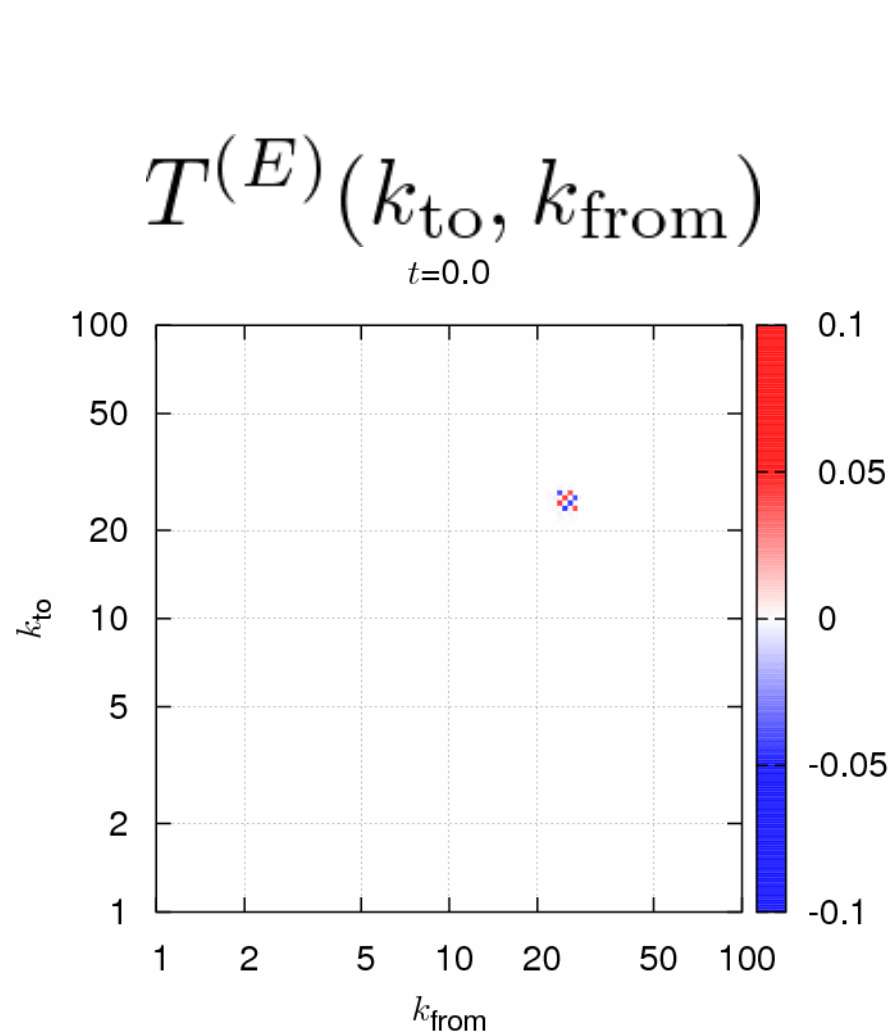
$$T^{(E)}(K, Q) := - \iint \frac{1}{F_0} \langle \varphi_K \rangle_{\mathbf{R}} \{ \langle \varphi_Q \rangle_{\mathbf{R}}, g \} d\mathbf{R} d\mathbf{v}$$

Time evolution of energy

$$\frac{d}{dt} \sum_{\mathbf{k} \in \mathcal{K}} (1 - \Gamma_0) |\varphi_{\mathbf{k}}|^2 = \sum_Q T^{(E)}(K, Q) - \text{collisions}$$

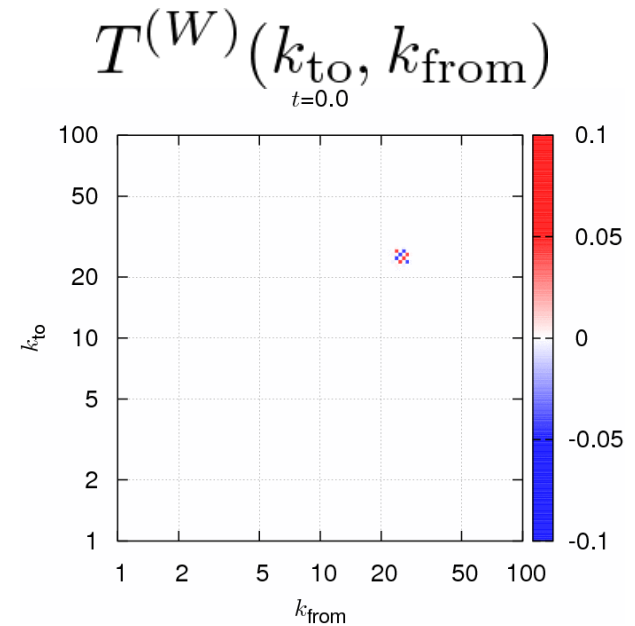
Tatsuno, Plunk *et al.*, Phys. Plasmas, *in preparation*.

Inverse cascade & nonlinear transfer



$$E = \frac{Q}{2} \sum_{\mathbf{k}} (1 - \Gamma_0) |\varphi_{\mathbf{k}}|^2$$

$$W_g = \iint \frac{T_0 g^2}{2F_0} d\mathbf{R} d\mathbf{v}$$



Scaling law of freely decaying turbulence

- Monochromatic spectrum of scale length l_*

$$W_g \propto g_*^2, \quad E \propto \varphi_*^2$$

- Invariants relation [Diagonals ($k_\perp \rho \sim p v_{th}$) dominate]

$$W_g \propto k_* E$$

- Constant energy & nonlinear decay of entropy

$$\frac{dE}{dt} \sim 0, \quad \frac{dW_g}{dt} \sim -\frac{W_g}{\tau_*}$$

Based on these assumptions, in the small collision frequency limit,

$$E \sim \text{const.}, \quad W_g \propto k_* \propto t^{-2/3}$$

Fluid analogy: Chasnov, Phys. Fluids **9**, 171 (1997).

How small is small?

- Define a dimensionless number from change of entropy

$$\frac{dW_g}{dt} \sim \underbrace{-\frac{W_g}{\tau_*}}_{\text{nonlinear}} - \underbrace{\nu(k_*\rho)^2 W_g}_{\text{collision}}$$

- When two terms balance (in time), $\tau_* \sim t$ thus (marginal collisionality)

$$E \propto k_* \propto t^{-1/2}, \quad W_g \propto t^{-1}$$

- Dorland number

$$D_* := \frac{1}{\nu \tau_* (k_* \rho)^2}$$

Cf. In forward cascade runs measured at Larmor scale

$$D = \frac{1}{\nu \tau_\rho}$$

Collisional case

- Both energy and entropy decay by collisions

$$\frac{dE}{dt} \sim \nu(k_* \rho)^2 E, \quad \frac{dW_g}{dt} \sim \nu(k_* \rho)^2 W_g$$



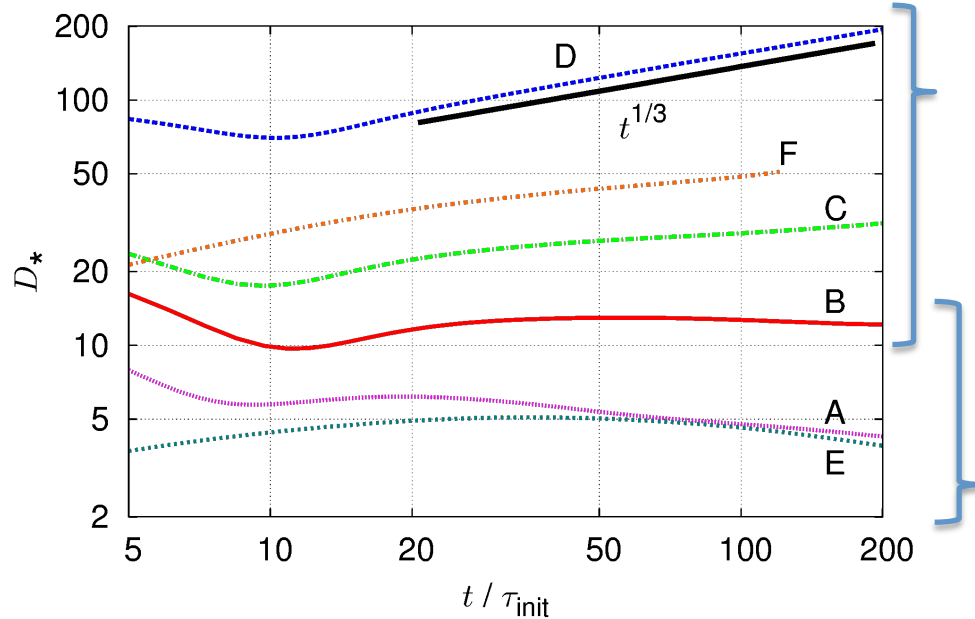
$$k_* \propto \frac{W_g}{E} \propto t^{-1/2}$$

- This was also true for marginal case

Classify runs with D_*

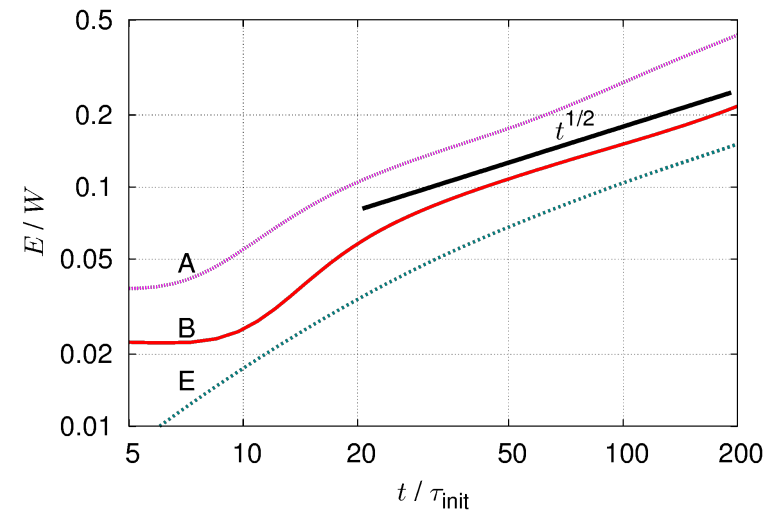
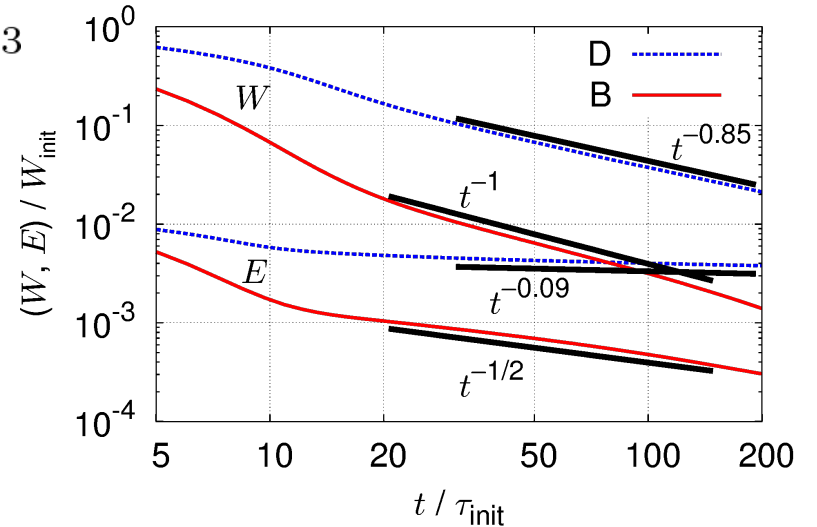
Collisionless: $E \sim \text{const.}$, $W_g \propto k_* \propto t^{-2/3}$

Marginal: $E \propto k_* \propto t^{-1/2}$, $W_g \propto t^{-1}$



Collisional: $k_* \propto \frac{W_g}{E} \propto t^{-1/2}$

Dorland number: $D_* := \frac{1}{\nu \tau_* (k_* \rho)^2}$



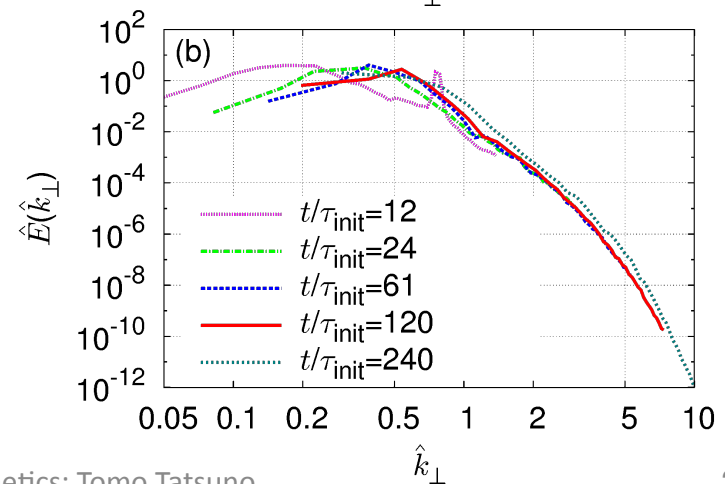
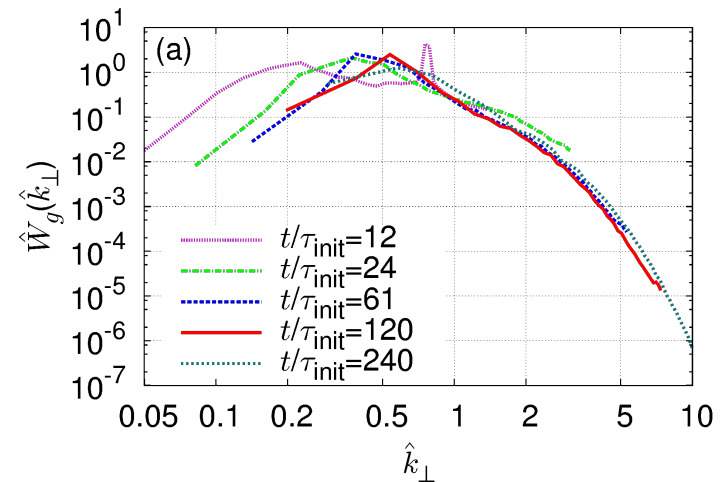
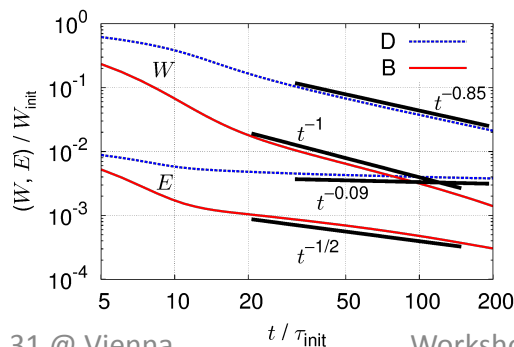
Spectral collapse

- In marginal case we can collapse wave-number spectra as D_* is constant in time $\rightarrow$ self-similar

$$\hat{k}_\perp = \frac{k_\perp}{k_*} \quad \left(k_* := \frac{W_g}{\rho E} \right)$$

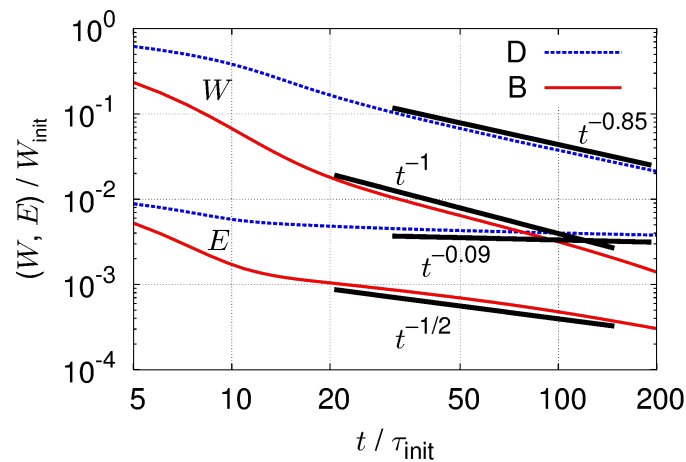
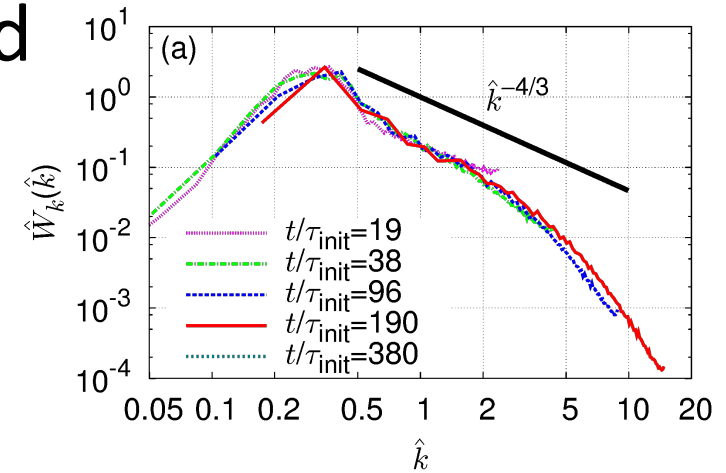
$$\hat{W}_g(\hat{k}_\perp) = \frac{k_* W_g(k_\perp, t)}{\sum_{k_\perp} W_g(k_\perp, t)}$$

$$\hat{E}(\hat{k}_\perp) = \frac{k_* E(k_\perp, t)}{\sum_{k_\perp} E(k_\perp, t)}$$



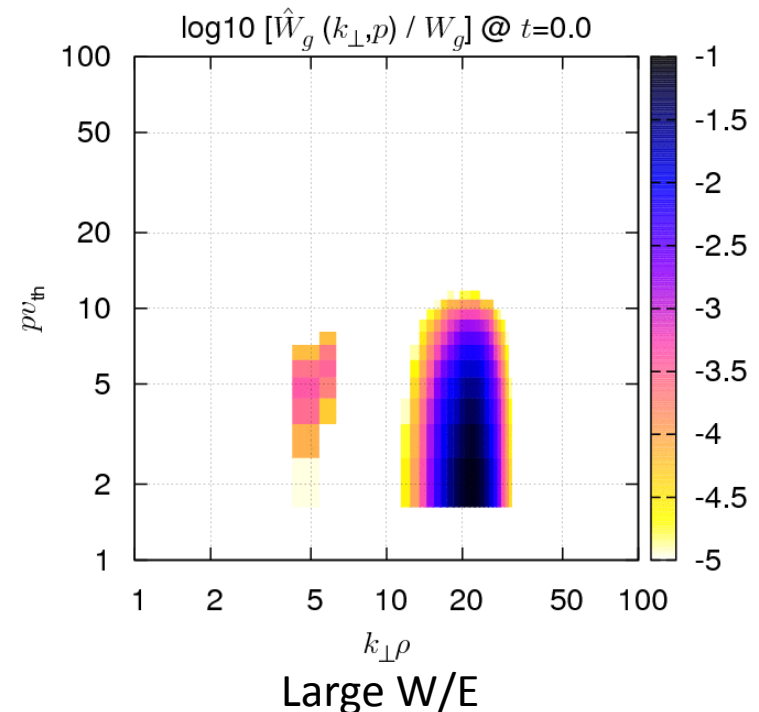
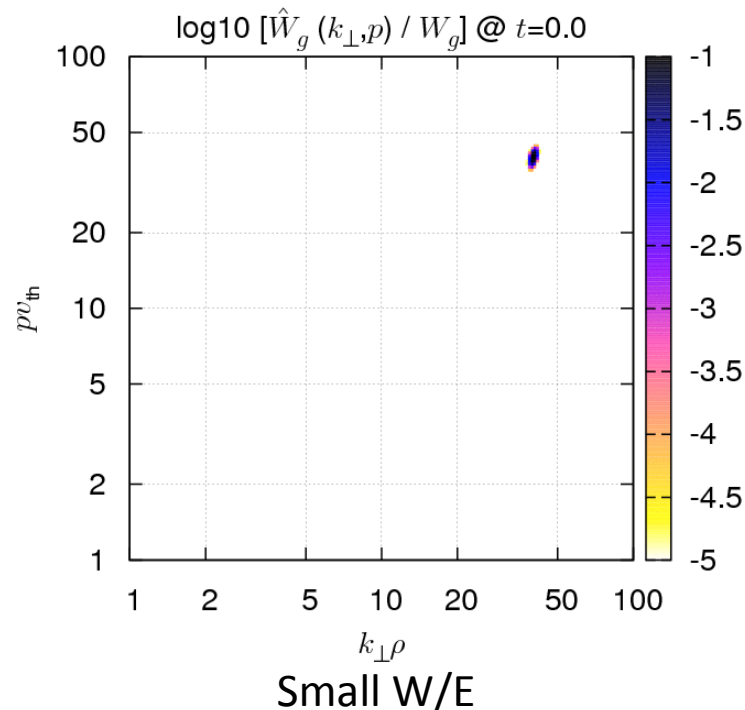
Spectral collapse 2

- Collisionless case --- not bad
- Spectral slope of forward cascade reproduced
- Slope extends in time as D_* increases



Variety of interactions

- Phase-space cascade has two degs. freedom
- Depending on **ratio of invariants W/E** , variety of transient interactions is possible



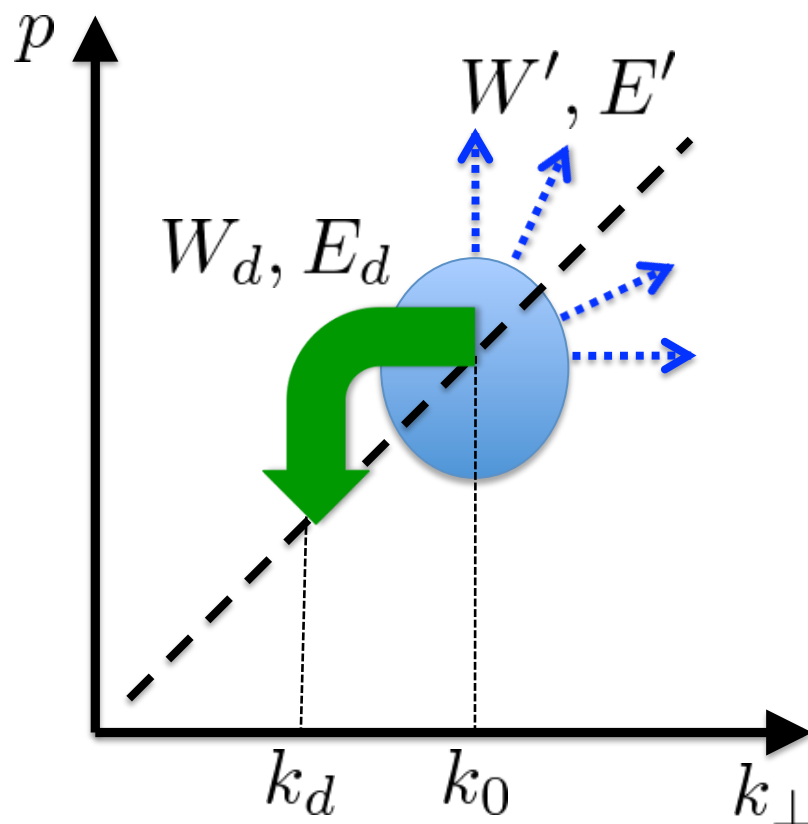
Plunk & Tatsuno, Phys. Rev. Lett., accepted (2011).

Classify in terms of W/E

- Energy partition ratio

$$R := E' / E_d$$

Subscript d: diagonal



- Initial condition

$$W_0 / E_0 =: \kappa$$

- Partition of invariants

$$W_0 = W' + W_d$$

$$E_0 = E' + E_d$$

- Law of transfer

$$W' \sim k_0^2 E'$$

$$E' \sim k_d E_d$$



- Diagonal wave number

$$k_d = \kappa(R + 1) - k_0^2 R$$

Classify in terms of W/E

- Energy partition ratio

$$R := E' / E_d$$

Subscript d: diagonal

- Initial condition

$$W_0 / E_0 =: \kappa$$

- Diagonal wave number

$$k_d = \kappa(R + 1) - k_0^2 R$$

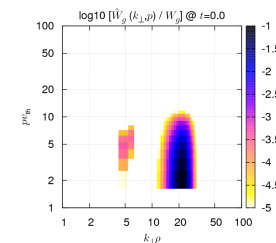
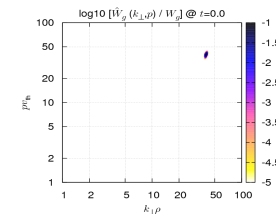
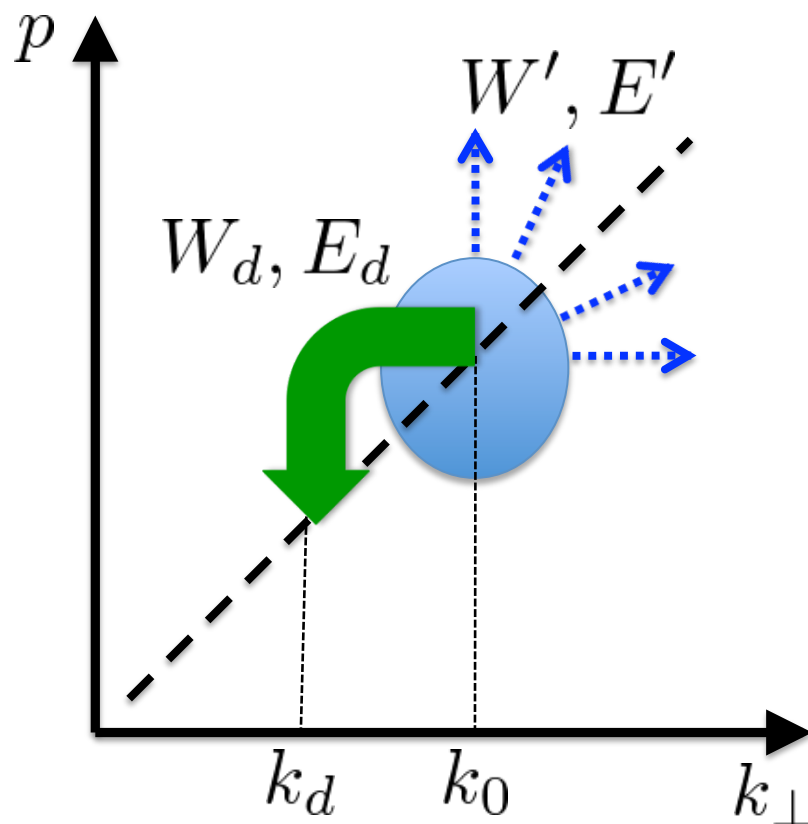
- Small $\kappa \Rightarrow$ small k_d

Diag. $\Rightarrow$ strong inverse

- Large $\kappa \Rightarrow$ large k_d

Off-diag. $\Rightarrow$ strong fwd.

$$R < 0$$



Summary

- We analyzed small-scale electrostatic turbulence of 2D magnetized plasmas using gyrokinetics
- Direct cascade
 - Coupling of position and velocity space scales
 - Entropy transfers locally to small scales both in position and velocity spaces
- Freely decaying turbulence (dual cascade)
 - Entropy cascades to small scales, energy to large scales
 - Decay laws are identified by means of simple argument on collisionless invariants
 - Classification in terms of dimensionless number is given
 - Spectral collapse supports self-similarity
 - Variety of energy transfer observed