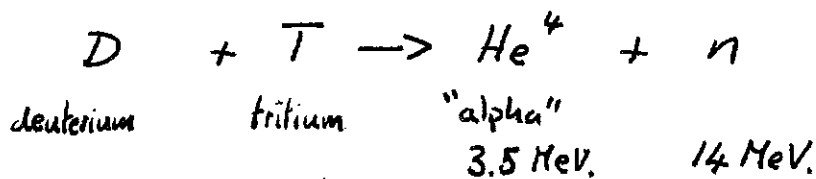


# Plasma Turbulence:- Lecture #1.

(i) Only the kind in FUSION experiments, particularly ITER.

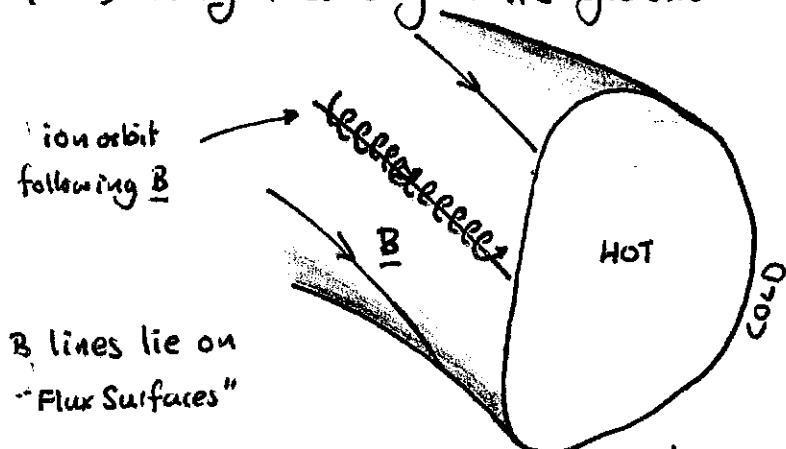
(ii) I will (in 3 lectures) cover: a) Phenomenology in experiments.  
b) Key Instability. "ITG."  
c) Important nonlinear effects.

(iii) **FUSION PRIMER**



Needs  $T_{PLASMA} \sim 10 - 20 \text{ keV}$  (Temperature)

(iv) Large thermodynamic gradient in experiment:



$\nabla T =$  SOURCE OF "FREE ENERGY" FOR INSTABILITIES.

CONTAINED BY  $\underline{B}$  FIELD

$\underline{B} \sim 5 \text{ Tesla.}$

- Particles - ions; deuterium and tritium.  $\lambda_{\text{armor, radius, } p_i} \approx 1 \text{ m}$  (spiral)
- electrons;  $\lambda_{\text{armor radius, } p_e} \approx 1/60 \text{ mm.}$

(v) **TIMESCALES.**

Energy confinement time =  $\tau_E$   
(time for energy to leak out -)

$$\frac{nT}{\tau_E} = \frac{\text{Heating}}{\text{Volume}}$$

$n =$  density of particles.

Heating  $\sim n^2 T^2$   
Heating = fusion heating + external heating.

ITER needs  $\tau_E \sim 4 \text{ s.}$

(vi) TIME FOR ION TO GO AROUND MACHINE:  $\sim L/v_{thi}$   
 $\sim 3 \times 10^{-5} s$

$L \sim 30 m$   
 $v_{thi} \sim 10^6 m s^{-1}$

TIME FOR ION TO COLLIDE:  $\tau_{collision} \sim 1 \mu s$ ,  $\lambda_{mean free path} \sim 1 km!$

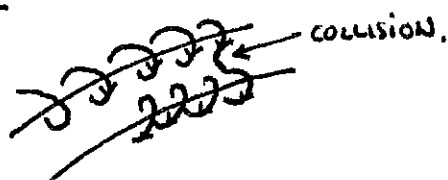
SINCE  $\tau_E \gg \tau_{collision}$  TIME TO ESTABLISH LOCAL THERMODYNAMIC EQUILIBRIUM ON EACH SURFACE.

DISTRIBUTION OF PARTICLES =  $f \sim F_{MAXWELLIAN}$

(vii) TRANSPORT - the loss of heat & particles across  $B$ .

CLASSICAL TRANSPORT

Each collision ion jumps  $\rho_i$  across the field.



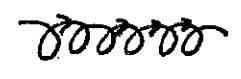
Diffusion Coefficient  $\sim \frac{\rho_i^2}{\tau_{coll}}$   
 $\sim 10^{-3} m^2 s^{-1}$

TOO SLOW TO EXPLAIN THE OBSERVED LOSS.

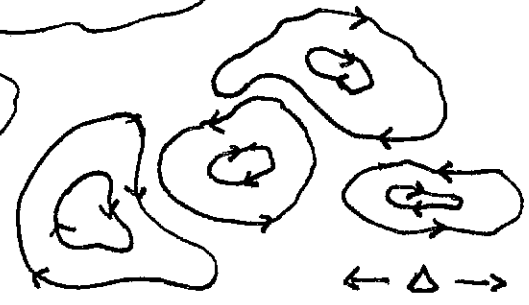
TURBULENT TRANSPORT: Small scale density fluctuations seen in plasma. Presumed  $E$  field fluctuations.

PERPENDICULAR VELOCITY OF PARTICLES IN  $E$  &  $B$  FIELD

$$\frac{E \times B}{B^2} = v_{EXB}$$



Eddies



Electrostatic  $E$  mostly  $E = -\nabla\phi$   
 $v_{EXB} = \frac{b \times \nabla\phi}{B}$  (unit vector  $b$ )

$\phi \equiv$  Electrostatic Potential & STREAM FUNCTION OF PERPENDICULAR FLOW

(viii) MIXING LENGTH ESTIMATE We might assume that particles

make a random walk of step size  $D \sim$  EDDY SIZE and correlation time  $\tau_{corr}$ .

(ix) TURBULENT DIFFUSIVITY  $\sim \chi \sim \frac{\Delta^2}{\tau_{\text{CORR.}}}$

$\tau_{\text{CORR.}} \sim 3 \times 10^{-5} \text{ s}$  (OBSERVED)  $\rightarrow \chi \approx \frac{1}{3} \text{ m}^2 \text{ s}^{-1}$   $\chi_{\text{OBSERVED}} \sim 1$

$\Delta \approx 1 \text{ cm}$  (OBSERVED)

"————"

(x) This is also consistent with the observed density fluctuations:

$\delta n \sim \xi \cdot \nabla n_0$   $\xi \approx \text{PLASMA DISPLACEMENT}$

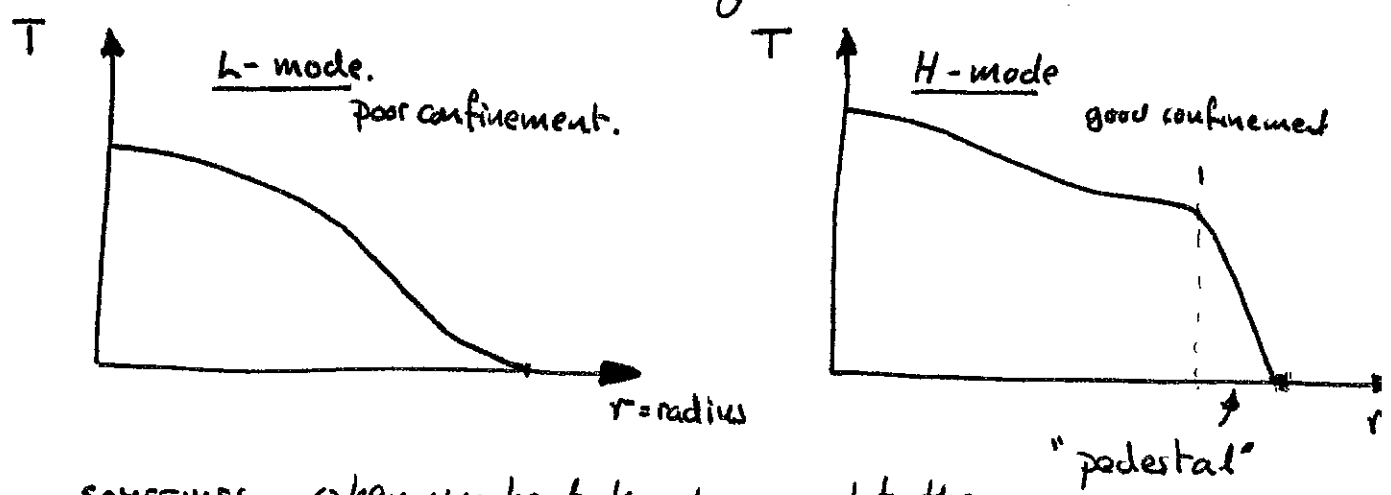
$\sim \Delta ?$

$\frac{\delta n}{n_0} \sim \frac{\Delta}{l_n} \sim (0.5-1)\%$   $l_n = \frac{n_0}{|\nabla n_0|} \approx (1-2) \text{ m}$

"————"

(xi) This is all very encouraging - but hardly a theory.

Experiments show us something else: TURBULENCE FREE REGIONS



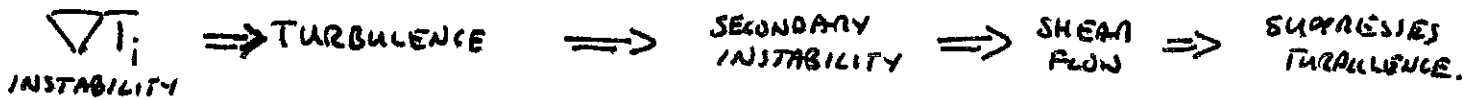
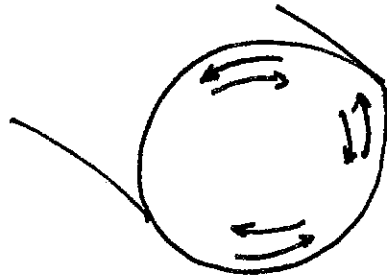
SOMETIMES - when you heat the plasma a lot the edge flips into a state of high confinement - low turbulence.

(xii) Turbulence free region has a very interesting phenomenon:

SPONTANEOUS SHEAR FLOWS.

(XIII) "ZONAL FLOWS"

Shear flow regions seem to suppress the turbulence.



o It is as though the turbulence is self-healing.

(XIV) What do we need to know?

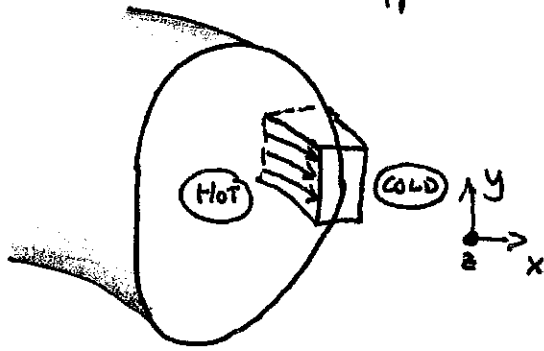
- a) What drives the turbulence? How is the plasma unstable?
- b) What sets the eddy sizes?
- c) How does the turbulence dissipate?
- d) What drives the shear flows
- e) How do the shear flows saturate?

(XV) I cannot do this in full detail. Because  $\tau_{collision} \gg \tau_{corr}$  this is fully kinetic/collisionless turbulence. I will make brutal approximations to illuminate these questions.

Plasma Turbulence: Lecture #2. Ion Temperature Gradient Instability.

(i) Here we examine the most important instability for fusion turbulence the Ion Temperature Gradient Mode.

(ii) We extract a "slab" approximation of the plasma,



TAKE  $\underline{B} = B_0 \hat{z}$  CONSTANT.

$T = T_0 + x \frac{dT}{dx}$

$n = n_0$  (CONSTANT)

$\underline{V}_0 = 0$

(iii) We perturb this situation with an electrostatic field:

$\delta \underline{E} = -\nabla \phi$

of the form  $\phi = \phi_0(x) e^{iky + ik_{||}z - i\omega t}$

where we look for solutions with  $\omega = \omega_R + i\gamma$  growth rate

(iv) We also look for solutions with:  $\omega \sim k_{||} V_{thi}$  ion thermal velocity =  $\sqrt{\frac{2T}{m_i}}$

This means that electrons move much faster than the instability

Note  $V_{the} \equiv$  Electron Thermal velocity  $= \sqrt{\frac{m_i}{m_e}} V_{thi} \sim 60 V_{thi}$  (in deuterium)

Thus key to this instability is the different response of ions and electrons.

(v) **Electron Response** Two key simplifications:

o Fast moving electrons equalize perturbed electron temperature along  $\underline{B} \Rightarrow \underline{B} \cdot \nabla \delta T_e = 0$

o Electron inertia is small o.o. **FORCE ON ELECTRONS ALONG  $\underline{B}$**  =  $-\nabla_{||} \delta p_e + e n_0 \nabla_{||} \phi \sim 0$

$\Rightarrow \frac{\delta n_e}{n_0} = \frac{e \phi}{T_e}$  "Boltzmann Response."

(vi) **Ion Response** We should really use kinetic equations for the ions but for simplicity we use fluid equations.

**ION PERPENDICULAR MOTION** =  $\frac{\underline{E} \times \underline{B}}{B^2} = \frac{\hat{z} \times \nabla \phi}{B_0} = \underline{v}_{\perp}$

note  $\nabla \cdot \underline{v}_{\perp} = 0$

**ION DENSITY RESPONSE**  $\frac{\partial \delta n_i}{\partial t} + \nabla \cdot n_0 \underline{v} = 0 \Rightarrow \frac{\partial \delta n_i}{\partial t} + n_0 \frac{\partial v_{||}}{\partial z} = 0$

**ION PRESSURE RESPONSE**  $\frac{\partial \delta p_i}{\partial t} + \underline{v}_{\perp} \cdot \nabla p_0 + \gamma p_0 \nabla \cdot \underline{v} = 0$   $\gamma = \text{ratio of specific heat}$   
 $\Rightarrow \frac{\partial \delta p_i}{\partial t} + \underline{v}_{\perp} \cdot \nabla p_0 + \gamma p_0 \frac{\partial v_{||}}{\partial z} = 0$

**ION PARALLEL MOMENTUM RESPONSE**  $m_0 n_0 \left( \frac{\partial v_{||}}{\partial t} \right) = -\nabla_{||} \delta p_e - e n_0 \nabla_{||} \phi$

(vii) Quasi-neutrality. To a good approximation the plasma must remain neutral -  $\phi$  adjusts to make it neutral hence.

$$e\delta n_e = \frac{e^2\phi}{T_e} n_0 = e\delta n_i$$

This links ion and electron responses.

(viii) Local Response If  $k \gg \frac{1}{T} \frac{dT}{dx}$  we can set  $\phi_0 = \bar{\phi}$

DEFINE OMEGA STAR  
DIAMAGNETIC DRIFT  
FREQUENCY

$$\omega_T^* = \frac{k}{eB} \frac{dT}{dx} \sim (kp_i) \frac{v_{thi}}{l_T}$$

(ix) After a little algebra we obtain the DISPERSION RELATION.

$$\omega^2 = k_{||}^2 v_{thi}^2 \left\{ \left(1 - \frac{\omega_T^*}{\omega}\right) \frac{T_e}{T_i} + \gamma \right\}$$

STABLE (3 Real Roots) WHEN:  $\frac{\omega_T^*}{k_{||} v_{thi}} < \frac{(1+\gamma)^{2/3}}{(\frac{1}{2})^{2/3} + (\frac{1}{2})^{1/3}}$

UNSTABLE (1 Real Root, 1 unstable, 1 stable):  $\frac{\omega_T^*}{k_{||} v_{thi}} > \dots$

(x) VERY STABLE LIMIT:  $\omega^2 \approx k_{||}^2 C_s^2$   
SOUND WAVES.

$$C_s = v_{thi} \sqrt{1+\gamma}$$

SOUND SPEED

(xi) VERY UNSTABLE:  $\omega^3 = -\omega_T^{*2} k_{||}^2 v_{thi}^2$

$$\text{UNSTABLE ROOT} \equiv \omega = e^{i\pi/3} \left( \omega_T^* k_{||}^2 v_{thi}^2 \frac{T_e}{T_i} \right)^{1/3} = e^{i\pi/3} \omega_0$$

SIMPLIFICATION IN UNSTABLE LIMIT:

$$\left. \begin{aligned}
 \frac{\partial \delta P_i}{\partial t} + \underline{v}_\perp \cdot \nabla P_0 &= 0 \\
 m_i n_0 \frac{\partial V_{||}}{\partial t} &= - \frac{\partial \delta P}{\partial z} \\
 \frac{\partial \delta n}{\partial t} &= - \frac{\partial V_{||}}{\partial z} \\
 \delta n &= \frac{e\phi}{T_e}
 \end{aligned} \right\}$$

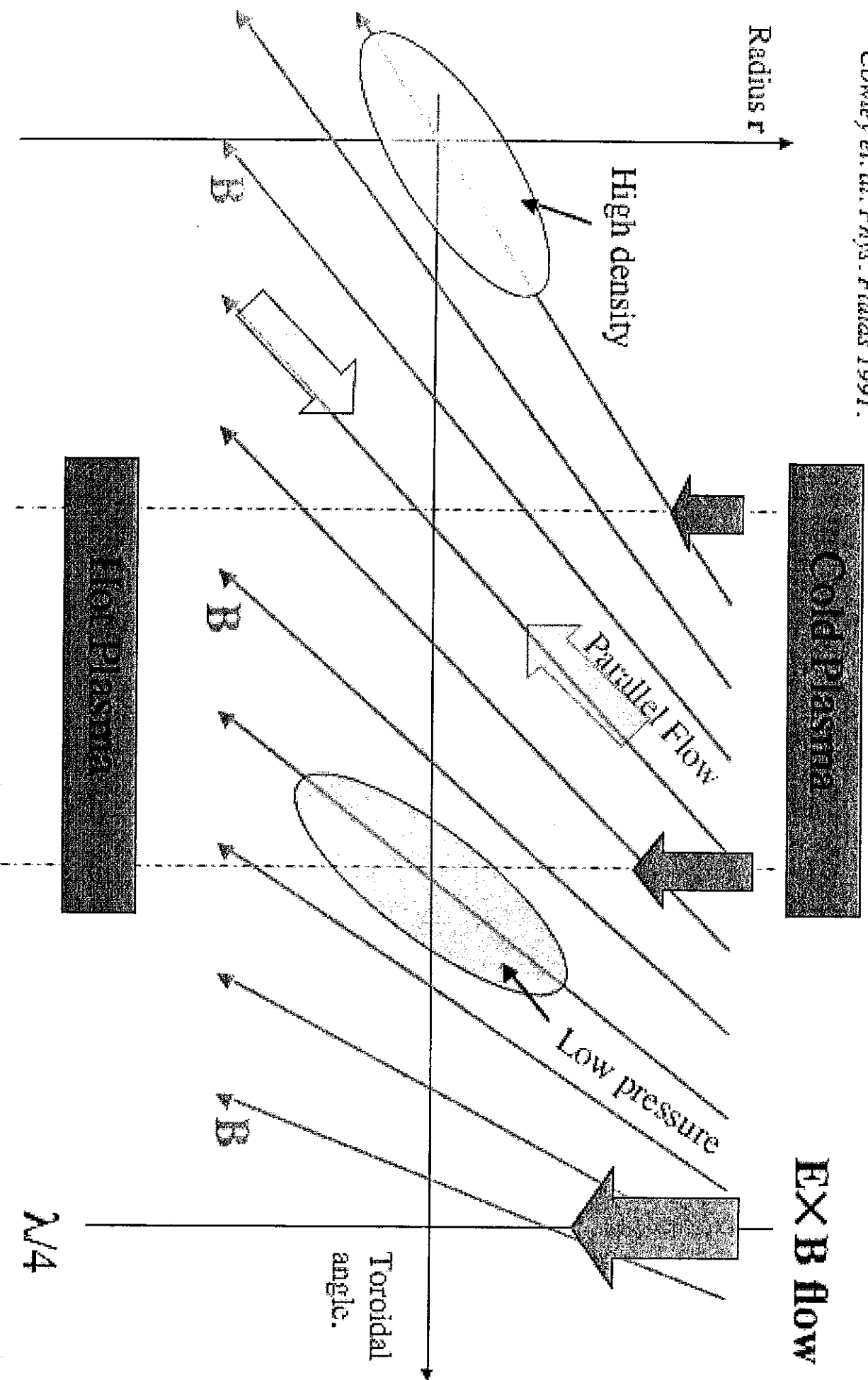
$$\delta P_i = - \frac{\omega_r^* T_e}{\omega_0 \bar{T}_i} \delta \hat{n} e^{\gamma t} \cos(ky - \omega_r t - \frac{\pi}{3})$$

$$V_{||} = \frac{\omega_0 \delta \hat{n}}{k_{||}} e^{\gamma t} \cos(ky - \omega_r t - \frac{\pi}{6}) \cos$$



# Cartoon of "I.T.G." Instability.

Cowley et. al. *Phys. Fluids* 1991.





# Plasma Turbulence: Lecture #3. ITG Turbulence.

(i) Review: ITG instability:  
(see CARTOON).

sort of sound wave with negative compressibility when density goes up pressure goes down. This sucks in more plasma increasing density etc.

(ii) To be unstable:  $k_{\parallel} v_{thi} < \omega_T^*$ . constant.

BUT  $\omega_T^* = (k \rho_i) \frac{v_{thi}}{L_T}$

$$\frac{1}{L_T} = \frac{1}{T_i} \frac{dT_i}{dk}$$

UNSTABLE:  $(k_{\parallel} L_T) < (k \rho_i)$

$\rho_i =$  LARMOR RADIUS  $\sim 1 \text{mm}$   
 $L_T = 1 \text{m}$  in ITER.

(iii) FINITE LARMOR RADIUS:



when ion Larmor radius is bigger than "eddy" it averages  $\langle \underline{E} \times \underline{B} \rangle$  to a small effect.  
 $\therefore$  INSTABILITY MUST HAVE  $k \rho_i < 1$

$\Rightarrow (k_{\parallel} L_T) < k \rho_i < 1$

- VERY LONG PARALLEL WAVELENGTH  
- VERY SHORT PERPENDICULAR SCALE.

(iv) We have one more constraint to fit into tokamak. Instability cannot stretch all the way around to the "inside" of the surfaces so

$k_{\parallel} > \frac{1}{L_{\text{CONNECT}}}$

$L_{\text{CONNECT}} =$  Distance from outside to inside of surfaces along B lines.

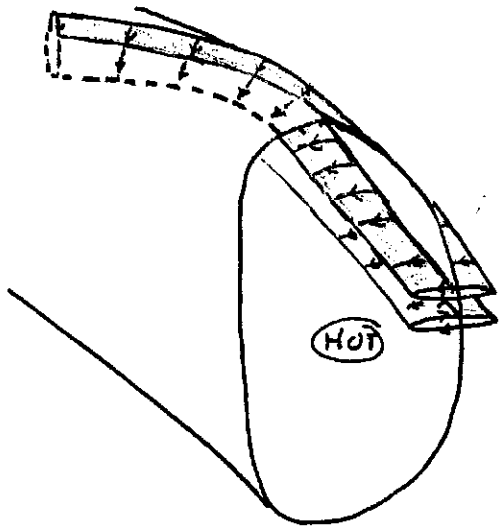
All this handwaving is done precisely by stability codes.

FINALLY

$\left( \frac{L_T}{L_{\text{CONNECT}}} \right) < k \rho_i < 1$

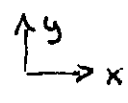
$\frac{L_T}{L_{\text{CONNECT}}} \lesssim 0.1$

(V)

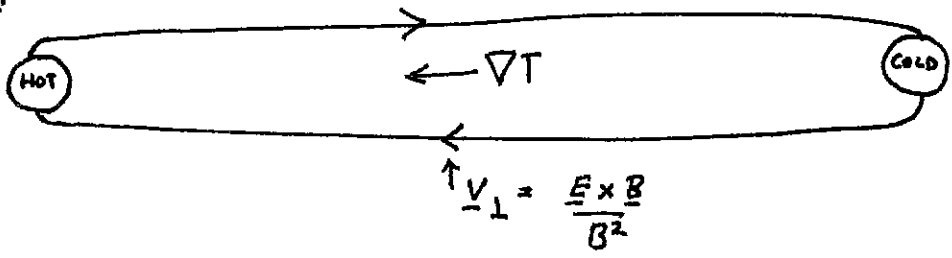


- TYPICAL EDDY SHAPE FROM EIGENMODE CALCULATION. (LINEAR)
- CONSTANT ALONG FIELD LINES. ( $\lambda_{||} \sim L_{CONN}$ )
- ELONGATED IN RADIAL DIRECTION.

(vi) BUT: These eddies are not stable

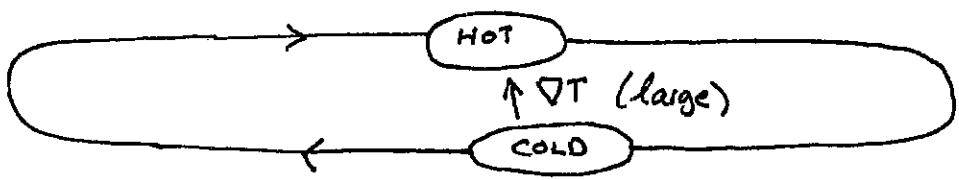


INITIALLY:



NOT SHOWN ARE THE ASSOCIATED Parallel velocities.

LATER:

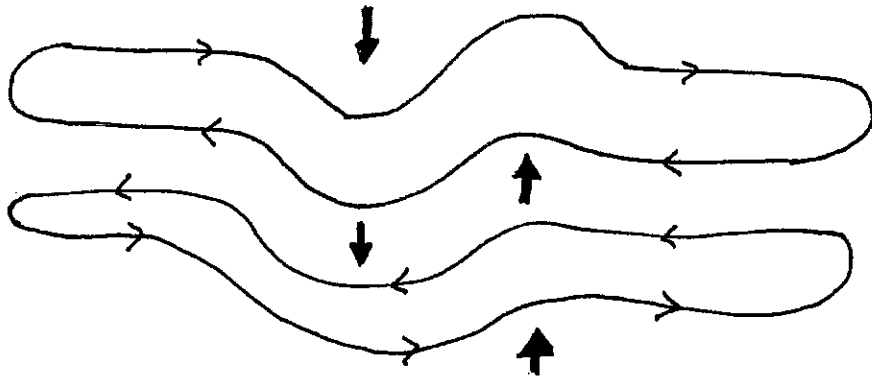


(vii) Eddies make large gradients in:

- $\nabla V_{\perp}$  :  $V_{\perp} \Rightarrow$  <sup>SECONDARY</sup> DRIVES KELVIN HELMHOLTZ INSTABILITIES.
- $\nabla T$  :  $T \Rightarrow$  DRIVES SECONDARY ITG MODES.
- $\nabla V_{||}$  :  $V_{||} \Rightarrow$  DRIVES SECONDARY PARALLEL VELOCITY INSTABILITIES. (KIND OF KELVIN HELMHOLTZ TOO)

- DORLAND THESIS (199)
- DORLAND & JENKO
- $\lambda_{||} \sim (2000)$
- Like KH.
- Nazarenko 1990.

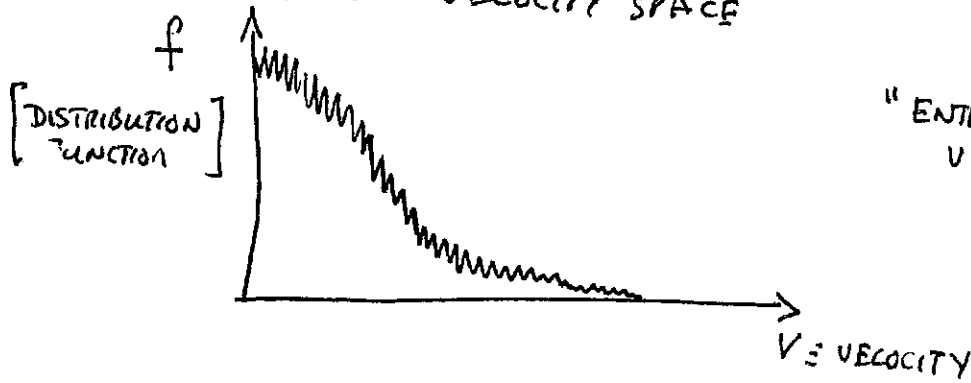
(viii) Often the most unstable secondary mode is the "zonal flow" ( $\frac{\partial}{\partial y} = 0$ ) shear flow.



(SEE MOVIES)

(ix) ALL this happens on times short compared to a collision time. MIXES MAXWELLIANS OF DIFFERENT TEMPERATURES, CREATES SMALL

SCALES IN VELOCITY SPACE



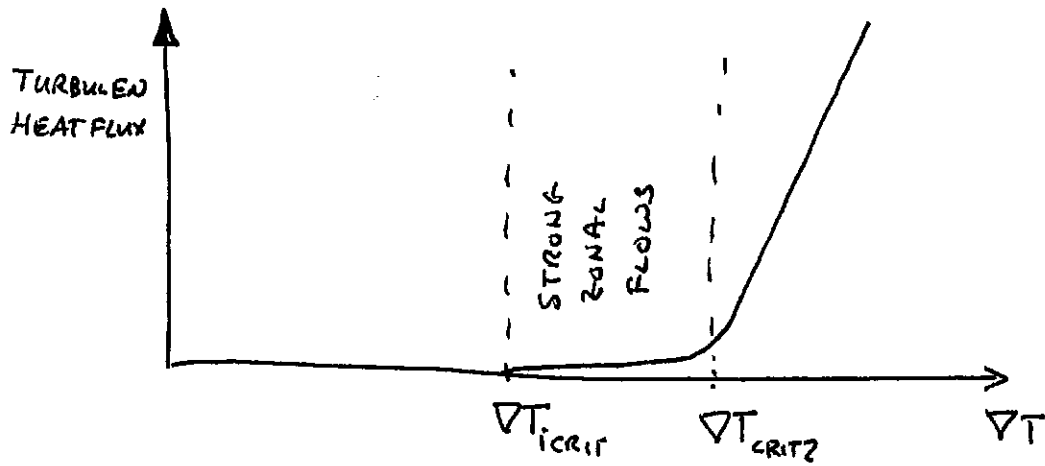
"ENTROPY CASCADE IN VELOCITY SPACE"

• COLLISIONS RAPIDLY ERASE VELOCITY SPACE WIGGLES.

$$\text{ENTROPY PRODUCTION} = \int d^3v \ln f C(f)$$

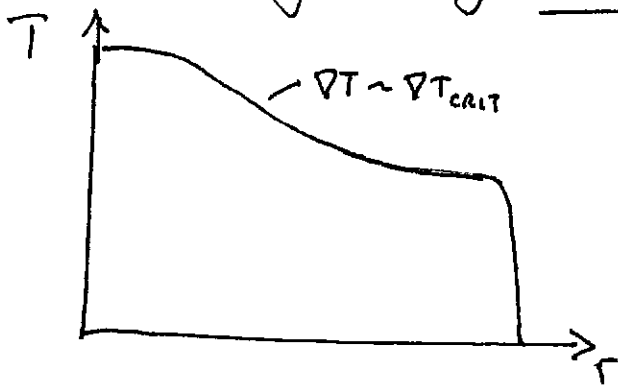
$C \equiv$  COLLISION OPERATOR

(X) ITG has a <sup>linear</sup> threshold at  $\nabla T_i = \nabla T_{i, \text{crit}}$ .



When  $\nabla T > \nabla T_{\text{crit}}$  LINEAR INSTABILITY. BUT WHEN  $\nabla T < \nabla T_{\text{crit}2}$  WE GET STRONG ZONAL FLOWS THAT SUPPRESS TURBULEN HEAT FLUX SO EFFECTIVELY THRESHOLD IS SHIFTED TO  $\nabla T_{\text{crit}2}$  (Dimits shift)

(X<sup>o</sup>) Usually we can't get much above  $\nabla T_{\text{crit}2}$  because turbulence becomes too strong. Can roughly model situation by setting  $\nabla T = \nabla T_{\text{crit}2}$ .



(X<sup>o</sup>P) CAN WE SWITCH OFF TURBULENCE? IN ITER?  
It's a multi-billion dollar question!