

# Holographic methods in near-equilibrium physics

Goal: to understand strongly interacting quantum systems in and out of equilibrium

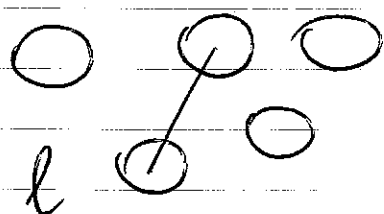
## Experiments:

- RHIC/LHC heavy ion collisions  
 $T \sim 2 \cdot 10^{12}$  K

- ultra-cold quantum gases ( ${}^6\text{Li}$ )

$$T \sim 10^{-8}$$
 K

Features: systems are many-body ( $N \sim 10^4$ ),  
quantum ( $\lambda_{\text{de Broglie}} \gtrsim l$ )



$$l \sim \left( \frac{V}{N} \right)^{1/3}$$

$$\text{or } l \sim l_{\text{mf}}^{\text{fp}}$$

strongly interacting

e.g.  $\alpha_s(T_{RHIC}) \sim 1$

or  $\lambda_{N=4 SYM} \gg 1$

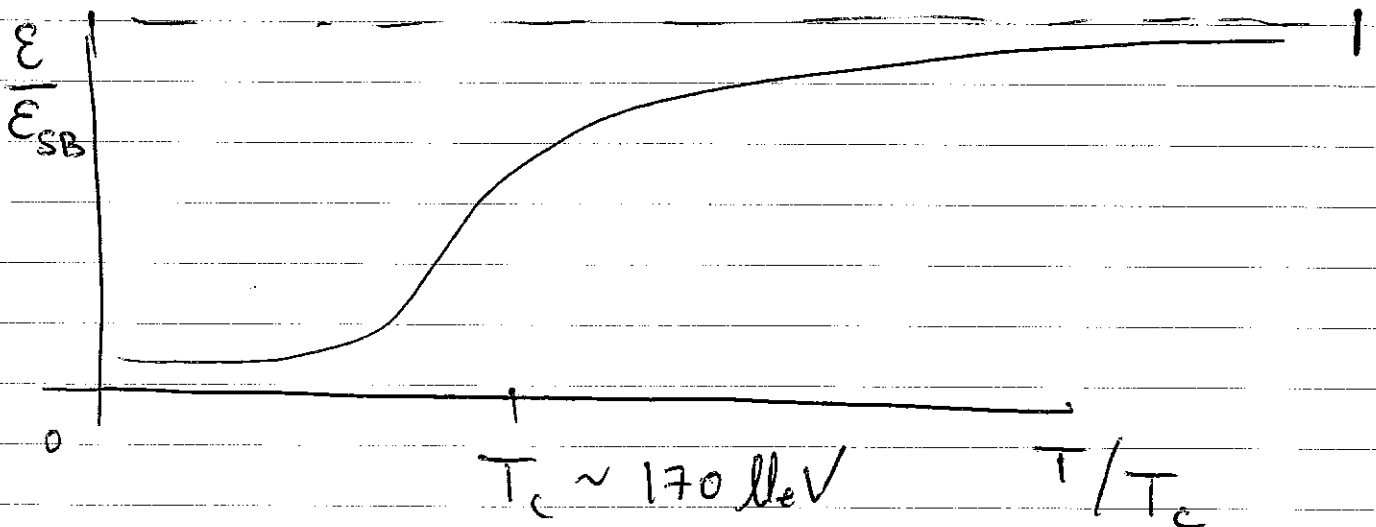
in local thermal equilibrium

$L_{var in T} \gg l_{wfp}$

Evolution of the RHIC "fireball":

Need TQ and transport  
Thermodynamics

for QCD at  $T \sim (2-4)T_c$



Transport - ?

# Lecture 2

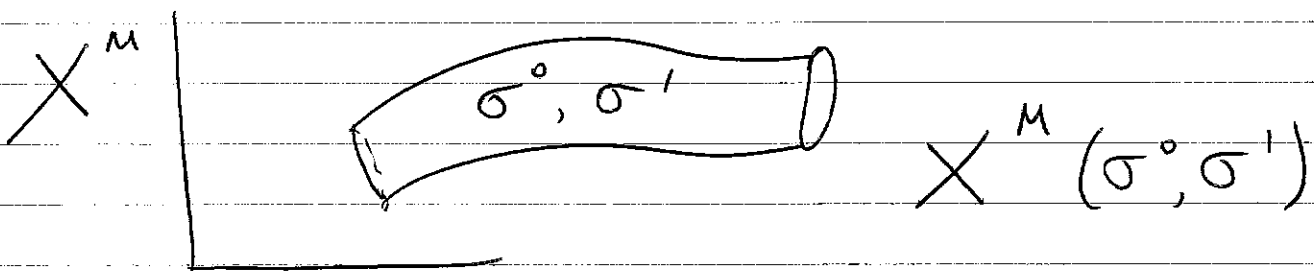
## Elements of gauge-string duality

String theory = interacting strings (open and closed) and branes

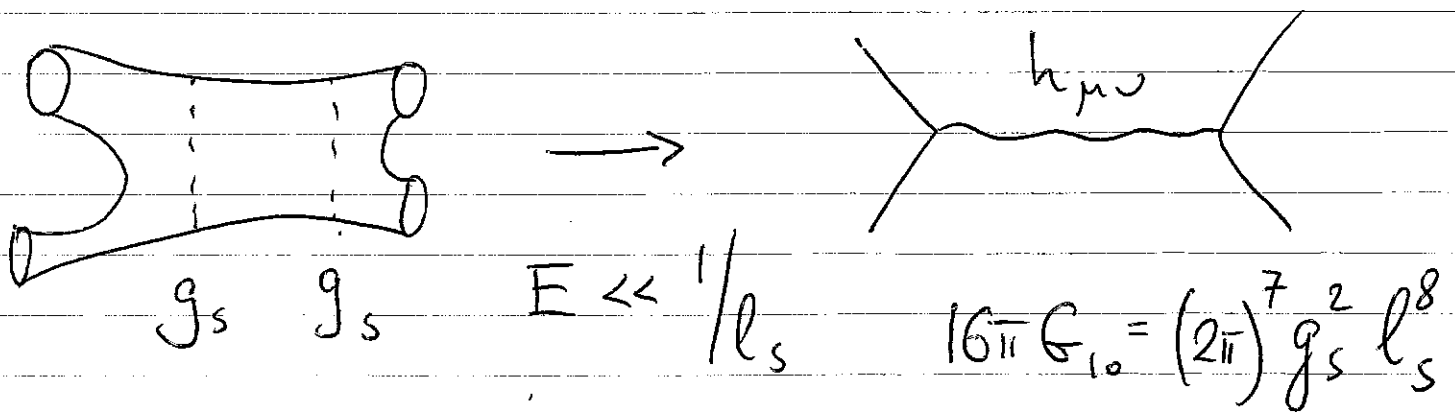
Fundamental parameter  $T = \frac{1}{2\pi\alpha'}$

$$\alpha' = l_s^2$$

$$S = -T \int d^2\sigma \sqrt{-\det g}$$



String interactions controlled by  $g_s$



$g_s$  is related to the expect. value of the dilaton

Effectively, 2 parameters:  $l_s, g_s$

Approximating gauge-string duality by gauge-grav. duality:

$$L \gg l_s, \quad g_s \ll 1$$

where  $L$  is the typical size of geometry, e.g.  $L_{AdS}$ .

Branes enter as non-perturb. (in  $g_s$ ) with  $p+1$ -dim world-volume and

$$T_{Dp} \sim \frac{1}{g_s l_s^{p+1}}$$

Generically, all these d.o.f. are excited.

But at  $E \ll 1/l_s$  only the lowest states are of interest

E.g. massless fields of type IIB str.th.

$g_{\mu\nu}$  graviton

$\phi, C$  dilaton, axion

$B_{\mu\nu}, A_{\mu\nu}$  rank 2 antisymmetric

$A_{\mu\nu\lambda\sigma}^+$  rank 4 antisym, self-dual

$\psi_{\mu, \alpha}^{I=1,2}$  gravitini

$\lambda_{\alpha}^{I=1,2}$  dilatini

( $N=2$  supra  $d=10$ )

Preserving symmetries of string action at quantum level leads to conditions on  $\beta$ -functionals:

$$\beta_{\mu\nu}(X) = R_{\mu\nu} + \frac{1}{4} H_{\mu}^{\lambda\sigma} H_{\nu\lambda\sigma} - 2D_{\mu}D_{\nu}\phi + O(\alpha') = 0$$

Here  $H_{\mu\nu\rho} = \partial_{\mu} B_{\nu\rho} + \partial_{\nu} B_{\rho\mu} + \partial_{\rho} B_{\mu\nu}$

More precisely, type IIB low energy eom

$$\begin{aligned}
 R_{\mu\nu} = & \frac{1}{2} \partial_\mu \phi \partial_\nu \phi + \frac{1}{4} e^{-\phi} \left( H_{\mu\alpha\beta} H_\nu^{\alpha\beta} - \right. \\
 & \left. - \frac{1}{2} g_{\mu\nu} H^2 \right) + e^{2\phi} \frac{1}{2} \partial_\mu C \partial_\nu C + \\
 & + e^\phi \frac{1}{4} \left( \tilde{F}_{\mu\lambda\sigma} \tilde{F}_\nu^{\lambda\sigma} - \frac{1}{2} g_{\mu\nu} \tilde{F}_{(3)}^2 \right) + \\
 & + \frac{1}{96} \tilde{F}_{\mu\lambda\rho\sigma\alpha} \tilde{F}_\nu^{\lambda\rho\sigma\alpha}
 \end{aligned}$$

$$\nabla^2 \phi = e^{2\phi} \partial_\mu C \partial^\mu C - \frac{1}{12} e^{-\phi} H_3^2 + \frac{1}{12} e^\phi \tilde{F}_3^2$$

+ Maxwell-like eqs for  $\tilde{F}_3, \tilde{F}_5, H_3,$

where  $F_3 = dA_2, H_3 = dB_2,$

$$\tilde{F}_3 = F_3 - C H_3 \quad \text{etc} +$$

$$\text{condition } \tilde{F}_5 = * \tilde{F}_5.$$

Note: there are corrections to e.o.m. at  $\mathcal{O}(\alpha')$  or higher.

$$\frac{\mathcal{L}}{\sqrt{-g}} = R - \frac{1}{2} (\partial\phi)^2 - \frac{1}{4 \cdot 5!} \tilde{F}_5^2 + \dots$$

$$+ \frac{5(3)}{8} e^{-\frac{3}{2}\phi} \alpha^{13} W + \dots$$

$$W = C^{hmnk} C_{pinq} C_h{}^{rsp} C_{rse}{}^q + \text{similar terms}$$

$$\sim (R_{ijkl})^4$$

Recall  $R_{ijkl} \sim 1/L^2$ ,  $R \sim 1/L^2$

$$\alpha^{13} = l_s^6$$

In AdS/CFT:  $\lambda = g_{\text{YM}}^2 N_c$

$$\alpha' = l_s^2 = \frac{L_{\text{AdS}}^2}{\lambda^{1/2}} \Rightarrow \text{corrections}$$

$$\sim \alpha^{13} \sim \lambda^{-3/2}$$

Indeed, for  $\mathcal{N}=4$  SYM

$$\frac{1}{s} = \frac{\hbar}{4\pi k_B} \left( 1 + \frac{15 \cdot 5(3)}{\lambda^{3/2}} + \dots \right)$$

⑥

Solutions to these eom characterized by scale  $L$  (e.g.  $L_{\text{AdS}}$ ) generically get stringy and quantum grav. corrections (modulo some exceptions)

unless

$$L \gg l_s, \quad L \gg l_p.$$

In AdS/CFT:  $\frac{L^4}{l_s^4} = \lambda \Rightarrow$

$\lambda \gg 1$

classical (non-stringy) geometry valid

Also,  $g_{\text{YM}}^2 = 4\pi g_s$  and

$$L^4 \gg l_p^4 \sim g_s l_s^4 \Rightarrow \text{OK when } N_c \gg 1$$

(no quantum grav. corrections)



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Consider a solution of type IIB eom  
with all fields but  $g_{\mu\nu}$ ,  $F_5$  and  
 $\phi = \text{const}$  set to zero.

This is a self-consistent choice.

$$\left\{ \begin{array}{l} R_{\mu\nu} = \frac{1}{96} F_{\mu\rho\lambda\sigma} F_{\nu}{}^{\rho\lambda\sigma} \\ F_{(5)} = * F_{(5)} \end{array} \right.$$

Solution:

$$ds_{10}^2 = H^{-1/2}(r) \left[ -f(r) dt^2 + dx^2 + dy^2 + dz^2 \right]$$

$$+ H^{1/2}(r) \left( \frac{dr^2}{f} + r^2 d\Omega_5^2 \right)$$

$$H(r) = 1 + L^4 / r^4$$

$$f(r) = 1 - r_0^4 / r^4$$

$$F_5 = -\frac{4L^2}{H^2 r^5} \sqrt{r_0^4 + L^4} (1 + *) dt \wedge dx \wedge dy \wedge dz \wedge dr$$

Near-hor. limit  $r \ll L$ ;

$$ds_{10}^2 = \frac{(\pi T L)^2}{u} \left( -f dt^2 + dx^2 + dy^2 + dz^2 \right) +$$

$$+ \frac{L^2}{4u^2 f} du^2 + L^2 d\Omega_5^2$$

$$u \equiv r_0^2 / r^2, \quad f = 1 - u^2, \quad T = \frac{\sqrt{0}}{\pi L^2}.$$

### Gravitational dressing of D3 Branes

Open string picture of  $N_c$  D3 Branes



$N_c$

Recall: correct. to flat metric in  $d=4$

$$\sim \frac{GM}{r}$$

relevant for  $\frac{GM}{r} \sim 1$ .

For  $p+1$ -dim object in  $d$  dim:

$$\sim \frac{GM}{r^{d-p-3}} \sim \frac{G_{10} N_c T_{D3}}{r^4}$$

But:  $E_{10} \sim g_s^2 l_s^8$

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$$T_{D3} \sim \frac{1}{g_s l_s^4}$$

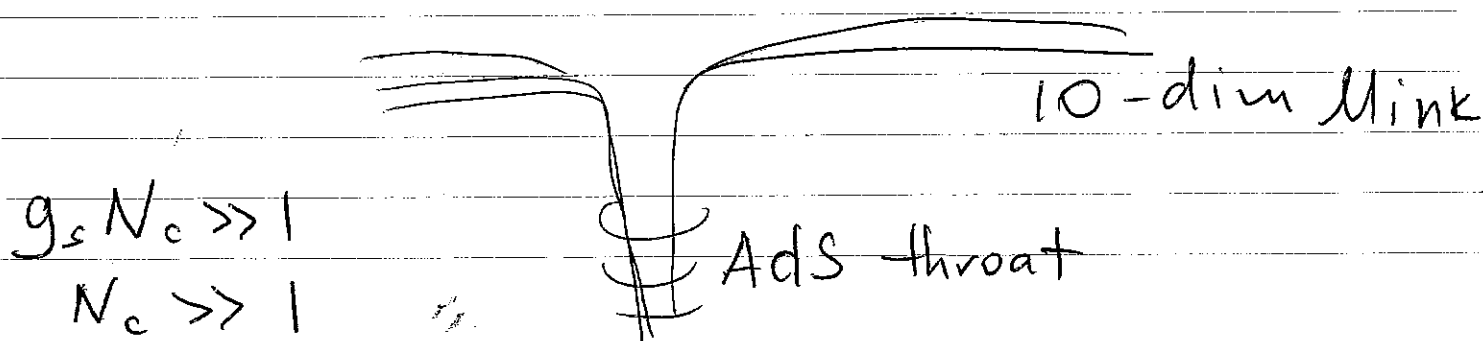
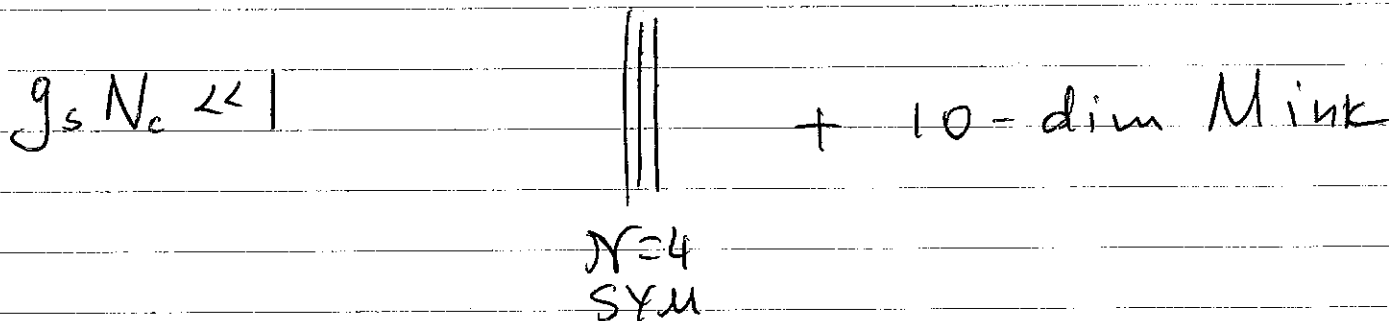
$$\Rightarrow \text{corr} \sim \frac{g_s N_c l_s^4}{r^4}$$

• for  $g_s N_c \ll 1$ ,  $\text{corr} \sim 1$  for  $r \ll l_s$

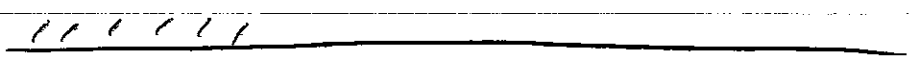
• for  $g_s N_c \gg 1$ ,  $\text{corr} \sim 1$  for  $r \gg l_s$


$\Rightarrow$  cannot use open string picture

At low energy,  $\omega \ll 1/l_s$ :



Remark : in the closed string picture, far away from the throat only the decoupled excitations of  $d=10$  massless fields are relevant at low energy ( $\omega \ll 1/l_s$ ) but arbitrarily large excitations of type IIB strings are relevant deep down the throat since they are redshifted from the point of view of an asym. observer.

A : Open str. picture   
 $\lambda \ll 1$

B : closed str picture   
 $\lambda \gg 1$   
 $N_c \gg 1$

$$\lambda = g_{YM}^2 N_c, \quad g_s = 4\pi g_{YM}^2$$

Conjecture (Maldacena, 1997):

$$A = B$$

$$\begin{aligned} \mathcal{N}=4 \text{ } SU(N_c) \text{ SYM} &= \text{Type II B superstr} \\ \text{in } d=3+1 & \text{ theory on} \\ & AdS_5 \times S^5. \end{aligned}$$

$$\boxed{Z_{\mathcal{N}=4 \text{ SYM}} [J] = Z_{\text{II B } AdS_5 \times S^5} [J]}$$



$$Z_{\mathcal{N}=4 \text{ SYM}} [J] = e^{-S_{\text{grav } AdS_5 \times S^5} [J]}$$

$N_c \rightarrow \infty$   
 $\lambda \rightarrow \infty$

+ corr  $O(1/N_c^2)$   
 $O(1/\lambda^{3/2})$

+ corr  
 $O(1/N_c^2)$   
 $O(1/\lambda^{3/2})$

$$\boxed{\langle e^{\int J \mathcal{O} d^4x} \rangle_{\text{SYM}} = e^{-S_{\text{grav}} [J]}$$

The role of J :

Recall that  $g_s = 4\pi g_{YM}^2$  is the exact value of dilaton at asympt. infinity.

$\Rightarrow$  changing coupling  $g_{YM}$   
(deforming  $\mathcal{L}_{YM} \rightarrow \mathcal{L}_{YM} + J\mathcal{O}$ ,  
where  $\mathcal{O} \sim \mathcal{L}_{YM}$ )

$\Rightarrow$  changing the boundary value of a bulk field ( $\phi$ ).

In general,

$$S \rightarrow S + \int d^4x \phi(x) \mathcal{O}(x),$$

$$J \equiv \phi(x) \sim \lim_{r \rightarrow \infty} \phi_{\text{bulk}}(r, x)$$

(perhaps renormalized).

# Minkowski correlation functions

Mink  $(- + + +)$   $k = (\omega, \vec{q})$

$$G^R(\omega, \vec{q}) = -i \int d^4x e^{-ikx} \theta(t) \langle [\hat{O}(x), \hat{O}(0)] \rangle$$

$G^A, G^F, G^D$  (Wightman)

$$G^D(k) = \frac{1}{2} \int d^4x e^{-ikx} \langle \hat{O}(x) \hat{O}(0) + \hat{O}(0) \hat{O}(x) \rangle$$

$$G^A = G^R(-k) = (G^R)^*$$

$$G^F = \text{Re } G^R + i \coth \frac{\omega}{2T} \text{Im } G^R$$

$$G(k) = - \coth \frac{\omega}{2T} \text{Im } G^R(k)$$

$\Rightarrow$  enough to know  $G^R(k)$ .

Normalizable vs non-normalizable modes

example:  $ds_{d+1}^2 = \frac{L^2}{z^2} (dz^2 + \gamma_{\mu\nu} dx^\mu dx^\nu)$

e.o.m. min coupled scalar:

$$z^{d+1} \partial_z (z^{1-d} \partial_z \phi) - k^2 z^2 \phi - m^2 L^2 \phi = 0$$

$$k^2 = -\omega^2 + \vec{k}^2$$

$\partial \partial E$  2nd order:

$$\phi = A(k) \psi_1 + B(k) \psi_2$$

Near  $z \rightarrow 0$  (boundary):

$$\phi = A(k) z^{d-\Delta} + \dots + B(k) z^{\Delta} + \dots$$

(Frobenius expansion)

$$\Delta = \frac{d}{2} + \nu \quad \nu = \sqrt{\frac{d^2}{4} + m^2 L^2}$$

$\nu$  real for  $m^2 L^2 \geq -d^2/4$  (BF bound)

AdS inner product:

$$(\phi_1, \phi_2) = -i \int_{\Sigma_t} dz d^d x \sqrt{-g} g^{tt} (\phi_1^* \partial_t \phi_2 - \phi_2 \partial_t \phi_1^*)$$



For  $m^2 L^2 \geq -\frac{d^2}{4} + 1$  :

$\varphi_1 \sim z^{d-\Delta}$  non-normalizable modes  
w.r.t. inner product

$\varphi_2 \sim z^\Delta$  normalizable ones

The non-normalizable term is a source for  $\mathcal{O}(x)$  in the boundary action:

$$S_{\text{DM}} \rightarrow S_{\text{DM}} + \int d^d x J(x) \mathcal{O}(x)$$

with  $J(x) \equiv A(x)$

$$J(x) = \phi|_{z=0} = \lim_{z \rightarrow 0} z^{\Delta-d} \phi(z, x)$$

Normalizable modes : quantization  
in curved space-time (see Birrell-  
Davies)

$\Rightarrow$  Hilbert space (bulk) =  $\mathcal{H}$ -space

of the boundary theory

$\Rightarrow$  normalizable modes  $\rightarrow$   
states in the boundary theory

One can show:  $\langle \mathcal{O}(x) \rangle_J = 2\sqrt{B(x)}$ .