

The Dirac equation

Non-relativ. electron (with spin) in external field with  $A^\mu = (\Phi, \vec{A})$  obeys Pauli eq:

$$i\hbar \frac{\partial \psi}{\partial t} = \left[ \frac{(\hat{\vec{p}} - \frac{e}{c} \vec{A})^2}{2m} - \mu \vec{\sigma} \cdot \vec{B} + e\Phi \right] \psi,$$

where  $\vec{B} = \text{curl } \vec{A}$ ,  $\vec{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ ,

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

are Pauli matrices,  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$  is a

two-component spinor:

$$\hat{S}_z = \frac{\hbar}{2} \sigma_z \quad \left\{ \begin{array}{l} \hat{S}_z \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \hat{S}_z \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\frac{\hbar}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{array} \right.$$

$$\sigma_i \sigma_j = \delta_{ij} + i \epsilon_{ijk} \sigma_k$$

$$\sigma_i \sigma_j + \sigma_j \sigma_i = 2 \delta_{ij}$$

Need Lorentz-covar. eq. with  $\frac{\partial \psi}{\partial t}$  10-2

$$i\hbar \frac{\partial \psi}{\partial t} = \frac{\hbar c}{i} \left( \alpha_1 \frac{\partial \psi}{\partial x^1} + \alpha_2 \frac{\partial \psi}{\partial x^2} + \alpha_3 \frac{\partial \psi}{\partial x^3} \right) + \beta m c^2 \psi \equiv \hat{H}_D \psi. \quad (*)$$

$\alpha_i, \beta$  cannot be numbers (otherwise the eq. is not even rot. invar.)  $\Rightarrow$  matrices  
 $\alpha_i \alpha_j \neq \alpha_j \alpha_i$

Conditions:

1. need to recover  $E^2 = p^2 c^2 + m^2 c^4$
2. prob. interpr. of  $\psi$  and continuity eq:  $\partial \rho / \partial t + \text{div } \vec{j} = 0$
3. eq should be Lorentz-covariant
4.  $\hat{H}$  must be Hermitian

$$\psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_N \end{pmatrix}$$

10-3

Condition 1 satisfied if each  $\psi_\alpha$  satisfies the KG eq

$$-\hbar^2 \frac{\partial^2 \psi_\alpha}{\partial t^2} = (-\hbar^2 c^2 \nabla^2 + m^2 c^4) \psi_\alpha, \quad \alpha = 1, \dots, N$$

Square the eq:  $i\hbar \partial_t \psi = \hat{H}_D \psi$   
 $-\hbar^2 \partial_{tt}^2 \psi = i\hbar \hat{H}_D \frac{\partial \psi}{\partial t} = \hat{H}_D^2 \psi$

$$-\hbar^2 \frac{\partial^2 \psi}{\partial t^2} = -\hbar^2 c^2 \sum_{i,j=1}^3 \frac{\alpha_j \alpha_i + \alpha_i \alpha_j}{2} \frac{\partial^2 \psi}{\partial x^i \partial x^j} +$$

$$+ \frac{\hbar m c^3}{i} \sum_{i=1}^3 (\alpha_i \beta + \beta \alpha_i) \frac{\partial \psi}{\partial x^i} + \beta^2 m^2 c^4 \psi$$

$\Rightarrow$  KG for  $\psi_\alpha$  if

$$\alpha_i^2 = \mathbb{1}, \quad \beta^2 = \mathbb{1}, \quad \alpha_i \alpha_k + \alpha_k \alpha_i = 2\delta_{ik}$$

$$\alpha_i \beta + \beta \alpha_i = 0$$

$\Rightarrow$  eigenvalues of  $\alpha_i, \beta$  are  $\pm 1$ .

Prove  $\rightarrow$

Indeed,  $\alpha_i \varphi = \lambda \varphi$

$$\alpha_i^2 \varphi = \lambda \alpha_i \varphi = \lambda^2 \varphi$$

$\parallel$

$\varphi$

$\Rightarrow \lambda^2 = 1$  but  $\alpha_i$  Hermitian  $\Rightarrow$  eigenvalues real  $\Rightarrow \lambda = \pm 1$ . Same for  $\beta$ .

Also,  $\text{tr} \alpha_i = 0$ ,  $\text{tr} \beta = 0$ .

Indeed:  $\alpha_i = -\beta \alpha_i \beta$

$$\text{tr} \alpha_i = -\text{tr}(\beta \alpha_i \beta) = -\text{tr} \alpha_i$$

Since  $\text{tr} \alpha_i = \sum_{p=1}^N \lambda_p = +1 + 1 \dots -1 -1$

$\Rightarrow$   $N$  even,  $N > 2$  ( $N=2$  only 3 Pauli matrices, need 4).

$N=4$ : we can take e.g.

$$\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \text{ and } \beta = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix}$$

Why  $N=4$ ?

10-4'

$$\alpha_i^2 = \mathbb{1} \quad \beta^2 = \mathbb{1}$$

$$\alpha_i \alpha_k + \alpha_k \alpha_i = 2 \delta_{ik}$$

$\alpha_i, \beta$ : Hermitian

$$\alpha_i \beta + \beta \alpha_i = 0$$

We know:  $\text{tr } \alpha_i = 0$ ,  $\text{tr } \beta = 0$ ,  $N$ -even.

$N=2$

Can take Pauli matrices as  $\alpha_i$ ,  $i=1,2,3$ .  
Need to find  $\beta$ .

Matrices  $\{\sigma_i, \mathbb{1}_{2 \times 2}\}$  form a basis  $\Rightarrow$   
any Hermitian  $2 \times 2$  matrix can be  
represented as

$$\beta = a_0 \mathbb{1}_{2 \times 2} + a_1 \sigma_1 + a_2 \sigma_2 + a_3 \sigma_3$$

\* exercise: check that conditions

$$\text{tr } \beta = 0, \quad \sigma_i \beta + \beta \sigma_i = 0 \Rightarrow \beta \equiv 0,$$

(i.e.  $a_0 = a_1 = a_2 = a_3 = 0$ ).

$\Rightarrow$  cannot find non-trivial  $\beta$  with  
 $N=2$ .

Digression: Division algebras over  $\mathbb{R}$  ~~9-4-11~~ 10-4-11

$$\mathbb{K} = \{\mathbb{R}, \mathbb{C}, \mathbb{H}, \mathbb{O}\} \quad (\text{Hurwitz, 1923})$$

Division algebra:  $z_1, z_2 \in \mathbb{K}$

The eq.  $z_1 z_2 = 0 \Rightarrow z_1 = 0$  or  $z_2 = 0$   
and

Not "always true": e.g.  $\vec{a} \times \vec{b} = 0$   
or  $\vec{a} \cdot \vec{b} = 0$  allow non-trivial solut.

Quaternions: generaliz. of  $z = x + iy$

$$q = a + b\hat{i} + c\hat{j} + d\hat{k}$$

with  $i^2 = j^2 = k^2 = -1$   $ijk = -1$ .

( $\mathbb{R}^4$  for  $q$  as  $\mathbb{R}^2$  for  $z \in \mathbb{C}$ )  
(and  $\mathbb{R}^8$  for  $\mathbb{O}$ .)

Hamilton, 1843 but also Rodrigues  
and Gauss earlier.

Pauli matrices.

$$N=4$$

10-4<sup>th</sup>

Can check explicitly that all conditions are satisfied with

$$\beta = \begin{pmatrix} \mathbb{1}_{2 \times 2} & 0 \\ 0 & -\mathbb{1}_{2 \times 2} \end{pmatrix} \quad \alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}$$

Note: this repres. is irreducible.

Reducible rep. = all matrices are in the form

$$R = \begin{pmatrix} R_1 & 0 & 0 \dots \\ 0 & R_2 & 0 \dots \\ \dots & \dots & \dots \end{pmatrix}$$

i.e. for  $\psi' = R\psi$ ,  $\psi \in V$ , the space  $V$  splits into invar. subspaces  $V = V_1 \oplus V_2 \oplus \dots$  which transform into themselves (do not mix).

How to check if a finite-dim rep. is irreducible?

Schur's lemma (one of them):

If  $\{A_1, \dots\}$  is an irreducible rep. <sup>10-4 111</sup>  
of an algebra (group) in  $V$ ,  $\dim V < \infty$ ,  
then the only matrix commuting with  
all  $A_i$  is  $M = \lambda \mathbb{1}$ ,  $\lambda = \text{number}$ .

\* check that the  $N=4$  rep. of Clifford algebra is irreducible.

All  $N > 4$  repres. are reducible.



Introduce  $\psi^\dagger = (\psi_1^*, \dots, \psi_4^*)$ .

Multiply (\*) by  $\psi^\dagger$  from the left:

$$i\hbar \psi^\dagger \frac{\partial \psi}{\partial t} = \frac{\hbar c}{i} \sum_{k=1}^3 \psi^\dagger \alpha_k \frac{\partial \psi}{\partial x^k} + mc^2 \psi^\dagger \beta \psi$$

Now take the eq. Hermitian-conjugate to \* and multiply by  $\psi$  from the right:

$$-i\hbar \frac{\partial \psi^\dagger}{\partial t} \psi = -\frac{\hbar c}{i} \sum_{k=1}^3 \frac{\partial \psi^\dagger}{\partial x^k} \alpha_k \psi + mc^2 \psi^\dagger \beta \psi$$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} (\psi^\dagger \psi) = -i\hbar c \sum_{k=1}^3 \frac{\partial}{\partial x^k} (\psi^\dagger \alpha_k \psi)$$

i.e.  $\frac{\partial \rho}{\partial t} + \text{div} \vec{j} = 0$ ,

$$\rho = \psi^\dagger \psi, \quad j^k = c \psi^\dagger \alpha_k \psi.$$

Covariant form of the Dirac eq. : 10-6

$$i\hbar \frac{\partial \psi}{\partial t} = \frac{\hbar c}{i} \left( \alpha_1 \frac{\partial \psi}{\partial x_1} + \alpha_2 \frac{\partial \psi}{\partial x_2} + \alpha_3 \frac{\partial \psi}{\partial x_3} \right) + \beta mc^2 \psi$$

Multiply by  $\beta/c$ , use  $\gamma^0 \equiv \beta$ ,  $\gamma^i \equiv \beta \alpha_i$

$$\Rightarrow i\hbar \gamma^\mu \frac{\partial \psi}{\partial x^\mu} - mc \psi = 0$$

$$\text{or } (i\hbar \not{\partial} - mc) \psi = 0 \quad \text{or } \left( i\not{\partial} - \frac{mc}{\hbar} \right) \psi = 0$$

Note:  $\boxed{\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu} \cdot \mathbb{1}}$

$(\gamma^i)^2 = -\mathbb{1}, (\gamma^0)^2 = \mathbb{1}$

With  $p^\mu = i\hbar \frac{\partial}{\partial x_\mu}$  :

$$(\gamma^\mu p_\mu - mc) \psi = 0$$

$$\text{or } (\not{p} - mc) \psi = 0.$$

Can take:

$$\gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix}$$

In an external electromagnetic field: <sup>10-7</sup>

$$P_{\mu} \rightarrow P_{\mu} - \frac{e}{c} A_{\mu}$$

$$\Rightarrow \left[ \gamma^{\mu} \left( P_{\mu} - \frac{e}{c} A_{\mu} \right) - mc \right] \psi = 0$$

Obvious interest: hydrogen-like atoms

$$e\phi = -Ze^2/r, \quad \vec{A} = 0$$

The eq. in this case can be solved exactly

(Charles G. Darwin, 1928; W. Gordon, 1928):

$$E_{n,j} = mc^2 \left[ 1 + \frac{\alpha^2}{\left( n - j - \frac{1}{2} + \left[ \left( j + \frac{1}{2} \right)^2 - \alpha^2 \right]^{\frac{1}{2}} \right)^2} \right]^{-\frac{1}{2}}$$

$$\approx mc^2 \left[ 1 - \frac{\alpha^2}{2n^2} - \frac{\alpha^4}{2n^4} \left( \frac{n}{j + 1/2} - \frac{3}{4} \right) + \dots \right]$$

$$j = l + s = l \pm 1/2$$

# The spin of Dirac particle

S-1  
10-7'

Consider  $S_i = -\frac{i}{4} \epsilon_{ijk} \alpha_j \alpha_k$

Note:  $S_i^\dagger = S_i$ .

Exercise: show that

1.  $[\alpha_i \alpha_k, \alpha_j] = -2 \delta_{ij} \alpha_k + 2 \delta_{kj} \alpha_i$  OK

2.  $[S_i, \alpha_j] = -\frac{i}{4} \epsilon_{ikl} [\alpha_k \alpha_l, \alpha_j] =$   
 $= i \epsilon_{ije} \alpha_e$  OK

3.  $[S_i, S_j] = i \epsilon_{ijk} S_k$

For  $\beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}$

we have  $S_i = \frac{1}{2} \begin{pmatrix} \sigma_i & 0 \\ 0 & \sigma_i \end{pmatrix}$ ,  $\hat{S}_i = \hbar S_i$ .

and  $\vec{S}^2 = S_1^2 + S_2^2 + S_3^2 = \frac{1}{2} \left( \frac{1}{2} + 1 \right) \hbar^2$ . S-2  
10-7''

Operator of spin:  $\hat{S}_i = \frac{\hbar}{2} \begin{pmatrix} \sigma_i & 0 \\ 0 & \sigma_i \end{pmatrix}$ .

Introduce  $J_i = L_i + S_i$  ( $L = \vec{r} \times \vec{p}$ )

\* exercise: for  $\hat{H}_D = c \vec{\alpha} \cdot \vec{p} + mc^2 \beta$  show

$$[L_i, H_D] = \cancel{0} - c \alpha_k [p_k, L_i] = ic \epsilon_{ijk} \alpha_j p_k$$

$$[S_i, H_D] = c p_k [S_i, \alpha_k] = ic \epsilon_{ijk} \alpha_k p_j$$

$$\Rightarrow \boxed{[J_i, H_D] = 0} \quad \left( \begin{array}{l} \text{Note: } S_i \text{ alone} \\ \text{and } L_i \text{ alone do} \\ \text{not commute with } H_D \end{array} \right)$$

Note:  $[J_i, J_j] = i \epsilon_{ijk} J_k$  (same algebra as  $L_i$  and  $S_i$ ).

We have  $[J_z, H_D] = 0$   $[\vec{J}^2, H_D] = 0$

$\Rightarrow$  can take common eigenfunctions

$$\text{of } \left\{ \begin{array}{l} H_D \psi_{E,j,M} = E \psi_{E,j,M} \\ J_z \psi_{E,j,M} = M \psi_{E,j,M} \\ \vec{J}^2 \psi_{E,j,M} = j(j+1) \psi_{E,j,M} \end{array} \right. \quad (10-7''')$$

Instead of  $Y_{lm}(\theta, \varphi)$  will appear spin spherical harmonics  $Y_{l,s,j,M}(\theta, \varphi)$ .

### Helicity

Note that  $\hat{S}_i$  do not commute with  $\hat{H}_D$ .

We can introduce another operator - a projection of  $\vec{S}$  on  $\vec{p}$ : Helicity.

In momentum space,

$$\hat{H}_D = c \alpha_i \hat{p}_i + mc^2 \beta$$

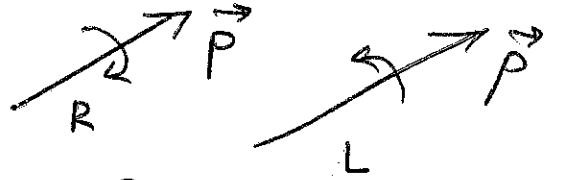
$$\text{Here } \psi(\vec{p}) = \int e^{-i\vec{p}\vec{r}/\hbar} \psi(\vec{r}) d^3x$$

- Fourier repres. of  $\psi(\vec{r})$ .

Consider operator  $\Lambda$  in momentum space: 5-4  
10-7<sup>III</sup>

$$\Lambda = \frac{\vec{S} \cdot \vec{p}}{|\vec{p}|} = \vec{S} \cdot \vec{n}, \quad \text{where } \vec{n} = \frac{\vec{p}}{|\vec{p}|},$$

unit vector along  $\vec{p}$ .



exercise: show  $[\hat{\Lambda}, \hat{H}_D] = 0$ .

Note:

$$\Lambda^2 = \frac{1}{4} \begin{pmatrix} (\vec{\sigma} \cdot \vec{n})(\vec{\sigma} \cdot \vec{n}) & 0 \\ 0 & (\vec{\sigma} \cdot \vec{n})(\vec{\sigma} \cdot \vec{n}) \end{pmatrix}$$

exercise: show that  $\sigma_i \sigma_j = \delta_{ij} + i \epsilon_{ijk} \sigma_k$  for Pauli matrices. Then show that for vectors  $\vec{A}, \vec{B}$  the following eq. holds:

$$(\vec{A} \cdot \vec{\sigma})(\vec{B} \cdot \vec{\sigma}) = A_i B_j \sigma_i \sigma_j = \vec{A} \cdot \vec{B} + i \epsilon_{ijk} A_i B_j \sigma_k.$$

Therefore,

$$\Lambda^2 = \frac{1}{4} \mathbb{1}_{4 \times 4}$$

$\Rightarrow$  eigenvalues of  $\hat{\Lambda}$  are  $\pm 1/2$ .

Note:  
Helicity of a massive particle is frame-dep.

# Transformation properties of Dirac wavefunction ①

10-7-V

Consider Lorentz transf:

$$\left\{ \begin{array}{l} ct' = ct \cosh \eta - x \sinh \eta \\ x' = x \cosh \eta - ct \sinh \eta \\ y' = y \\ z' = z \end{array} \right.$$

Here  $\eta = \text{artanh } \beta = \text{artanh } v/c$ :

$\eta = \underline{\text{rapidity}}$

Any 4-vector should transform in the same way.

For  $j'^{\mu} = (cp, \vec{j})$  we have

$$\left\{ \begin{array}{l} cp' = cp \cosh \eta - j_x \sinh \eta \\ j_x' = j_x \cosh \eta - cp \sinh \eta \\ j_y' = j_y \\ j_z' = j_z \end{array} \right.$$



In Dirac's theory,

(2)

$$\rho = \psi^\dagger \psi = \psi_1^* \psi_1 + \dots + \psi_4^* \psi_4$$

10-7-VI

$$j_x = c \psi^\dagger \alpha_1 \psi = c (\psi_1^* \psi_4 + \psi_2^* \psi_3 + \psi_3^* \psi_2 + \psi_4^* \psi_1)$$

~~WAWA~~ Indeed:

$$\alpha_1 = \begin{pmatrix} 0 & \sigma_1 \\ \sigma_1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\psi^\dagger \alpha_1 \psi = (\psi_1^* \dots \psi_4^*) \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} =$$

$$= (\psi_1^* \dots \psi_4^*) \begin{pmatrix} \psi_4 \\ \psi_3 \\ \psi_2 \\ \psi_1 \end{pmatrix} = \psi_1^* \psi_4 + \psi_2^* \psi_3 + \psi_3^* \psi_2 + \psi_4^* \psi_1$$

$$\text{So, } \psi'^\dagger \psi' = \psi^\dagger \psi \cosh \eta - \psi^\dagger \alpha_1 \psi \sinh \eta$$

$$\psi'^\dagger \alpha_1 \psi' = \psi^\dagger \alpha_1 \psi \cosh \eta - \psi^\dagger \psi \sinh \eta$$

$$\psi'^{\dagger} \psi' = \psi^{\dagger} (\mathbb{1} \cosh y - \alpha_1 \sinh y) \psi = \quad \textcircled{3} \quad 10-7-VII$$

$$= \psi^{\dagger} e^{-y \alpha_1} \psi.$$

Indeed, 
$$e^{-y \alpha_1} = \mathbb{1} - y \alpha_1 + \frac{\alpha_1^2}{2!} y^2 + \frac{\alpha_1 \alpha_1^2}{3!} y^3$$

$$= \mathbb{1} \left( 1 + \frac{y^2}{2!} + \frac{y^4}{4!} + \dots \right) - \alpha_1 \left( y - \frac{y^3}{3!} + \dots \right)$$

$$= \mathbb{1} \cosh y - \alpha_1 \sinh y. \quad (\alpha_1^2 = \mathbb{1})$$

(In general:

$$e^{-y \alpha_1} = \cosh y \alpha_1 - \sinh y \alpha_1 = \cosh y - \alpha_1 \sinh y)$$

Similarly,

$$\psi'^{\dagger} \alpha_1 \psi' = \psi^{\dagger} (\alpha_1 \cosh y - \sinh y) \psi =$$

$$= \psi^{\dagger} \alpha_1 e^{-y \alpha_1} \psi.$$

These eqs can be satisfied with (4)

$$\begin{cases} \psi' = e^{-\frac{\gamma}{2}\alpha_1} \psi \\ \psi'^{\dagger} = \psi^{\dagger} e^{-\frac{\gamma}{2}\alpha_1} \end{cases} \quad 10-7-VIII$$

$$\left( \begin{array}{l} \text{Note that: } \alpha_1 e^{-\frac{\gamma}{2}\alpha_1} = e^{-\frac{\gamma}{2}\alpha_1} \alpha_1, \\ \alpha_2 e^{-\frac{\gamma}{2}\alpha_1} = e^{\frac{\gamma}{2}\alpha_1} \alpha_2. \end{array} \right)$$

Note that  $\psi$  transform NOT as vectors (with  $\gamma$ ) or tensors (with  $2\gamma$ ) but

with  $\gamma/2$ . Such objects are called spinors (tensors of "half-rank").

Now consider rotations. E.g. around z axis on angle  $\varphi$ :

$$\begin{cases} j'_x = j_x \cos \varphi + j_y \sin \varphi \\ j'_y = j_y \cos \varphi - j_x \sin \varphi \\ j'_z = j_z \end{cases}$$

We can check that in this case  $\textcircled{5}$

10-7-1X

$$\left\{ \begin{array}{l} \psi' = e^{i\frac{\Sigma_3}{\hbar} \frac{\varphi}{2}} \psi \\ \psi'^{\dagger} = \psi^{\dagger} e^{-i\frac{\Sigma_3}{\hbar} \frac{\varphi}{2}} \end{array} \right.$$

$$\Sigma_3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$$

ex: show this.

Covariance of the Dirac eq.

$$i\gamma^{\mu} \frac{\partial \psi}{\partial x^{\mu}} - \frac{mc}{\hbar} \psi(x) = 0.$$

Consider  $x \rightarrow x' = \Lambda x$  (Lor. transf.)

The eq. is covar. if

$$i\gamma^{\mu} \frac{\partial \psi'(x')}{\partial x'^{\mu}} - \frac{mc}{\hbar} \psi'(x') = 0$$

Assume  $\psi'(x') = S(\Lambda) \psi(x)$ , find  $S$ .

Note  $\frac{\partial x'^{\mu}}{\partial x^{\nu}} = \Lambda^{\mu}_{\nu}$ ,  $\frac{\partial}{\partial x'^{\mu}} = \frac{\partial x^{\nu}}{\partial x'^{\mu}} \frac{\partial}{\partial x^{\nu}}$

$$i\Lambda^{\mu}_{\nu} \gamma^{\nu} \frac{\partial}{\partial x^{\mu}} S \psi - \frac{mc}{\hbar} S(\Lambda) \psi(x) = 0$$

i.e. we get  $i\gamma^\mu \frac{\partial \psi}{\partial x^\mu} - \frac{mc}{\hbar} \psi = 0$

(6)

if  $S^{-1}(\Lambda) \Lambda^{-1 \mu}{}_\nu \gamma^\mu S = \gamma^\nu$

10-7-x

or  $S(\Lambda) \gamma^\nu S^{-1}(\Lambda) = \Lambda^{-1 \nu}{}_\mu \gamma^\mu$

$S(\Lambda)$  can be found by considering infinitesimal Lor. transf. It has the form

$$S(\Lambda) = e^{-\frac{i}{4} \sigma_{\alpha\beta} \omega^{\alpha\beta}}$$

where  $\sigma_{\alpha\beta} = \frac{i}{2} [\gamma_\alpha, \gamma_\beta]$ ,  $\omega^{\alpha\beta}$  are parameters of Lor. transf. such as  $\gamma$  or  $\varphi$ .

For example,  $i\sigma_{01} = \alpha_1$  and

$$S = e^{-\frac{\gamma}{2} \alpha_1}$$

for a boost along  $x$  direction.

Remark: different bases for  $\gamma^\mu$  (7)

$$i\gamma^\mu \partial_\mu \psi - \frac{mc}{\hbar} \psi = 0$$

10-7-XI

Can transform  $\psi \rightarrow \psi' = U\psi$

$$\gamma^\mu \rightarrow \tilde{\gamma}^\mu = U\gamma^\mu U^{-1}$$

with  $\det U \neq 0 \Rightarrow$  the Dirac eq. will not change. Moreover, the relation

$$\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$$

remains unchanged. We want  $\tilde{\gamma}^\mu$  to remain Hermitian  $\Rightarrow U$  is unitary.

Indeed:  $\tilde{\gamma}^{\mu\dagger} = \tilde{\gamma}^\mu$  implies

$$\tilde{\gamma}^{\mu\dagger} = \underline{(U^{-1})^\dagger \gamma^{\mu\dagger} U^\dagger} = \tilde{\gamma}^\mu = \underline{U\gamma^\mu U^{-1}}$$

$$\Rightarrow U^\dagger = U^{-1} \quad (\text{since } \gamma^{\mu\dagger} = \gamma^\mu)$$

or  $UU^\dagger = \mathbb{1}$  (unitary matrices).

Using this freedom, can get convenient representations for  $\gamma^{10-7-XII}$  <sup>(8)</sup> matrices and different "types" of spinors  $\psi$  (e.g. real ones - called Majorana spinors or the 2 two-component pairs transforming separately under Lor. transform. : they realize  $(\frac{1}{2}, 0)$  and  $(0, \frac{1}{2})$  repres. of Lor. group - need both to include space inversions).

# Non-relativistic limit

10-8

Stationary solutions  $\psi(\vec{r}, t) = e^{-i\varepsilon t/\hbar} \psi(\vec{r})$

$$\Rightarrow \hat{H} \psi(\vec{r}) = \varepsilon \psi(\vec{r})$$

$$\text{Let } \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \begin{pmatrix} \varphi \\ \chi \end{pmatrix}, \quad \varphi \equiv \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix},$$

$$\chi = \begin{pmatrix} \psi_3 \\ \psi_4 \end{pmatrix}.$$

$$\text{Then: } \begin{cases} \varepsilon \varphi = c \vec{\sigma} \cdot \hat{\vec{p}} \chi + mc^2 \varphi \\ \varepsilon \chi = c \vec{\sigma} \cdot \hat{\vec{p}} \varphi - mc^2 \chi \end{cases}$$

$$\text{i.e. } A \begin{pmatrix} \varphi \\ \chi \end{pmatrix} = 0$$

$$A = \begin{pmatrix} mc^2 - \varepsilon & c \vec{\sigma} \cdot \hat{\vec{p}} \\ c \vec{\sigma} \cdot \hat{\vec{p}} & -(mc^2 + \varepsilon) \end{pmatrix}$$

$\det A = 0 \Leftrightarrow$  nontrivial solutions



$$\Rightarrow \epsilon = \pm \sqrt{p^2 c^2 + m^2 c^4}$$

10-9

(use  $(\vec{\sigma} \cdot \vec{A})(\vec{\sigma} \cdot \vec{B}) = \vec{A} \cdot \vec{B} + i \vec{\sigma} \cdot (\vec{A} \times \vec{B})$  to show this).

$$\text{Now, } \chi = \frac{c \vec{\sigma} \cdot \vec{p}}{mc^2 + \epsilon} \psi$$

If in a given ref. frame the motion is non-relativ.,  $\epsilon = \pm (mc^2 + E)$ ,  $E \ll mc^2$ .

For sol. with  $\epsilon > 0$

$$|\chi| = \left| \frac{c \vec{\sigma} \cdot \vec{p}}{mc^2 + \epsilon} \psi \right| \sim \left| \frac{p}{2mc} \psi \right| \ll |\psi|$$

For sol. with  $\epsilon < 0$

$$|\chi| = \left| \frac{c \vec{\sigma} \cdot \vec{p}}{mc^2 - |\epsilon|} \psi \right| \sim \left| \frac{2mc}{p} \psi \right| \gg |\psi|$$

$\Rightarrow$  in non-rel. case, 2 out of 4 components are small.

Stationary solutions in external field 10-10

$$A^\mu = (\Phi, \vec{A}):$$

$$(\varepsilon - e\Phi - mc^2) \varphi = c \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \chi$$

$$(\varepsilon - e\Phi + mc^2) \chi = c \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \varphi$$

With  $\varepsilon = mc^2 + E$ :

$$(E - e\Phi) \varphi = c \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \chi$$

$$(E - e\Phi + 2mc^2) \chi = c \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \varphi$$

For  $|E| \ll mc^2$ ,  $|e\Phi| \ll mc^2$ , the sec.

eq:

$$\chi = \frac{\vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A})}{2mc} \varphi + \dots \rightarrow \text{into first}$$

$$\Rightarrow (E - e\Phi) \varphi = \frac{1}{2m} \left[ \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \vec{\sigma} \cdot (\vec{p} - \frac{e}{c} \vec{A}) \right] \varphi$$

Use the identity above to show

$$(E - e\phi)_p = \frac{(\vec{p} - \frac{e}{c}\vec{A})^2}{2m} \varphi - \frac{e\hbar}{2mc} \vec{\sigma} \cdot \vec{B} \varphi, \quad 10-11$$

$$\vec{B} = \text{curl } \vec{A}$$

For non-rel. spinor  $\varphi \sim e^{-iEt/\hbar}$ :

$$i\hbar \frac{\partial \varphi}{\partial t} = \left[ \frac{(\vec{p} - \frac{e}{c}\vec{A})^2}{2m} + e\phi - \mu_0 \vec{\sigma} \cdot \vec{B} \right] \varphi,$$

where  $\mu_0 = \frac{e\hbar}{2mc}$ . (This is Pauli eq.)

- Dirac eq (with min. coupling to electromagnetic field) gives  $\mu_0 = \frac{e\hbar}{2mc}$
- The value of  $\mu_0$  is (almost) correct for the electron but for other particles with spin  $1/2$  corrections due to interactions with other fields are significant.
- For electron, expect corrections due

to QED (Lamb shift, self-inter. etc) <sup>10-12</sup>

$\Rightarrow$  can be computed in QED, agrees with experiment.

• Non-minimal coupling can change the value of  $\mu_0$ . For example, the term  $\lambda\beta [\gamma^\mu, \gamma^\nu] F_{\mu\nu} \psi$  can be added to  $\hat{H}_D$ .<sup>\*</sup> This term is compatible with all symmetries. In this sense, Dirac theory does not fix the value of  $\mu_0$ .

This is further clarified in Quantum Field Theory.

<sup>\*</sup> Pointed out by W. Pauli.