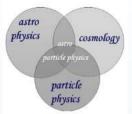
ASTROPARTICLE



Hilary 2021



PHYSICS



Oxford Master Course in Mathematical and Theoretical Physics

The universe observed Relativistic world models Reconstructing the thermal history Dark matter: astrophysical observations Dark matter: relic particles Dark matter: direct detection Dark matter: indirect detection Cosmic rays in the Galaxy Antimatter in cosmic rays Ultrahigh energy cosmic rays High energy cosmic neutrinos The early universe: constraints on new physics The early universe: baryo/leptogenesis The early universe: inflation & the primordial density perturbation Cosmic microwave background & large-scale structure

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http://www-thphys.physics.ox.ac.uk/user/SubirSarkar/astropartphys.html

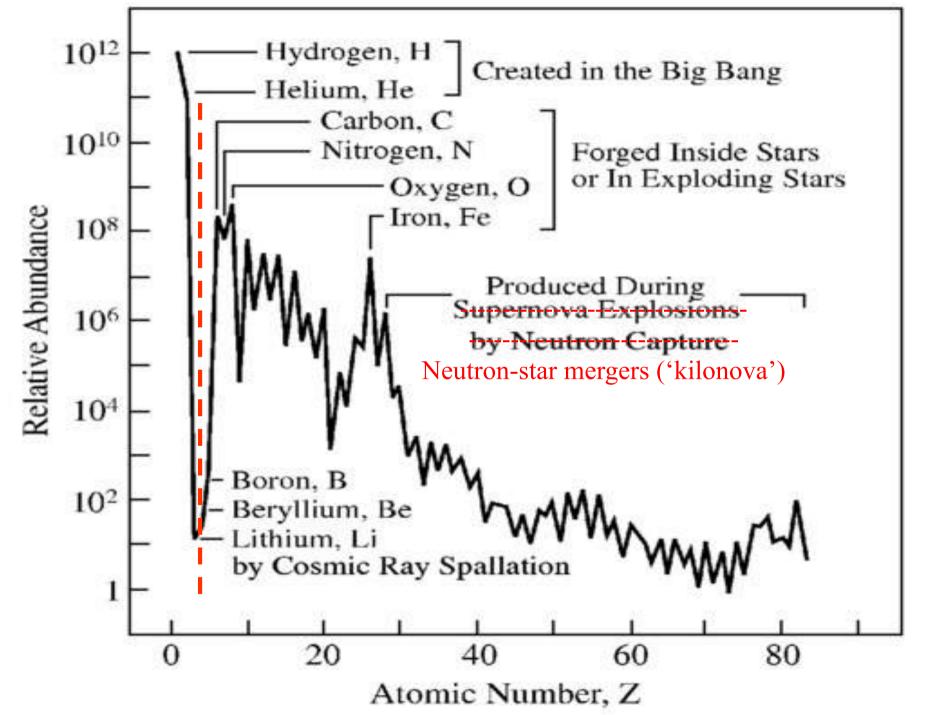
The universe is made mainly of hydrogen (~75%) and helium (~25%) + traces of heavier elements

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CHEMIS

element names in red are gases at room temperature element names in black are solids at room temperature

WHERE DID ALL THE ELEMENTS COME FROM?



George Gamow is generally credited with having founded the theory of primordial nucleosynthesis and, as a corollary, predicted the temperature of the relic radiation

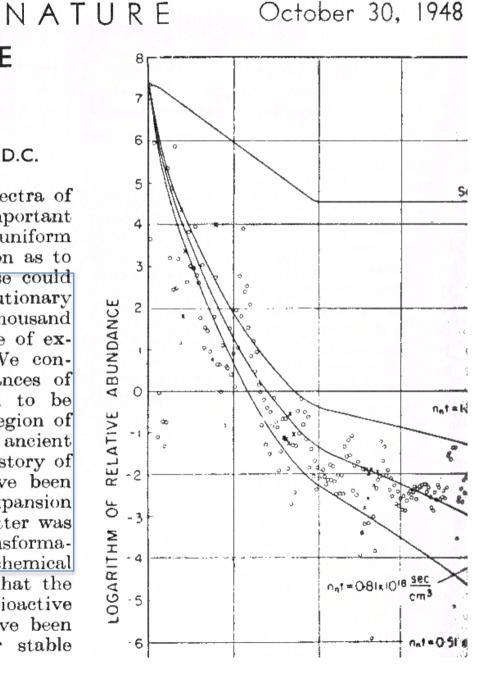
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THE EVOLUTION OF THE UNIVERSE

By DR. G. GAMOW

George Washington University, Washington, D.C.

THE discovery of the red shift in the spectra of L distant stellar galaxies revealed the important fact that our universe is in the state of uniform expansion, and raised an interesting question as to whether the present features of the universe could be understood as the result of its evolutionary development, which must have started a few thousand million years ago from a homogeneous state of extremely high density and temperature. We conclude first of all that the relative abundances of various atomic species (which were found to be essentially the same all over the observed region of the universe) must represent the most ancient archæological document pertaining to the history of the universe. These abundances must have been established during the earliest stages of expansion when the temperature of the primordial matter was still sufficiently high to permit nuclear transformations to run through the entire range of chemical elements. It is also interesting to notice that the observed relative amounts of natural radioactive elements suggest that their nuclei must have been formed (presumably along with all other stable



The real story is that while Gamow had brilliant ideas, he could not calculate too well, so enlisted the help of graduate student Ralph Alpher and posdoc Robert Herman

Thermonuclear Reactions in the Expanding Universe

R. A. ALPHER AND R. HERMAN Applied Physics Laboratory,* The Johns Hopkins University, Silver Spring, Maryland

AND

G. A. GAMOW The George Washington University, Washington, D. C. September 15, 1948



I T has been shown in previous work¹⁻³ that the observed relative abundances of the elements can be explained satisfactorily by consideration of the building up of nuclei by successive neutron captures during the early stages of the expanding universe. Because of the radioactivity of

¹ R. A. Alpher, H. A. Bethe, and G. A. Gamow, Phys. Rev. 73, 803 (1948).

² R. A. Alpher, Phys. Rev. (in press).

³ R. A. Alpher and R. C. Herman, Phys. Rev. (in press).

1) was published on 1 April 1948 ... including Bethe (who had nothing to do with it) - but leaving out Herman because he "stubbornly refused to change his name to Delter"!

Physical Conditions in the Initial Stages of the Expanding Universe^{*,†}

RALPH A. ALPHER, JAMES W. FOLLIN, JR., AND ROBERT C. HERMAN Applied Physics Laboratory, The Johns Hopkins University, Silver Spring, Maryland (Received September 10, 1953)

The detailed nature of the general nonstatic homogeneous isotropic cosmological model as derived from general relativity is discussed for early epochs in the case of a medium consisting of elementary particles and radiation which can undergo interconversion. The question of the validity of the description afforded by this model for the very early super-hot state is discussed. The present model with matter-radiation interconversion exhibits behavior different from non-interconverting models, principally because of the successive freezing-in or annihilation of various constituent particles as the temperature in the expanding universe decreased with time. The numerical results are unique in that they involve no disposable parameters which would affect the time dependence of pressure, temperature, and density.

The study of the elementary particle reactions leads to the time dependence of the proton-neutron concentration ratio, a quantity required in problems of nucleogenesis. This ratio is found to lie in the range $\sim 4.5:1-\sim 6.0:1$ at the onset of nucleogenesis. These results differ from those of Hayashi mainly as a consequence of the use of a cosmological model with matter-radiation interconversion and of relativistic quantum statistics, as well as a different value of the neutron half-life.

The modern theory of primordial nucleosynthesis is based essentially on this paper ... which followed the crucial observation by Hayashi (Prog.Theoret.Phys.**5**:224,1950) that **neutrons and protons were in chemical equilibrium in the hot early universe**

Alpher's achievement was recognised belatedly when he was awarded the US National Medal of Science in 2005: "For his unprecedented work in the areas of nucleosynthesis, for the prediction that universe expansion leaves behind background radiation, and for providing the model for the Big Bang theory" WEAK INTERACTIONS AND NUCLEAR REACTIONS IN EXPANDING, COOLING UNIVERSE (Hayashi 1950, Alpher, Follin & Herman 1953, Peebles 1966, Wagoner, Fowler & Hoyle 1967)

Dramatis personae:

Radiation (dominates) Matter baryon-to-photon ratio (only free parameter)

Initial conditions: T >> 1 MeV, $t \ll 1$ s

n-p weak equilibrium: neutron-to-proton ratio:

Weak freeze-out:
$$T_{\rm f} \sim 1$$
 MeV, $t_{\rm f} \sim 1$ s which fixes:

Deuterium bottleneck: $T \sim 1 \rightarrow 0.07$ MeV D created by but destroyed by high-E photon tail: so nucleosynthesis halted until:

$$egin{aligned} &\gamma, e^{\pm}, 3
u ar{
u} \ &n, p \ &n_{
m B}/n_{\gamma} \equiv \eta \simeq 2.74 imes 10^{-8} \Omega_{
m B} h^2 \end{aligned}$$

1/3

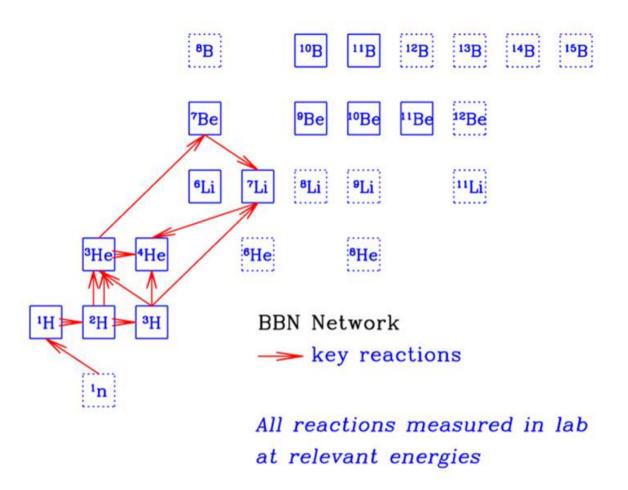
$$n + v_e \Leftrightarrow p + e$$
$$p + v_e \Leftrightarrow n + e^+$$

$$\tau_{\text{weak}}(n \Leftrightarrow p) \ge t_{\text{universe}} \Rightarrow T_{\text{freeze-out}} \sim \left(\frac{G_N}{G_F^2}\right)^{1/5}$$
$$n/p = e^{-(m_n - m_p)/T_{\text{f}}} \approx 1/6$$
$$np \to D\gamma$$

 $D\gamma \rightarrow np'$ $T_{\rm nuc} \sim \Delta_{\rm D}/-\ln(\eta)$

Element synthesis: $T_{nuc} \sim 0.07$ MeV, $t_{nuc} \sim 3$ min (meanwhile $n/p \rightarrow 1/7$ through neutron β -decay) nearly all $n \rightarrow {}^{4}\text{He}$ (Y_P~25% by mass) + left-over traces of D, ${}^{3}\text{He}$, ${}^{7}\text{Li}$ (with ${}^{6}\text{Li}/{}^{7}\text{Li} \sim 10^{-5}$)

No heavier nuclei formed in standard, homogeneous hot Big Bang ... must wait for stars to form after a ~billion years and synthesise all the other nuclei in the universe (s-process, r-process, ...)

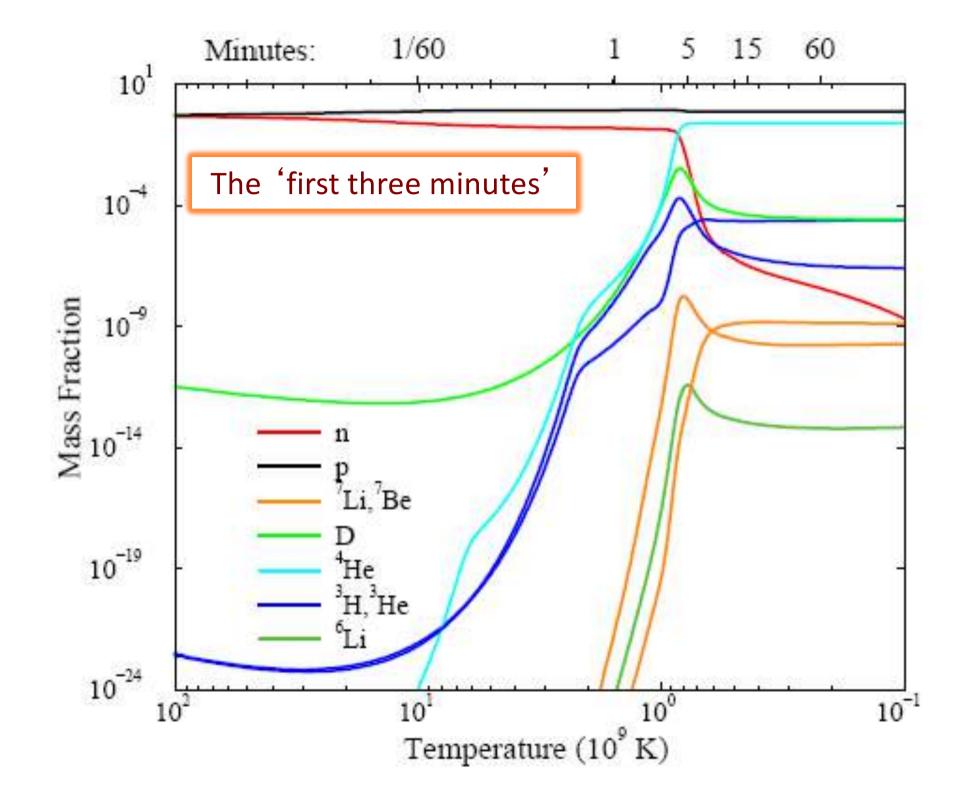


* Computer code by Wagoner (1969, 1973) .. updated by Kawano (1992)

* Coulomb & radiative corrections, v heating et cetera (Dicus et al 1982)

Nucleon recoil corrections (Seckel 1993)

* Covariance matrix of correlated uncertainties (Fiorentini et al 1998)



• Time < 15 s, Temperature > $3 \times 10^9 \text{ K}$

 – universe is soup of protons, electrons and other particles ... so hot that nuclei are blasted apart by high energy photons as soon as they form

• Time = 15 s, Temperature = $3 \times 10^9 \text{ K}$

-Still too hot for Deuterium to survive

-Cool enough for Helium to survive, but too few building blocks

• Time = 3 min, Temperature = 10⁹ K

- -Deuterium survives and is quickly fused into He
- no stable nuclei with 5 or 8 nucleons, and this restricts formation of elements heavier than Helium
- -trace amounts of Lithium are formed
- Time = 35 min, Temperature = $3 \times 10^7 \text{ K}$

 –nucleosynthesis essentially complet (still hot enough to fuse He, but density too low for appreciable fusion)

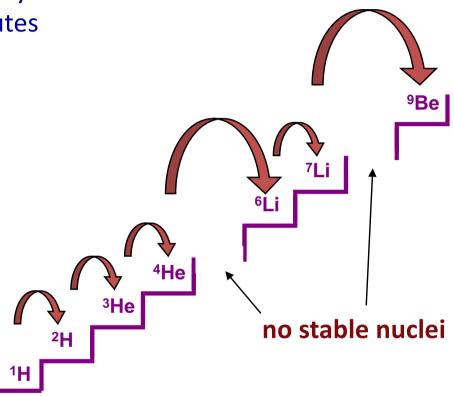
Model makes predictions about the relative abundances of the light elements ²H, ³He, ⁴He and ⁷Li, as a function of the nucleon density

PRIMODIAL VERSUS STELLAR NUCLEOSYNTHESIS

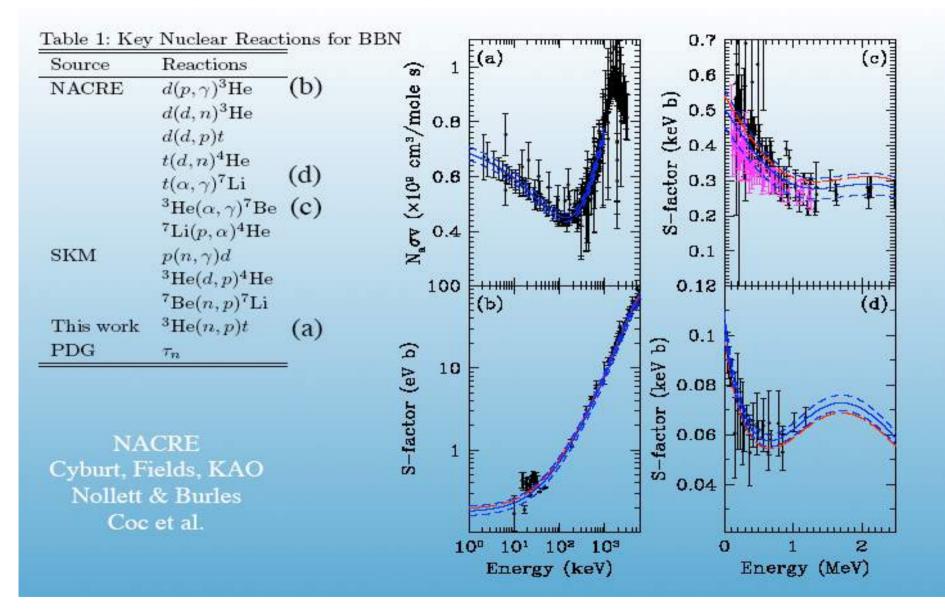
Timescale

- Stellar Nucleosynthesis (SN): billions of years
- Primordial Nucleosynthesis (PN): minutes
- Temperature evolution
 - SN: slow increase over time
 - PN: rapid cooling
- Density
 - SN: 100 g/cm³
 - PN: 10⁻⁵ g/cm³ (like air!)
- Photon to baryon ratio
 - SN: less than 1 photon per baryon
 - PN: billions of photons per baryon

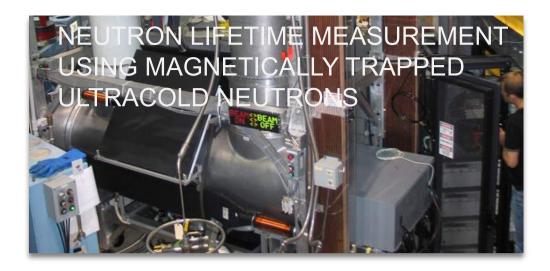
The lack of stable elements with masses 5 and 8 make it hard for BBN (2-body processes, short time-scale) to synthesise elements beyond helium ... this can be happen only in stars, on a (much) longer timescale



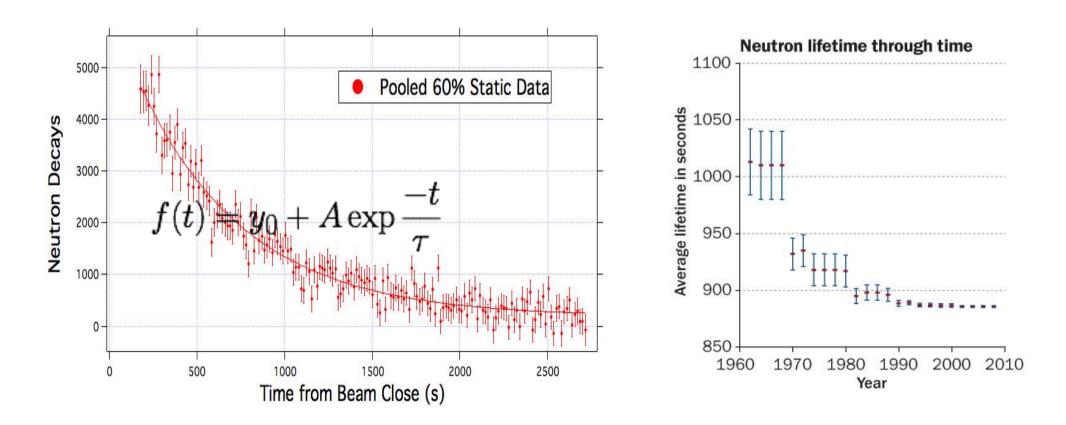
The neutron lifetime normalises the "weak" interaction rate: $\tau_n = 880.0 \pm 0.9$ s (has recently dropped in value by ~5 σ because of *one* new measurement!)



Uncertainties in synthesized abundances are *correlated* ... estimate using Monte Carlo (Smith, Kawano, Malaney 1993; Krauss, Kernan 1994; Cyburt, Fields, Olive 2004)



The neutron lifetime cannot be accurately computed theoretically (even knowing the weak interaction coupling $G_{\rm F}$ very well) because there are corrections due to the strong interactions (which alter $g_{\rm A}/g_{\rm V}$ away from unity) .. so it has to be measured experimentally



Linear propagation of errors \rightarrow **covariance matrix** (in *agreement* with Monte Carlo results)

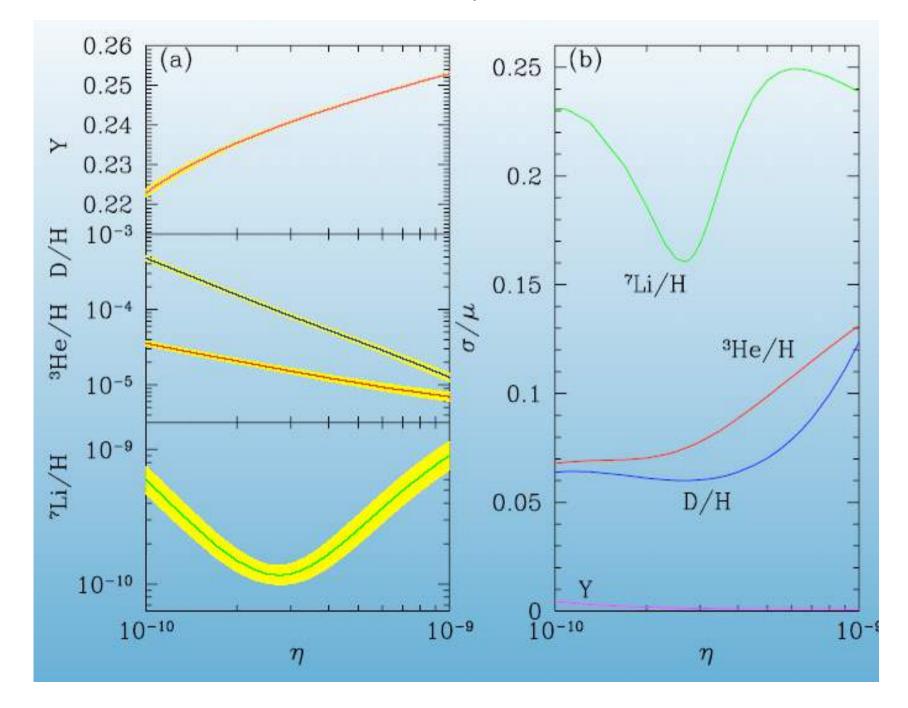
$$Y_{i} = Y_{i}(\eta) \pm \sigma_{i}(\eta) \implies \delta Y_{i}(\eta) = Y_{i}(\eta) \sum_{k} \lambda_{ik}(\eta) \sum_{k} \lambda_{ik}(\eta) \frac{\delta R_{k}}{R_{k}}, \quad \lambda_{ik}(\eta) = \frac{\partial \ln Y_{i}(\eta)}{\partial \ln R_{k}(\eta)}$$

$$\sigma_{ij}^{2}(\eta) = Y_{i}(\eta) Y_{j}(\eta) \sum_{k} \lambda_{ik}(\eta) \lambda_{jk}(\eta) \left(\frac{\Delta R_{k}}{R_{k}}\right)^{2} \implies \sigma_{i}(\eta) = \sqrt{\sigma_{ii}^{2}(\eta)}, \quad \rho_{ij}(\eta) = \frac{\sigma_{ij}^{2}(\eta)}{\sigma_{i}(\eta)\sigma_{j}(\eta)\sigma_{j}(\eta)}$$
Big Bang Nucleosynthesis – Error Components
at $\eta = 5.13 \times 10^{-10}$

$$\int_{0}^{0} \int_{0}^{0} \int_{0}^{0} \int_{0}^{1} \int_{0}^{1} \int_{0}^{0} \int_{0}^{1} \int_{0}^{1} \int_{0}^{1} \int_{0}^{0} \int_{0}^{1} \int_{0}^{1}$$

BBN PREDICTIONS

line widths \Rightarrow theoretical uncertainties (neutron lifetime, nuclear #-sections)

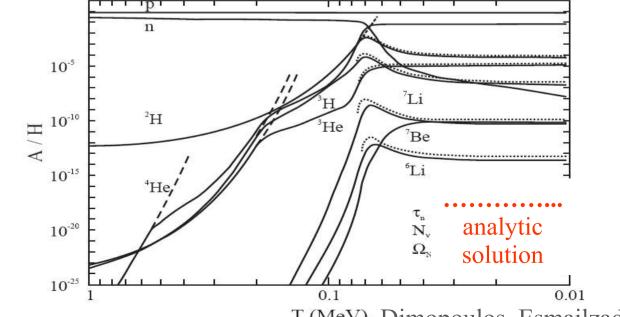


NUCLEOSYNTHESIS WITHOUT A COMPUTER

$$\frac{\mathrm{d}X}{\mathrm{d}t} = J(t) - \Gamma(t)X \implies X^{\mathrm{eq}} = \frac{J(t)}{\Gamma(t)} \quad \dots \text{ but general solution is:}$$

$$X(t) = \exp\left(-\int_{t_{\mathbf{i}}}^{t} \mathrm{d}t' \ \Gamma(t')\right) \left[X(t_{\mathbf{i}}) + \int_{t_{\mathbf{i}}}^{t} \mathrm{d}t' \ J(t') \ \exp\left(-\int_{t_{\mathbf{i}}}^{t} \mathrm{d}t'' \ \Gamma(t'')\right)\right]$$

If $\left|\frac{\dot{J}}{J} - \frac{\dot{\Gamma}}{\Gamma}\right| \ll \Gamma$... then abundances approach equilibrium values Freeze-out occurs when: $\Gamma \simeq H \implies X(t \to \infty) \simeq X^{\text{eq}}(t_{\text{fr}}) = \frac{J(t_{\text{fr}})}{\Gamma(t_{\text{fr}})}$



Examine reaction network to identify the largest 'source' and 'sink' terms

obtain D, ³He and ⁷Li to within a factor of ~2 of exact numerical solution, and ⁴He to within a few %

T (MeV) Dimopoulos, Esmailzadeh, Hall, Starkman, ApJ 378:504,1991 ... can use this formalism to determine *joint* dependence of abundances on expansion rate as well as baryon-to-photon ratio

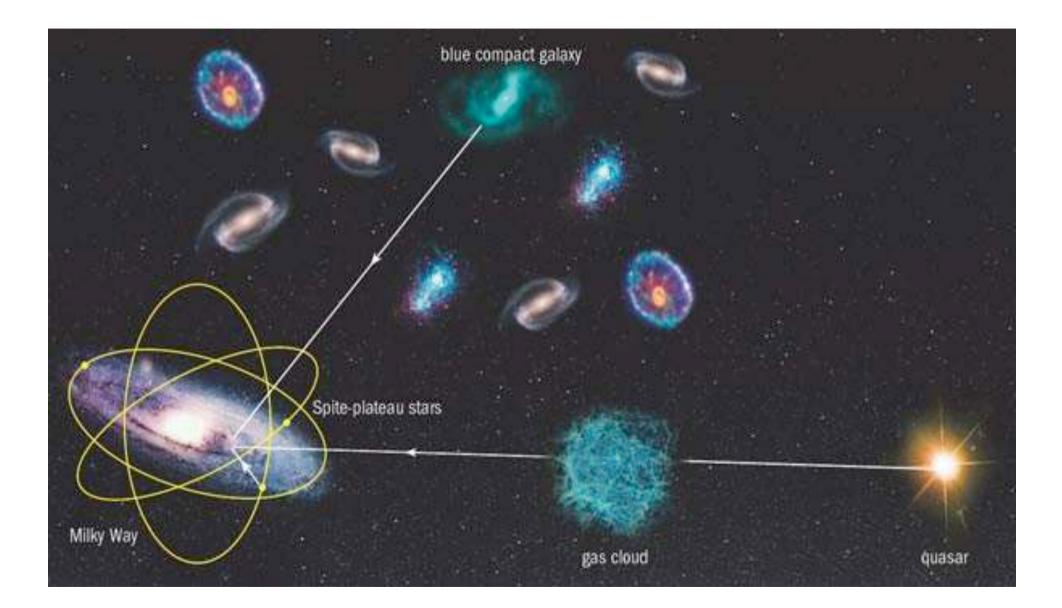
$$\frac{\mathrm{d}Y_i}{\mathrm{d}t} \propto \eta \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T \quad \text{and} \quad dT/dt \propto -T^3 \sqrt{g_\star} \quad \text{so:}$$
$$\frac{\mathrm{d}Y_i}{\mathrm{d}T} \propto -\frac{\eta}{g_\star^{1/2}} T^{-3} \sum_{+,-} Y \times Y \times \langle \sigma v \rangle_T \implies \log \eta - \frac{1}{2} \log g_\star = \mathrm{const}$$

... can therefore employ simple χ^2 statistics to determine best-fit values and uncertainties (*faster* than Monte Carlo + Maximum Likelihood)

$$S_{ij}^{2}(\eta) = \sigma_{ij}^{2}(\eta) + \overline{\sigma_{ij}^{2}} \qquad \overline{\sigma_{ij}^{2}} = \delta_{ij}\overline{\sigma_{i}} \overline{\sigma_{j}} \qquad W_{ij}(\eta) = [S_{ij}^{2}(\eta)]^{-1}$$
$$\chi^{2}(\eta) = \sum_{ij} \left[Y_{i}(\eta) - \overline{Y_{i}} \right] W_{ij}(\eta) [Y_{j}(\eta) - \overline{Y_{j}}]$$

Lisi, Sarkar, Villante, Phys.Rev.D59:123520,1999

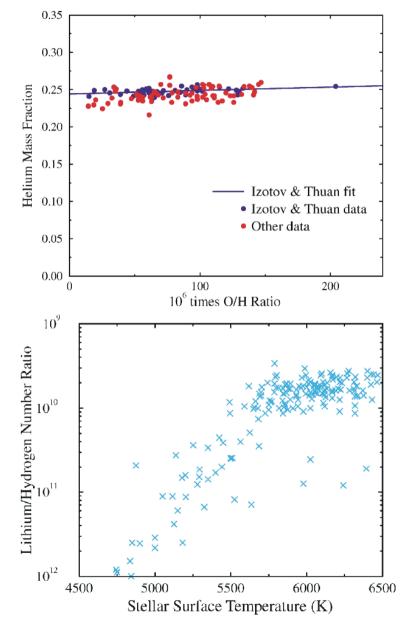
INFERRING PRIMORDIAL ABUNDANCES



OBSERVATIONS OF THE LIGHT ELEMENTS HE AND LI

Helium Abundance

- measured in extragalactic HII regions with lowest observed abundances of heavier elements such as Oxygen and Nitrogen
 (i.e. smallest levels of contamination from stellar nucleosynthesis)
- Lithium Abundance
 - -measured in halo Pop II stars
 - Lithium is easily destroyed hence observe the transition from low mass stars (low surface temp) whose core material is well mixed by convection, to higher mass stars (higher surface temp) where mixing of core is not efficient



For a quantity of such fundamental cosmological importance, relatively *little* effort has been spent on measuring the primordial helium abundance

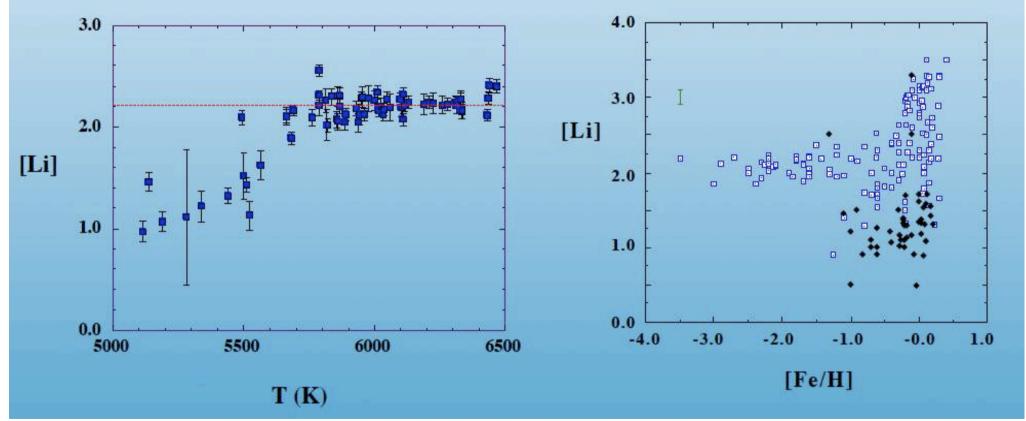
• 0.228 ± 0.005	Pagel etal
	S II densities
 0.244 ± 0.002 	Izotov etal
	"self consistent"
 0.238 ± 0.002 	Fields & KAO
	S II densities
• 0.234 ± 0.003	Peimbert etal
	"self consistent"
(the latter is based on a sin	gle careful measurement of
$Y = 0.240 \pm 0.002$ for the tensor of t	ne SMC at [O/H] =8)
 0.2384 ± 0.0025 	Peimbert etal
	"self consistent"
 0.2421 ± 0.0021 	Izotov etal
	"self consistent"
 0.2491 ± 0.0091 	KAO & Skillman
	"self consistent"

Recent reevaluations (e.g. Aver *et al*, JCAP 07:011,2015, Izotov *et al*, MNRAS 445:778,2014) are consistent with $Y_P = 0.245 \pm 0.003$

PRIMORDIAL LITHIUM

Observe in primitive (Pop II) stars: (most abundant isotope is ⁷Li) - Li-Fe correlation⇒ mild evolution

- Transition from low mass/surface temp stars (core well mixed by convection) to higher mass/temp stars (mixing of core is not efficient)



'Plateau' at low Fe (high T) \Rightarrow constant abundance at early epochs ... so *infer* observed '⁷Li plateau' is primordial (Spite & Spite 1982)

Look in Quasar AbsorptionSystems low density clouds of gas seen in absorption along the lines of sight to distant quasars (when universe was only ~10% of its present age)

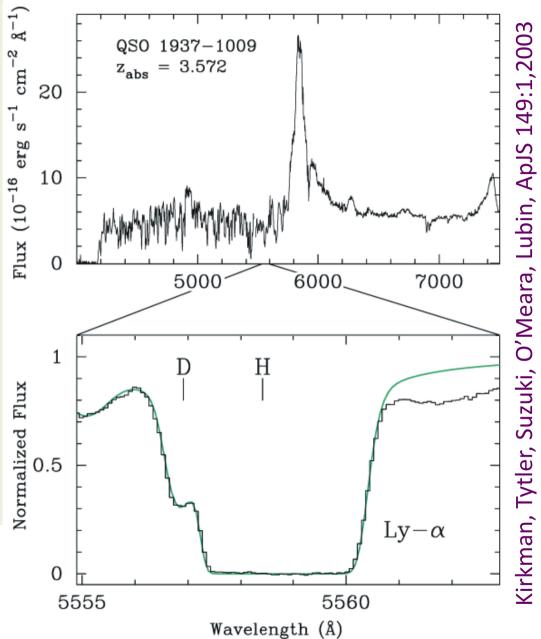
The difference between H and D nuclei causes a *small* change in the energies of electron transitions, shifting their absorption lines apart and enabling D/H to be measured

$$E_{\text{Ly}-\alpha} \sim \alpha^2 \mu_{\text{reduced}}$$
$$\frac{\delta \lambda_{\text{D}}}{\lambda_{\text{H}}} = -\frac{\delta \mu_{\text{D}}}{\mu_{\text{H}}} = -\frac{m_e}{2m_{\mu}}$$
$$c\delta z = 82 \text{ km/s}$$

But:

- Hard to find clean systems
- Do not resolve clouds
- Dispersion/systematics?

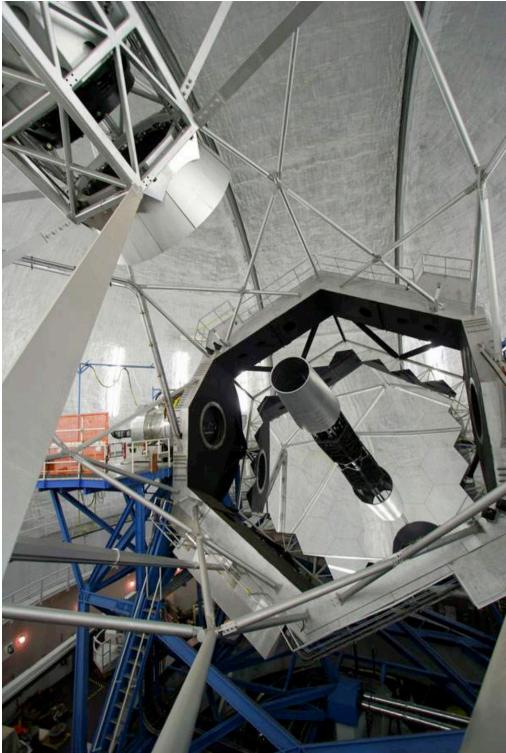




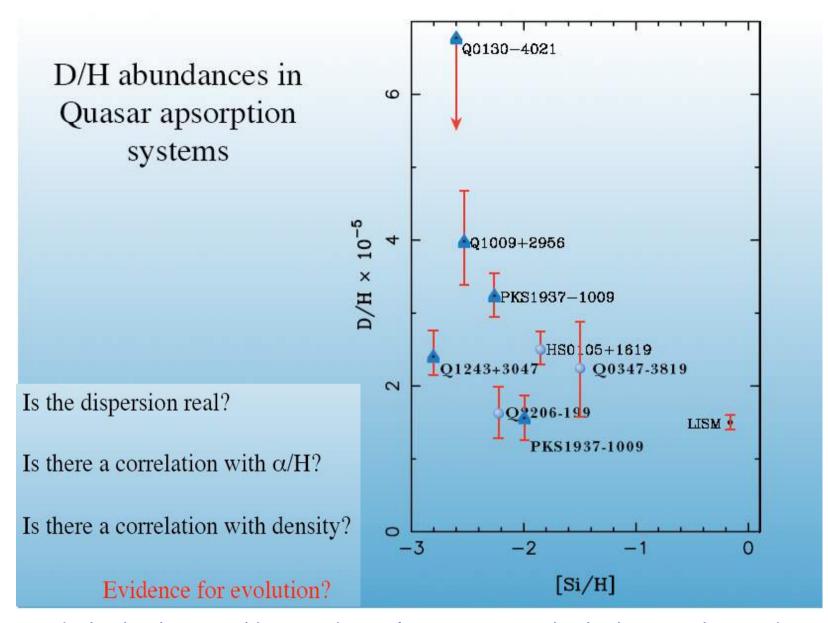
W. M. KECK OBSERVATORY

Spectra with the necessary resolution for such distant objects *can* be obtained with 10 m class telescopes ... this has revolutionised the determination of the primordial D abundance





The observed scatter is *not* consistent with fluctuations about an average value!



Progress made by looking at 'damped Ly-a' systems in which the H column density can be precisely measured and *many* resolved D absorption lines are seen – leading to a determination of log(D/H) = -4.597 \pm 0.006 (Cooke & Pettini, MNRAS **425**:1244,2012) **INFERRED** PRIMORDIAL ABUNDANCES

⁴He observed in extragalactic HII regions: $Y_{\rm P} = 0.245 \pm 0.003$ ²H observed in quasar absorption systems (and ISM): $D/H/_{P} = (2.569 \pm 0.027) \times 10^{-5}$ ⁷Li observed in atmospheres of dwarf halo stars: $Li/H/_{P} = (1.6 \pm 0.3) \times 10^{-10}$

(³He can be both created & destroyed in stars ... so primordial abundance *cannot* be reliably estimated)

Systematic errors have been re-evaluated based on scatter in data (Particle Data Group, Phys.Rev.D98:030001,2018)



BB

BBN VERSUS CMB

 $\eta_{\rm BBN}$ is in agreement with $\eta_{\rm CMB}$ allowing for large uncertainties in the *inferred* abundances $5.8 < \eta_{10} < 6.6 (95\% \text{ CL})$

Confirms and sharpens the case for (two kinds of) dark matter

Baryonic Dark Matter: warm-hot IGM, Ly-α , X-ray gas +

Non-baryonic dark matter: ?

Constrains the Hubble expansion rate at $t \sim 1$ s \Rightarrow bounds on new particles

The Hubble e at $t \sim 1$ s ew particles H_{i}^{-10}

baryon density $\Omega_{\rm b}h^2$

There is a "lithium problem" possibly indicative of non-standard physics

0.27

0.26

0.25

0.24

0.23

10-3

 10^{-4}

D/H

THE COSMIC MICROWAVE BACKGROUND

 ΔT_ℓ provide *independent* measure of $\Omega_{
m b} h^2$

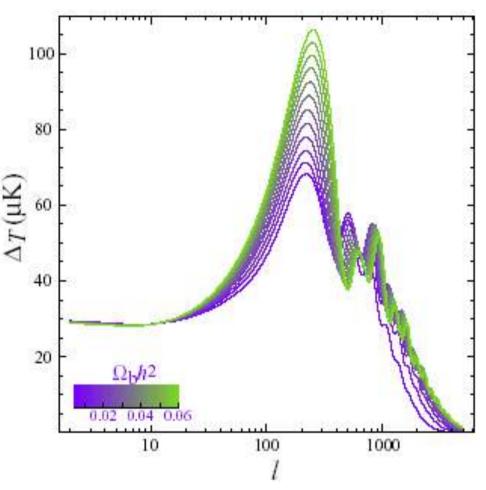
Acoustic oscillations in (coupled) photon-baryon fluids imprint features at small angles (< 1) in CMB angular power spectrum

Detailed peak positions, heights, ... sensitive to cosmological parameters e.g. 2nd/1st peak ratio ⇒ baryon density

e.g. Planck best-fit: $\Omega_{\rm b}h^2 = 0.0223 \pm 0.0002$ $\Rightarrow \eta_{10} = 6.09 \pm 0.06$ (NB: degeneracies with e.g. $n_{\rm s}, \tau \dots$)

Bond & Efstathiou, ApJ **285**:L45,1984 Dodelson & Hu, ARAA **40**:171,2002

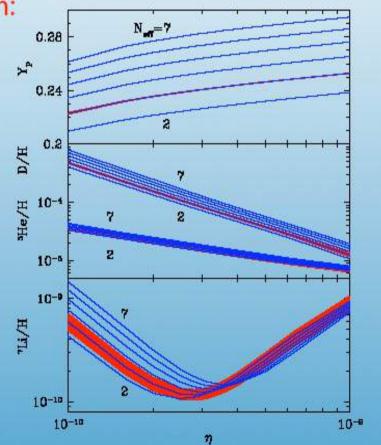
NB: The CMB measure of the baryon-to-photon ratio is at $t \sim 400,000$ yr, cf. $t \sim 1$ s for BBN, so the two should agree only if there has been no dissipation of energy in between



Limits on Particle Properties

- BBN Concordance rests on balance between interaction rates and expansion rate.
- Allows one to set constraints on:

 Particle Types
 Particle Interactions
 0.28
 - Particle Masses
 - Fundamental Parameters



EXAMPLE: "NEUTRINO" COUNTING

Element abundances sensitive to expansion history during BBN

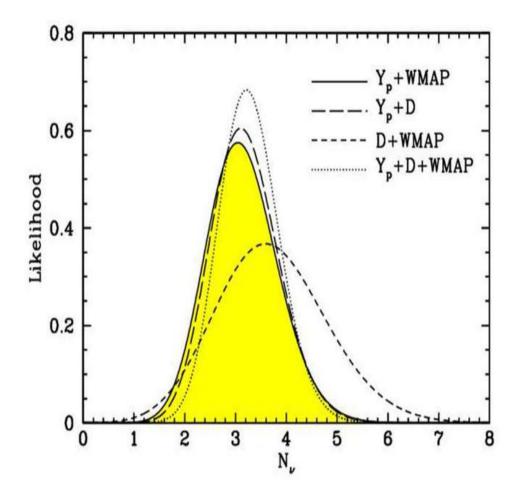
 $\Rightarrow \text{observed values constrain} \\ \text{relativistic energy density} \\ \hline H^2 \sim G\rho_{\text{rel}} \quad \rho_{\text{rel}} \equiv \rho_{\text{EM}} + N_{\nu,_{\text{eff}}} \rho_{\nu\overline{\nu}} \\ \end{cases}$

(Hoyle & Taylor 1964, Peebles 1966; Shvartsman 1969; Steigman *et al* 1977)

Pre-CMB: ⁴He as probe, other elements give η $2.3 < N_v < 3.4$

With η from CMB:

- All abundances can be used
- ⁴He still sharpest probe $N_{\nu} = 2.88 \pm 0.16$



Cyburt et al, Rev.Mod.Phys.88:015004,2016

.... so a singlet neutrino (cf. LSND) is allowed

EXAMPLE: FUNDAMENTAL COUPLINGS

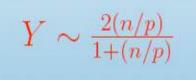
Constraints from balance of weak rates vs Hubble rate

 $G_F^2 T^5 \sim \Gamma(T_f) \sim H(T_f) \sim \sqrt{G_N N} T_f^2$

through He abunance

 $\frac{n}{p} \sim e^{-\Delta m/T}$

fixed at freezeout



Sets constraints on G_F, G_N, N, etc.

Note *n-p* mass difference is sensitive to both em and strong interactions, while freeze-out temp is sensitive to weak interactions and gravity, hence ⁴He abundance is *exponentially* sensitive to *all* coupling strengths

Conversely obtain bound of < few % on any additional contribution to energy density driving expansion ... e.g. rules out Λ of $O(H^2)$ always (since this would correspond to a large 'renormalisation' of G_N)

Limits on α from BBN

Contributions to Y come from n/p which in turn come from Δm_N

Contributions to Δm_N :

 $\Delta m_N \sim a \alpha_{em} \Lambda_{QCD} + b v$

Kolb, Perry, & Walker Campbell & Olive Bergstrom, Iguri, & Rubinstein

Changes in α , Λ_{QCD} , and/or vall induce changes in Δm_N and hence Y

 $\frac{\Delta Y}{Y} \simeq \frac{\Delta^2 m_N}{\Delta m_N} \sim \frac{\Delta \alpha}{\alpha} < 0.05$

If $\Delta \alpha$ arises in a more complete theory the effect may be greatly enhanced:

 $\frac{\Delta Y}{Y} \simeq O(100) \frac{\Delta \alpha}{\alpha}$ and $\frac{\Delta \alpha}{\alpha} < \text{few} \times 10^{-4}$

In fundamental theories e.g. string theory, the physical "constants" do vary with time ... but the BBN constraint says that this must have stopped before t ~ 0.1 s

EXAMPLE: BBN AND DECAYING PARTICLES

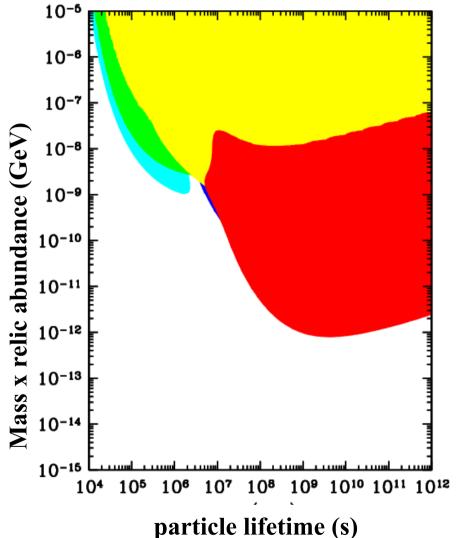
Extensions of the Standard Model predict new (typically) *unstable* particles, which would have been created (thermally) in the early Universe, e.g. TeV mass gravitinos in supergravity

$$\widetilde{G} \rightarrow \gamma \gamma$$
 $\tau_{3/2} \approx 4 \times 10^5 \text{ s} \left(\frac{m_{3/2}}{1 \text{ TeV}}\right)^{-3}$

(Weinberg 1982; Khlopov & Linde 1983; Ellis, Nanopoulos & Sarkar 1985; Reno & Seckel 1988)

The high energy photons would have photo-dissociated the synthesized elements \Rightarrow severe limits on the decaying particle abundance

This requires that *highest* temperature reached in our past (after inflation) was < 10⁸ GeV ... constraint on baryogenesis!



Ellis *et al*, Nucl.Phys.B**373**:399 1992, Cyburt *et al*, Phys.Rev.D**67**:103521,2003

SUMMARY

Observational inferences about the primordially synthesised abundances of D, ⁴He and ⁷Li presently provide the *deepest* probe of the Big Bang, based on an *established* physical theory

The overall concordance between the inferred primordial abundances of D and ⁴He with the predictions of the standard cosmology requires most of the matter in the universe to be *non*-baryonic, and places constraints on any deviations from the usual expansion history (e.g. new neutrinos)

Nucleosynthesis marked the beginning of the development of modern physical cosmology ... and it is still the final observational frontier as we 'look back' to the Big Bang

