

# **Universal Thermal Transport from Holography and Hydrodynamics**

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# Outline

- AdS/CMT and far from equilibrium dynamics
- Quenches and thermalization
- Recent work on heat flow between CFTs
- Exact results for average current and fluctuations in 1 + 1D
- Numerical simulations in lattice models
- Beyond integrability
- Potential for AdS/CFT to offer new insights
- Higher dimensions and non-equilibrium fluctuations
- Current status and future developments

M. J. Bhaseen, Benjamin Doyon, Andrew Lucas, Koenraad Schalm

*“Far from equilibrium energy flow in quantum critical systems”*

arXiv:1311.3655

# Progress in AdS/CMT

## Transport Coefficients

Viscosity, Conductivity, Hydrodynamics, Bose–Hubbard, Graphene

## Strange Metals

Non-Fermi liquid theory, instabilities, cuprates

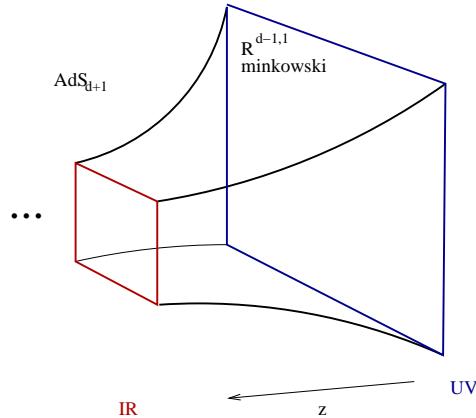
## Holographic Duals

Superfluids, Fermi Liquid,  $O(N)$ , Luttinger Liquid

**Equilibrium or close to equilibrium**

# AdS/CFT Correspondence

For an overview see for example John McGreevy, *Holographic duality with a view toward many body physics*, arXiv:0909.0518



**Generating function for correlation functions**

$$Z[\phi_0]_{\text{CFT}} \equiv \langle e^{- \int d\mathbf{x} dt \phi_0(\mathbf{x}, t) \mathcal{O}(\mathbf{x}, t)} \rangle_{\text{CFT}}$$

**Gubser–Klebanov–Polyakov–Witten**

$$Z[\phi_0]_{\text{CFT}} \simeq e^{-S_{\text{AdS}}[\phi]}|_{\phi \sim \phi_0 \text{ at } z=0}$$

$$\phi(z) \sim z^{d-\Delta} \phi_0(1 + \dots) + z^\Delta \phi_1(1 + \dots)$$

**Fields in AdS  $\leftrightarrow$  operators in dual CFT**       $\phi \leftrightarrow \mathcal{O}$

# Utility of Gauge-Gravity Duality

Quantum dynamics

Classical Einstein equations

Finite temperature

Black holes

**Real time** approach to finite temperature quantum dynamics in interacting systems, with the possibility of anchoring to  $1+1$  and generalizing to higher dimensions

**Non-Equilibrium    Beyond linear response**

Temporal dynamics in strongly correlated systems

Combine analytics with numerics

Dynamical phase diagrams

Organizing principles out of equilibrium

# Progress

## Simple protocols and integrability

Methods of integrability and CFT have been invaluable in classifying equilibrium phases and phase transitions in 1+1

**Do do these methods extend to non-equilibrium problems?**

## Quantum quench

Parameter in  $H$  abruptly changed

$$H(g) \rightarrow H(g')$$

System prepared in state  $|\Psi_g\rangle$  but time evolves under  $H(g')$

## Quantum quench to a CFT

Calabrese & Cardy, PRL **96**, 136801 (2006)

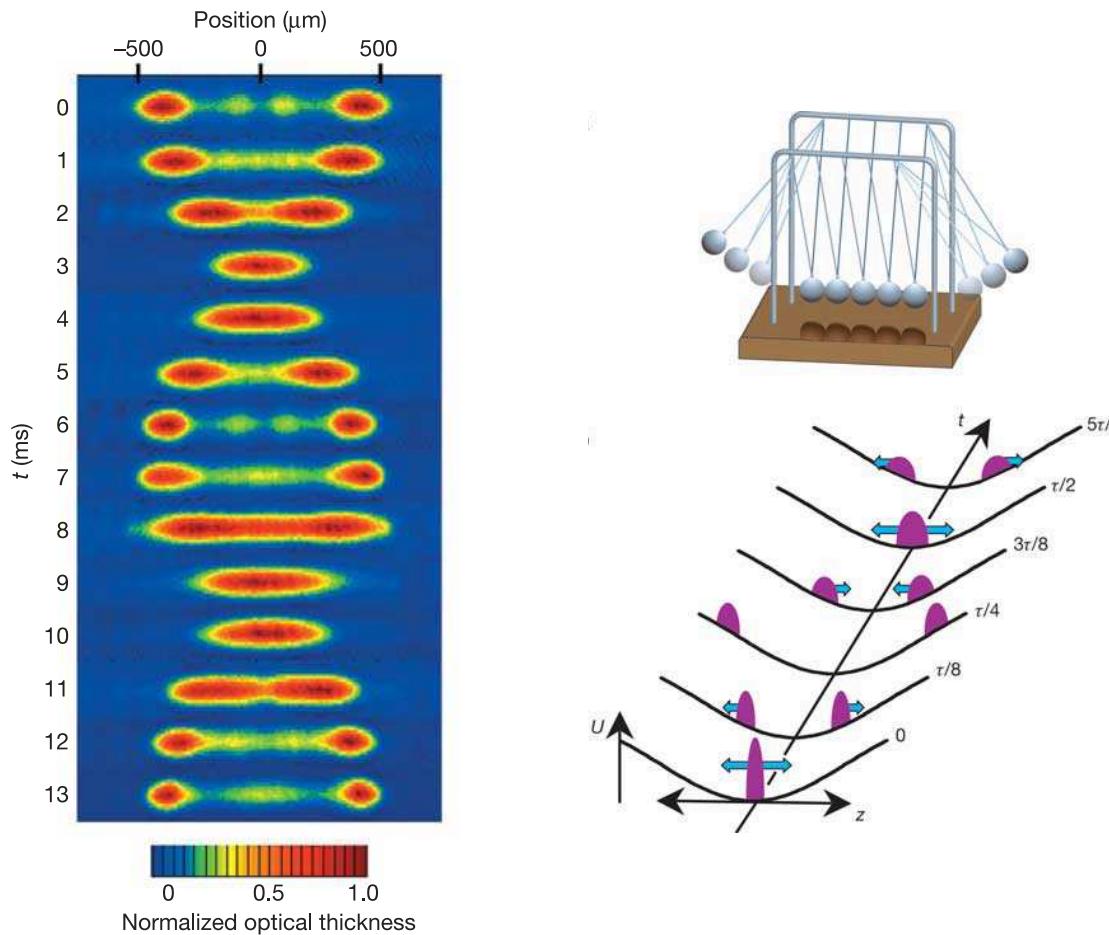
Spin chains, BCS, AdS/CFT ...

## Thermalization

# Experiment

Weiss *et al* “A quantum Newton’s cradle”, Nature 440, 900 (2006)

## Non-Equilibrium 1D Bose Gas

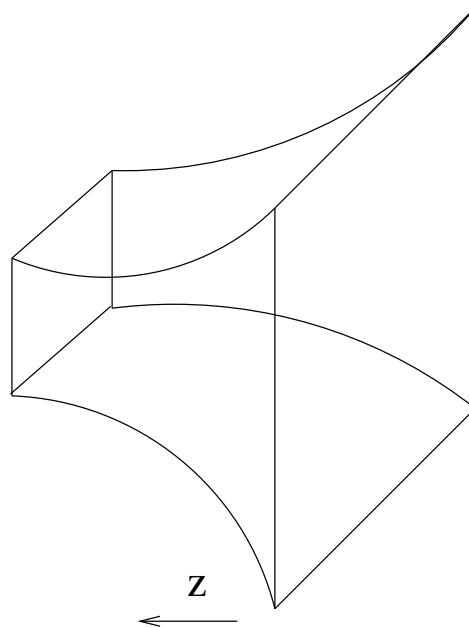


Integrability and Conservation Laws

# AdS/CFT

Heat flow may be studied within pure Einstein gravity

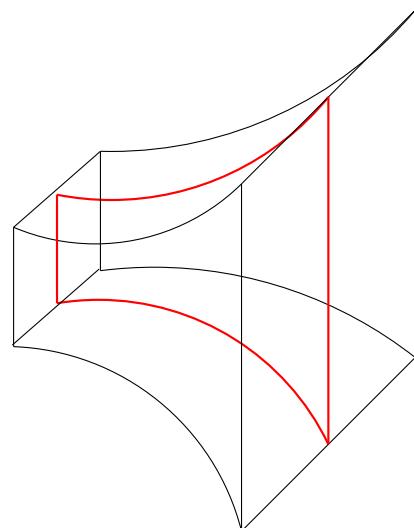
$$S = \frac{1}{16\pi G_N} \int d^{d+2}x \sqrt{-g}(R - 2\Lambda)$$



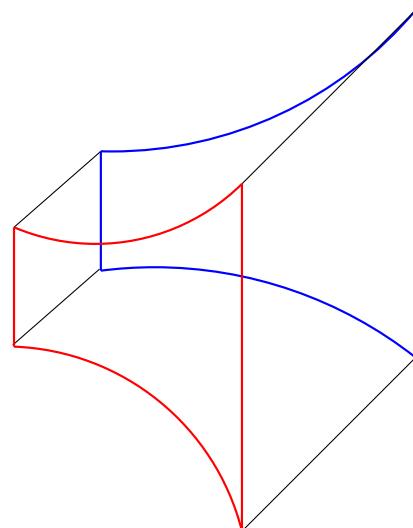
$$g_{\mu\nu} \leftrightarrow T_{\mu\nu}$$

# Possible Setups

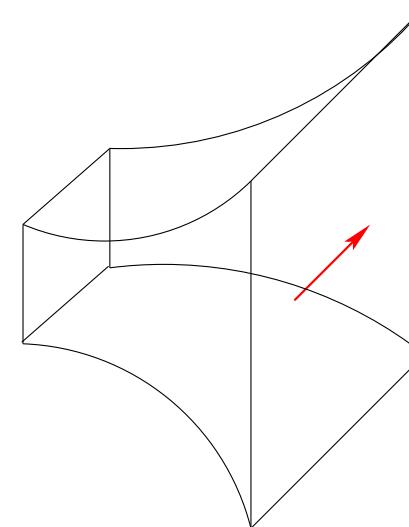
Local Quench



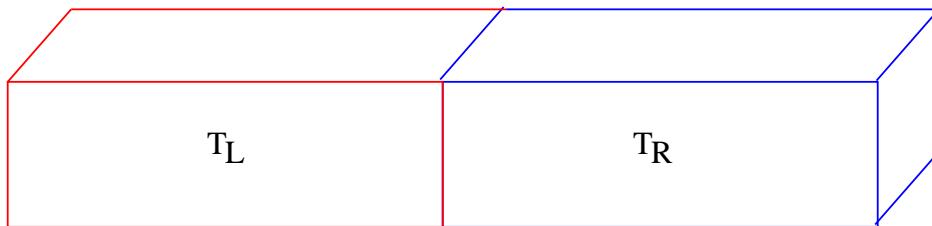
Driven Steady State



Spontaneous



# Thermalization

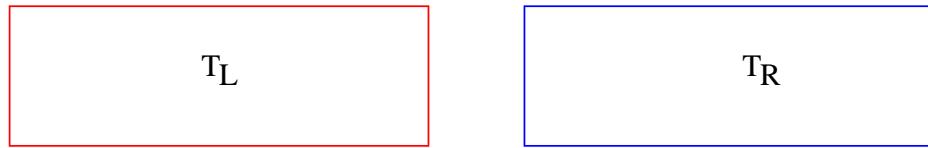


Why not connect two strongly correlated systems together  
and see what happens?

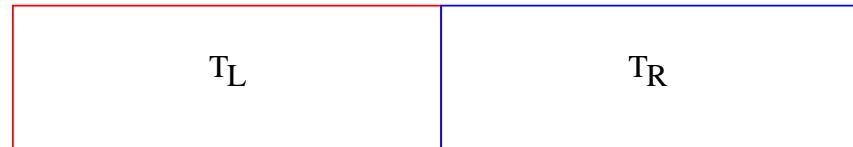
# Non-Equilibrium CFT

Bernard & Doyon, *Energy flow in non-equilibrium conformal field theory*, J. Phys. A: Math. Theor. **45**, 362001 (2012)

Two critical 1D systems (central charge  $c$ )  
at temperatures  $T_L$  &  $T_R$



Join the two systems together



Alternatively, take one critical system and impose a step profile

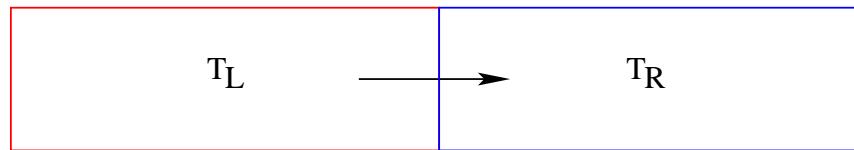
Local Quench

# Steady State Heat Flow

Bernard & Doyon, *Energy flow in non-equilibrium conformal field theory*, J. Phys. A: Math. Theor. **45** 362001 (2012)

If systems are very large ( $L \gg vt$ ) they act like heat baths

For times  $t \ll L/v$  a steady heat current flows



**Non-equilibrium steady state**

$$J = \frac{c\pi^2 k_B^2}{6h} (T_L^2 - T_R^2)$$

Universal result out of equilibrium

Direct way to measure central charge; velocity doesn't enter

Sotiriadis and Cardy. J. Stat. Mech. (2008) P11003.

# Linear Response

Bernard & Doyon, *Energy flow in non-equilibrium conformal field theory*, J. Phys. A: Math. Theor. **45**, 362001 (2012)

$$J = \frac{c\pi^2 k_B^2}{6h} (T_L^2 - T_R^2)$$

$$T_L = T + \Delta T/2 \quad T_R = T - \Delta T/2 \quad \Delta T \equiv T_L - T_R$$

$$J = \frac{c\pi^2 k_B^2}{3h} T \Delta T \equiv g \Delta T \quad g = cg_0 \quad g_0 = \frac{\pi^2 k_B^2 T}{3h}$$

## Quantum of Thermal Conductance

$$g_0 = \frac{\pi^2 k_B^2 T}{3h} \approx (9.456 \times 10^{-13} \text{ WK}^{-2}) T$$

## Free Fermions

Fazio, Hekking and Khmelnitskii, PRL **80**, 5611 (1998)

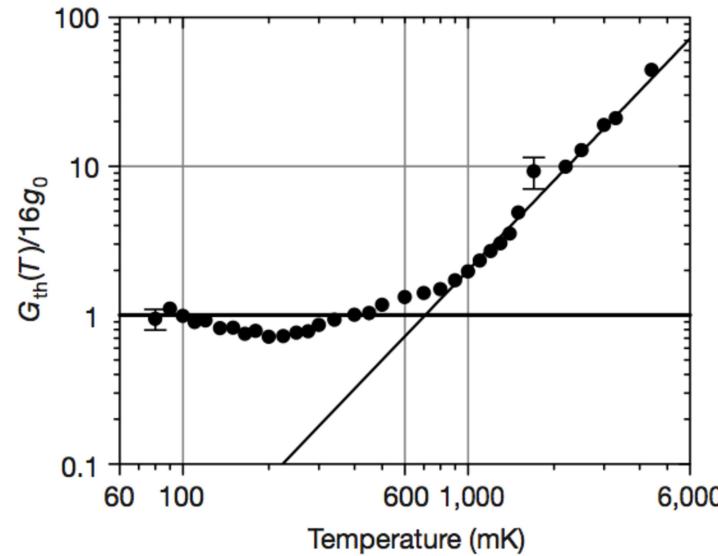
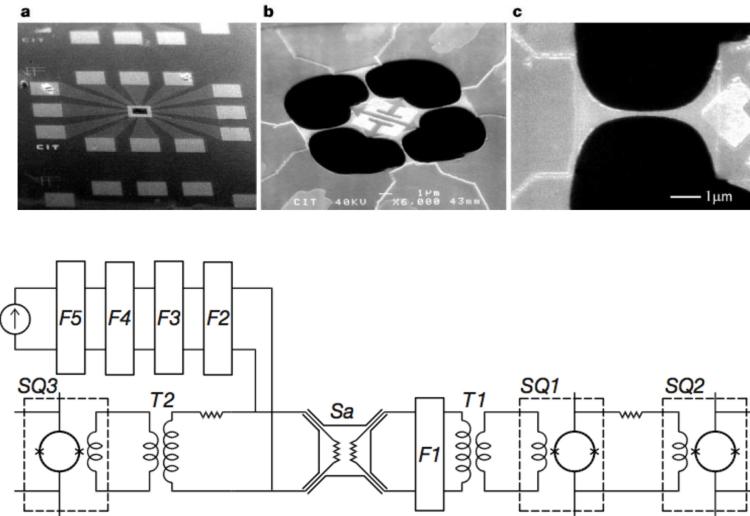
$$\text{Wiedemann-Franz} \quad \frac{\kappa}{\sigma T} = \frac{\pi^2}{3e^2} \quad \sigma_0 = \frac{e^2}{h} \quad \kappa_0 = \frac{\pi^2 k_B^2 T}{3h}$$

## Conformal Anomaly

Cappelli, Huerta and Zemba, Nucl. Phys. B **636**, 568 (2002)

# Experiment

Schwab, Henriksen, Worlock and Roukes, *Measurement of the quantum of thermal conductance*, Nature **404**, 974 (2000)



Quantum of Thermal Conductance

# Heuristic Interpretation of CFT Result

$$J = \sum_m \int \frac{dk}{2\pi} \hbar\omega_m(k) v_m(k) [n_m(T_L) - n_m(T_R)] \mathbb{T}_m(k)$$

$$v_m(k) = \partial\omega_m/\partial k \quad n_m(T) = \frac{1}{e^{\beta\hbar\omega_m} - 1}$$

$$J = f(T_L) - f(T_R)$$

Consider just a single mode with  $\omega = vk$  and  $\mathbb{T} = 1$

$$f(T) = \int_0^\infty \frac{dk}{2\pi} \frac{\hbar v^2 k}{e^{\beta\hbar v k} - 1} = \frac{k_B^2 T^2}{h} \int_0^\infty dx \frac{x}{e^x - 1} = \frac{k_B^2 T^2}{h} \frac{\pi^2}{6} \quad x \equiv \frac{\hbar v k}{k_B T}$$

**Velocity cancels out**

$$J = \frac{\pi^2 k_B^2}{6h} (T_L^2 - T_R^2)$$

For a 1+1 critical theory with central charge  $c$

$$J = \frac{c\pi^2 k_B^2}{6h} (T_L^2 - T_R^2)$$

# Stefan–Boltzmann

Cardy, *The Ubiquitous ‘c’: from the Stefan-Boltzmann Law to Quantum Information*, arXiv:1008.2331

## Black Body Radiation in $3 + 1$ dimensions

$$dU = TdS - PdV$$

$$\left(\frac{\partial U}{\partial V}\right)_T = T \left(\frac{\partial S}{\partial V}\right)_T - P = T \left(\frac{\partial P}{\partial T}\right)_V - P$$

$$u = T \left(\frac{\partial P}{\partial T}\right)_V - P$$

For black body radiation  $P = u/3$

$$\frac{4u}{3} = \frac{T}{3} \left(\frac{\partial u}{\partial T}\right)_V \quad \frac{du}{4u} = \frac{dT}{T} \quad \frac{1}{4} \ln u = \ln T + \text{const.}$$

$$u \propto T^4$$

# Stefan–Boltzmann and CFT

Cardy, *The Ubiquitous ‘c’: from the Stefan-Boltzmann Law to Quantum Information*, arXiv:1008.2331

## Energy-Momentum Tensor in $d + 1$ Dimensions

$$T_{\mu\nu} = \begin{pmatrix} u & & & \\ & P & & \\ & & P & \\ & & & \dots \end{pmatrix} \quad \text{Traceless} \quad P = u/d$$

## Thermodynamics

$$u = T \left( \frac{\partial P}{\partial T} \right)_V - P \quad u \propto T^{d+1}$$

## For $1 + 1$ Dimensional CFT

$$u = \frac{\pi c k_B^2 T^2}{6 \hbar v} \equiv \mathcal{A} T^2$$

$$J = \frac{\mathcal{A} v}{2} (T_L^2 - T_R^2)$$

# Stefan–Boltzmann and AdS/CFT

Gubser, Klebanov and Peet, *Entropy and temperature of black 3-branes*, Phys. Rev. D **54**, 3915 (1996).

Entropy of  $SU(N)$  SYM = Bekenstein–Hawking  $S_{\text{BH}}$  of geometry

$$S_{\text{BH}} = \frac{\pi^2}{2} N^2 V_3 T^3$$

Entropy at Weak Coupling =  $8N^2$  free massless bosons & fermions

$$S_0 = \frac{2\pi^2}{3} N^2 V_3 T^3$$

**Relationship between strong and weak coupling**

$$S_{\text{BH}} = \frac{3}{4} S_0$$

Gubser, Klebanov, Tseytlin, *Coupling constant dependence in the thermodynamics of  $\mathcal{N} = 4$  supersymmetric Yang-Mills Theory*, Nucl. Phys. B **534** 202 (1998)

# Energy Current Fluctuations

Bernard & Doyon, *Energy flow in non-equilibrium conformal field theory*, J. Phys. A: Math. Theor. **45**, 362001 (2012)

**Generating function for all moments**

$$F(\lambda) \equiv \lim_{t \rightarrow \infty} t^{-1} \ln \langle e^{i\lambda \Delta_t Q} \rangle$$

**Exact Result**

$$F(\lambda) = \frac{c\pi^2}{6h} \left( \frac{i\lambda}{\beta_l(\beta_l - i\lambda)} - \frac{i\lambda}{\beta_r(\beta_r + i\lambda)} \right)$$

Denote  $z \equiv i\lambda$

$$F(z) = \frac{c\pi^2}{6h} \left[ z \left( \frac{1}{\beta_l^2} - \frac{1}{\beta_r^2} \right) + z^2 \left( \frac{1}{\beta_l^3} + \frac{1}{\beta_r^3} \right) + \dots \right]$$

$$\langle J \rangle = \frac{c\pi^2}{6h} k_B^2 (T_L^2 - T_R^2) \quad \langle \delta J^2 \rangle \propto \frac{c\pi^2}{6h} k_B^3 (T_L^3 + T_R^3)$$

**Poisson Process**  $\int_0^\infty e^{-\beta\epsilon} (e^{i\lambda\epsilon} - 1) d\epsilon = \frac{i\lambda}{\beta(\beta - i\lambda)}$

# Non-Equilibrium Fluctuation Relation

Bernard & Doyon, *Energy flow in non-equilibrium conformal field theory*,  
J. Phys. A: Math. Theor. **45**, 362001 (2012)

$$F(\lambda) \equiv \lim_{t \rightarrow \infty} t^{-1} \ln \langle e^{i\lambda \Delta_t Q} \rangle = \frac{c\pi^2}{6h} \left( \frac{i\lambda}{\beta_l(\beta_l - i\lambda)} - \frac{i\lambda}{\beta_r(\beta_r + i\lambda)} \right)$$

$$F(i(\beta_r - \beta_l) - \lambda) = F(\lambda)$$

Irreversible work fluctuations in isolated driven systems

Crooks relation

$$\frac{P(W)}{\tilde{P}(-W)} = e^{\beta(W - \Delta F)}$$

Jarzynski relation

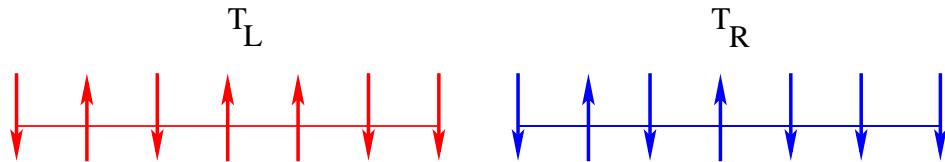
$$\langle e^{-\beta W} \rangle = e^{-\beta \Delta F}$$

Entropy production in non-equilibrium steady states

$$\frac{P(S)}{P(-S)} = e^S$$

Esposito *et al*, “Nonequilibrium fluctuations, fluctuation theorems, and counting statistics in quantum systems”, RMP **81**, 1665 (2009)

# Lattice Models



## Quantum Ising Model

$$H = J \sum_{\langle ij \rangle} S_i^z S_j^z + \Gamma \sum_i S_i^x$$

$$\Gamma = J/2 \quad \text{Critical} \quad c = 1/2$$

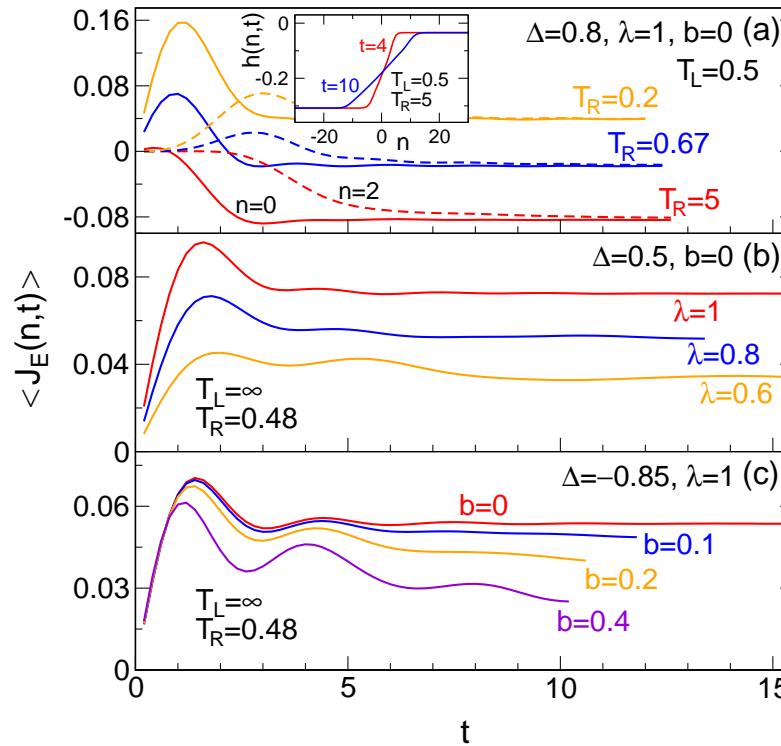
## Anisotropic Heisenberg Model (XXZ)

$$H = J \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y + \Delta S_i^z S_j^z)$$

$$-1 < \Delta < 1 \quad \text{Critical} \quad c = 1$$

# Time-Dependent DMRG

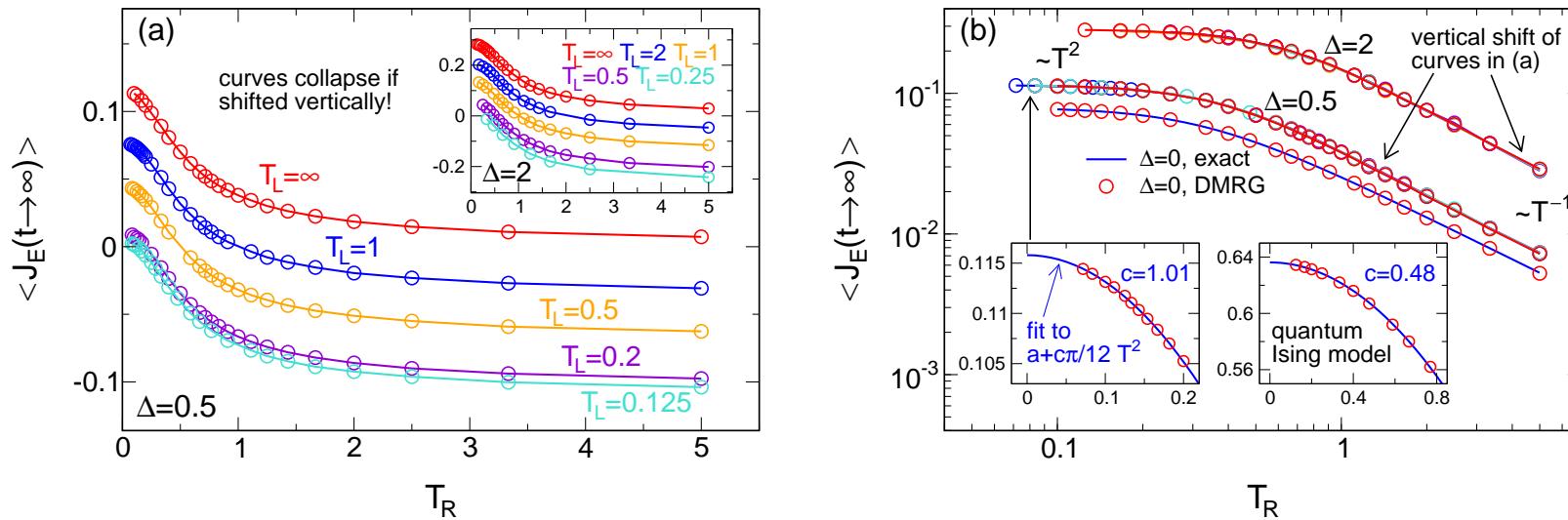
Karrasch, Ilan and Moore, *Non-equilibrium thermal transport and its relation to linear response*, arXiv:1211.2236



$$\text{Dimerization } J_n = \begin{cases} 1 & n \text{ odd} \\ \lambda & n \text{ even} \end{cases} \quad \Delta_n = \Delta \quad \text{Staggered } b_n = \frac{(-1)^n b}{2}$$

# Time-Dependent DMRG

Karrasch, Ilan and Moore, *Non-equilibrium thermal transport and its relation to linear response*, arXiv:1211.2236



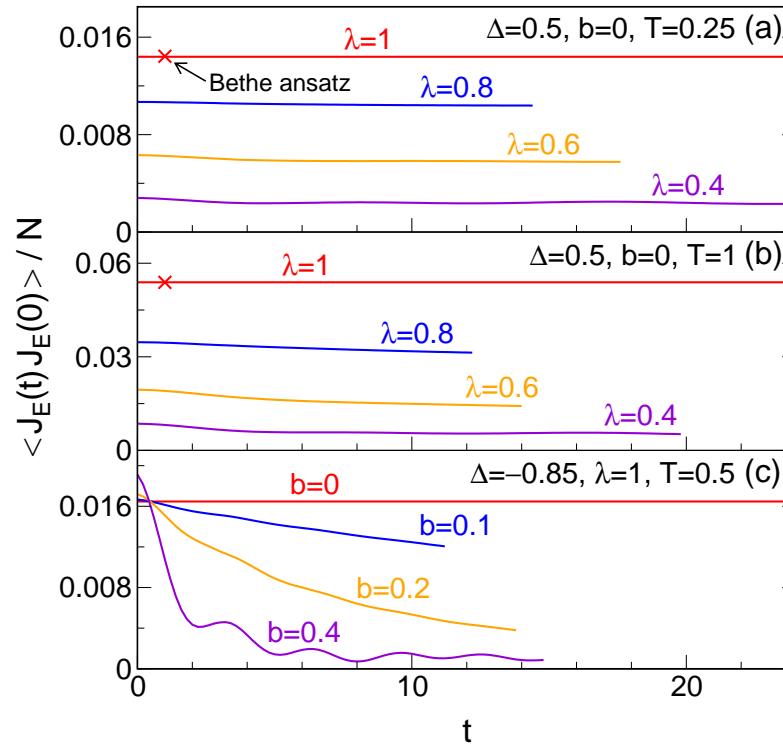
$$\lim_{t \rightarrow \infty} \langle J_E(n, t) \rangle = f(T_L) - f(T_R)$$

$$f(T) \sim \begin{cases} T^2 & T \ll 1 \\ T^{-1} & T \gg 1 \end{cases}$$

Beyond CFT to massive integrable models (Doyon)

# Energy Current Correlation Function

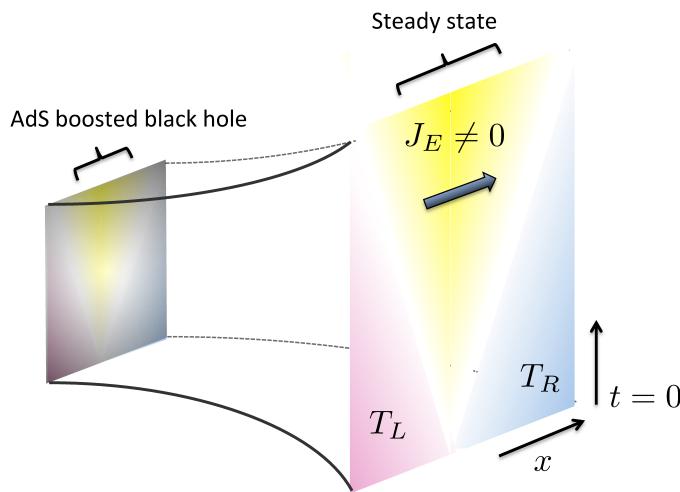
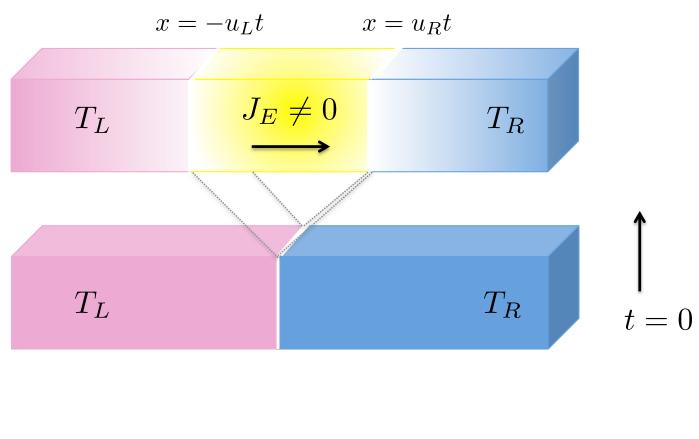
Karrasch, Ilan and Moore, *Non-equilibrium thermal transport and its relation to linear response*, arXiv:1211.2236



Beyond Integrability

Importance of CFT for pushing numerics and analytics

# AdS/CFT



Steady State Region

## General Considerations

$$\partial_\mu T^{\mu\nu} = 0 \quad \partial_0 T^{00} = -\partial_x T^{x0} \quad \partial_0 T^{0x} = -\partial_x T^{xx}$$

Stationary heat flow  $\implies$  Constant pressure

$$\partial_0 T^{0x} = 0 \implies \partial_x T^{xx} = 0$$

In a CFT

$$P = u/d \implies \partial_x u = 0$$

No energy/temperature gradient

Stationary homogeneous solutions

# Solutions of Einstein Equations

$$S = \frac{1}{16\pi G_N} \int d^{d+2}x \sqrt{-g} (R - 2\Lambda)$$

$$\Lambda = -d(d+1)/2L^2$$

**Unique homogeneous solution = boosted black hole**

$$\begin{aligned} ds^2 = & \frac{L^2}{z^2} \left[ \frac{dz^2}{f(z)} - f(z)(dt \cosh \theta - dx \sinh \theta)^2 + \right. \\ & \left. (dx \cosh \theta - dt \sinh \theta)^2 + dy_{\perp}^2 \right] \end{aligned}$$

$$f(z) = 1 - \left( \frac{z}{z_0} \right)^{d+1} \quad z_0 = \frac{d+1}{4\pi T}$$

**Fefferman–Graham Coordinates**

$$\langle T_{\mu\nu} \rangle_s = \frac{L^d}{16\pi G_N} \lim_{Z \rightarrow 0} \left( \frac{d}{dZ} \right)^{d+1} \frac{Z^2}{L^2} g_{\mu\nu}(z(Z))$$

$$z(Z) = Z/R - (Z/R)^{d+2}/[2(d+1)z_0^{d+1}] \quad R = (d!)^{1/(d-1)}$$

# Boost Solution

Lorentz boosted stress tensor of a finite temperature CFT

$$\langle T^{\mu\nu} \rangle_s = a_d T^{d+1} (\eta^{\mu\nu} + (d+1)u^\mu u^\nu)$$

$$\eta^{\mu\nu} = \text{diag}(-1, 1, \dots, 1)$$

$$u^\mu = (\cosh \theta, \sinh \theta, 0, \dots, 0)$$

$$\langle T^{tx} \rangle_s = \frac{1}{2} a_d T^{d+1} (d+1) \sinh 2\theta$$

$$a_d = (4\pi/(d+1))^{d+1} L^d / 16\pi G_N$$

**One spatial dimension**

$$a_1 = \frac{L\pi}{4G_N} \quad c = \frac{3L}{2G_N}$$

$$T_L = T e^\theta$$

$$T_R = T e^{-\theta}$$

$$\langle T^{tx} \rangle = \frac{c\pi^2 k_B^2}{6h} (T_L^2 - T_R^2)$$

**Can also obtain complete steady state density matrix**

# Shock Solutions

## Rankine–Hugoniot

Energy-Momentum conservation across shock

$$\langle T^{tx} \rangle_s = a_d \left( \frac{T_L^{d+1} - T_R^{d+1}}{u_L + u_R} \right)$$

Invoking boosted steady state gives  $u_{L,R}$  in terms of  $T_{L,R}$ :

$$u_L = \frac{1}{d} \sqrt{\frac{\chi+d}{\chi+d^{-1}}}$$

$$u_R = \sqrt{\frac{\chi+d^{-1}}{\chi+d}}$$

$$\chi \equiv (T_L/T_R)^{(d+1)/2}$$

Steady state region is a boosted thermal state with

$$T = \sqrt{T_L T_R}$$

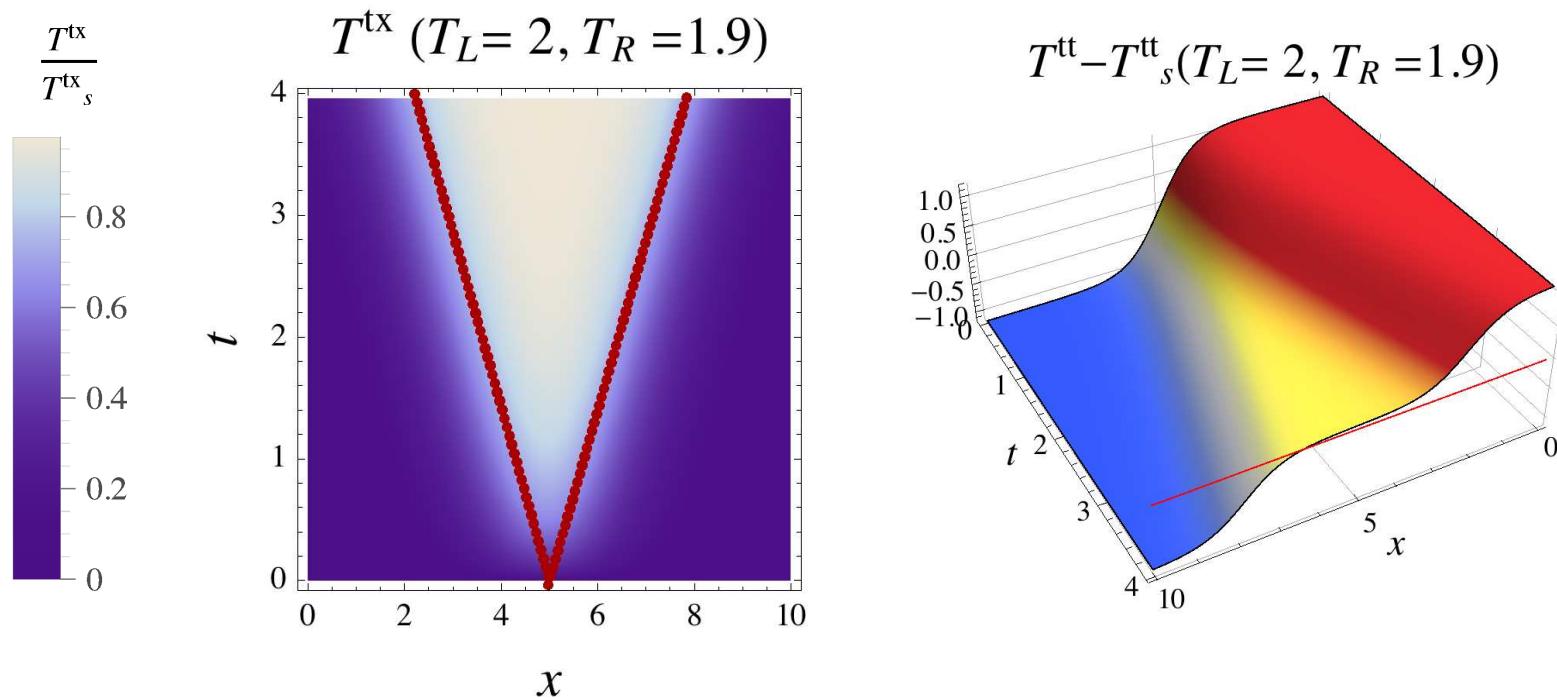
Boost velocity  $(\chi - 1)/\sqrt{(\chi + d)(\chi + d^{-1})}$  Agrees with  $d = 1$

**Shock waves are non-linear generalizations of sound waves**

EM conservation:  $u_L u_R = c_s^2$ , where  $c_s = v/\sqrt{d}$  is speed of sound

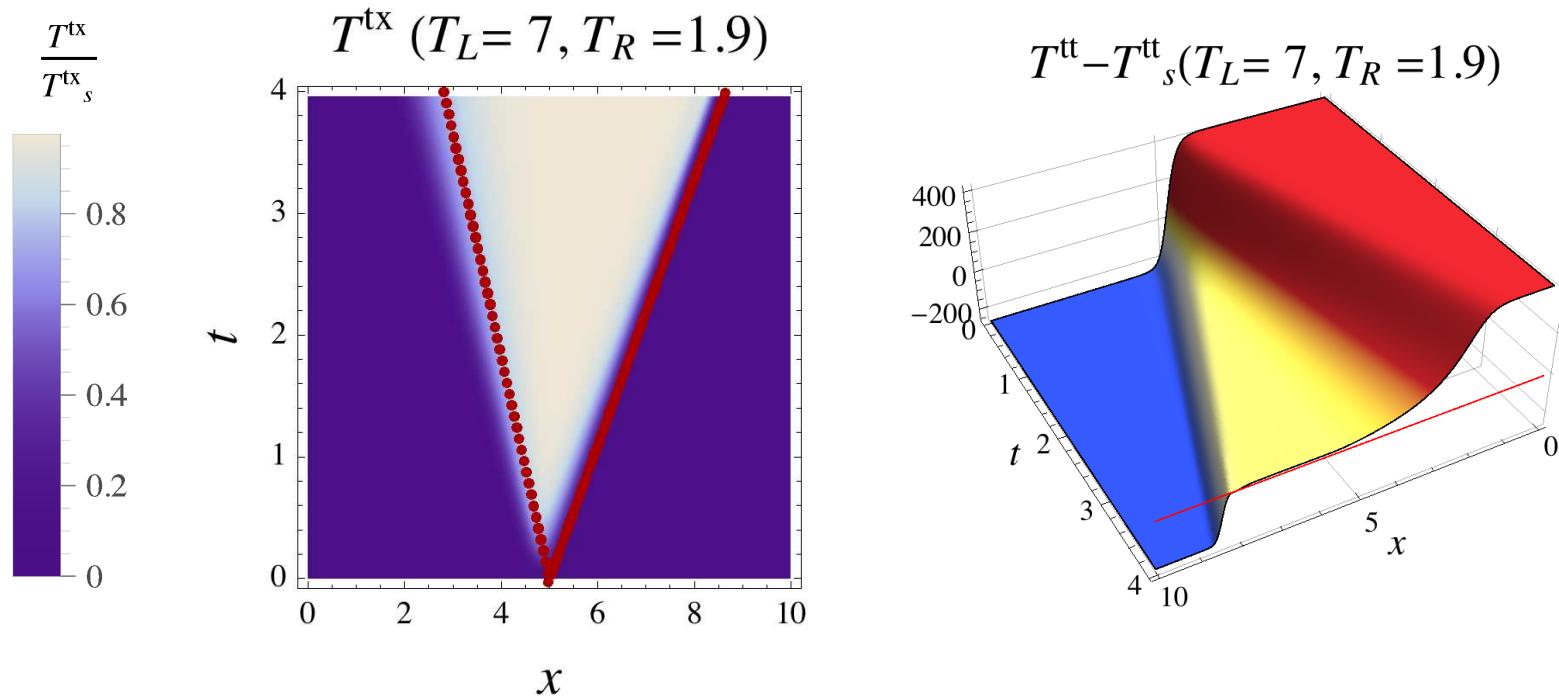
$c_s < u_R < v$      $c_s < u_L < c_s^2/v$     reinstated microscopic velocity  $v$

# Numerics I



Excellent agreement with predictions

# Numerics II



Excellent agreement far from equilibrium

Asymmetry in propagation speeds

# Conclusions

**Average energy flow in arbitrary dimension**

Lorentz boosted thermal state

**Energy current fluctuations**

Exact generating function of fluctuations

## Acknowledgements

B. Benenowski, D. Bernard, P. Chesler, A. Green  
D. Haldane C. Herzog, D. Marolf, B. Najian, C.-A. Pillet  
S. Sachdev, A. Starinets