

Holography, Finite-Temperature QFT and Hydrodynamics

MITP Summer School

Mainz, July 2019

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- Plan
 - introduction and motivation
 - thermodynamics and transport
 - two essential tools
 - relativistic hydro
 - Kubo formulae

Motivation: want to understand physical properties of macro systems based on their microscopic description („from first principles“)

N interacting constituents $\xrightarrow{\text{TD limit}}$ Equil'state

Dynamics governed by H (or L) \longrightarrow Few conserved quantities
($E_{TOT}, L_{TOT}, Q_{TOT}$)

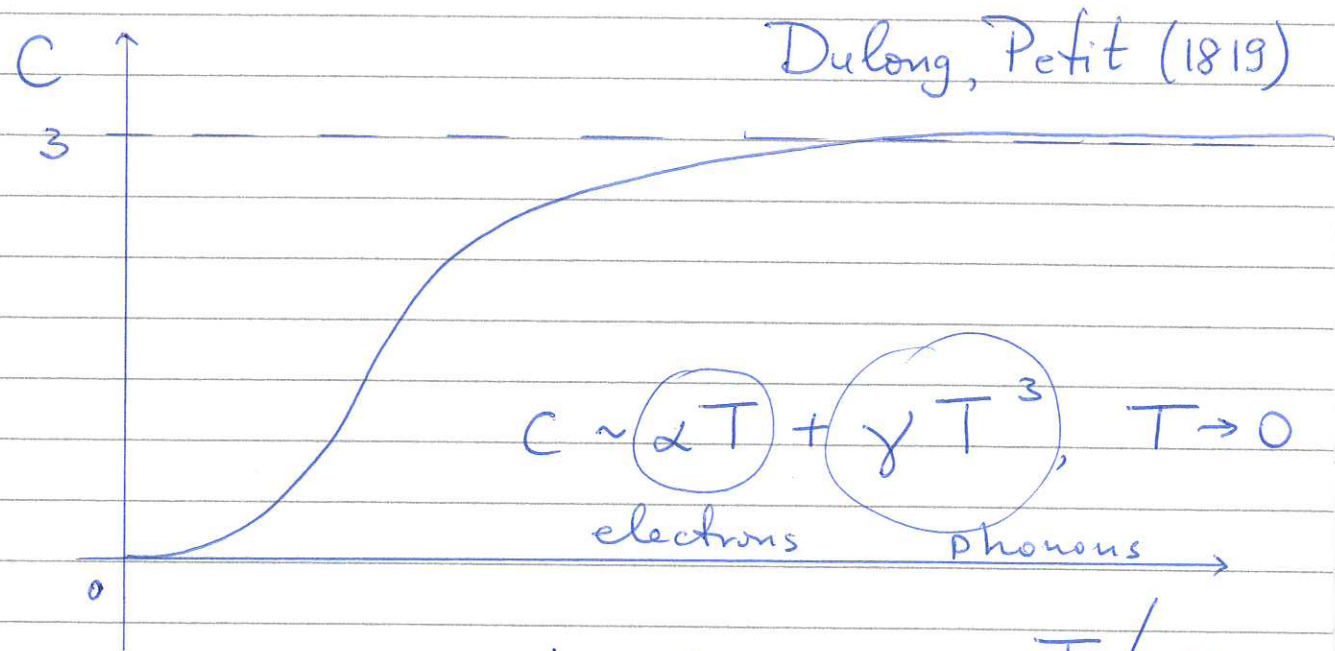
Ergodicity etc - not obvious
(see e.g. H. S. Dumas book about KAM theorem)

Practical needs: e.g. water - submarines or atmosphere of Jupiter or exoplanets (communications).

Need:

- thermodynamic properties
(eos, phase trans., specific heat etc)
- transport properties
(viscosity, conductivities, diffusion coeffs etc)
- strongly non-equil. behaviour

Example: specific heat of solids

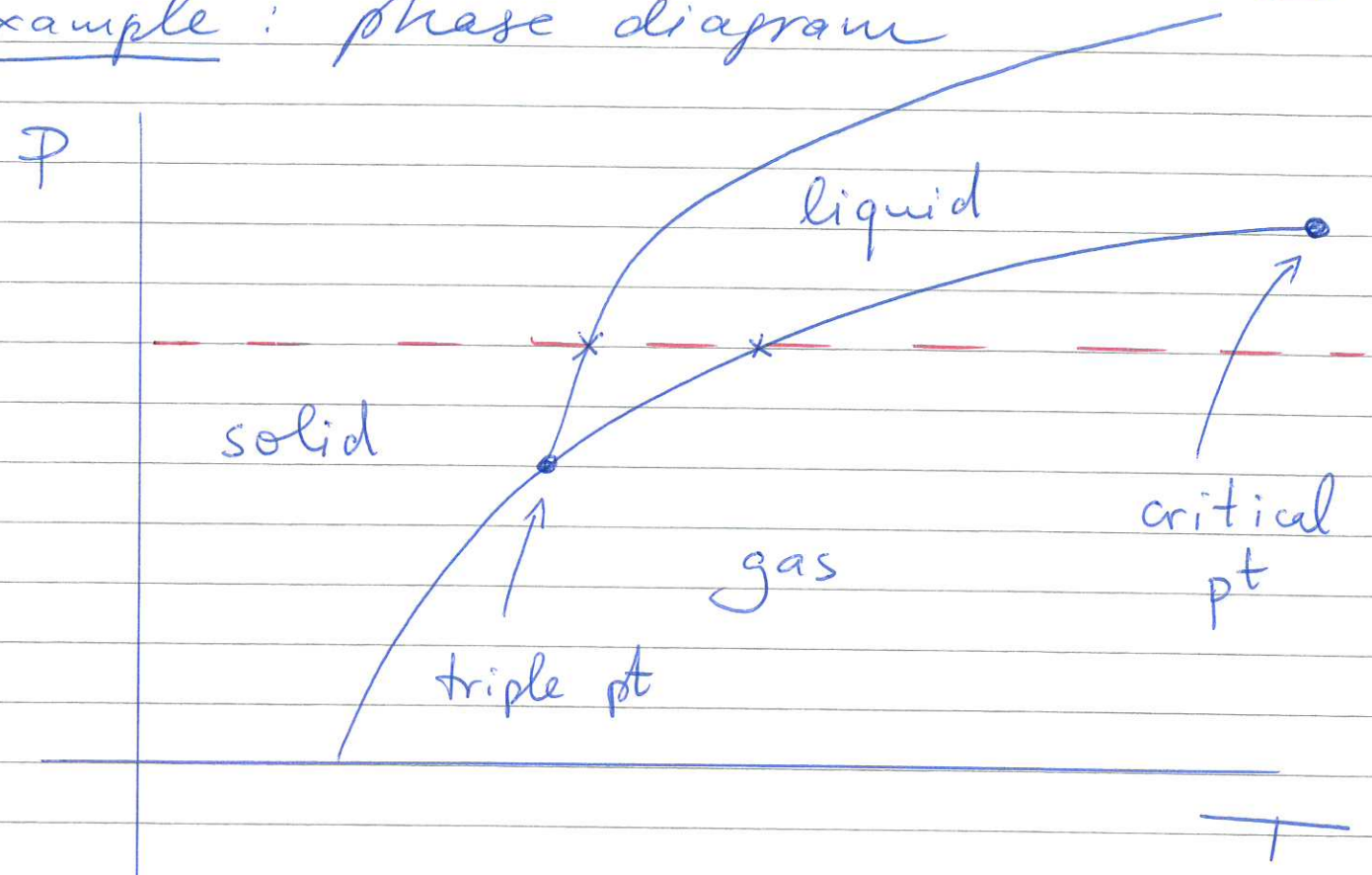


• $C \rightarrow 3 \left(\frac{\hbar \omega_*}{T} \right)^2 e^{-\hbar \omega_* / T}$ (Einstein, 1907)
 $\omega(k) = \omega_*$

• $C \rightarrow \frac{12 \pi^4}{5 \theta_*^3} T^3$ (Debye, 1912) $\omega(k) = c_s k$

• Born von Kármán (1912-1913) $\omega(k) = v k$

Example : phase diagram



H₂O critical pt : $T_c \approx 373.95^\circ\text{C}$

$P_c \approx 221 \text{ atm}$

Can this be predicted from "first principles"?

Example : linear resistivity of "strange metals" at low T

• Landau Fermi-liquid th : $\rho \sim T^2$
(almost all metals)

• "strange metals" : $\rho \sim T$ (?)

Standard tools

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- $\hat{\rho}(t)$: density matrix operator

$$i\hbar \frac{\partial \hat{\rho}}{\partial t} = [\hat{H}, \hat{\rho}]$$

$$\langle \hat{O} \rangle = \text{tr} \hat{\rho} \hat{O} \quad (\text{tr} \hat{\rho} = 1)$$

- TD equil: $\hat{\rho} = e^{-\beta \hat{H} + \mu_\alpha \hat{Q}^\alpha} / Z$

$$Z = \text{tr} e^{-\beta \hat{H} + \mu_\alpha \hat{Q}^\alpha}$$

$\beta = 1/T$ \hat{Q}^α : conserved charges

e.g. $\hat{Q} = \hat{N}$ (number of particles)

for non-rel. system or $\hat{Q} = \hat{Q}_B$

in QCD.

Remark! GGE in integrable models
(Ripol) and generalised hydro (Doyon).

- All TD: $\Omega = -T \ln Z$

$$\mathcal{E} = \langle \hat{H} \rangle = -\frac{\partial \Omega}{\partial \beta}; \quad Q_\alpha = \langle \hat{Q}_\alpha \rangle = -\frac{\partial \Omega}{\partial \mu_\alpha}$$

$$S = - \partial \Omega / \partial T |_{V, \mu} \text{ (entropy)} \quad (5)$$

$$P = - \frac{1}{V} \Omega \text{ (pressure) etc.}$$

This works very well in textbook examples

Example: N diatomic molecules in $d_s = 3$ dim (H_2 or N_2 etc)

$$\hat{H} = \sum_{i=1}^N \left(\frac{p_i^2}{2m} + \hat{H}_{\text{internal},i} \right) + \sum_{i < j} \hat{U}(i,j)$$

Omni

$$\hat{H}_{\text{internal}} = \hat{H}_{\text{rot}} + \hat{H}_{\text{osc}} + \hat{H}_{\text{elect}} + \dots$$

ideal gas approx

$$Z = Z_0 Z_{\text{internal}}^N$$

↑

monoat.
ideal gas

$$Z_{\text{internal}} = Z_{\text{rot}} Z_{\text{osc}} Z_{\text{el}} \dots$$

$$Z_{\text{osc}} = \text{tr} \hat{\rho} = \sum_n \langle n | e^{-\beta \hat{H}_{\text{osc}}} | n \rangle$$

$$\hat{H}_{\text{osc}} |n\rangle = E_n |n\rangle$$

$$E_n = \hbar \omega_0 (n + 1/2)$$

$$n = 0, 1, \dots$$

$$Z_{osc} = \sum_{n=0}^{\infty} e^{-\beta \hbar \omega_0 n} e^{-\beta \hbar \omega_0 / 2} = \frac{1}{2 \sinh \frac{\beta \hbar \omega_0}{2}} \quad (6)$$

$$Z_{rot} : E_{lm} = \frac{\hbar^2}{2I} l(l+1)$$

$$l = 0, 1, 2, \dots$$

$$m = -l, \dots, l$$

$$Z_{rot} = \sum_{l=0}^{\infty} \sum_{m=-l}^l e^{-\frac{\hbar^2 \beta}{2I} l(l+1)} =$$

$$= \sum_{l=0}^{\infty} (2l+1) e^{-\frac{\hbar^2 \beta}{2I} l(l+1)}$$

Exercise: show that in $d_s = 2$

$$Z_{rot} = \sum_{l \in \mathbb{Z}} e^{-\pi \bar{\beta} l^2} = \theta_3(\beta, 0) \quad (\text{Jacobi})$$

$$\bar{\beta} = \frac{\hbar^2 \beta}{2\pi I}$$

Example: relativistic ideal gas

$$\epsilon_p = \sqrt{\bar{p}^2 + m^2}$$

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$$\Omega = \pm T \sum_{\vec{p}} \ln \left(1 \mp e^{\frac{\mu - \epsilon_{\vec{p}}}{T}} \right)$$

Exercise: show that

$$\mathcal{P} = g_s \frac{m^2 T^2}{2\pi^2} \sum_{n=1}^{\infty} \chi^{n-1} \underbrace{K_2\left(\frac{m}{T} n\right)}_{n^2} e^{n\mu/T}$$

mod Bessel f.

$\chi = +1$ Bosons $\chi = -1$ Fermions

$g_s = 2s + 1$ ($g_s = 2$ for photon, gluon)

Classical Boltzmann gas: $e^{|\mu|/T} \ll 1$

$$\Rightarrow \mathcal{P} \rightarrow g_s \frac{m^2 T^2}{2\pi^2} K_2\left(\frac{m}{T}\right) e^{\mu/T}$$

Non-rel. limit: $\left(K_2(z) \rightarrow \frac{2}{z^2}, \right)$
 $z \rightarrow 0$

$$\mathcal{P} = T g_s \left(\frac{mT}{2\pi} \right)^{3/2} e^{\mu_{NR}/T}$$

$\mu_{NR} \equiv \mu - m$ (Note: $c=1, \hbar=1$).

Exercise: consider QCD

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$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu} + \bar{\psi} (i\gamma^\mu D_\mu - m) \psi$$

and show that for ideal relativistic quantum gas of $N_c^2 - 1$ gluons and N_f fund. fermions the pressure is

$$P_{SB} = \frac{(N_c^2 - 1)\pi^2}{45} \left(1 + \frac{7}{4} \frac{N_f}{N_c^2 - 1} \right) T^4 + O(m^2 T^2)$$

↑
Stefan-Boltzmann

- In QFT, Z can be computed via path integral.

$$Z = \int d\phi \langle \phi | e^{-\beta \hat{H}} | \phi \rangle$$

We know how to represent the amplitude

$$\langle \phi_f ; t_f | e^{-i\hat{H}(t_f - t_i)} | \phi_i ; t_i \rangle$$

via path integral (Feynman)

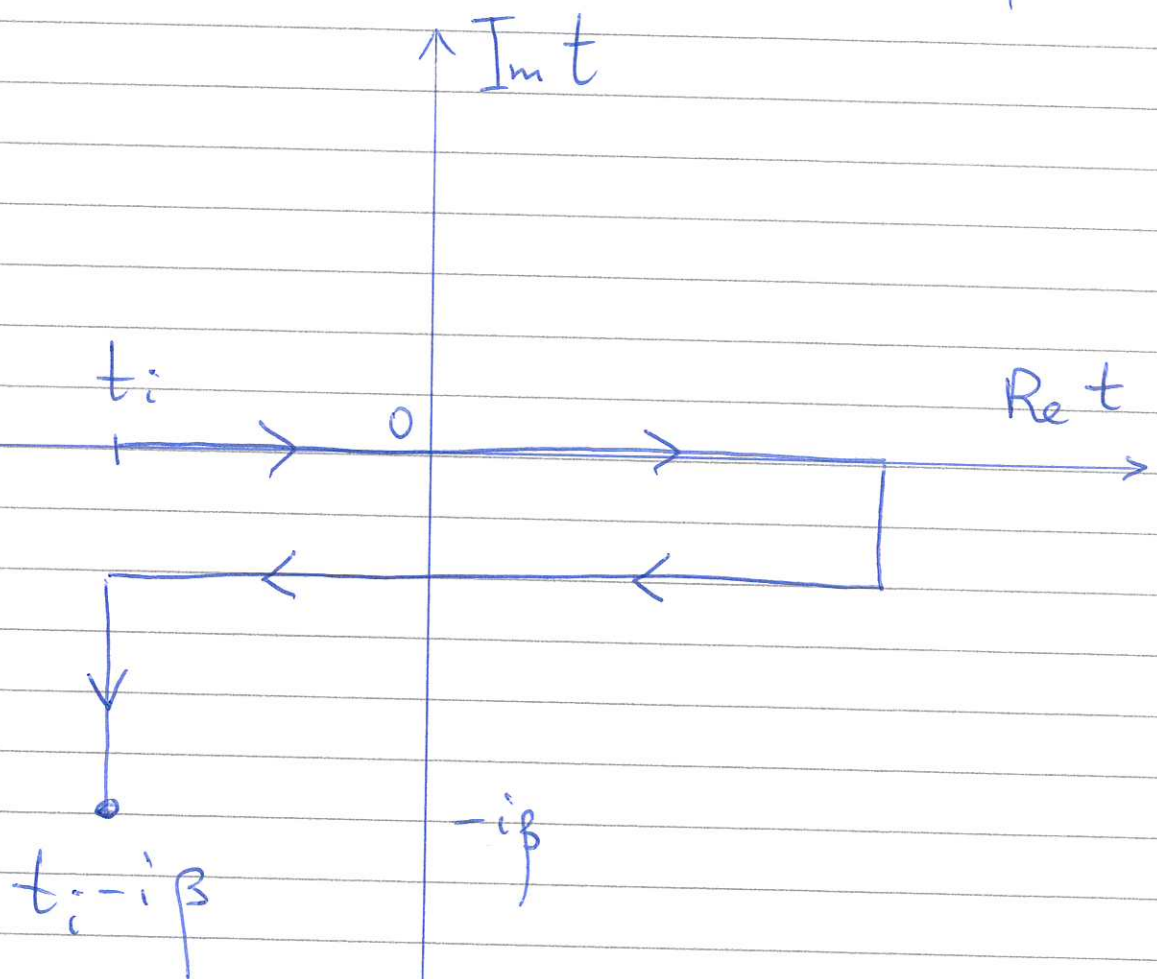
Identify: $t_f - t_i = -i\beta$

(9)

$$\phi(t_f) = \phi(t_i) \quad (*)$$

$$Z[J] = \int [d\phi] e^{i \int_C d^4x (\mathcal{L} + J\phi)}$$

Here C : contour from t_i to $t_i - i\beta$:



Schwinger-Keldysh

- Imaginary t contour: $t = -i\tau$
- Matsubara: "im time formalism"
- Real- t contour

- conditions on fields

$$\phi(t_i, \bar{x}) = \gamma e^{\beta H} \phi(t_i - i\beta, \bar{x})$$

$\gamma = \pm 1$ (Bosons / Fermions)
 (Index theorems)

- KMS conditions for correlators

This can be used to compute TD quantities e.g. of QCD (pressure etc) either via pert. theory (in $\alpha_s = g^2/4\pi$) or using lattice (in Euclid).

$$P_{QCD} = \frac{\pi^2 T^4}{45} (N_c^2 - 1) \left[1 + \frac{7}{4} \frac{N_f N_c}{N_c^2 - 1} - \frac{5}{4} \left(N_c + \frac{5}{4} N_f \right) \frac{\alpha_s}{\pi} \right]$$

$$+ 30 \left(\frac{N_c}{3} + \frac{N_f}{6} \right)^{3/2} \left(\frac{\alpha_s}{\pi} \right)^{3/2} + O(\alpha_s^2, \alpha_s^2 \ln \alpha_s, \alpha_s^{5/2}, \alpha_s^3 \ln \alpha_s \dots)$$

- non-analytic terms present
- resummation of ring diagrams etc needed

Thermodynamics and transport in QCD from first principles

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu} + \bar{\psi} (i\gamma^\mu D_\mu - m) \psi$$

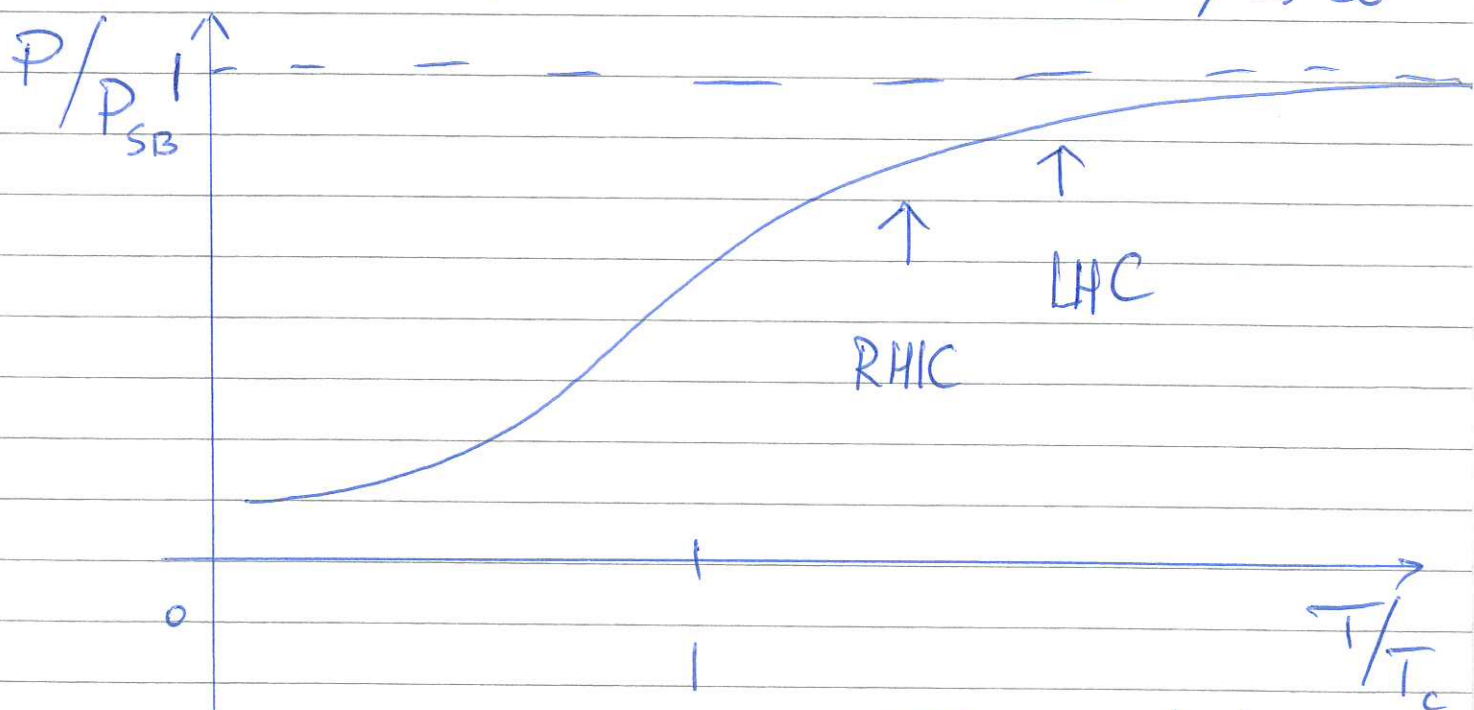
Param: $N_c = 3$ $SU(N_c)$

$$N_f = 6$$

$\alpha_s = g_{\text{YM}}^2 / 4\pi$ is not a fixed param:

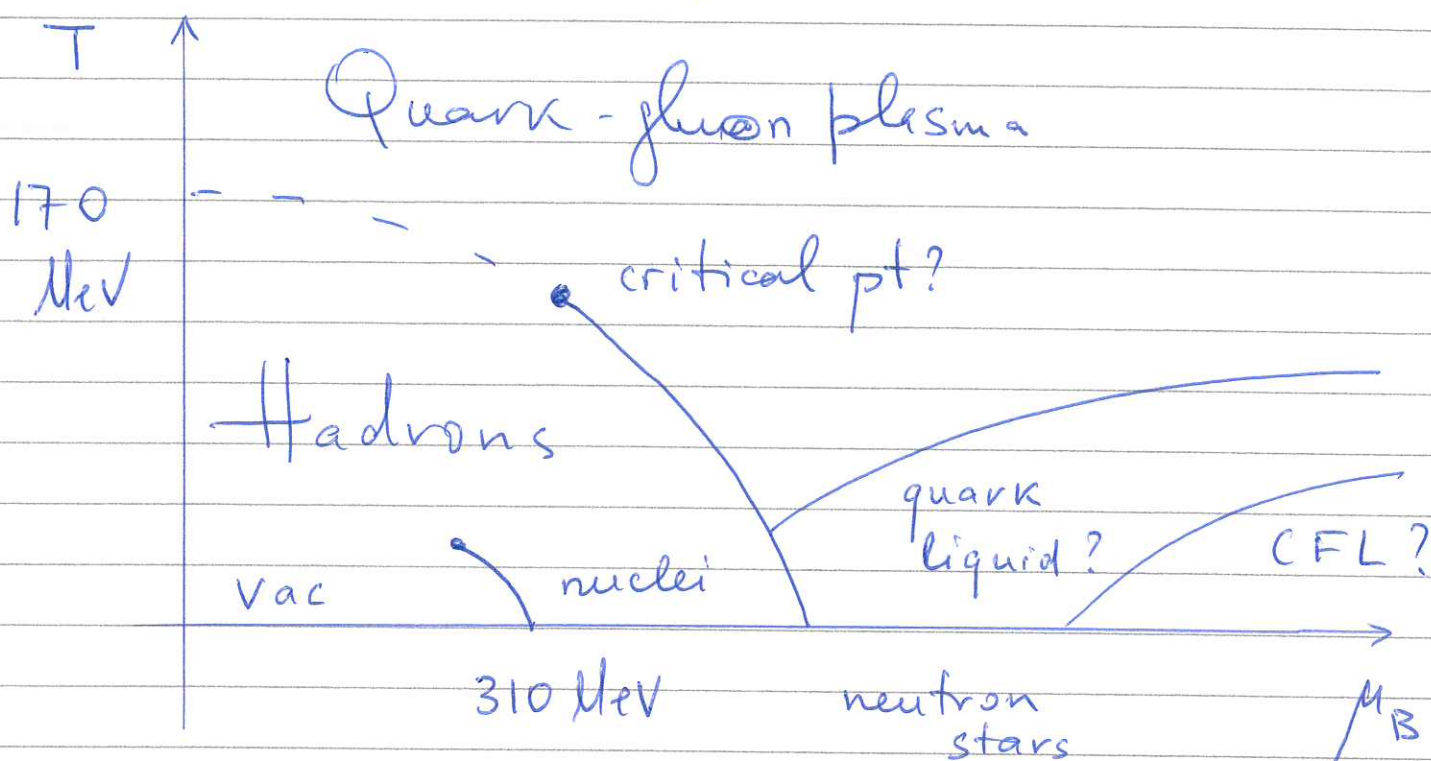
$\alpha_s \rightarrow 0$ at $E \rightarrow \infty$ (asympt. freedom),
i.e. $E / \Lambda_{\text{QCD}} \rightarrow \infty$

\Rightarrow expect ideal gas of quarks and gluons at $T \rightarrow \infty$



($E_c \sim 1 \text{ GeV}$: E/E_{SB} is similar) $T_c \sim 170 \text{ MeV}$

QCD phase diagram

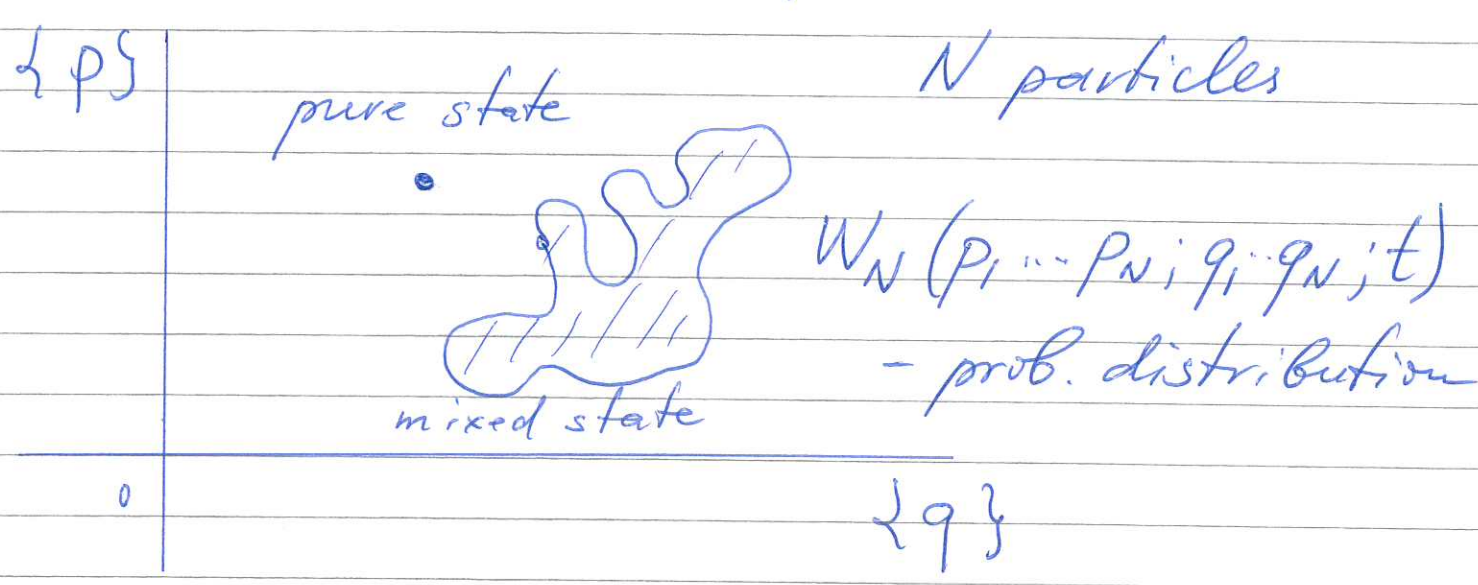


Evolution of QGP at scales of $(1-10) \cdot 10^{-24} \text{ sec}$ is described by rel. Navier-Stokes eqs. Need ways to compute transport (e.g. visc.) of QCD. How?

- LQCD + analytic continuation (difficult)
- Pert. theory (real-time) - difficult at $\alpha_s \sim 1$
- Kinetic theory (not applicable)

Kinetic theory

- Classical / quantum
- Classical: BBGKY → Boltzmann Vlasov eq.



$$\frac{\partial W_N}{\partial t} = \{H, W\}_{PB}$$

since $\frac{dW_N}{dt} = 0$ (Liouville th) 1838

$$F_1(t, p_1, q_1) = V \int W_N dp_2 \dots dp_N dq_2 \dots dq_N$$

$$F_2(t, p_1, p_2, q_1, q_2) = V^2 \int W_N dp_3 \dots dp_N dq_3 \dots dq_N$$

.....

$$F_s(t, p_1, \dots, p_s, q_1, \dots, q_s) = V^s \int W_N dp_{s+1} \dots dp_N dq_{s+1} \dots dq_N$$

For: (15)

$$H = \sum_i \left(\frac{p_i^2}{2m} + U_{\text{ext}}(q_i) \right) + \sum_{i,j} \Phi(|q_i - q_j|)$$

$$\frac{\partial F_1}{\partial t} + \frac{p_i}{m} \frac{\partial F_1}{\partial q_i} - \frac{\partial U_{\text{ext}}}{\partial q_i} \frac{\partial F_1}{\partial p_i} = \frac{N}{V} \int \frac{\partial \Phi(|q - q'|)}{\partial q_i}$$

BBGKY (Bogolyubov, 1946) $\frac{\partial F_2(t, q, q', p, p')}{\partial p_i} dq' dp'$

$$\frac{\partial F_s}{\partial t} + \sum_{k=1}^s \left(\frac{p_i^{(k)}}{m} \frac{\partial F_s}{\partial q_i^{(k)}} - \frac{\partial U_{\text{ext}}}{\partial q_i^{(k)}} \frac{\partial F_s}{\partial p_i^{(k)}} \right) =$$

$$= \frac{1}{V} \sum_{k=1}^s \int \frac{\partial \Phi(|q_i^{(k)} - q_{i+1}^{(s+1)}|)}{\partial q_i^{(k)}} \frac{\partial F_{s+1}}{\partial p_i^{(k)}} dq_{s+1} dp_{s+1}$$

$$v \equiv V/N.$$

Closed eq for F_1 - ?

Let Φ_0 - strength of Φ , r_0 - effective radius of interactions, τ - timescale of kin eq., $l = l_{\text{mfp}}$, $mu_0^2 = 3kT$, $u_0 = \frac{l}{\tau}$ defines T scale.

Decoupling of BBGKY

• $r_0^3/v \ll 1$ (dilute system)

• $\Phi_0 \ll kT$ (with $r_0^3 \sim v$): weakly coupled system

• $\Phi_0 \ll kT$ (with $\frac{r_0^3}{v} \sim \frac{kT}{\Phi_0} \gg 1$)

Debye - Hückel

• $\Phi_0 \ll kT, r_0^3 \ll v$ weak inter., dilute system

In all cases, $\tau \gg \frac{\hbar}{kT}$ Bogolyubov Gurov 1947 Gurov, 1966

(E.g. air at room $T \sim 300K$: $\frac{\hbar}{kT} \sim 10^{-13}$ sec)

$\tau \sim 10^{-10}$ sec - OK)

$F_2(t, p_1, p_2, q_1, q_2) \rightarrow F_1(t, p_1, q_1) F_1(t, p_2, q_2)$
 $|q_2 - q_1| \rightarrow \infty$

\Rightarrow Boltzmann

Schemes of decoupling: Kirkwood, Bogolyubov, others.

Linear response theory

$$\ddot{x} + \gamma \dot{x} + \omega_0^2 x = F(t) \quad (\gamma > 0)$$

$$x(t) = x_0(t) + \int_{-\infty}^{\infty} G(t-t') F(t') dt',$$

where $LG = \delta(t-t')$

$$L = \frac{d^2}{dt^2} + \gamma \frac{d}{dt} + \omega_0^2 \quad (L \text{ is transl. invar. int.})$$

$$x(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-i\omega t} X(\omega) d\omega$$

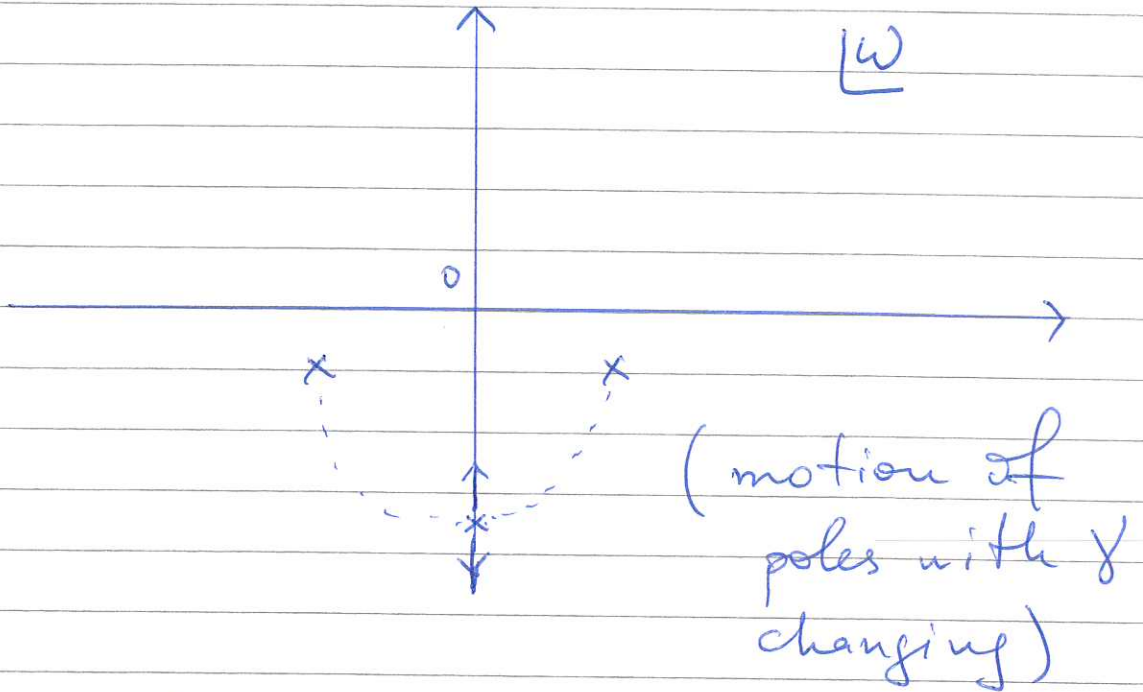
$$\delta(t-t') = \frac{1}{2\pi} \int e^{-i\omega(t-t')} d\omega$$

$$\Rightarrow G(\omega) = - \frac{1}{(\omega - \omega_+) (\omega - \omega_-)}$$

$$\omega_{\pm} = \pm \sqrt{\omega_0^2 - \gamma^2} - i\gamma$$

$$X(\omega) = X_0(\omega) + G(\omega)F(\omega)$$

or $\boxed{\delta X(\omega) = G(\omega)F(\omega)}$



$G(\omega)$ analytic in $\text{Im } \omega > 0$ plane

$$\Rightarrow G(t-t') = 0 \text{ for } t-t' < 0 :$$

$$\delta x(t) = \int_{-\infty}^{\infty} G(t-t') f(t') dt' = \int_{-\infty}^t G(t-t') f(t') dt' \quad (\text{causality})$$

$$G(t-t') \equiv G_R(t-t') = \theta(t-t') e^{-\gamma(t-t')} \frac{\sin[\sqrt{\omega_0^2 - \gamma^2}(t-t')]}{\sqrt{\omega_0^2 - \gamma^2}}$$

Retarded Green's function

$$\sqrt{\omega_0^2 - \gamma^2}$$

In QM or QFT :

$$\hat{H}_0 \rightarrow \hat{H}_0 + \delta \hat{H}(t)$$

$$\delta \hat{H} = - \int d^3x \lambda_a(t, \bar{x}) \hat{\mathcal{O}}_a(t, \bar{x})$$

Then (time-dep. pert. theory to linear order in λ) :

$$\delta \langle \hat{\mathcal{O}}_a(t, \bar{x}) \rangle = - \int dt' d^3x' G_{ab}^R(t-t', \bar{x}-\bar{x}') \lambda_b(t', \bar{x}')$$

where $\delta \langle \hat{\mathcal{O}} \rangle = \langle \hat{\mathcal{O}} \rangle_{H_0 + \delta H} - \langle \hat{\mathcal{O}} \rangle_{H_0}$

$$G_{ab}^R(t-t', \bar{x}-\bar{x}') = -i \theta(t-t') \langle [\hat{\mathcal{O}}_a(t, \bar{x}), \hat{\mathcal{O}}_b(t', \bar{x}')] \rangle_{H_0}$$

In Fourier space,

$$\delta \langle \hat{\mathcal{O}}_a(\omega, \bar{q}) \rangle = - G_{ab}^R(\omega, \bar{q}) \lambda_b(\omega, \bar{q})$$

E.g. $\hat{\mathcal{O}} = \hat{J}^\mu$ or $\hat{T}^{\mu\nu}$ (conserved currents)

then $\lambda = A_\mu$ or $h_{\mu\nu}$

See: Le Bellac; Kovtun 1205.5040
Kiritisis, Ch. 15 (2nd ed).

Transport in QFT from "first principles"

Effective theory at large dist. and large times \equiv fluid dynamics

Equil: $\bar{E}_{TOT} = \text{const}$ $\bar{Q}_{TOT} = \text{const}$

Near-eg: $\epsilon(t, \bar{x}) = E/V$, $\rho(t, \bar{x}) = Q/V$

- densities of conserved charges

More precisely: $\langle \hat{T}^{\mu\nu} \rangle \equiv T^{\mu\nu}(t, \bar{x})$

exp. value in a state described by $\hat{\rho}(t, \bar{x})$

In equil: $\langle \hat{T}^{\mu\nu} \rangle_{T, \mu} = \text{tr} \hat{\rho}_{eq} \hat{T}^{\mu\nu} =$

$= T_{eq}^{\mu\nu} = \begin{pmatrix} \epsilon & & & \\ & p & & \\ & & p & \\ & & & p \end{pmatrix}$ for (1)

isotropic homogeneous system in rest frame.

Covariant expression: in a frame with const $u^\mu = (\gamma c, \gamma \vec{v})$:

$$T^{\mu\nu}_{eq} = T^{\mu\nu}_{||} + T^{\mu\nu}_{\perp} = \epsilon u^{\mu} u^{\nu} + P \Delta^{\mu\nu} \quad (2)$$

$$\Delta^{\mu\nu} \equiv \eta^{\mu\nu} + u^{\mu} u^{\nu}, \quad \eta_{\mu\nu} = \text{diag}(-1, 1, 1, 1).$$

$$u_{\mu} \Delta^{\mu\nu} = 0$$

Exercise: derive (2) using (1). Show that

$$\Delta_{\mu\nu} \Delta^{\nu\sigma} = \Delta_{\mu\sigma}$$

We now assume $u^{\mu} = u^{\mu}(x)$. Then $T^{\mu\nu}$ will contain derivatives of $u^{\mu}(x)$. Assume gradients are small in some sense $\Rightarrow \partial_{\alpha} u_{\nu}$ ("slow variables") \Rightarrow gradient expansion

- assumes analyticity (no $\omega^{3/2}$ terms in Fourier)
- convergence - ?

$$T^{\mu\nu} = T_0^{\mu\nu} + T_1^{\mu\nu} + T_2^{\mu\nu} + O(\partial^3)$$

number of deriv. involved

$$T_0^{\mu\nu} = \epsilon u^\mu u^\nu + p \Delta^{\mu\nu}$$

$$T_1^{\mu\nu} = -\gamma \Delta^{\mu\alpha} \Delta^{\nu\beta} \left(\partial_\alpha u_\beta + \partial_\beta u_\alpha - \frac{2}{3} \gamma_{\alpha\beta} \partial_\lambda u^\lambda \right) - \zeta \Delta^{\mu\nu} \partial_\lambda u^\lambda$$

- In curved space-time: $\gamma_{\alpha\beta} \rightarrow g_{\alpha\beta}$
 $\partial_\alpha \rightarrow \nabla_\alpha$

Combining $\partial_\mu T^{\mu\nu} = 0$ and $T^{\mu\nu} = \sum_{k=0}^{\infty} T_k^{\mu\nu}$,

we get eom:

$k=0$ Euler (relativ.)

$k=1$ Navier-Stokes (rel)

$k=2$ Burnett (rel)

etc

- $k=3$ case is known partially in rel. hydro (Grozdanov, Kaplis)

$k > 3$ unknown except for special cases such as low-dim Bjorken flow.

- Number of transport coeffs with k ?

Similar eqs can be written in case of a conserved current j^μ :

$$\begin{cases} \partial_\mu j^\mu = 0 \\ j^\mu = j_0^\mu + j_1^\mu + \dots = \rho u^\mu - D \Delta^{\mu\nu} \partial_\nu \rho + \dots \end{cases}$$

↑ convection
↑ diffusion

In the rest frame of fluid: $\kappa = x, y, z$

$$j^\kappa = -D \partial_\kappa \rho \quad \text{Fick's Law of diffusion}$$

Hydro: d.o.f. - densities of conserved charges (e.g. ρ)

$$\text{com: } \partial_\mu j^\mu = 0 \quad \oplus \quad j^\mu = \sum_{\kappa=0}^3 j^\mu(\kappa)$$

$$\Rightarrow \partial_t \rho + D \nabla^2 \rho = 0 \quad (\text{diffusion eq})$$

We now use linear response formula
with $\hat{O} = \hat{T}_{xy}$ and \vec{q} along z
in isotropic system

$$\delta \overline{T}_{xy}(\omega, \vec{q}) = -G_{\overline{T}_{xy} \overline{T}_{xy}}^R(\omega, \vec{q}) h_{xy}(\omega, \vec{q}),$$

where h_{xy} is the metric perturbation

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}(t)$$

with $h_{xy} \neq 0$ only (see 0704.0240)

But for small ω , $|\vec{q}|$, we have an
explicit expression for \overline{T}_{xy} as deriv.
expansion (= series in powers of $\omega, |\vec{q}|$).

Since $h_{\mu\nu}(t)$ does not dep. on \vec{x} , rot.

symm. is preserved $\Rightarrow u^i = 0 \quad i = x, y, z$.

$\Rightarrow u^\mu = (1, \vec{0})$. But

$$\nabla_x u_y = \partial_x u_y - \Gamma_{xy}^\lambda u_\lambda = -\Gamma_{xy}^t u_t = \Gamma_{xy}^t$$

$$\nabla_y u_x = \Gamma_{yx}^t = \Gamma_{xy}^t. \quad \left(\Gamma_{xy}^t = \frac{1}{2} \partial_t h_{xy} \right)$$

So for $\delta T_{xy} = T_{xy} - T_{xy}^e$

$\delta T_{xy} = -p h_{xy} - 2\gamma T_{xy}^t + \dots =$

$= -p h_{xy} - \gamma \partial_t h_{xy} + O(\partial^2)$

+ non-analyt. terms

With $h_{xy}(t) \sim e^{-i\omega t}$ (and $\bar{q}=0$):

$\delta T_{xy} = -p h_{xy} + i\omega \gamma h_{xy} + O(\omega^2) =$

$= -G_{T_{xy} T_{xy}}^R(\omega, \bar{0}) h_{xy}$

$G_{T_{xy} T_{xy}}^R(\omega, 0) = p - i\omega \gamma + O(\omega^2)$

+ N/A terms

$\gamma = -\lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{T_{xy} T_{xy}}^R(\omega, \bar{q}=0)$

Kubo formula (Luttinger, 1963?)