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**Collisional Plasma Physics**  
**Problem Set II**

Due: Friday 11 June 2021

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2.1 (25 points) Consider a spatially uniform plasma composed of one ion species with charge  $e$  and mass  $m_i$  and electrons with charge  $-e$  and mass  $m_e$ . Assume that the ion average velocity is zero,  $\mathbf{u}_i = 0$ . The plasma is sufficiently close to a stationary Maxwellian that we can write the electron distribution function as

$$f_e(\mathbf{v}, t) \simeq f_{Me}(v) + f_{e1}(\mathbf{v}, t), \quad (1)$$

where  $f_{e1} \ll f_{Me}$  and  $f_{Me}(v)$  is a steady-state, stationary Maxwellian,

$$f_{Me}(v) = n_e \left( \frac{m_e}{2\pi T_e} \right)^{3/2} \exp\left(-\frac{m_e v^2}{2T_e}\right). \quad (2)$$

Expanding in  $\sqrt{m_e/m_i} \ll 1$ , the time evolution equation for  $f_{e1}$  is

$$\frac{\partial f_{e1}}{\partial t} = C_{ee}^{(\ell)}[f_{e1}] + \mathcal{L}_{ei}[f_{e1}]. \quad (3)$$

In what follows, you will determine the time evolution of  $f_{e1}(\mathbf{v}, t)$  for the initial condition

$$f_{e1}(\mathbf{v}, t = 0) = \frac{m_e \mathbf{v} \cdot \mathbf{u}_e}{T_e} f_{Me}. \quad (4)$$

(a) Argue that  $f_{e1}$  can be written as

$$f_{e1}(\mathbf{v}, t) = \frac{m_e \mathbf{v} \cdot \mathbf{u}_e}{T_e} F_e(v, t) f_{Me}(v), \quad (5)$$

where the function  $F_e(v, t)$  is only a function of the magnitude of  $\mathbf{v}$ ,  $v = |\mathbf{v}|$ .

(b) To solve equation (3), we decompose  $F_e(v, t)$  into the series

$$F_e(v, t) = \sum_{n=0}^{\infty} A_n \exp(-\bar{\nu}_n t) G_n(v), \quad (6)$$

where  $A_n$  and  $\bar{\nu}_n$  are constants, and  $G_n(v)$  are functions of  $v$ . Show that  $\bar{\nu}_n$  and  $G_n(v)$  are determined by the eigenvalue problem

$$\frac{3}{2} \int_0^\pi (C_{ee}^{(\ell)}[v G_n f_{Me} \cos \alpha] + \mathcal{L}_{ei}[v G_n f_{Me} \cos \alpha]) \cos \alpha \sin \alpha \, d\alpha = -\bar{\nu}_n v G_n f_{Me}, \quad (7)$$

where  $\alpha$  is the angle between  $\mathbf{v}$  and  $\mathbf{u}_e$ .

(c) Show that the functions  $G_n(v)$  satisfy the orthogonality property

$$\int_0^\infty G_n(v) G_m(v) v^4 f_{Me}(v) \, dv = 0 \quad (8)$$

for  $n \neq m$ .

(d) Show that the eigenvalues  $\bar{\nu}_n$  are extrema of the functional

$$\Theta[G] = \frac{\mathcal{N}[G]}{\mathcal{D}[G]}. \quad (9)$$

where

$$\mathcal{N}[G] = - \int v G \cos \alpha C_{ee}^{(\ell)} [v G f_{M_e} \cos \alpha] d^3 v - \int v G \cos \alpha \mathcal{L}_{ei} [v G f_{M_e} \cos \alpha] d^3 v \quad (10)$$

and

$$\mathcal{D}[G] = \frac{1}{3} \int v^2 G^2 f_{M_e} d^3 v. \quad (11)$$

In other words, show that  $\Theta[G_n] = \bar{\nu}_n$  and that  $\Theta[G_n + \delta G] - \Theta[G_n] = 0$  to first order in  $\delta G \ll G_n$ .

(e) Evaluate  $\Theta[G]$  for the trial function  $G = a_0 + a_1 L_1^{(3/2)}(x) + a_2 L_2^{(3/2)}(x)$ , where  $L_p^{(\gamma)}(x)$  are modified Laguerre polynomials,  $x = m_e v^2 / 2T_e$  and  $a_p$  are constants. Show that the extrema of  $\Theta[G]$  with respect to the parameters  $a_0$ ,  $a_1$  and  $a_2$  satisfy

$$\begin{pmatrix} 1 & \frac{3}{2} & \frac{15}{8} \\ \frac{3}{2} & \frac{13}{4} + \sqrt{2} & \frac{69}{16} + \frac{3\sqrt{2}}{4} \\ \frac{15}{8} & \frac{69}{16} + \frac{3\sqrt{2}}{4} & \frac{433}{64} + \frac{45\sqrt{2}}{16} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix} = \frac{\bar{\nu}_n}{\nu_{ee}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{5}{2} & 0 \\ 0 & 0 & \frac{35}{8} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix}. \quad (12)$$

Using this expression, obtain an approximate value of the smallest decay rate  $\bar{\nu}_0$  and an approximate form of  $G_0(v)$ . Normalize  $G_0(v)$  such that

$$\frac{8}{3\sqrt{\pi}} \left( \frac{m_e}{2T_e} \right)^{5/2} \int_0^\infty G_0^2 v^4 \exp\left(-\frac{m_e v^2}{2T_e}\right) dv = 1. \quad (13)$$

(f) Use the results in (c) and (e) to calculate the time evolution of  $f_{e1}$  for  $t \gg \nu_{ee}^{-1} = \nu_{ei}^{-1}$ .

2.2 (25 points) In this problem, we will reproduce part of Braginskii's calculation for ions. We consider a plasma with one ion species with charge  $e$  and mass  $m_i$ , and electrons with charge  $-e$  and mass  $m_e$ . We will expand the equation in the small parameters

$$\frac{\rho_i}{L} \sim \frac{\lambda_{ii}}{L} \sim \sqrt{\frac{m_e}{m_i}} \ll 1 \sim \frac{|\mathbf{u}_i|}{v_{ti}}. \quad (14)$$

(a) Show that the ion Fokker-Planck kinetic equation in the coordinate  $\mathbf{w} = \mathbf{v} - \mathbf{u}_i$  is

$$\begin{aligned} \Omega_i(\mathbf{w} \times \hat{\mathbf{b}}) \cdot \nabla_w f_i - C_{ii}[f_i, f_i] &= -\frac{\partial f_i}{\partial t} - (\mathbf{w} + \mathbf{u}_i) \cdot \nabla f_i + \mathbf{w} \cdot \nabla \mathbf{u}_i \cdot \nabla_w f_i \\ &+ \frac{\mathbf{F}_{ie} - \nabla p_i - \nabla \cdot \mathbf{\Pi}_i}{n_i m_i} \cdot \nabla_w f_i + C_{ie}[f_i, f_e]. \end{aligned} \quad (15)$$

Here  $\Omega_i = eB/m_i$  is the ion gyrofrequency and  $\mathbf{u}_i = n_i^{-1} \int f_i \mathbf{v} d^3 v$  is the ion average velocity. [Hint: you will need to use the ion momentum conservation equation.]

(b) Expanding the distribution function as  $f_i = f_{i0} + f_{i1} + \dots$ , with  $f_{i1} \sim (\rho_i/L)f_{i0}$ , show that equation (15) becomes to lowest order

$$\Omega_i(\mathbf{w} \times \hat{\mathbf{b}}) \cdot \nabla_w f_{i0} - C_{ii}[f_{i0}, f_{i0}] = 0. \quad (16)$$

Why are the electron-ion collisions negligible for the ions if they were important for the electrons?

(c) Show that the solution to equation (16) that satisfies the condition  $\int f_{i0} \mathbf{w} d^3w = 0$  is the stationary Maxwellian

$$f_{i0} = f_{Mi} \equiv n_i \left( \frac{m_i}{2\pi T_i} \right)^{3/2} \exp \left( -\frac{m_i w^2}{2T_i} \right). \quad (17)$$

Justify the condition  $\int f_{i0} \mathbf{w} d^3w = 0$ .

(d) Show that to next order in  $\rho_i/L \ll 1$ , equation (15) becomes

$$\begin{aligned} \Omega_i(\mathbf{w} \times \hat{\mathbf{b}}) \cdot \nabla_w f_{i1} - C_{ii}^{(\ell)}[f_{i1}] = & -\frac{\partial f_{Mi}}{\partial t} - (\mathbf{w} + \mathbf{u}_i) \cdot \nabla f_{Mi} + \mathbf{w} \cdot \nabla \mathbf{u}_i \cdot \nabla_w f_{Mi} \\ & - \frac{\nabla p_i}{n_i m_i} \cdot \nabla_w f_{Mi} + \frac{m_e \nu_{ei}}{m_i} \left( \frac{T_e}{T_i} - 1 \right) \left( \frac{m_i w^2}{T_i} - 3 \right) f_{Mi}. \end{aligned} \quad (18)$$

[Hint: due to the orderings in (14), the term  $C_{ie}[f_{Mi}, f_{Me}]$  enters at this order.] Note that we neglected the terms  $\partial f_{Me}/\partial t$  and  $\mathbf{w} \cdot \nabla \mathbf{u}_e \cdot \nabla f_{Me}$  in the equivalent electron equation, but we cannot neglect  $\partial f_{Mi}/\partial t$  and  $\mathbf{w} \cdot \nabla \mathbf{u}_i \cdot \nabla f_{Mi}$  in (18). Why?

(e) Using the continuity equation and the thermal energy equation for ions, simplify equation (18) to

$$\begin{aligned} \Omega_i(\mathbf{w} \times \hat{\mathbf{b}}) \cdot \nabla_w f_{i1} - C_{ii}^{(\ell)}[f_{i1}] = & - \left[ \left( \frac{m_i w^2}{2T_i} - \frac{5}{2} \right) \mathbf{w} \cdot \nabla \ln T_i \right. \\ & \left. + \frac{m_i}{T_i} \left( \mathbf{w} \mathbf{w} - \frac{w^2}{3} \mathbf{I} \right) : \nabla \mathbf{u}_i \right] f_{Mi}. \end{aligned} \quad (19)$$

This equation is the one that Braginskii inverted to obtain  $f_{i1}$  and with it, calculate the ion heat flux and viscosity.

(f) Show that the equation for the gyrophase independent piece of  $f_{i1}$  is

$$\begin{aligned} C_{ii}^{(\ell)}[\langle f_{i1} \rangle_\varphi] = & \left[ \left( \frac{m_i w^2}{2T_i} - \frac{5}{2} \right) w \cos \alpha \hat{\mathbf{b}} \cdot \nabla \ln T_i \right. \\ & \left. + \frac{m_i w^2}{2T_i} (3 \cos^2 \alpha - 1) \left( \hat{\mathbf{b}} \hat{\mathbf{b}} - \frac{\mathbf{I}}{3} \right) : \nabla \mathbf{u}_i \right] f_{Mi}, \end{aligned} \quad (20)$$

where  $\alpha$  is the angle between the velocity  $\mathbf{w}$  and the direction of the magnetic field  $\hat{\mathbf{b}}$ , i.e.  $\alpha = \arccos(\mathbf{w} \cdot \hat{\mathbf{b}}/w)$ .

2.3 (25 points) In this problem, we solve equation (20) using a model collision operator. We use the modified Brownian motion operator (see problem 1.1)

$$C_{ii}[f_i] = \nu_{ii} \nabla_w \cdot \left( \frac{\Theta_i}{m_i} \nabla_w f_i + \mathbf{w} f_i \right), \quad (21)$$

where  $\Theta_i = (\int f_i d^3w)^{-1} \int f_i m_i w^2 / 3 d^3w$  and  $\mathbf{w} = \mathbf{v} - \mathbf{u}_i$ .

(a) Show that the linearization of the collision operator in (21) around the Maxwellian in (17) is

$$C_{ii}^{(\ell)}[f_{i1}] = \frac{\nu_{ii} T_i}{m_i} \nabla_w \cdot \left[ f_{Mi} \nabla_w \left( \frac{f_{i1}}{f_{Mi}} \right) \right] + \frac{\nu_{ii}}{n_i} \left( \frac{m_i w^2}{T_i} - 3 \right) f_{Mi} \int f_{i1}(\mathbf{w}') \left( \frac{m_i (w')^2}{3T_i} - 1 \right) d^3w'. \quad (22)$$

(b) Show that in spherical coordinates,

$$\nabla_w \cdot \left[ f_{Mi} \nabla_w \left( \frac{f_{i1}}{f_{Mi}} \right) \right] = \frac{1}{w^2} \frac{\partial}{\partial w} \left[ w^2 f_{Mi} \frac{\partial}{\partial w} \left( \frac{f_{i1}}{f_{Mi}} \right) \right] + \frac{f_{Mi}}{w^2 \sin \alpha} \frac{\partial}{\partial \alpha} \left[ \sin \alpha \frac{\partial}{\partial \alpha} \left( \frac{f_{i1}}{f_{Mi}} \right) \right] + \frac{f_{Mi}}{w^2 \sin^2 \alpha} \frac{\partial^2}{\partial \varphi^2} \left( \frac{f_{i1}}{f_{Mi}} \right), \quad (23)$$

where  $\alpha$  is the angle between  $\mathbf{w}$  and the magnetic field, and  $\varphi$  is the gyrophase.

(c) Solve equation (20) using the linearized model collision operator in (22). Try a solution of the form

$$\langle f_{i1} \rangle_\varphi = \left[ C \left( \frac{m_i w^2}{2T_i} - \frac{5}{2} \right) w \cos \alpha + K \frac{m_i w^2}{2T_i} (3 \cos^2 \alpha - 1) \right] f_{Mi}, \quad (24)$$

where  $C$  and  $K$  are constants that you have to determine. Check that the solution satisfies the constraint  $\int \langle f_{i1} \rangle_\varphi w \cos \alpha d^3w = 0$ .

(d) Use the solution of part (c) to show that the ion parallel heat flux is

$$\mathbf{q}_{i\parallel} = \int \frac{1}{2} m_i w^2 \mathbf{w} \langle f_i \rangle_\varphi d^3w = -\frac{5n_i T_i}{6m_i \nu_{ii}} \hat{\mathbf{b}} \hat{\mathbf{b}} \cdot \nabla T_i. \quad (25)$$

(e) Use the solution in part (c) to show that the ion parallel viscosity is

$$\mathbf{\Pi}_{i\parallel} = \int m_i \left( \mathbf{w} \mathbf{w} - \frac{w^2}{3} \mathbf{I} \right) \langle f_i \rangle_\varphi d^3w = -\frac{n_i T_i}{2\nu_{ii}} \left( 3\hat{\mathbf{b}} \cdot \nabla \mathbf{u}_i \cdot \hat{\mathbf{b}} - \nabla \cdot \mathbf{u}_i \right) \left( \hat{\mathbf{b}} \hat{\mathbf{b}} - \frac{\mathbf{I}}{3} \right). \quad (26)$$

2.4 (25 points) Consider a steady state, collisional, magnetized plasma composed of ions with charge  $e$  and mass  $m_i$ , and electrons with charge  $-e$  and mass  $m_e$  immersed in a constant magnetic field  $\mathbf{B} = B\hat{\mathbf{z}}$  and bounded by two plates at  $z = 0$  and  $z = L$ . The electron density  $n_e$ , the ion and electron average velocities  $\mathbf{u}_i$  and  $\mathbf{u}_e$  and the electron and ion temperatures  $T_i$  and  $T_e$  only depend on  $z$ . The plasma is sufficiently collisional

that Braginskii equations apply. The ion and electron average velocities are of the same order, but they are smaller than the ion thermal speed,

$$|\mathbf{u}_i| \sim |\mathbf{u}_e| \ll v_{ti}. \quad (27)$$

(a) Show that for

$$\sqrt{\frac{m_i}{m_e}} \lambda_{ee} \ll L, \quad (28)$$

the electron thermal energy equation simply gives that the ion and electron temperatures are equal to each other, that is,  $T_i \simeq T_e = T$ , where  $T$  is the plasma temperature.

(b) Sum the ion and electron thermal energy equations to obtain an equation for the plasma temperature  $T$ . Use quasineutrality  $n_e = n_i$  to simplify the equation. Show that for

$$L \ll \sqrt{\frac{m_i}{m_e}} \frac{v_{ti}}{|\mathbf{u}_e|} \lambda_{ee}, \quad (29)$$

this equation for  $T$  simply becomes

$$\nabla \cdot (q_{e\parallel} \hat{\mathbf{b}}) \simeq 0. \quad (30)$$

(c) Considering  $\ln \Lambda_{ee} \simeq 17$  a constant, show that equation (30) is

$$\frac{\partial}{\partial z} \left( K T^{5/2} \frac{\partial T}{\partial z} \right) = 0, \quad (31)$$

where  $K$  is a positive constant. Determine the constant  $K$  and explain why the plasma density does not enter in this equation.

(d) Find the plasma temperature profile  $T(z)$  and the parallel electron heat flux  $q_{e\parallel}(z)$  assuming that  $T(z=0) = T_b$  and  $T(z=L) = T_t$ , with  $T_b > T_t$ . Sketch  $T(z)$ .

(e) Using the parallel component of the total momentum conservation equation and the orderings above, show that the electron density satisfies  $n_e = p_0/T$ , where  $p_0$  is a constant.

(f) Using the parallel component of the electron momentum equation and the results above, show that the parallel current  $J_{\parallel} = en_e(u_{i\parallel} - u_{e\parallel})$  is

$$J_{\parallel} = T^{3/2} \left( C_1 E_{\parallel} + C_2 \frac{\partial T}{\partial z} \right), \quad (32)$$

where  $C_1$  and  $C_2$  are positive constants. Determine  $C_1$  and  $C_2$ . Is  $J_{\parallel}$  consistent with our assumption (27)?

(g) Argue that  $J_{\parallel}$  is constant, and hence use the results in part (f) and part (d) to calculate  $E_{\parallel}$  as a function of  $T$ ,  $J_{\parallel}$  and  $q_{e\parallel}$ . Sketch  $E_{\parallel}(z)$  for  $J_{\parallel} > 0$  and  $J_{\parallel} < 0$ .

(h) Calculate the potential difference between the plates,  $V = \int_0^L E_{\parallel} dz$ , and show that it is equal to

$$V = \frac{7(T_b^2 - T_t^2)L}{4C_1(T_b^{7/2} - T_t^{7/2})} J_{\parallel} + \frac{C_2}{C_1} (T_b - T_t). \quad (33)$$

Take the limit  $T_t \rightarrow T_b$  in this equation. Does the result in this limit make physical sense?